

Accelerating innovative water treatment in Latin America

Alexsandro J. dos Santos^{a,b,*}, Haruna L. Barazorda-Ccahuana^c, Griselda Caballero-Manrique^d, Yvens Chérémone^{e,f}, Patricio J. Espinoza-Montero^g, J. Ricardo González-Rodríguez^{a,h}, Ulises J. Jáuregui-Haza^{i,j}, Marcos R.V. Lanza^b, Andrea Nájera^k, Carla Oporto^l, Andrés Pérez Parada^m, Tzayam Pérezⁿ, Valeria Delgado Quezada^o, Verónica Rojas^p, Velia Sosa^q, Abdoulaye Thiam^r, Ricardo A. Torres-Palma^s, Ronald Vargas^{t,u}, Sergi Garcia-Segura^{a,*}

^aNanosystems Engineering Research Center for Nanotechnology-Enabled Water Treatment, School of Sustainable Engineering and the Built Environment, Arizona State University, Tempe, Arizona 85287-3005, United States

^bSão Carlos Institute of Chemistry, University of São Paulo, Avenida Trabalhador São-Carlense 400, São Carlos, SP, 13566-590,

Brazil

^cVicerrectorado de Investigación, Universidad Católica de Santa María, Urb. San José s/n—Umacollo, Arequipa 04000, Peru

^dDepartamento de Química Física, Laboratorio de Electroquímica de Materiales Edificio de Laboratorios Científicos-VIP, Universidad de Panamá. Panamá

^eCHIBAS, Université Quisqueya, Mirebalais, Haïti

^fURE, Université d'État d'Haïti, Port-au-Prince, Haïti

^gEscuela de Ciencias Químicas, Pontificia Universidad Católica del Ecuador, Avenida 12 de Octubre y Roca, PO-Box: 1701-2184, Quito, Ecuador

^hEnvironmental Protection Research Center, School of Chemistry, Instituto Tecnológico de Costa Rica, Cartago, Costa Rica

ⁱInstituto Superior de Tecnologías y Ciencias Aplicadas (InSTEC), Universidad de La Habana, La Habana, CP 10600, Cuba

^jInstituto Tecnológico de Santo Domingo (INTEC), Santo Domingo 10602, Dominican Republic

^kObservatorio Económico Sostenible, Universidad del Valle de Guatemala, Guatemala

^lCentre for Water and Environmental Sanitation, Universidad Mayor de San Simón (UMSS), Cochabamba, Bolivia

^mDepartamento de Desarrollo Tecnológico, Centro Universitario Regional del Este, Universidad de la República, Uruguay

ⁿDepartamento de Ing. Química, División de Ciencias Naturales y Exactas, Noria Alta S/N, C.P. 36050, Universidad de Guanajuato, Guanajuato, México

^oCentro para la Investigación en Recursos Acuáticos de Nicaragua (CIRA/UNAN-Managua). Universidad Nacional Autónoma de Nicaragua. Del Hospital Monte España 300 metros al Norte, Managua, Nicaragua

^pFacultad de Ciencias de la Ingeniería, Universidad Paraguayo Alemana, Paraguay

^qFacultad de Ciencias Naturales y Matemática, Universidad de El Salvador, el Salvador

^rPrograma Institucional de Fomento a la Investigación, Desarrollo e Innovación (PIDi), Universidad Tecnológica Metropolitana, Santiago, Chile

^sGrupo de Investigación en Remediación Ambiental y Biocatálisis (GIRAB), Instituto de Química, Facultad de Ciencias Exactas y Naturales, Universidad de Antioquía UdeA, Calle 70 N° 52-21 Medellín, Colombia

^tDepartamento de Química, Universidad Simón Bolívar (USB), Apartado 89000, 1080A, Caracas, Venezuela

^uInstituto Tecnológico de Chascomús (INTECH), Universidad Nacional de San Martín (UNSAM)— Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET), Av. Intendente Marino, Km 8.2, CC 164 (B7130IWA), Chascomús, Argentina

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*Corresponding author's e-mail:

Sergi.Garcia.Segura@asu.edu (S. Garcia-Segura)

alexsandrojones@usp.br (A.J. dos Santos)

54 **Standfirst**

55 Addressing sustainably the water needs of populations in countries lacking adequate infrastructure is
56 challenging. We discuss the potential of decentralized water and wastewater treatment using
57 electrified processes across Latin American countries, and reflect on what would help their
58 implementation in the region.

59

Access to clean water and sanitation are human rights recognized by the United Nations (UN). Although an essential basic need, over 26% of the global population lack access to safely managed drinking water and more than 46% lack safely managed sanitation. This unfortunate panorama of water access inequity jeopardizes the realization of other basic human rights, such as right to an adequate standard of living and to the highest attainable standards of physical and mental well-being, that go beyond the water-food-energy nexus¹. Conventional water treatment systems rely on centralized water treatment plants that ensure water quality standards before distributing the treated water via dedicated distribution networks². Such traditional approach relies on a costly investment in infrastructure that includes long term maintenance of a grid or distribution system. However, such infrastructure may not be in place in many locations³. Furthermore, centralized treatment leaves unprotected all those who access water through private wells. In Latin America, where we focus attention, water scarcity and quality are growing concerns. Estimates show that more than 77 million people have no access to water for basic needs⁴. Extensive implementation of water reuse strategies can alleviate and mitigate most of the undesired social and economic consequences of water scarcity. In addition, alternative water management strategies are emerging to enable safe water access off the grid⁵. This is the case of modular/mobile, adaptive, decentralized/distributed (MAD) water systems – the idea of water systems that can be operated virtually anywhere and can be powered by renewable energy sources.

The idea of MAD systems is either to treat the water exactly where it is going to be used in the household, by developing point-of-use (POU) systems, or treat the water entering the household premise (or even an entire complex of apartments) through point-of-entry (POE) systems⁶. Fig. 1 illustrates how MAD systems can either purify water from the grid or from off-grid sources (i.e., wells). These systems can be very versatile and able to adapt to the different household's characteristics defined by income level and existing infrastructure. A key element of MAD systems, as shown in Fig. 1, is their capacity to enable water reuse strategies. It is well known that toilet

flushing represents 20% of the water usage in households. A MAD system can allow treating such greywater so that it can be reused for either toilet flushing or even irrigation. These water reuse practices may benefit water stressed communities by reducing the water footprint. Electrified water treatment technologies represent a transformative solution for MAD systems.

Electrochemically-driven water treatment allow to target selectively different pollutants just by carefully selecting the electrode material⁷. These technologies are intrinsically heterogeneous processes that take place at or are mediated by the electrodes. Therefore, electrochemical reactor designs are compact in nature in order to maximize the ratio of electrode area per volume of water treated. Details on the unique aspects of different electrochemical technologies can be found in the literature ^{8,9}. The modularity aspect allows the selection of different processes that can be combined in consecutive compact cells. Depending on the targeted pollutant, the engineering selection criteria allow defining: (i) the combination of processes to be used, (ii) the current densities to be implemented while being consistent with the current efficiencies that optimize each electrochemical process, and (iii) the verification of the degradation levels that must be met.

Myths about electrified treatment

The first uneducated myth regarding electrochemically-driven water treatment processes is the risk of electrocution. Electrochemical technologies consist of smart and compact systems where the use of electricity is just the vehicle to enable charge transfer and use of electrons as main reagent. Confined electrochemical modules do not pose a safety risk to the final user and the released effluents are not electrified. Portable lithium batteries that power-up smartphones are an example of electrochemical devices used by people daily with no associated perception of risk.

The second myth is the assumption of high costs due to the use of electrical currents to operate the water treatment system. In reality, electrochemical technologies can be operated in most instances with energy provided by a single solar panel (e.g., less than 1.0 kWh day⁻¹), which can draw the electrical cost down to zero while enabling decentralized treatment even off the electrical grid¹⁰.

110 Interestingly, other commercial technologies such as reverse osmosis also require electricity to
111 operate (i.e., feed of high-pressure pumps with energy consumptions ca. 0.09 kW h m^{-3} for 50% water
112 recovery but depending on salinity and size of units)¹¹ but the general public tends to overlook that.
113 Considering that many Latin American countries are in the tropics and therefore have excellent
114 quality solar resources, the installation of rooftop solar could be a viable option to reduce the cost. It
115 should be also noted that it is possible to power electrochemical water treatment through other
116 renewable energy sources such as wind energy. Techno-economic analyses suggest that
117 electrochemical technologies can compete with conventional POU solutions such as adsorption. For
118 example, electrochemical oxidation technologies may have considerably lower costs than carbon
119 block adsorption units, where the electrical cost associated to cell operation is not the driving factor
120 ¹². It should be also noted that while adsorption processes retain the pollutants in solid matrices that
121 after saturation generate a new residue contaminated with the same pollutants that were removed from
122 the water, electrochemical processes can completely mineralize organic pollutants and do not
123 generate a secondary waste or concentrated brine.

124 The last myth is the high material costs and their amortization. Cutting-edge electrocatalytic
125 materials are being developed and explored at lab scale. For instance, boron-doped diamond (BDD)
126 is deemed as the gold standard electrode for electrochemical advanced oxidation processes (EAOP)¹³.
127 Current estimates suggest the cost is $\sim 28 \text{ US\$ cm}^{-2}$ for BDD electrode¹². However, these estimates
128 consider the actual prices of the material mostly used for research purposes, in absence of a real
129 market. If adopted to scale, it is reasonable to expect that the price will drop thanks to ‘Economies of
130 Scale’ and ‘Learning curves’¹⁴. For instance, between 1977 and 1999, the cost of rooftop solar panels
131 decreased over 10-fold because over thousands of solar panels were installed ¹⁵. In this vein, research
132 efforts are focusing on the discovery and design of electrocatalysts with competitive performance and
133 lower material and manufacturing costs. We think that it is reasonable to expect a reduction in capital

costs within 10 years that will make electrochemical technologies competitive in emerging markets including across Latin America.

Competing at larger scale

Engineering research is highlighting electrochemical technologies at the forefront of future decentralized water treatment systems. During the last decade, many Latin American research groups have been leading the development of fundamental understanding of the water treatment of organic and inorganic pollutants along with demonstrating its competitiveness. Thereby, one would expect the surge of entrepreneur companies to commercialize these technologies. Fig. 2 maps the global spur of startup companies and shows they are largely inexistent across Latin American countries^{16,17}.

We feel that Latin American countries should not miss the opportunity to lead and compete not only in national markets but also at international level. It is estimated that the POU water treatment systems market in the US alone will be worth US\$32.7 billion by 2023, and similar forecasts exist for other countries worldwide. Startups are critical to introduce technologies to the market, especially because they are often responsible for the development of innovations that lead to economic growth¹⁸. We believe that the creation of new startups will be an important step toward the effective development of electrified water technologies in Latin America. These audacious goals can be only achieved with investment in high-quality research that can bridge the gap between academia and industry. Only the institutional push from Governmental Agencies will enable the competitive creation of a technology hub. Funding initiatives in many countries are enhancing technology translation by supporting engineering entrepreneurs. For example, the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs in the US are resulting in the prolific creation of opportunities and economic growth. The idea behind such highly competitive programs is to enable domestic small businesses to engage in Federal Research/Research and Development (R/R&D) with the potential for commercialization. Some Latin American countries are supporting similar initiatives by engaging industry with academia. For example, the PIPE and

PITE programs of the São Paulo Research Foundation (FAPESP) from Brazil finances R&D projects for small and medium companies with an interest in the development of technological products or processes ¹⁹, the program START-UP CHILE funded through the Chilean Corporación de Fomento de la Producción (CORFO), CTagua in Uruguay,²⁰ or RUTAN in Medellin (Colombia) created by the mayor's office of the city. These efforts in Latin America to bring industry and academia together act as incubators of emerging companies that translate technologies to higher technology readiness level and accelerate emerging technologies translation to competitive markets. Enacting more initiatives like these ones will be a key step to catalyze the development of national startups leading to innovative technological solutions, and their commercialization, by combining technical expertise with a direct understanding of local conditions and needs.

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Figure Captions

Figure 1. Implementation of MAD systems at household level to ensure water quality and enable water reuse practices.

Figure 2. Worldwide distribution of electrochemical water treatment startups. Author's calculations based on data from references 16 and 17^{16,17}.

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