

# EXTREMAL PROBLEMS ON FOREST CUTS AND ACYCLIC NEIGHBORHOODS IN SPARSE GRAPHS\*

(EXTENDED ABSTRACT)

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## Abstract

Chernyshev, Rauch, and Rautenbach proved that every connected graph  $G$  on  $n$  vertices for which  $e(G) < \frac{11}{5}n - \frac{18}{5}$  has a vertex cut that induces a forest, and conjectured that the same remains true if  $e(G) < 3n - 6$  edges. We improve their result by proving that every connected graph on  $n$  vertices for which  $e(G) < \frac{9}{4}n - \frac{15}{4}$  has a vertex cut that induces a forest. We also study weaker versions of the problem that might lead to an improvement on the bound obtained.

## 1 Introduction

Let  $G$  be a connected graph. A set  $S \subset V(G)$  is a *vertex cut* if  $G - S$  is disconnected. If  $|S| = k$ , we say  $S$  is a *k-vertex cut*. If  $S$  is an independent set, we say  $S$  is an *independent cut*. Vertex cuts with special properties have been studied in different contexts. Chen and Yu [1] showed that every connected graph with less than  $2n - 3$  edges has an independent cut, confirming a conjecture due to Caro. Recently, Chernyshev, Rauch, and Rautenbach proposed the following analogue conjecture, replacing independent set by forest [2, Conjecture 1]. A *forest cut* is a vertex cut that induces a forest.

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**Conjecture 1** (Chernyshev–Rauch–Rautenbach, 2024). *If  $G$  is a connected graph on  $n$  vertices with no forest cut, then  $e(G) \geq 3n - 6$ .*

Chernyshev et al. [2] also showed that Conjecture 1 holds for some classes of graphs. For instance, they showed that a graph  $G$  with  $n$  vertices has a forest cut if (i)  $G$  is a planar graph that is not triangulated; (ii)  $G$  has a universal vertex and  $e(G) < 3n - 6$ ; or (iii)  $G$  is connected and  $e(G) < \frac{11}{5}n - \frac{18}{5}$ .

We say a graph is  $k$ -cyclic if every vertex set of size at most  $k$  is dominating or has a cycle in its neighborhood. Note that any (forest) cut disconnects the graph into at least two components, which are not dominating sets, and one of these components has less than  $n/2$  vertices. So, Conjecture 1 claims that any  $(\frac{n-1}{2})$ -cyclic graph has at least  $3n - 6$  edges. Moreover, any 2-vertex cut is trivially a forest, so Chernyshev et al. [2] noted that finding good lower bounds for the number of edges on 1-cyclic 3-connected graphs would imply a result towards Conjecture 1, and stated the following.

**Conjecture 2** (Chernyshev–Rauch–Rautenbach, 2024). *If  $G$  is a 3-connected graph on  $n$  vertices such that there is a cycle in the neighborhood of every vertex, then  $e(G) \geq \frac{7}{3}n - \frac{7}{3}$ .*

The conjecture addresses a proper subclass of 1-cyclic graphs as it requires cycles in the neighborhood of universal vertices. However, it is functionally the same as for 1-cyclic graphs, as even Conjecture 1 holds for graphs with universal vertices [2]. In this paper, we improve the bound from [2] towards Conjecture 1, disprove Conjecture 2, and present lower bounds on the number of edges for 3-connected graphs to be 1-cyclic and 2-cyclic.

**Theorem 3.** *Let  $G$  be a graph on  $n$  vertices. Then the following hold. (a) If  $G$  is connected and has no forest cut, then  $e(G) \geq \frac{9}{4}n - \frac{15}{4}$ ; (b) If  $G$  is 3-connected, 1-cyclic, and  $n \geq 6$ , then  $e(G) \geq \frac{15}{8}n$ ; (c) If  $G$  is 3-connected, 2-cyclic, and  $n \geq 6$ , then  $e(G) \geq 2n$ .*

The  $n \geq 6$  is necessary in Theorem 3(b) and 3(c) as  $K_5$  minus an edge is 3-connected and 2-cyclic (hence also 1-cyclic), has five vertices and nine edges, but  $9 < \frac{15}{8} \cdot 5 = \frac{75}{8} < 10$ .

**Remark 4.** *There are infinite families of (a) 3-connected 1-cyclic graphs on  $n$  vertices with exactly  $\frac{15n}{8}$  edges and no universal vertices; (b) 4-connected 1-cyclic graphs on  $n$  vertices with exactly  $2n$  edges; (c) 3-connected 2-cyclic graphs on  $n$  vertices with exactly  $\frac{9}{4}n$  edges; (d) 4-connected 2-cyclic graphs on  $n$  vertices with exactly  $\frac{7}{3}n$  edges.*

Remark 4(a) disproves Conjecture 2, proving that Theorem 3(b) is asymptotically tight. For Theorem 3(c), we present a 3-connected 2-cyclic graph and a 4-connected 2-cyclic graph, both with 6 vertices and 12 edges, and, based on Remark 4(d), we pose the following conjecture that would imply an improvement on Theorem 3(a), towards Conjecture 1.

**Conjecture 5.** *If  $G$  is a 4-connected 2-cyclic graph on  $n \geq 9$  vertices, then  $e(G) \geq \frac{7}{3}n$ .*

In Section 2, we prove Theorem 3(a). In Section 3, we prove Theorem 3(b)-(c), and Remark 4. A recent independent work by Li, Tang, and Zhan [3] contains results similar to the ones on 1-cyclic graphs in Section 3. Due to space constraints, we omit a few proofs.

## 2 Avoiding forest cuts

Chernyshev et al. [2] proved that a connected graph on  $n$  vertices with no forest cut must have at least  $\frac{11n}{5} - \frac{18}{5}$  edges. For that, they studied properties its counterexamples with a minimum

number of vertices. Such properties are in fact shared with a minimum counterexample to Theorem 3(a) and Conjecture 1. To help the exposition, we state a conjecture parameterized by a number  $\alpha$  with  $2 \leq \alpha \leq 3$ .

**Conjecture 6** ( $\alpha$ -FC Conjecture). If  $G$  is a connected graph on  $n$  vertices with no forest cut, then  $e(G) \geq \alpha(n - 3) + 3$ .

Note that Theorem 3(a) is the same as the  $\frac{9}{4}$ -FC Conjecture, Chernyshev et al. [2] proved the  $\frac{11}{5}$ -FC Conjecture and Conjecture 1 is the same as the 3-FC Conjecture. For  $2 \leq \alpha \leq 3$ , a minimum counterexample to the  $\alpha$ -FC Conjecture is a graph  $G$  on  $n$  vertices with no forest cut,  $e(G) < \alpha(n - 3) + 3$  and  $n$  as small as possible. The following lemma is used in the proof of Theorem 3(a).

**Lemma 7.** *Let  $G$  be a minimum counterexample to the  $\alpha$ -FC Conjecture, for  $2 \leq \alpha \leq 3$ . Then (a)  $G$  is 4-connected and has at least 8 vertices; (b) no degree-4 vertex in  $G$  has a  $C_4$  in its neighborhood; and (c) no two degree-4 vertices are in the same  $K_4$  in  $G$ .*

Lemma 7(a) was adapted from the proof of Claim 1 in Chernyshev et al. [2]. They [2, Claim 2] also proved that, in a minimum counterexample to Conjecture 1, every degree-4 vertex has at most two neighbors of degree 4. Lemma 7(b) and 7(c) are strengthenings of this statement. Lemma 7(b) implies that every degree-4 vertex in a minimum counterexample to the  $\alpha$ -FC Conjecture lies in a  $K_4$ , and we deduce the following from Lemma 7(c).

**Corollary 8.** *Let  $G$  be a minimum counterexample to the  $\alpha$ -FC Conjecture, for  $2 \leq \alpha \leq 3$ . Then the following hold: (a) every degree-4 vertex in  $G$  has at most one degree-4 neighbor; and (b) each vertex with degree at least 5 in  $G$  has at least two neighbors of degree at least 5.*

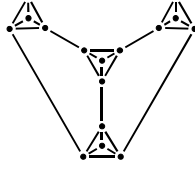
Corollary 8(b) is also a strengthening of a result of Chernyshev et al. [2, Claim 3]. We conclude this section with the proof of Theorem 3(a).

*Proof of Theorem 3(a).* Suppose  $G$  is a minimum counterexample to Theorem 3(a), and hence to the  $\frac{9}{4}$ -FC Conjecture. Let  $n$  be the number of vertices of  $G$ , and  $n_i$  be the number of degree- $i$  vertices in  $G$ . By Lemma 7(a),  $G$  is 4-connected and  $n = \sum_{i=4}^{n-1} n_i \geq 8$ . Let  $F_4$  be the set of edges joining degree-4 vertices to vertices with degree at least 5. By Corollary 8(a), we have that  $|F_4| \geq 3n_4$ . By Corollary 8(b), each degree- $j$  vertex in  $G$  with  $j \geq 5$  contributes with at most  $j - 2$  edges to  $F_4$ , and hence  $|F_4| \leq \sum_{j=5}^{n-1} (j - 2)n_j$ . Now, since  $j - 2 \leq 6j - 27$  for  $j \geq 5$ , we have  $3n_4 \leq \sum_{j=5}^{n-1} (j - 2)n_j \leq \sum_{j=5}^{n-1} (6j - 27)n_j = 6(2e(G) - 4n_4) - 27(n - n_4) = 12e(G) + 3n_4 - 27n$ , so  $e(G) \geq 9n/4$ , a contradiction.  $\square$

### 3 Bounds for 1-cyclic and 2-cyclic graphs

First, we present a family of counterexamples to Conjecture 2 and prove Remark 4(a). Take any 3-connected 3-regular graph (see [4]) with  $k$  vertices and replace each vertex with a  $K_4$ , connecting each of its neighbors to a distinct vertex in the  $K_4$  and leaving only one vertex of each  $K_4$  with degree 3 (see, e.g., Figure 1). We obtain a 3-connected graph  $G$  with precisely  $n = 4k$  vertices and  $m = \frac{3k}{2} + 6k = \frac{15}{8}n$  edges. Moreover,  $G$  is 1-cyclic because each of its vertices is in a  $K_4$ .

Remark 4(a) shows that Theorem 3(b) is tight. We denote by  $K_s^\Delta$  the graph obtained from  $K_3$  by adding  $s$  new vertices adjacent to the three vertices of the  $K_3$ . The proof of Theorem 3(b) uses the following lemma, whose proof we omit.


 Figure 1: A counterexample to Conjecture 2 built from  $K_4$ .

**Lemma 9.** *If  $G$  is a 3-connected 1-cyclic graph on  $n \geq 5$  vertices. Then the following hold: (a) every degree-3 vertex has no degree-3 neighbor; and (b) either  $G$  is isomorphic to  $K_{n-3}^\Delta$  or every vertex of  $G$  has at least three neighbors of degree at least 4.*

*Proof of Theorem 3(b).* Let  $G$  be a 3-connected 1-cyclic graph on  $n \geq 6$  vertices, and  $n_i$  be the number of degree- $i$  vertices in  $G$ . By Lemma 9(b), either  $G$  is isomorphic to  $K_{n-3}^\Delta$  or every vertex of  $G$  has at least three neighbors of degree at least 4. In the former case, as desired,  $e(G) = 3n - 6 > \frac{15}{8}n$  as  $n \geq 6$ . In the latter case, as  $4j - 15 \geq j - 3$  for  $j \geq 4$ , we have  $3n_3 \leq \sum_{j=4}^{n-1} (j-3)n_j \leq \sum_{j=4}^{n-1} (4j-15)n_j = 8e(G) - 15n + 3n_3$ , i.e.,  $e(G) \geq \frac{15}{8}n$ .  $\square$

Note that if we pick an arbitrary 4-connected 4-regular graph and replace each of its vertices by a  $K_4$ , leaving all vertices of each  $K_4$  with degree 4, then the graph obtained is 4-connected, 4-regular, and 1-cyclic. Therefore, the lower bound  $e(G) \geq 2n$  is best possible for 4-connected 1-cyclic graphs, and proves Remark 4(b). Now, we prove a lower bound on the number of edges for a 3-connected graph to be 2-cyclic. Specifically, we prove Theorem 3(c). We start by proving some properties of 3-connected 2-cyclic graphs.

**Lemma 10.** *Let  $G$  be a 3-connected 2-cyclic graph on  $n \geq 6$  vertices. Then every degree-3 vertex has at least two neighbors of degree at least 5.*

*Proof.* Let  $v$  be a degree-3 vertex in  $G$ , and  $x$ ,  $y$ , and  $z$  be its neighbors. By Lemma 9(a), these three vertices have degree at least 4, and they form a triangle, because  $n \geq 5$  and  $G$  is 1-cyclic. Suppose, for a contradiction, that  $x$  and  $y$  have degree 4. Then the neighborhood  $N(\{v, x\}) = \{y, z, w\}$ , where  $w$  is the other neighbor of  $x$ . As  $n \geq 6$  and  $G$  is 2-cyclic,  $y, x, w$  form a triangle, and  $w$  is also the other neighbor of  $y$ . But then  $N(\{x, y\}) = \{v, z, w\}$ , which must form a cycle because  $n \geq 6$ . However there is no edge  $vw$ , a contradiction.  $\square$

*Proof of Theorem 3(c).* Let  $n_i$  be the number of degree- $i$  vertices in  $G$  and  $F$  be the set of edges joining degree-3 vertices to vertices with degree at least 5. By Lemma 10, we have that  $|F| \geq 2n_3$ . By Lemma 9(b), either  $G$  is isomorphic to  $K_{n-3}^\Delta$  or every vertex of  $G$  has at least three neighbors of degree at least 4. In the former case,  $G$  has  $3n - 6 \geq 2n$  edges as  $n \geq 6$ . In the latter case, each degree- $j$  vertex for  $j \geq 5$  contributes with at most  $j - 3$  edges to  $F$ , so  $|F| \leq \sum_{j=5}^{n-1} (j-3)n_j$ . As  $2j - 8 \geq j - 3$  for  $j \geq 5$ , we have  $2n_3 \leq |F| \leq \sum_{j=5}^{n-1} (j-3)n_j \leq \sum_{j=5}^{n-1} (2j-8)n_j = 4e(G) - 8n + 2n_3$ , i.e.,  $e(G) \geq 2n$ .  $\square$

In Figure 2, on the left, we show two tight examples for Theorem 3(c): the graph  $K_3^\Delta$ , which is 3-connected, and the octahedral graph, which is 4-connected. The third graph in Figure 2 has 9 vertices and 20 edges. Consider the construction illustrated in Figure 1, starting from a 3-connected 3-regular graph on  $k$  vertices. If we replace each vertex by an octahedral graph instead of a  $K_4$ , we end up with a 3-connected 2-cyclic graph on  $6k$  vertices

and  $\frac{3}{2}k + 12k = \frac{27}{2}k = \frac{9}{4}n$  edges, which proves Remark 4(c). As far as we know, it may hold that  $m \geq \frac{9}{4}n$  for the graphs addressed by Theorem 3(c) if  $n \geq 10$ . The requirement  $n \geq 10$  is necessary to exclude the third graph in Figure 2, because  $\frac{20}{9} < \frac{9}{4}$ .

The lower bound on the number of edges in a 4-connected 2-cyclic graph might be larger. Take a 4-connected 4-regular graph on  $k$  vertices, and replace each of its vertices by an octahedral graph, leaving precisely four vertices of each octahedral graph with degree 5. The graph obtained is 4-connected, 2-cyclic, has  $6k$  vertices and  $m = 2k + 12k = 14k = \frac{7}{3}n$  edges. This proves Remark 4(d), which shows that Conjecture 5 is tight. In Figure 2, on the right, we show a 4-connected 2-cyclic graph on 7 vertices and 16 edges, and two 4-connected 2-cyclic graphs with 8 vertices and 18 edges. Since  $\frac{16}{7}$  and  $\frac{18}{8}$  are less than  $\frac{7}{3}$ , these examples justify the condition  $n \geq 9$  in Conjecture 5.

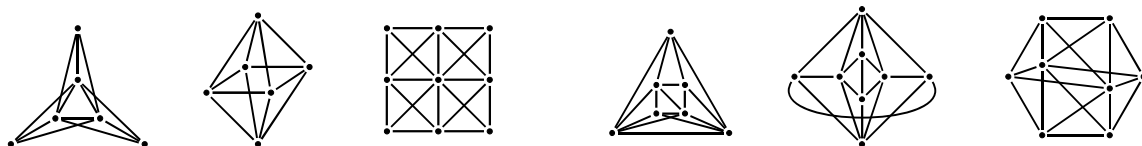


Figure 2: Left: Three 3-connected 2-cyclic graphs, two with 6 vertices and 12 edges and one with 9 vertices and 20 edges. Right: Three 4-connected 2-cyclic graphs, one with 7 vertices and 16 edges, and two with 8 vertices and 18 edges.

## 4 Final remarks

Several questions remain open. Of course it would be nice to settle Conjecture 1, or to obtain an improvement on Theorem 3(a). Proving Conjecture 5 or finding a family of 4-connected 2-cyclic graphs on  $n$  vertices with less than  $\frac{7}{3}n$  edges would also be interesting.

The study of  $k$ -cyclic graphs with  $k$  more than 2 seems to be a possible way to achieve better results towards Conjecture 1. Our exposition points out that we barely use the forest cut requirement for sets larger than 2 in the current results.

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