

Unintegrated parton distributions in nuclei

E.G. de Oliveira,^{a,b} A.D. Martin,^a F.S. Navarra^b and M.G. Ryskin^{a,c}

^a*Institute for Particle Physics Phenomenology, University of Durham,
Durham, DH1 3LE, U.K.*

^b*Instituto de Física, Universidade de São Paulo,
C.P.66318, 05315-970 São Paulo, Brazil*

^c*Petersburg Nuclear Physics Institute, NRC Kurchatov Institute,
Gatchina, St. Petersburg, 188300, Russia*

E-mail: emmanuel.de-oliveira@durham.ac.uk, a.d.martin@durham.ac.uk,
navarra@if.usp.br, ryskin@thd.pnpi.spb.ru

ABSTRACT: We study how unintegrated parton distributions in nuclei can be calculated from the corresponding integrated partons using the EPS09 parametrization. The role of nuclear effects is presented in terms of the ratio $R^A = \text{uPDF}^A/A \cdot \text{PDF}^N$ for both large and small x domains.

KEYWORDS: QCD Phenomenology, Heavy Ion Phenomenology

ARXIV EPRINT: [1307.2825](https://arxiv.org/abs/1307.2825)

Contents

1	Introduction	1
2	Nuclear modification	2
3	Results	3
4	Conclusion	7

1 Introduction

Distributions unintegrated over the parton transverse momentum, k_t , are known to be an effective way to describe hard processes in which the transverse momentum of final particle (prompt photon [1–3], W, Z boson or Drell-Yan lepton pair [4], heavy quark [5], etc.) is measured. For the interaction with a heavy nucleus the momentum distribution of secondaries is affected both by the ‘final state’ rescattering of the secondary particle inside the nuclear medium and by the nuclear modification of the incoming Parton Distribution Functions (PDFs).

In [6] a prescription was proposed which allows the unintegrated PDF (uPDF) to be obtained from the conventional integrated PDF with NLO accuracy. This opens up the possibility to study the nuclear modifications of uPDFs based on the existing parton distributions in nucleus. Here the unintegrated distributions are calculated using the EPS09 [7] nuclear parton integrated distribution functions for the case of $A = 208$, lead.

Different approaches have been used to determine the unintegrated nuclear distributions [8, 9]. In the earlier work [8], the nuclear effects in the gluon distribution were studied in the small x domain based on the analytical asymptotic solution of the Balitsky-Kovchegov equation [10, 11]. The BK equation is a non-linear evolution equation in the variable x and includes effects from saturation [12].

In ref. [9], a Monte Carlo was developed to determine the nuclear unintegrated gluon distribution, in which, in impact parameter space, nucleons of finite radius are placed at random in positions inside the nucleus. When the nucleus is probed at an impact parameter that is contained by only one nucleon, the unintegrated gluon distribution from free proton is used, derived from the numerical solution of the running coupling BK equation [13] starting from some initial saturation scale Q_{s0}^2 . If the nucleus is probed at an impact parameter domain where n nucleons overlap, then the initial saturation scale is multiplied n , i.e., nQ_{s0}^2 .

In contrast with the previous studies, the present work covers the whole region of x up to $x = 1$ and, besides the screening corrections, accounts for the Fermi motion, EMC effect and the antishadowing at not too low x . Also, all species of unintegrated partons are

obtained, including unintegrated quark distributions. This work is based in Dokshitzer-Gribov-Lipatov-Altarelli-Parisi evolution [14–16] in which $\ln Q^2$ terms are properly taken into account at each order, and the unintegrated distributions are functions of three variables, x , k_t , and Q^2 , instead of just the first two. DGLAP provides a linear evolution and saturation effects are absent, except in the parametrization of the distributions at the starting scale. In addition, our approach includes the suppression of unintegrated distributions at small k_t caused by the Sudakov factor.

2 Nuclear modification

Recall that a parton distribution in a nucleus (PDF^A) is not equal to the sum of the PDFs in the component nucleons ($A \cdot \text{PDF}^N$).¹ There are different physical effects depending on the value of x . We summarize here in terms of ratio

$$R^A \equiv \frac{\text{PDF}^A}{A \cdot \text{PDF}^N} \quad (2.1)$$

as follows:

- At very small x the parton density is smaller (than the simple sum) due to absorptive (shadowing) effects.
- At larger x , $0.03 \lesssim x \lesssim 0.1$, the value of PDF^A exceeds the sum, $A \cdot \text{PDF}^N$. This antishadowing is just due to momentum conservation. After the fusion of two parton cascades (originating from two different nucleons) into the one branch of the parton cascade we get a lower number of low x partons but the momentum fraction, x , carried by each parton becomes larger just near the fusion position.
- Next, for $x \gtrsim 0.3$ there is an EMC effect ([17]; for a review of the EMC effect see for instance [18]; for recent measurement of the EMC effect see, for example [19]) and the ratio R^A becomes less than 1.
- Finally, at very large $x \gtrsim 0.8$, we have an enhancement $R^A > 1$ due to Fermi motion.

The unintegrated parton distributions are obtained from [6]:

$$f_a(x, k_t^2, \mu^2) = \int_x^1 dz T_a(k^2, \mu^2) \frac{\alpha_S(k^2)}{2\pi} \sum_{b=q,g} \tilde{P}_{ab}(z, \Delta) \frac{x}{z} b\left(\frac{x}{z}, k^2\right) \Theta(1 - z - k_t^2/\mu^2), \quad (2.2)$$

where $k^2 = k_t^2/(1 - z)$ and $b(x, k^2)$ is the integrated parton distribution, for example, $b(x, \mu^2) = g(x, \mu^2)$. The cutoff Δ in z integration is specified below, see (2.8). The Sudakov factor $T_a(k^2, \mu^2)$ resums the virtual DGLAP contributions during the evolution from k^2 to μ^2 . It is given by:

$$T_a(k^2, \mu^2) = \exp \left(- \int_{k^2}^{\mu^2} \frac{d\kappa^2}{\kappa^2} \frac{\alpha_S(\kappa^2)}{2\pi} \int_0^1 d\zeta \zeta \sum_b \tilde{P}_{ba}(\zeta, \Delta) \right). \quad (2.3)$$

¹Strictly speaking as a ‘reference quantity’ in denominator we have to use not $A \cdot \text{PDF}^N$ but $Z \cdot \text{PDF}^p + N \cdot \text{PDF}^n$. However this does not matter since below we consider the flavour singlet parton distributions only.

The tilde splitting functions are given by the usual α_s expansion $\tilde{P} = \tilde{P}^{(0)} + (\alpha_s/2\pi)\tilde{P}^{(1)} + \dots$ and they are defined from the unregulated DGLAP splitting kernels. For non-diagonal elements, one has $\tilde{P}(x, \Delta) = P(x)$, while for diagonal elements:

$$\tilde{P}_{aa}^{(i)}(x, \Delta) = P_{aa}^{(i)}(x) - \Theta(z - (1 - \Delta))F_a^{(i)}p_{aa}(x) \quad (2.4)$$

with $F_q^{(0)} = C_F$, $F_g^{(0)} = 2C_A$, and

$$F_a^{(1)} = -F_a^{(0)} \left(T_R N_F \frac{10}{9} + C_A \left(\frac{\pi^2}{6} - \frac{67}{18} \right) \right). \quad (2.5)$$

Also,

$$p_{qq}(x) = \frac{1+x^2}{1-x} \quad (2.6)$$

$$p_{gg}(x) = \frac{x}{1-x} + \frac{1-x}{x} + x(1-x). \quad (2.7)$$

The cutoff in z , Δ , accounts for the coherence of gluon radiation amplitudes which leads to the angular ordering of emitted gluons. It is function of transverse momentum and the scale μ . In (2.2) it reads

$$\Delta = \frac{k_t}{\mu + k_t}, \quad (2.8)$$

while in (2.3), being written in terms of virtuality,

$$\Delta = \frac{\sqrt{\kappa^2(1-\zeta)}}{\mu + \sqrt{\kappa^2(1-\zeta)}} \quad \Longrightarrow \quad \Delta = \frac{2\kappa^2}{2\kappa^2 + \mu^2 + \sqrt{4\kappa^2\mu^2 + \mu^4}}. \quad (2.9)$$

We use NLO kinematics throughout.

At first sight, the unintegrated parton distributions, $f(x, k_t, \mu)$ should have the same behaviour as the parent integrated parton $b(x/z, k^2)$ in (2.2). However, the integral (2.2) samples the parton density at a larger $x \rightarrow x/z$ and at a somewhat different scale $k^2 = k_t^2/(1-z)$. Therefore the uPDFs become shifted to the left, that is to a smaller values of both x ($x < x/z$) and k_t ($k_t^2 < k^2$). This leads to the distortion of the nuclear modification effects. Depending on the particular kinematics in some regions, say $x \sim 0.01$, we may get $R^A < 1$ (shadowing) at low k_t and antishadowing, $R^A > 1$ at larger k_t .

3 Results

The result of calculations are presented in figures 1 and 2 in the form of the ratios $\text{uPDF}^A/A \cdot \text{PDF}^N$ for the gluon and the singlet quark unintegrated distributions obtained based on the integrated EPS09 nuclear PDFs [7]. For comparison we plot also the analogous ratio for the integrated EPS09 PDF taken at the same x and the scale k_t^2 . For the free proton baseline, the same PDFs used in the fit of EPS09 were employed here, i.e., NLO CTEQ6.1M [21] and LO CTEQ6L1 [20].

We consider both the LO and the NLO prescriptions to calculate the uPDF. In both cases we account for the kinematical factor $\mu^2 > k^2 = k_t^2/(1-z)$ which limits the available

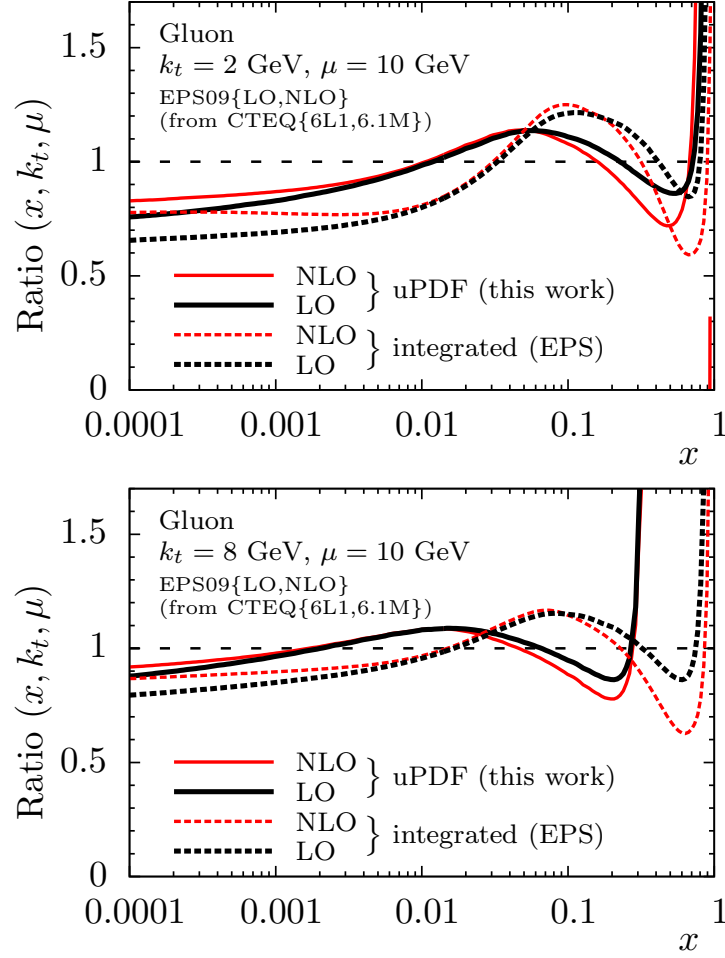


Figure 1. Gluon ratios obtained using EPS09 nuclear PDFs with $\mu = 10 \text{ GeV}$. The continuous curves are the unintegrated ratios $\text{uPDF}^A/A \cdot \text{uPDF}^N$. The integrated ratios are given simply by $\text{EPS09}\{\text{LO,NLO}\}(x, k_t) / \text{CTEQ}\{6\text{L1},6.1\text{M}\}(x, k_t)$.

value of $k_t < \mu\sqrt{1-z}$ in the unintegrated distributions with the fixed hard scale μ . For a large k_t , relatively close to the value of μ , these kinematics lead to a vanishing of the nucleon uPDF already at $x \sim 0.3 - 0.5$. Indeed, due to angular ordering in gluon emission we have an upper limit $z < 1 - \Delta = \mu/(k_t + \mu)$ in the diagonal tilde splitting functions $\tilde{P}_{aa}(z, \Delta)$ and in the integration in z there is a kinematical upper limit $z < 1 - k_t^2/\mu^2$ enforced by the Θ function in (2.2). On the other hand, in (2.2) $z > x$; that is, even starting with a $\delta(1-x)$ integrated distribution, for $k_t = 0.8\mu$ we get a zero uPDF for $x > 0.36$.

Actually the nucleon PDFs decrease sharply as $x \rightarrow 1$. For a heavy nucleus this distribution is washed out by Fermi motion, leading to a large ratio

$$R^A = \frac{\text{PDF}^A}{A \cdot \text{PDF}^N} > 1 \quad (3.1)$$

at x close to 1. Since the unintegrated distribution is shifted by the kinematical inequality $z < 1 - \Delta$ and starts to decrease at a lower x , the increase of the ratio R^A takes place

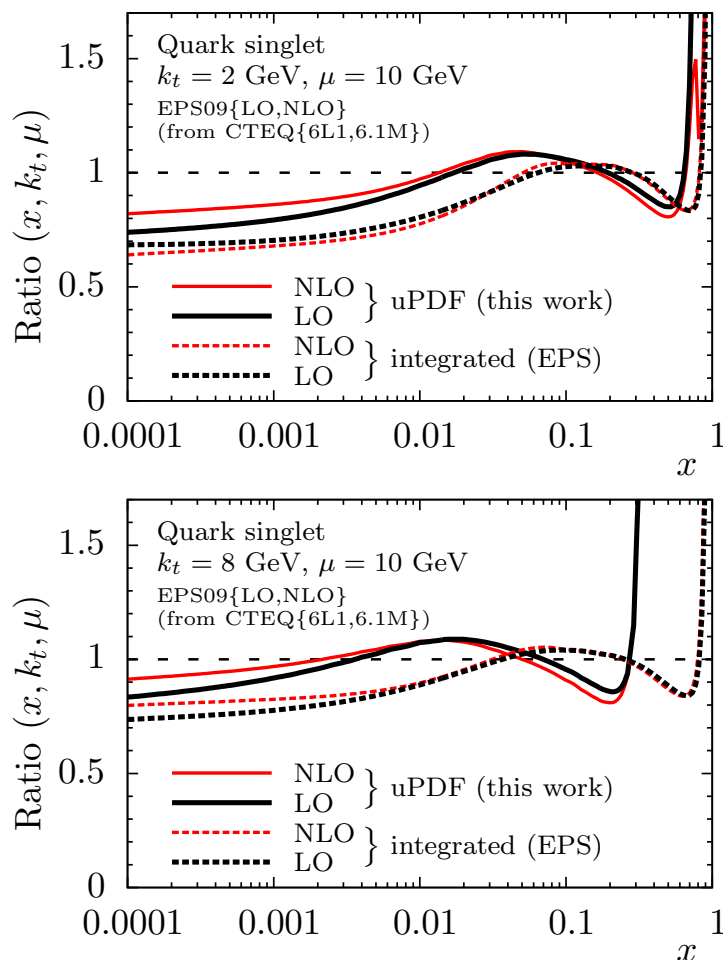


Figure 2. Quark singlet ratios obtained using EPS09 nuclear PDFs with $\mu = 10$ GeV. The continuous curves are the unintegrated ratios R^A . The integrated ratios are given simply by $\text{EPS09}\{\text{LO,NLO}\}(x, k_t) / \text{CTEQ}\{6\text{L1},6.1\text{M}\}(x, k_t)$.

earlier. In figures 1 and 2 it looks like a singularity of R^A , with an x -position which moves to smaller x when k_t becomes closer to μ .

At very small x , absorption affects the uPDF^A less than the integrated PDF^A. This is due to the fact that the integrated distribution, $xg(x, k_t^2)$ (or $xq(x, k_t^2)$) includes all partons with transverse momenta $k'_t < k_t$, while in uPDF we deal with partons of momentum k_t only. At lower k'_t the absorptive cross section, $\sigma^{abs} \propto 1/k'^2$, is larger, leading to stronger shadowing of the integrated distributions.² Correspondingly in the unintegrated case we observe a weaker *antishadowing* (also shifted to a smaller $x \sim 0.01$ – 0.02).

The nuclear modification effects observed for the uPDF^A, obtained under the LO and the NLO prescriptions, are qualitatively the same. However in the NLO case, which samples some contributions beyond strong k_t ordering, the difference between the shadowing of the uPDF and the integrated PDF is smaller.

In figures 3 and 4 we present the ratios R^A calculated at a larger scale $\mu = 40$ GeV. In the low x region the nuclear modification is controlled by the value of k_t and practically is

²Note that at a larger k_t we have a weaker shadowing in figures 1 and 2.

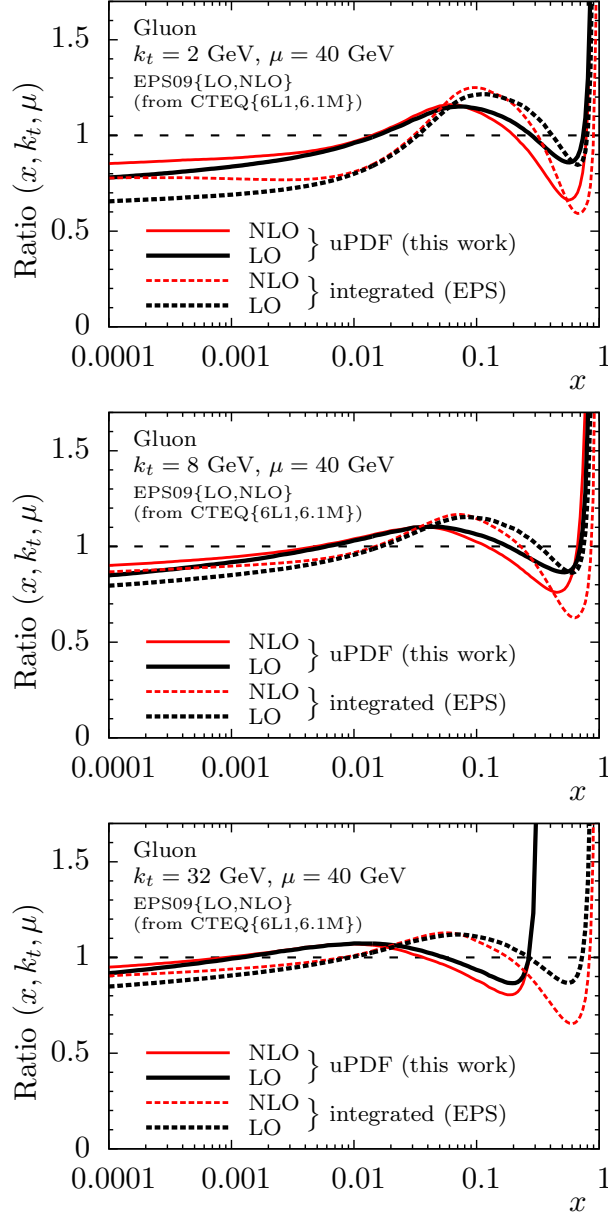


Figure 3. Gluon ratios obtained using EPS09 nuclear PDFs with $\mu = 40$ GeV. The continuous curves are the unintegrated ratios R^A . The integrated ratios are given simply by $\text{EPS09}\{\text{LO,NLO}\}(x, k_t) / \text{CTEQ}\{6\text{L1},6.1\text{M}\}(x, k_t)$.

independent of μ ; see, for example, the comparison of results in figures 1 and 3 for fixed $k_t = 2$ or 8 GeV (and again the comparison of figures 2 and 4). In (2.2) the value of μ affects only the Sudakov factor $T(k^2, \mu^2)$ which is almost exactly canceled in the ratio R^A . On the other hand, for large x it becomes crucial that the scale μ determines the limits of the z integration and therefore the shift between the R^A curves for integrated and unintegrated distribution depends on the ratio k_t/μ . Indeed, we observe practically the same shift in x for the cases of $\{\mu = 40 \text{ GeV}, k_t = 32 \text{ GeV}\}$ and $\{\mu = 10 \text{ GeV}, k_t = 8 \text{ GeV}\}$.

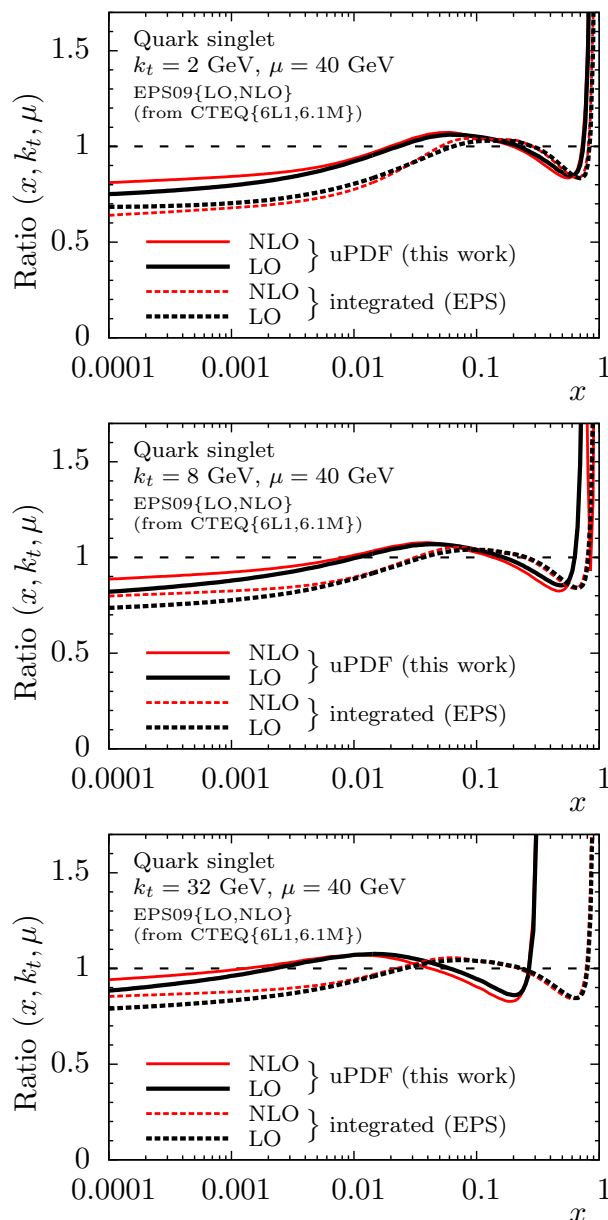


Figure 4. Quark singlet ratios obtained using EPS09 nuclear PDFs with $\mu = 40$ GeV. The continuous curves are the unintegrated ratios R^A . The integrated ratios are given simply by $\text{EPS09}\{\text{LO,NLO}\}(x, k_t) / \text{CTEQ}\{6\text{L1}, 6.1\text{M}\}(x, k_t)$.

4 Conclusion

The unintegrated quark and gluon x -distributions for a lead nucleus were calculated for different values of transverse momenta k_t based on the integrated PDFs for the nucleus [7] using both the LO and the NLO prescriptions [3, 4]. We present the ratios of the parton distributions for a lead nucleus to that for the sum of the free constituent nucleons. We discuss the role of the kinematical effects which (a) shift the unintegrated distribution to smaller x values, (b) wash out the distribution, and (c) lead to a weaker absorption in the

uPDF^A case. We show that the absorptive effects depend mainly on the value of k_t , while the shift of the R^A curve in x is controlled by the k_t/μ ratio.

We have calculated nuclear PDFs unintegrated over the transverse momentum using one recent set of integrated nuclear PDFs, namely the EPS09 set. The main effects that we found (listed (a)-(c) above) are of a purely kinematical origin, and so will hold for unintegrated nuclear PDFs obtained from any set of integrated nuclear PDFs. That is, the effects will be weaker or stronger depending on whether the nuclear modifications of the particular integrated set are weaker or stronger. For example, in the very recent DSSZ set [22] of integrated nuclear PDFs in which the EMC and antishadowing effects are weaker or absent, these features will be reflected in the unintegrated PDFs obtained with our prescription.

Acknowledgments

EGdO and MGR thank the IPPP at the University of Durham for hospitality. This work was supported by the grant RFBR 11-02-00120-a and by the Federal Program of the Russian State RSGSS-4801.2012.2; and by CNPq and FAPESP (Brazil). EGdO is supported by FAPESP under contract 2012/05469-4.

References

- [1] V. Saleev, *Prompt photon photoproduction at HERA within the framework of the quark Reggeization hypothesis*, *Phys. Rev. D* **78** (2008) 114031 [[arXiv:0812.0946](#)] [[INSPIRE](#)].
- [2] V. Saleev, *Deep inelastic scattering and prompt photon production within the framework of quark Reggeization hypothesis*, *Phys. Rev. D* **78** (2008) 034033 [[arXiv:0807.1587](#)] [[INSPIRE](#)].
- [3] M. Kimber, A.D. Martin and M. Ryskin, *Unintegrated parton distributions and prompt photon hadroproduction*, *Eur. Phys. J. C* **12** (2000) 655 [[hep-ph/9911379](#)] [[INSPIRE](#)].
- [4] G. Watt, A. Martin and M. Ryskin, *Unintegrated parton distributions and electroweak boson production at hadron colliders*, *Phys. Rev. D* **70** (2004) 014012 [Erratum *ibid.* **D 70** (2004) 079902] [[hep-ph/0309096](#)] [[INSPIRE](#)].
- [5] H. Jung, M. Kraemer, A. Lipatov and N. Zotov, *Heavy flavour production at Tevatron and parton shower effects*, *JHEP* **01** (2011) 085 [[arXiv:1009.5067](#)] [[INSPIRE](#)].
- [6] P. Schneider, H. Guenther and J. Schmitt, *The Chandra X-ray view of the power sources in Cepheus A*, [arXiv:0909.5592](#) [[INSPIRE](#)].
- [7] K. Eskola, H. Paukkunen and C. Salgado, *EPS09: a new generation of NLO and LO nuclear parton distribution functions*, *JHEP* **04** (2009) 065 [[arXiv:0902.4154](#)] [[INSPIRE](#)].
- [8] M. Betemps and M. Machado, *A simple model for the nuclear unintegrated gluon distribution*, *Eur. Phys. J. C* **65** (2010) 427 [[arXiv:0906.5593](#)] [[INSPIRE](#)].
- [9] J.L. Albacete and A. Dumitru, *A model for gluon production in heavy-ion collisions at the LHC with rcBK unintegrated gluon densities*, [arXiv:1011.5161](#) [[INSPIRE](#)].
- [10] I. Balitsky, *Operator expansion for high-energy scattering*, *Nucl. Phys. B* **463** (1996) 99 [[hep-ph/9509348](#)] [[INSPIRE](#)].

- [11] Y.V. Kovchegov, *Small x $F(2)$ structure function of a nucleus including multiple Pomeron exchanges*, *Phys. Rev. D* **60** (1999) 034008 [[hep-ph/9901281](#)] [[INSPIRE](#)].
- [12] L. Gribov, E. Levin and M. Ryskin, *Semihard processes in QCD*, *Phys. Rept.* **100** (1983) 1 [[INSPIRE](#)].
- [13] J.L. Albacete and Y.V. Kovchegov, *Solving high energy evolution equation including running coupling corrections*, *Phys. Rev. D* **75** (2007) 125021 [[arXiv:0704.0612](#)] [[INSPIRE](#)].
- [14] V. Gribov and L. Lipatov, *Deep inelastic ep scattering in perturbation theory*, *Sov. J. Nucl. Phys.* **15** (1972) 438 [*Yad. Fiz.* **15** (1972) 781] [[INSPIRE](#)].
- [15] Y.L. Dokshitzer, *Calculation of the structure functions for deep inelastic scattering and e^+e^- annihilation by perturbation theory in quantum chromodynamics.*, *Sov. Phys. JETP* **46** (1977) 641 [*Zh. Eksp. Teor. Fiz.* **73** (1977) 1216] [[INSPIRE](#)].
- [16] G. Altarelli and G. Parisi, *Asymptotic freedom in parton language*, *Nucl. Phys. B* **126** (1977) 298 [[INSPIRE](#)].
- [17] EUROPEAN MUON collaboration, J. Aubert et al., *The ratio of the nucleon structure functions F_{2n} for iron and deuterium*, *Phys. Lett. B* **123** (1983) 275 [[INSPIRE](#)].
- [18] M. Arneodo, *Nuclear effects in structure functions*, *Phys. Rept.* **240** (1994) 301 [[INSPIRE](#)].
- [19] J. Seely et al., *New measurements of the EMC effect in very light nuclei*, *Phys. Rev. Lett.* **103** (2009) 202301 [[arXiv:0904.4448](#)] [[INSPIRE](#)].
- [20] J. Pumplin et al., *New generation of parton distributions with uncertainties from global QCD analysis*, *JHEP* **07** (2002) 012 [[hep-ph/0201195](#)] [[INSPIRE](#)].
- [21] D. Stump et al., *Inclusive jet production, parton distributions and the search for new physics*, *JHEP* **10** (2003) 046 [[hep-ph/0303013](#)] [[INSPIRE](#)].
- [22] D. de Florian, R. Sassot, P. Zurita and M. Stratmann, *Global analysis of nuclear parton distributions*, *Phys. Rev. D* **85** (2012) 074028 [[arXiv:1112.6324](#)] [[INSPIRE](#)].