

A FLEXIBLE DSP-FPGA BASED PLATFORM FOR EXPERIMENTS WITH MODULAR MULTILEVEL CASCADE CONVERTERS

Lucas Koleff¹, Manoel Conde¹, Pedro Hayashi¹, Francesco Sacco¹, Kelly Enomoto¹, Eduardo Pellini², Wilson Komatsu¹, Lourenço Matakas Junior¹

¹Power Electronics Laboratory of the Escola Politécnica da Universidade de São Paulo (USP), São Paulo – SP, Brazil

²Protection and Automation Laboratory of the Escola Politécnica da Universidade de São Paulo (USP), São Paulo – SP, Brazil

e-mail: koleff.lucas@gmail.com, maconde@terra.com.br, phi_hayashi@outlook.com, francesco_sacco@hotmail.com, kellymingorancia@gmail.com, elpellini@usp.br, wilsonk@usp.br, matakas@pea.usp.br

Abstract – The growing use of Modular Multilevel Cascade Converter (MMCC) topologies in applications such as High Voltage Direct Current Transmission, Static Var Compensation, Alternating Current (AC) Drives and renewable energy systems, among others, turns their study relevant. However, as the number of Power Submodules in the MMCCs increases, the applied control strategies, data communication and digital hardware complexity increases as well. This paper proposes a flexible and scalable platform, that is adequate for implementing different MMCC topologies (single star, single delta or double star), communication schemes (star or ring) and control strategies (centralized or distributed). The Processing Board explores the availability of Field Programmable Gate Arrays, Digital Signal Processors and ARM microcontrollers. The Power and Measurement Submodules processing capability is provided by Complex Programmable Logic Devices and ARM microcontrollers. Description of the tasks implemented in the processing devices and experiments are presented for a test case, in which a double star topology with star communication and centralized processing strategy is tested using fixed switching frequency and equally displaced carriers.

Keywords – Control systems, DSP, FPGA, Modular multilevel cascade converters, Power electronics.

I. INTRODUCTION

Modern applications in energy conversion systems often rely on Modular Multilevel Cascade Converters (MMCCs) [1, 2] for connection of wind power plants [3], high voltage direct current (HVDC) grids [2, 3], medium-voltage electric machine drives [2], static var generators [2], energy storage [2, 4], among others. The study of control systems, their implementation and their experimental validation for MMCCs is a relevant subject considering this scenario.

The motivation of this paper is to propose a flexible platform adequate for MMCCs experiments, which can include:

- Three topologies (single star, single delta and double star) [2];

- Two communication schemes (ring, star) [5];
- Two processing strategies (centralized and decentralized) [6].

Those possibilities are discussed in this work, and the implementation of a double star topology with star communication and centralized controller is shown in detail as a case study. In its current version, the platform is composed by 24 H-bridge Power Submodules (P-SM), 3 Measurement Submodules (M-SM) and a Processing Board (PB). The processing cores in the P-SM, M-SM and PB jointly use programmable logic devices and processors as a strategy to increase usage flexibility.

Regarding the converter topology, this paper uses Akagi's [2] terminology, that proposes the MMCC family of converters by merging two well-known terms, the Cascade Multilevel Converters and Modular Multilevel Converters (MMCs).

The MMCCs consist in the series association of half-bridge or H-bridge converters [2]. They provide high Alternating Current (AC) or Direct Current (DC) voltage levels, high quality voltage and current AC waveforms, superior harmonic performance, higher efficiency, modularity and higher reliability in case of P-SM failure [7, 8]. Figure 1 shows the single star, single delta and double star topologies.

For the single star and single delta, the strings are composed by H-bridges without power supply (for reactive power generation [2] and active filters [9]) and with power supply (for photovoltaic generation [10], energy storage [4] and AC drives [11]). The double star topology is usually called MMC in the literature [1], can use either half or H-bridges for the P-SMs and is used for HVDC grids [3], machine drives [2], among other applications.

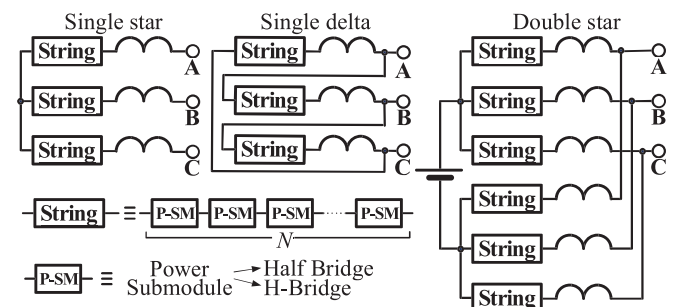


Figure 1. Overview of MMCC topologies.

The increasing number of SMs in the MMCCs creates new challenges [7] due to the rising complexity of the

Manuscript received 03/18/2020; first revision 06/01/2020; accepted for publication 06/26/2020, by recommendation of Editor Demercil de Souza Oliveira Jr.. <http://dx.doi.org/10.18618/REP.2020.2.0011>

controller hardware, of the communication structure and of the control algorithms.

Regarding the communication, [5] and [12] discuss many possibilities, including the star and ring schemes. For the star scheme [13], the main advantages of the dedicated channels between the Processing Unit (PU) and the SMs are:

- Lower requirements for the links' speed;
- Lower delay in the data acquisition;
- Easy synchronization of the SMs, which is particularly useful for PWM strategies based on displaced carriers.

According to [14] the star scheme is not adequate for systems containing a large number of SMs because it becomes more complex and prone to complete failure in the case of PU malfunction. The ring architecture [5] simplifies the interconnection of a large number of SMs and the PUs but requires high speed communication links. Synchronization between units becomes more complex.

Besides the choice of communication schemes, processing can be realized either in a centralized or distributed way. In the centralized case, all the measurements must be sent to the PU. Some researchers propose control strategies where some tasks like, individual voltages balancing and PWM generation, can be executed locally in the SMs [6, 15]. By using decentralized processing in a ring communication scheme, it is possible to reduce the data throughput demand on the communication links.

A discussion on the processing cores in the PB, M-SMs and P-SMs follows. The implementation of control systems in power electronics usually relies on Digital Signal Processors (DSPs). However, due to restricted availability of resources, the number of SMs that can be controlled directly by a commercial DSP is limited [16]. The Field Programmable Gate Arrays (FPGAs) arise as an alternative due to their increased scalability. FPGAs feature a higher input-output (I/O) pin availability, can be completely reprogrammed according to the application demands and perform parallel processing with increased flexibility.

Therefore, by using FPGAs, it is possible to efficiently support the growth in the number of SMs in the MMCCs. Additionally, their parallel processing capability allows execution of control loops and tasks (such as data handling and signal processing) in adequate time intervals [16]. By design, the FPGAs present better performance when executing fixed-point calculations. In the case when double precision floating point calculations are done, the DSPs generally demonstrate good performance with reasonable costs. Some latest high-end FPGAs offer hardened floating-point capabilities [17] but are associated with higher costs. So, the processing core of the proposed PB features the DSP, FPGA and ARM microcontroller "triplet". This allows the designer to freely test many possibilities of sharing the computational burden between the "triplet" components. The processing core of the M-SMs and P-SMs features the CPLD (Complex Programmable Logic Device) and ARM microcontroller "duo". Again, the computations can be divided between those components. The PB can be the PU of a centralized control scheme or share the execution of the control algorithms with the PUs inside the P-SMs and M-SMs. The first (centralized) option is used in the case study

presented in the article. The three modules are detailed in Section II.

Usually implementation details are not presented in papers due to the lack of space. The battery storage system based on a cascade H-bridge converter in [4] uses one FPGA for data acquisition, one FPGA for PWM and one DSP for centralized control. Fiber optic links are used for the data acquisition channels. The active filter based on a cascade H-bridge (19 level- three phase) in [9] uses a DSP (TMS320F2812). Nowadays real time simulation is being used for the evaluation of multilevel systems [18]. This strategy is adequate for the evaluation of the control loop performance but cannot address issues related to the communication and processing architectures.

This paper presents a flexible experimental platform that can be used for testing many combinations of communication and processing architectures for modular multilevel converters. The implementation of a star communication scheme with a centralized processing strategy is detailed in this work. The comparison of the combined FPGA-DSP system with the FPGA-only option is not analyzed in this work.

The scalability of the proposed system in changing the topology or the number of SMs with reduced reprogramming efforts is highlighted throughout the article. Experimental results obtained through a complete MMC prototype are presented to validate the proposed architecture.

The current article is an extension of the conference paper presented in [19], with more details on the circuit development, new references and results. Authorization for reusing content from that article has been granted by the IEEE.

This paper is organized as follows. Section II details the three platform parts (which are the PB, P-SMs and M-SMs). Section III details the FPGA synthesis for a test case consisting of a double star (MMC) topology with:

- Star communication scheme;
- Centralized processing strategy;
- Fixed frequency, equally displaced carriers;
- The DSP concentrating the execution of the control algorithms;
- The FPGA performing the data exchange with the DSP and the SMs, and generating the gating pulses.

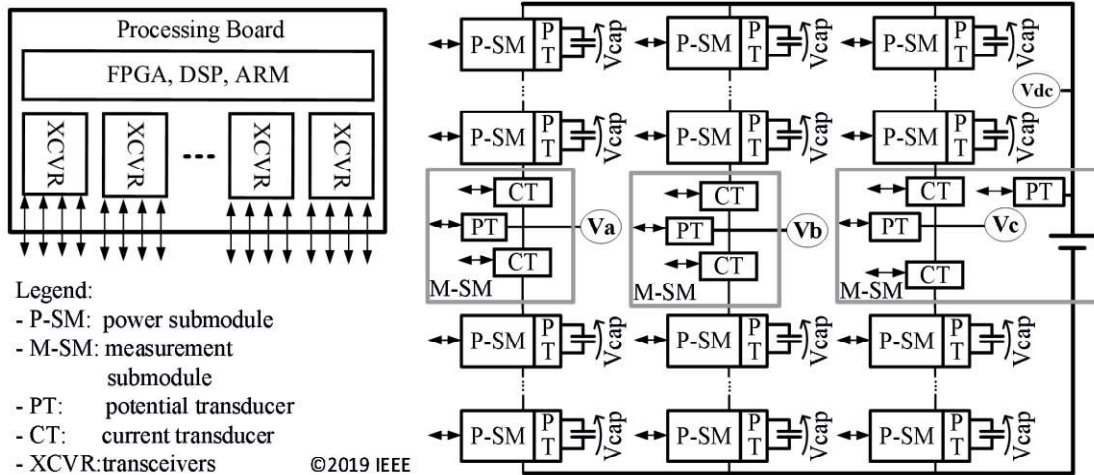
Section IV details the execution of the DSP program, while Section V presents experimental results for the chosen test case. Finally, Section VI includes the conclusions.

II. SYSTEM ARCHITECTURE

In spite of the flexibility of the proposed flexibility, all signals and nomenclature are chosen for the particular test case defined in Section I. Whenever possible, the application in other topologies (single star, single delta), ring communication and decentralized control are discussed.

A. Overview of the Modular Multilevel Platform

In Figure 2 (see next page), the application example (test case) is presented, showing the interconnection of the PB with the SMs.



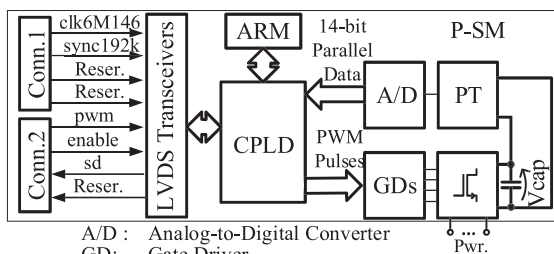
©2019 IEEE
Fig. 2. Block diagram of the MMC system.

The case study uses 8 P-SMs per phase, resulting in a 9-level converter output voltage. Also, the phase-shifted modulation strategy is used with 8 equally shifted 2 kHz triangular carriers. Therefore, the switching frequency of the P-SMs is also of 2 kHz. The sampling instant is synchronized by the peak of those carriers, resulting in a sampling frequency of 16 kHz.

The PB, which is described in detail in Subsection C, contains the FPGA, the DSP, the ARM microcontroller and all transceivers needed for communication with the SMs, which are described in detail in Subsection B.

B. Description of the Power and Measurement Submodules

The P-SMs feature a H-bridge inverter and a local DC capacitor, as presented in Figure 3. The circuit for the capacitor voltage transducer comprises a LEM LV-25NP Hall-Effect sensor (which provides galvanic isolation) and its respective amplifier circuit. The AD7899-2 14-bit parallel output A/D converter is used to acquire the resulting signal, which is routed to the XC2C256 programmable logic device, responsible for generating the signal *sd* for transmission to the PB. This signal contains the serialized data words corresponding to the samples from the acquisition of the DC bus voltages. The *pwm* and *enable* signals are received and routed to the corresponding isolated gate drivers. The *pwm* signal is the gating signal for the power transistors of the P-SMs, while the *enable* signal activates or inhibits the switching of those transistors.

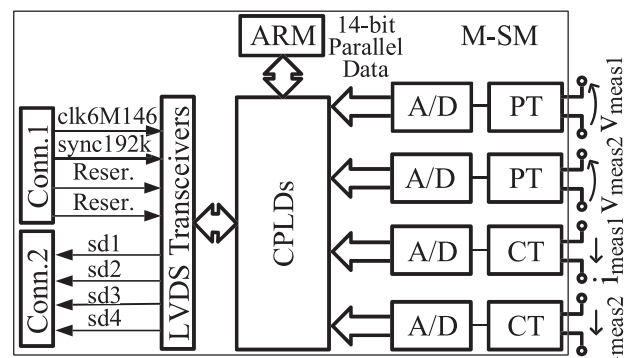


A/D : Analog-to-Digital Converter
GD: Gate Driver
CPLD: Complex Programmable Logic Device
Legend: LVDS: Low Voltage Differential Signaling
PT: Potential Transducer
ARM: Microcontroller
Reser.: Reserved for Future Usage
Conn.: RJ-45 Connector
Pwr.: H-Bridge Power Connectors

Fig. 3. Block diagram of the Power Submodule.

Both P-SMs and M-SMs feature a STM32F405 ARM microcontroller reserved for future usage as PU, eventually performing local processing tasks, allowing implementation of distributed control strategies.

The M-SMs can measure up to two currents and two voltages, as shown in Figure 4. Those measurements are presently used for the upper arm and lower currents and for the phase voltage and overall DC link voltage of the MMC. They use LEM LV-25NP and LEM LTS-6NP Hall-Effect sensors (which provide galvanic isolation) together with their respective amplifier circuits. Four A/D converters of the same model used in the P-SM are used to acquire the resulting signals. Two XC2C256 programmable logic devices generate the signals *sd1...sd4* which are transmitted to the PB. Each one of those signals contains the serialized data words corresponding to the acquired current and voltage measurements.



A/D : Analog-to-Digital Converter
CPLD: Complex Programmable Logic Device
LVDS: Low Voltage Differential Signaling
Legend: PT: Potential Transducer
CT: Current Transducer
ARM: Microcontroller
Reser.: Reserved for Future Usage
Conn.: RJ-45 Connector

Fig. 4. Block diagram of the Measurement Submodule.

Also, in both SMs, the *clk6M146* and *sync192k* signals are used in the communication protocol, detailed in Section III. In short, the *clk6M146* signal is the serial clock, and its falling edges represent a single data bit in the *sd* lines. It has a frequency of 6.146 MHz, consequently the data bit rate is

of 6.146Mbit/s. The **sync192k** signal is the data word synchronization clock. Each from its falling and rising edges delimits one 16-bit data word in the **sd** lines. It has a frequency of 192 kHz. From the 16-bit data word, 14 bits represent one sample and the remaining bits indicate the status of the SM.

For the star communication, each link between the PB and the SMs is composed by two Ethernet cables attached to the RJ-45 connectors **conn1** and **conn2** (see Figure 3 and Figure 4). The current PB supports 27 links, allowing usage of up to 24 P-SMs and 3 M-SMs in the star communication scheme, so the usage of this scheme is adequate in the test case.

The ring scheme is accomplished by connecting the output channels of **conn1** to the input channels of **conn2** of the neighboring SM, and so on. Reprogramming the CPLDs is necessary. The number of linked SMs is related to the data throughput requirements, which depends on the degree of control decentralization. This discussion is outside the scope of this paper.

C. Processing Board

As introduced in Subsection A, the PB features the transceivers required for communication with all SMs. Also, it features the FPGA (Xilinx Artix 200T) and the DSP (TMS320F28335), which are part of the processing core of the PB. The development of a combined FPGA-DSP control system benefits from the advantages of both devices. The communication between them is implemented using a high-speed parallel memory bus (XINTF) [20].

Additionally, an ARM (STM32F429) microcontroller is used to handle communication with the user and to govern the startup process of the MMC. An FPGA with integrated microcontroller, also known as a system-on-a-chip (SoC) solution [21], was not adopted in this project due to additional costs and programming efforts. In Figure 5, the block diagram of the PB is shown. For the communication links with all SMs, the I2S protocol [22] is used. It utilizes the **clk6M146**, **sync192k** and **sd** signals for transmitting/receiving the serialized data, guaranteeing exact synchronicity of the data words. Also, it has no data overhead such as data headers or handshaking.

For the communication between the FPGA and the DSP, the 32-bit wide XINTF external memory interface bus is clocked at 150MHz. Taking all wait states (lead, active and trail) into account, a measurable data exchange rate of 800 Mbit/s is achieved in this memory bus.

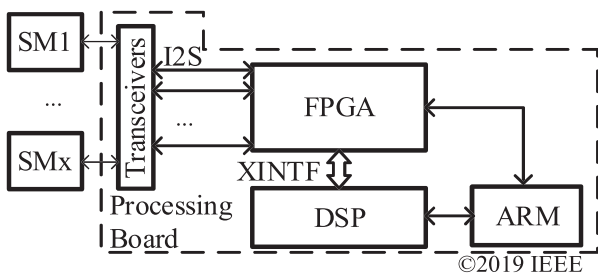


Fig. 5. Block diagram of the Processing Board.

III. FPGA SYNTHESIS

A. Integration

The block diagram of the FPGA synthesis for the test case described in Section I is shown in Figure 6. It is important to note that the DSP and FPGA programs are developed in a modular way. This ought to reduce reprogramming efforts if the number of SMs is changed or they need to be rearranged for other studies (e.g. single star or single delta topologies).

The Control Module is the main part of the synthesized FPGA circuit. It features interfaces for the PWM Modules, the Acquisition Modules and the DSP memory bus.

The PWM modules are responsible for generating the switching signals (**pwm** and **enable**) for the P-SMs, while the Acquisition Modules are responsible for receiving the serialized measurement data signal (**sd**) from the SMs, which are put in synchronization through the **clk6M146** and **sync192k** signals. In the control cycle, the DSP is responsible for the calculation of the reference values for the modulation, by taking the measured variables into account.

The **sync16** signal is used internally in the PB for synchronization of the sampling and control loop calculation with the PWM carriers. Its rising edges indicate that a carrier has reached its peak value and the control loop is updated with a new sampling event. The **sync16** signal is also outputted to the DSP. It has a frequency of 16 kHz and determines the sampling frequency of the MMC control loop.

The **dsp_finished** and **fpga_finished** signals are needed in the process of memory bus access coordination. Both DSP and FPGA have access to the memory bus as they need to transfer data between themselves. However, they cannot perform read/write operations simultaneously as they share the bus. Therefore, the **dsp_finished** is set to high when the DSP needs to access the memory bus. During this period, the FPGA cannot access the bus. In the same way, the **fpga_finished** is set to high when the FPGA needs to access the bus, inhibiting the DSP from using it.

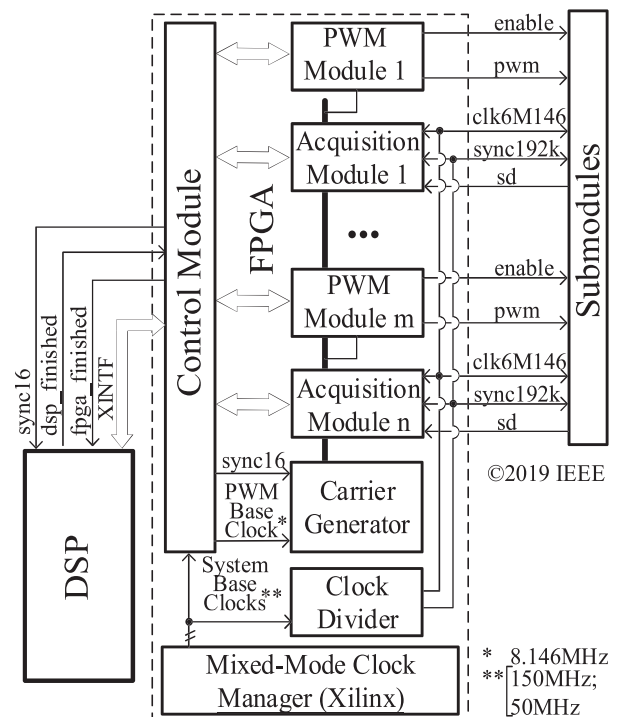


Fig. 6. Block diagram of the FPGA synthesis.

The Mixed-Mode Clock Manager (MMCM) is a feature of the Xilinx 7-Series FPGAs and is used to generate the System Base Clocks. Based on a reference clock provided by an external oscillator in the FPGA, the MMCM generates the 50MHz and 150MHz base clock signals using a phase-locked loop (PLL).

The System Base Clocks are used by the Clock Divider to generate the **clk6M146** and **sync192k** signals. They are also used by the Control Module to generate the PWM base clock and the **sync16** signals. All carrier signals used by the PWM Modules are generated by the Carrier Generator block, which is detailed in Subsection E.

By changing the number of PWM and Acquisition Modules in the FPGA, it is possible to adjust the number of SMs controlled by the PB, limited by the number of digital communication links of the PB.

The Control Module and its operation is described in detail in Subsections B and C, the Acquisition Modules in Subsection D and the PWM Modules in Subsection E.

B. Control Module

The execution of the main steps of the MMC control cycle is orchestrated by the Control Module. Those steps are divided roughly in the following:

- Data acquisition step;
- Data processing step;
- PWM update step.

Both custom “Very High-Speed Integrated Circuit Hardware Description Language” (VSHIC-HDL or VHDL) and “Xilinx Intellectual Property” (IP) blocks are used in the design. It is conceived to be scalable, requiring minimum reprogramming efforts to be adapted for MMC architectures with a different number of levels. Its main functional blocks are presented in Figure 7.

The custom VHDL block named “Control Circuitry” coordinates the operation of the Control Module. It performs the following tasks in short:

- Synchronization of the FPGA and DSP activities along the control cycle of the MMC;
- Arbitration of the access to the memory blocks (**DSP_Write** and **DSP_Read**) inside the FPGA;
- Reading of new data coming from the Acquisition Modules and making it available in the **DSP_Read** memory for DSP access;
- Retransmission of the new modulation references written by the DSP into the **DSP_Write** memory, which are applied to the PWM Modules;
- Generation of synchronization and clock signals used in the control cycle and PWM carrier generation.

The **DSP_Read** memory block stores the measurement data provided by the Acquisition Modules. In this implementation, thirty-four voltage and current measurements are provided by the P-SMs and M-SMs and processed by the DSP. The **DSP_Write** memory block stores the modulation reference signals provided by the DSP to be retransmitted to the PWM Modules. Currently, twenty-four modulation reference signals are used in this MMC implementation. Each of the **DSP_Write** and **DSP_Read** memory blocks has a data width of 32-bit wide and a data depth of 128 positions and are implemented using Xilinx IP.

Since the system works with 16-bit data words, each memory position stores a pair of data words. The test case uses 17 positions of the **DSP_Read** and 12 positions of the **DSP_Write** blocks.

The memory bus address and control line multiplexers are custom VHDL blocks named **Mux Addr & Ctrl**. They control the data flow between the DSP and the memory blocks in the tristate data bus.

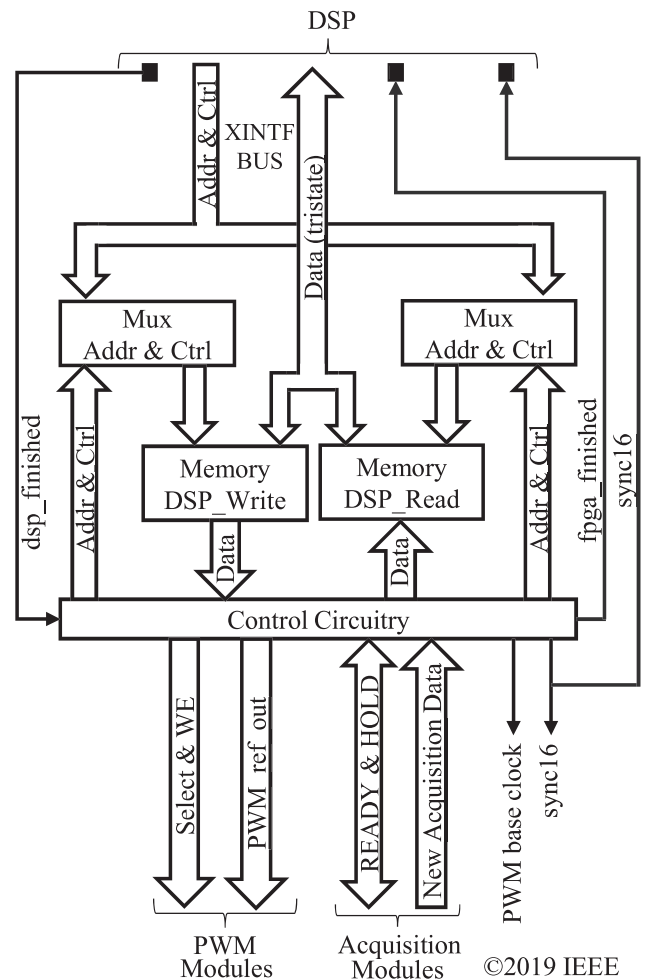


Fig. 7. Block diagram of the Control Module. ©2019 IEEE

C. Control Module Operation

The sequence of events in the main steps of the Control Module operation is shown in the flowchart of Figure 8 (see next page). The Control Module is interrelated with the execution of DSP program, which is described in detail in Section IV.

The **sync16** signal is the basis for the synchronization of the control cycle, determining the effective sampling and control update rates. The control cycle begins at the “Wait for New Cycle Step”, in which the program waits for a rising edge of the **sync16** signal.

Then, on the “Prepare for New Cycle Step”, the “Control Circuitry” checks if the **dsp_finished** signal is low (indicating that the DSP is idle). It also checks if all 34 Acquisition Modules have fresh data available, indicated by the **READY** lines of the **READY & HOLD** bus. If both conditions are fulfilled, the execution of the next step is initiated.

In the “Acquisition Step”, the “Control Circuitry” assumes the control of the memory blocks by setting the **fpga_finished** signal to high (indicating that it is accessing the memory blocks). Also, the **HOLD** lines of the **READY & HOLD** bus are set to high to instruct the Acquisition Modules to stop updating their outputs. In the continuation, it will sequentially read the data provided by all the Acquisition Modules and copy it into the **DSP_Read** memory block. The selection of the corresponding acquisition module pair being read is performed by an internal 17x32-bit multiplexer.

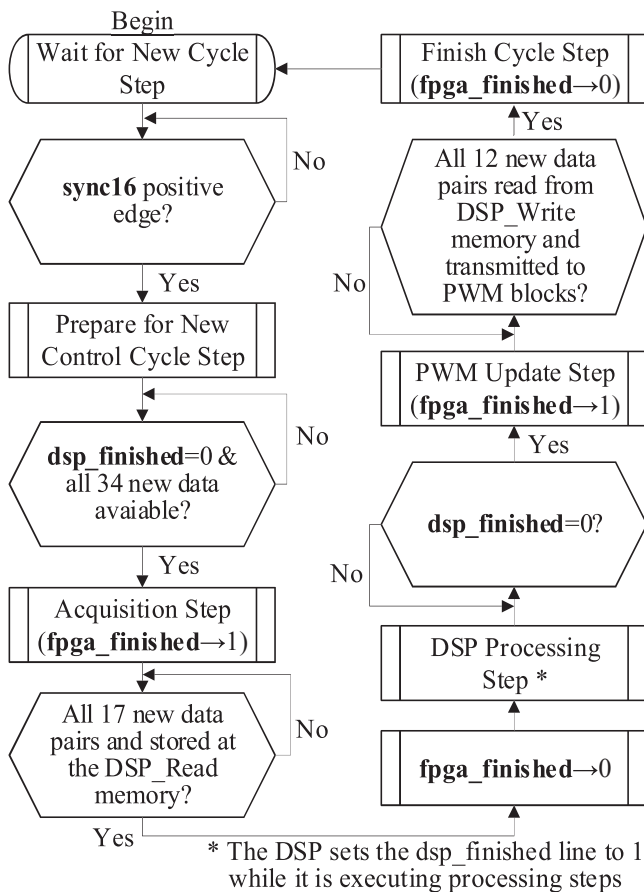


Fig. 8. Flowchart of the control module operation.

After all fresh 17 acquisition data words are successfully stored in the **DSP_Read** memory the “Acquisition Step” is finished. Then, the **fpga_finished** line is reset as well as the **HOLD** lines from the **READY & HOLD** bus.

The DSP detects the negative transition in the **fpga_finished** line. This initiates the “DSP Processing Step”, which is detailed in Figure 13. In short, the DSP takes control of the memory blocks by setting the **dsp_finished** line to high. Then, the DSP reads the acquisition data in the **DSP_Read** memory and performs the necessary calculations. Next, the DSP writes the new references for the PWM modulation into the **DSP_Write** memory. Once all write operations are finished, the DSP resets the **dsp_finished** line, releasing the access to the memory blocks and finishing the “DSP Processing Step”.

While the DSP is performing its tasks, the FPGA monitors the **dsp_finished** signal (generated by the DSP). The falling

edge of the **dsp_finished** signal is sensed by the FPGA, which then goes into the next step.

In the “PWM Update Step”, the “Control Circuitry” sets the **fpga_finished** line to high again, assuming control of the memory blocks. Then, it sequentially reads the 12 new modulation data pairs from the **DSP_Write** memory and loads the references into the shadow registers from each pair of PWM Modules. The selection and loading of the respective module pairs is performed in the **Select & WE** bus by setting the corresponding **Select** line to high and pulsing the **WE** (write enable) line.

After all the 12 data pairs containing new modulation references have been transmitted to the PWM Modules, the “PWM Update Step” is finished and the final step is initiated.

In the “Finish Cycle Step”, the “Control Circuitry” resets the **fpga_finished** line releasing control of the memory. Then, it goes directly into the “Wait for New Cycle Step”.

D. Acquisition Module

The block diagram of the Acquisition Module is shown in Figure 9. It features a I2S decoder, which takes the serial data **sd**, the **sync192k** synchronization and the **clk6M146** signals as inputs. The decoder uses a shift-register to parallelize the incoming data signal and outputs the received 16-bit word.

When a complete data word is received, the **DATA_GOOD** pulse is generated indicating the completion of a Reception cycle. The Input Latch stores the 16-bit word and splits it into a 14-bit acquisition data word and 2 status bits. Also, the Median Filter and the Status Handler are triggered.

The Median Filter is developed in the C programming language and implemented using the “Xilinx High Level Synthesis” (HLS) development tool. The order of filter used in this implementation is 7, but it can be changed according to the application. It can also be completely bypassed if required.

The status bits are processed by the Status Handler to signal the occurrence of communication or internal SM errors. To prevent false error event triggering caused by signal noise, the error signals are filtered inside the Status Handler. A status bit x ($x=0$ or $x=1$) is confirmed by assuring that its value is kept constant for at least 3 consecutive samples.

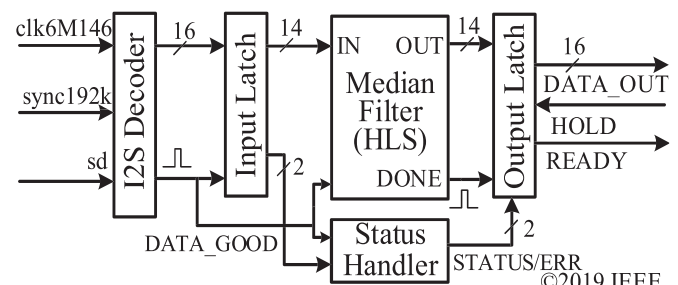


Fig. 9. Block diagram of the Acquisition Module.

When the Median Filter has finished its internal processing, it pulses the **DONE** line and makes the filtered 14-bit data word available for the Output Latch. Finally, the Output Latch detects the pulse coming from the Median

Filter and stores the filtered data together with the status/error signals in a 16-bit word, which is then available at the **DATA_OUT** output. The **READY** signal is set to high, as the processed data is available to the Control Module. The **HOLD** signal disables the update of the Output Latch.

The I2S Decoder, Input Latch, Status Handler and Output Latch are all custom VHDL blocks.

E. PWM Generation Module

In the present FPGA synthesis, the PWM generation is divided in carrier generation and modulation. In Figure 10, the block diagram of the PWM Module is presented.

On the left side, the Shadow Latch is seen. It takes the 16-bit reference data word provided by the Control Module as input, as well as the signals from the **WE** and **Select** bus. It stores the reference word when the respective **Select** line is high and the **WE** line is pulsed.

Then, when there is a positive transition in the **sync16**, the Internal Latch stores the output from the Shadow Latch itself. Consequently, the PWM references are calculated and stored, but only updated at the correct time instant. This is important for the correct synchronization of the PWM generation with the rest of the MMC control cycle.

Finally, the PWM logic compares the internal reference with the carrier input line **COUNT_INx** to generate the modulated signal.

It is important to notice that from the 16-bit received data word, only 11 bits are actually used for the PWM reference. From the remaining bits, two are reserved for control functions and the most significant bit (MSB) is used for the **enable** signal, which is responsible for activating or deactivating the GDs on the P-SMs.

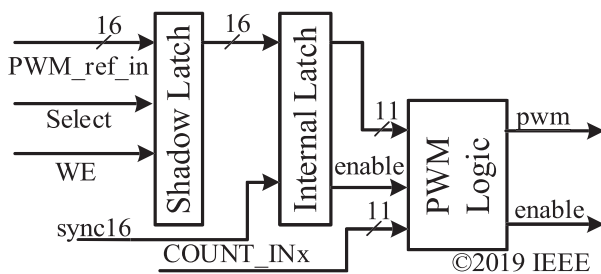


Fig. 10. PWM Module block diagram.

Eight triangular wave generators are used in this implementation to generate the equally phase-shifted carrier signals **COUNT1...COUNT8** based on the **clk8M146** (PWM base clock) and synchronization **sync16** signals provided by the Control Module. Each carrier corresponds to a P-SM on each phase. This block can be easily adapted to generate a different number of carriers, to change their relative phase shift and switching frequency. The Carrier Generator Block is depicted in Figure 11. By using the equally shifted carriers, it possible to achieve harmonic cancellation in the MMC branches [23].

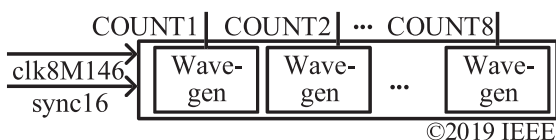


Fig. 11. Carrier Generator block diagram.

The waveforms of the generated carriers are shown in Figure 12. Each of the triangular waves has a frequency of 2 kHz, with the counters being updated in the range between 0 and 2048 at the frequency of 8.146 MHz. It is possible to note that the peaks of the carriers are synchronized with the **sync16** signal as expected. All blocks involved in the PWM generation presented here are written in custom VHDL code.

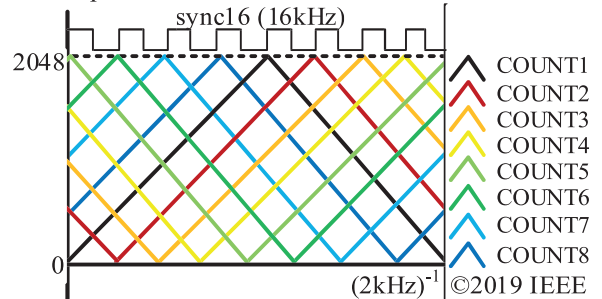


Fig. 12. Waveforms of the generated carriers.

IV. DSP PROGRAM

A. Execution Steps

The DSP executes the calculations of the MMC control loop, using the measurement data received from the SMs to calculate the new modulation references. The sequencing of the DSP program execution steps is presented in Figure 13.

The execution of the DSP program is started when an interrupt service routine is triggered by a rising edge transition of the **sync16** signal line. In this moment, as seen in Section III, the FPGA program is updating the internal latches from the PWM Modules and copying the acquisition data into the **DSP_Read** memory while keeping the **fpga_finished** line high. When finished, the FPGA lowers this line, signaling that the DSP should continue the execution of its program.

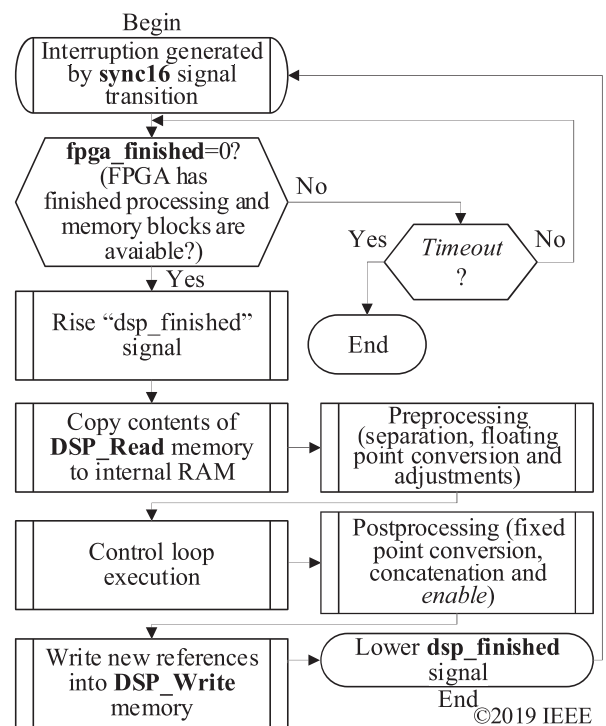


Fig. 13. DSP program flowchart.

On the other hand, the DSP waits up to 50 internal clock cycles for the FPGA to lower the **fpga_finished** signal. If timeout occurs, an error is signaled as the FPGA has not been able to properly finish its tasks on time.

If the FPGA does not exceed this time interval, the DSP continues executing its routines and raises the **dsp_finished** to gain access to the memory blocks.

Next, the DSP copies the acquisition data from the **DSP_Read** memory into its internal RAM. Then the preprocessing step is executed. The 32-bit words are split into two 16-bit words, converted to floating point values and the appropriate scaling and offset factors are applied.

This enables the execution of the MMC control loop. The new PWM references are then calculated. In the Postprocessing step, the new references are converted to adequate 16-bit fixed-point values and concatenated into 32-bit words, which are then written in the **DSP_Write** memory.

Then, the DSP lowers the **dsp_finished** line, finishing the execution of its routines. Next, the FPGA is going to proceed with the conclusion of its own steps by copying the references from the **DSP_Write** memory into the corresponding shadow registers from the PWM Modules.

B. Description of the MMC Control System

The focus of this article is on the platform and DSP-FPGA programs that allow the execution of the control system and not on the design of the loops themselves. The control loop [24, 25] is designed for three-phase MMC converters and is based on the abc reference frame. An overview of the control loop (per phase) for the case study defined in Section I is provided in the block diagram of Figure 14.

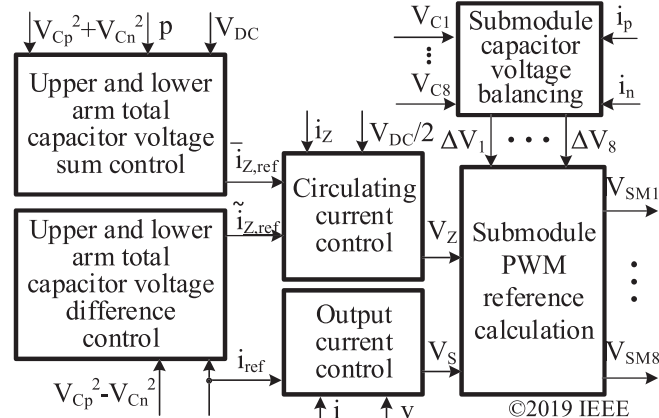


Fig. 14. Control loop block diagram (per phase).

In the block diagram, the capacitor voltages of the P-SMs are represented by V_{Ck} , the outputs of the individual voltage balancing loops by ΔV_k , and their PWM references by V_{SMk} . Note that the Greek letter Δ in ΔV_k does not mean a variation, only representing the name of the signal itself. The upper arm SMs are indexed as $k=1...4$ and the lower arm SMs as $k=5...8$.

The upper arm and lower arm currents of the MMC are indicated by i_p and i_n , respectively. The upper arm total voltage is defined as V_{Cp} and the lower arm total voltage as V_{Cn} . Those are calculated by adding the DC bus voltages of

the P-SMs in the upper and the lower arm, respectively. The total overall DC link voltage is V_{DC} .

The measured circulating current is represented by i_z , while its reference is composed by two parts: $\tilde{i}_{z,ref}$ (oscillating) and $\bar{i}_{z,ref}$ (average). They are generated by the total arm capacitor voltage difference and sum loops, respectively. For tracking those references, the circulating current loop generates the voltage reference v_z .

The reference signal for the output current i is given by i_{ref} . In order to track this current reference (i_{ref}), the output current loop is used. This loop generates the v_s voltage reference and uses the AC grid (or load) voltage v internally to perform feedforward.

The tuning of the control loop parameters is out of the scope of this paper. The interested reader can refer to [24, 25] for more details. The calculation of the reference signals is briefly examined next. In Figure 15, the calculation of the circulating current references by the voltage sum and difference loops is shown. A moving average filter MAF is used together with the proportional controllers P_Σ for the sum and P_Δ for the difference loops. For each phase, $v_{PLL//}$ is a unitary amplitude signal, in phase with the corresponding positive sequence of the fundamental frequency components of the grid voltage.

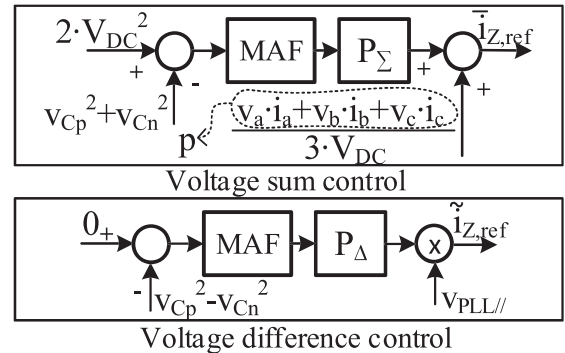


Fig. 15. Block diagram of the voltage sum and difference control.

In Figure 16, the circulating and output current control loops are presented, and their respective PI controllers (PI_z and PI_s) can be seen. The measured current signals are compared against their respective references to perform tracking. The output of the controllers is divided by N to adjust them to the voltage level of the SMs.

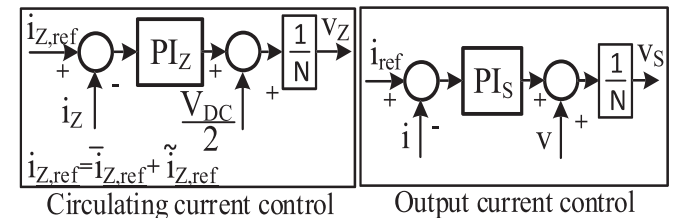


Fig. 16. Block diagram of the circulating and output current control.

In Figure 17, the voltage balancing for the upper and lower arm SMs using the proportional controller P_B is shown. The individual voltages v_{Ck} are compared against the instantaneous averages of the upper arm v_{Cp}/N and of the lower arm v_{Cn}/N SM voltages in order to perform balancing.

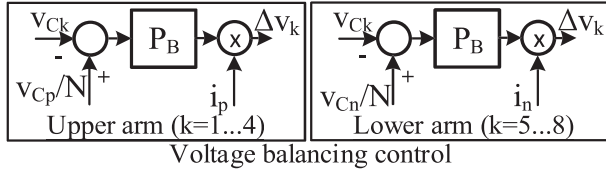


Fig. 17. Block diagram of the voltage balancing control.

Finally, in (1) the calculation of the k^{th} P-SM PWM reference is presented. For the upper arm P-SMs, $\alpha=-1$ and for the lower arm SMs, $\alpha=1$.

$$v_{SMk} = v_Z + \alpha \cdot v_S + \Delta v_K. \quad (1)$$

The control loop parameters used in the case study are shown in Table I. Those are calculated considering the MMC parameters summarized in Table II.

TABLE I
Control Loop Tuning Parameters

Block	Parameter Values	Block	Parameter Values
P_Σ	$K_p=1.13 \cdot 10^{-4}$	PI_Z	$K_p=26.67; K_I=67027$
P_Δ	$K_p=1.36 \cdot 10^{-5}$	PI_S	$K_p=13.33; K_I=33513$
MAF	267 samples (length) 1/60 ms (window)	P_B	$K_p=1.5$

V. EXPERIMENTAL RESULTS

A. Overview

For validation of the control system, the MMC prototype shown in Figure 18 is used. It is possible to see the 24 P-SMs, the 3 M-SMs and the PB. The Ethernet Cat-5e cables are used to carry the LVDS signals between the transceivers of the SMs and those of the PB.

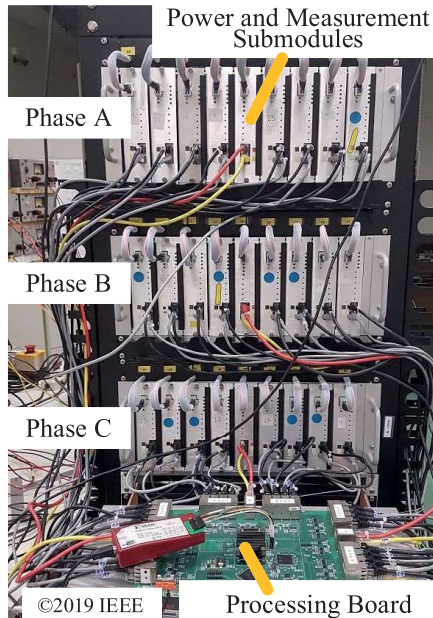


Fig. 18. MMC prototype.

The parameters relevant to this case study are presented in Table II, including the branch inductance L , the triangular carrier frequency f_{TRI} , the load resistance R_L , the local DC capacitance C_{cap} , the local DC voltage V_{cap} , the sampling frequency f_S , the converter nominal output rms voltage V_N ,

the converter nominal power rating S_N and the number of P-SMs in the arms N . As seen in Figure 12, the 8 triangular carriers are equally shifted in the PWM modulation.

TABLE II
Case Study Parameters

Parameter	Value	Parameter	Value
L	5 mH	V_{cap}	30 V
C_{cap}	1540 μF	f_{TRI}	2 kHz
N	4	V_N	127 V
f_S	16 kHz	S_N	2 kVA
R_L	33 Ω		

B. Results for the FPGA Synthesis

The results for the programmable logic synthesis show that an expansion of the system in terms of number of SMs would be possible from the point of view of the FPGA resources. A summary of utilization of the Artix-7 200T resources is shown in Table III.

TABLE III
Artix-7 200T FPGA Resource Utilization Summary

FPGA I/O Pin Usage	139/285 (48.77%)	FPGA Slice Register Usage	7737/269200 (2.87%)
FPGA Look Up Table Usage	6139/134600 (4.6%)	FPGA Block RAM Tile Usage	1/365 (0.27%)

©2019 IEEE

The timing of all the control cycle steps is measured and the obtained values summarized in Table IV. According to the obtained results, the sequential processing in the DSP consumes most of the control cycle time. Also, there is spare processing time that can be used for executing more complex control algorithms, as 38% of the total time available in the control cycle is used. This also shows the viability to explore the execution (totally or partially) of the control loops in the FPGA, eventually parallelizing the calculations.

TABLE IV
Measured Control Cycle Timing Summary

Acquisition Step (FPGA)	504ns (0.81%)	PWM Update Step (FPGA)	644 ns (1.03%)
DSP Processing Step	22750ns (36.4%)	Total Time Available per Cycle	62500 ns (100%)

©2019 IEEE

In Figure 19 and Figure 20, it is possible to see one of the P-SMs and the M-SMs used in the platform, respectively, with the main components being highlighted.

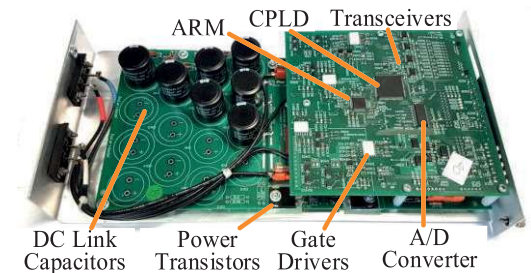


Fig. 19. Detail of the P-SM.

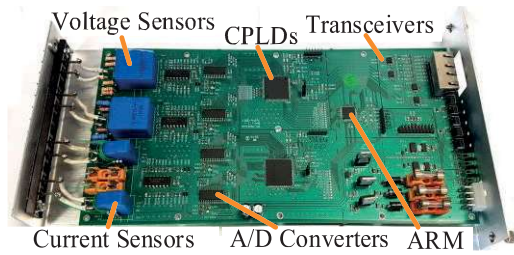


Fig. 20. Detail of the M-SM.

Next, in Figure 21, the PB is shown, and the main components are highlighted. The FPGA is positioned in the center of the board. This equalizes the propagation delays between the FPGA lines and the LVDS transceivers, which are distributed along the borders of the circuit board together with the corresponding RJ45 connectors. Additionally, the propagation delays (mainly in the XINTF bus) are minimized to guarantee maximum data throughput. Therefore, the DSP and ARM microcontroller are positioned as close as possible to the FPGA. Also, careful layout is performed to reduce the occurrence of crosstalk between the communication lines.

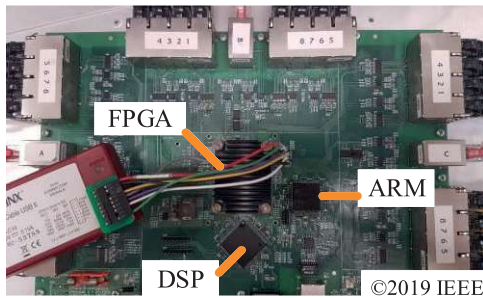


Fig. 21. Detail of the Processing Board.

C. Open Loop Tests

Open-loop tests are performed for verification of the correct function of the PWM generation and communication system. The local DC buses of the P-SMs are supplied by independent voltage supply units adjusted to 12V.

In order to verify the modulation, the DSP control loop is set to generate a sinusoidal reference waveform with a frequency of 60Hz. The amplitude of this voltage reference signal is 100% of the maximum modulation range.

The operation is tested in each phase individually. Figure 22 shows the upper ($-v_{Cp}$) and lower (v_{Cr}) arm output voltage measurements (showing 5 levels each) for phase B. The final MMC equivalent output voltage (which has 9 levels) is given by the addition of the arm voltages waveforms ($v_{Cr}-v_{Cp}$) using the mathematical function of the scope.

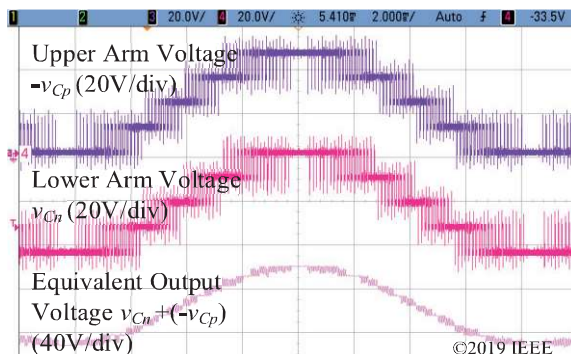


Fig. 22. Open-loop test waveforms (upper arm voltage, lower arm voltage and equivalent output voltage).

For verification of the switching harmonics cancellation, the spectra of the upper and lower arm voltages are calculated from the waveform data and shown in Figure 23. It is possible to see that, even with the carriers having a frequency of 2 kHz, the switching harmonics are concentrated at 8 kHz and 16 kHz, as expected. Those harmonics are multiples of order 4 and 8 from the original carrier frequency.

The same analysis is performed for the MMC equivalent output voltage, and the obtained spectrum is shown in Figure 24. The harmonics centered at 8 kHz are cancelled. As expected, only the components around 16 kHz remain. It is important to note that in Figure 23 and also in Figure 24, the fundamental frequency component is not fully represented due to the scaling of the vertical axis.

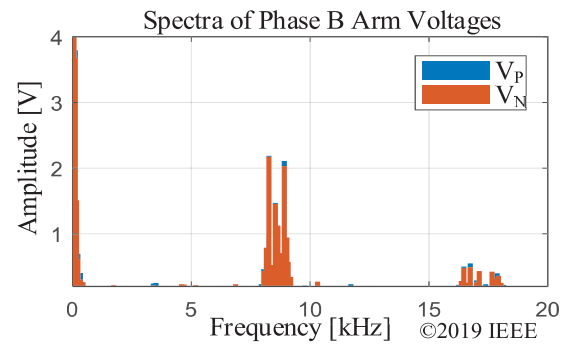


Fig. 23. Spectra of the arm voltage waveforms.

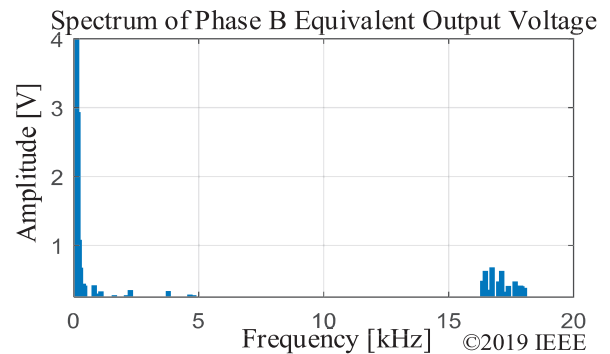


Fig. 24. Spectrum of the output voltage waveform.

D. Closed Loop Tests

In the closed loop tests, the control loop is set to track a sinusoidal 60Hz output current and a DC circulating current with different amplitudes. The MMC is connected to a resistive load of 33Ω. Only a common supply is employed for the DC link bus of the whole MMC converter. Again, each of the three phases is tested individually.

In Figure 25, the resulting waveforms for phase B are presented for an output current with an amplitude of 0.8A and a circulating current of 0.5A.

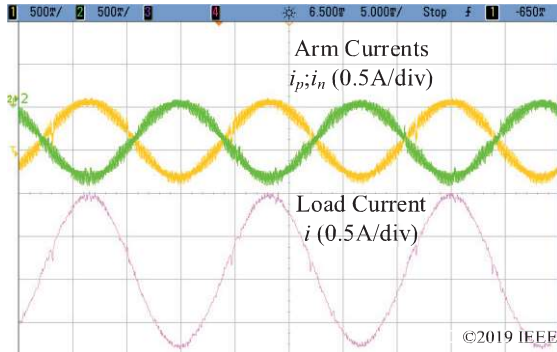


Fig. 25. Closed-loop test waveform (arm currents and load current).

Next, in Figure 26, the same experiment is performed for an output current of 0.4A and a circulating current of 0.5A and in Figure 27 for an output current of 0.6A and a circulating current of 0.6A. The mathematical functions from the scope are used to obtain the load current from the subtraction of the arm currents. The arm currents are composed of a circulating current (constant) component and an output current (sinusoidal) component. As expected, the circulating current is not present in the load current [6, 25].

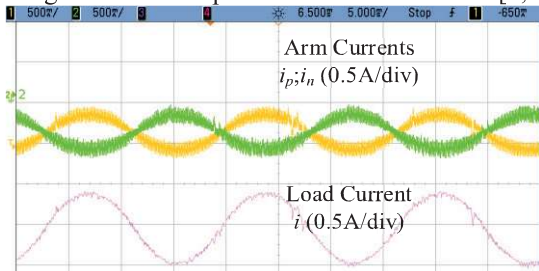


Fig. 26. Closed-loop test waveform (arm currents and load current).

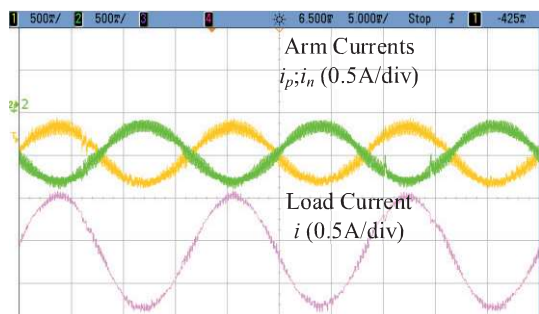


Fig. 27. Closed-loop test waveform (arm currents and load current).

Also, the voltage balancing control is validated. The transient waveforms of the individual P-SMs capacitors voltages in one MMC branch during the start-up process are shown in Figure 28. A reference step of 7V is applied in this test. The obtained waveforms show that the capacitor voltages are being correctly balanced and reach steady state in 50ms.

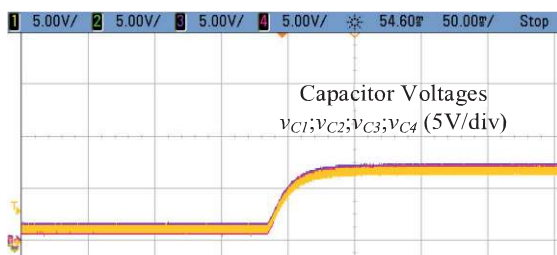


Fig. 28. Transient waveforms of the capacitor voltages.

VI. CONCLUSIONS

This paper described the development of a platform for MMCC (single star, single delta, double star) topologies with star or ring communication schemes and centralized or decentralized control strategies. The hardware comprises the PB, the P-SMs and the M-SMs. The PB integrates an FPGA, a DSP and an ARM microcontroller as possible PUs. The SMs offer a CPLD and an ARM microcontroller each.

A test case for the implementation of a double star (MMC) topology with star communication and centralized control is presented in detail to validate the designed platform.

In this case, the DSP concentrates the floating-point calculation burden from the control loop. The FPGA is then concentrated in complex peripheral logic tasks. It performs circuit synchronization, high speed data transfer, memory blocks, multiple parallel measurement data acquisition and PWM modulation. This combination is proven to be an effective design, and the implemented circuits are tested for this case study. The DSP and FPGA programs are designed in a modular way. This can allow the reduction of the reprogramming efforts when using different numbers of SMs/levels or studying different topologies by reusing and reconfiguring the modules described in Section III.

Experimental results have validated the correct operation of the proposed platform. The obtained resources utilization levels and measured timing of the control cycle show that the PU used supports MMCC implementations with more complex control loops.

APPENDIX

A snippet of the output current control loop code in the DSP is shown in Figure 29 to give a sight of the implementation.

```

1  //...
2  // Error signal
3  e_is[x] = iref[x] - i[x];
4  // Proportional part
5  vS_ref_p[x] = Kp_mc * e_is[x];
6  //...
13 // Integral part
14 vS_ref_i[x] += Kp_mc * Ki_mc * Ts * 0.5 * (e_is[x] + e_is_1[x]);
15 e_is_1[x] = e_is[x];
16 //...
21 // Add proportional and integral parts
22 vS_ref[x] = vS_ref_p[x] + vS_ref_i[x];
23 //...

```

Fig. 29. Output current control loop snippet.

ACKNOWLEDGEMENTS

The authors would like to thank Texas Instruments, STMicroelectronics and TDK/EPCOS for their support. This work was funded by the FAPESP research grants 2016/16542-5 and 2016/01930-0 and by the CNPq grants 306970/2015-5 and 311789/2014-5. This study was financed in part by CAPES - Finance Code 001.

REFERENCES

[1] R. Marquardt, "Modular Multilevel Converter: An

- universal concept for HVDC-Networks and extended DC-Bus-applications”, in *Proc. of the International Power Electronics Conference*, vol. 1, pp. 502-507, June 2010.
- [2] H. Akagi, “Classification, Terminology and Application of the Modular Multilevel Cascade Converter (MMCC)”, *IEEE Transactions on Power Electronics*, vol. 26, n° 11, pp. 3119-3130, Nov. 2011.
- [3] N. Gnanarathna, S. K. Chaudhary, A. M. Gole, R. Teodorescu, “Modular multi-level converter based HVDC system for grid connection of offshore wind power plant”, in *Proc. of the 9th IET International Conference on AC and DC Power Transmission*, vol. 1, pp. 1-5, Oct. 2010.
- [4] H. Akagi, L. Maharjan, “A battery energy storage system based on a multilevel cascade PWM converter”, *Eletrônica de Potência - SOBRAEP*, vol. 14, n° 4, Nov. 2009.
- [5] T. P. Corrêa, L. Almeida, F. J. Rodriguez, “Communication aspects in the distributed control architecture of a modular multilevel converter”, in *Proc. of the IEEE International Conference on Industrial Technology*, vol. 1, pp. 640-645, Feb. 2018.
- [6] L. Mathe, P. D. Burlacu, R. Teodorescu, “Control of a Modular Multilevel Converter with Reduced Internal Data Exchange”, in *IEEE Transactions on Industrial Informatics*, vol. 13, n° 1, pp. 248-257, Feb. 2017.
- [7] M. Vijeh, M. Rezanejad, E. Samadaei, K. Bertilsson, “A General Review of Multilevel Inverters Based on Main Submodules: Structural Point of View”, in *IEEE Transactions on Power Electronics*, vol. 34, n° 10, pp. 9479-9502, Oct. 2019.
- [8] A. Dekka, B. Wu, R. L. Fuentes, M. Perez, N. R. Zargari, “Evolution of Topologies, Modeling, Control Schemes, and Applications of Modular Multilevel Converters”, in *IEEE Journal of Emerging and Selected Topics in Power Electronics*, vol. 5, n° 4, pp. 1631-1656, Dec. 2017.
- [9] L. A. Silva, S. P. Pimentel, J. A. Pomilio, “Sistema de Filtragem Ativa com Inversor Multinível Assimétrico em Cascata de Dezenove Níveis e Controle de Tensão nos Barramentos CC”, in *Eletrônica de Potência - SOBRAEP*, vol. 11, n° 1, pp 17-24, Mar. 2006.
- [10] G. Pozzebon, R. Q. Machado, S. Buso, G. Spiazzi, “A grid-connected multilevel converter for interfacing PV arrays and energy storage devices”, in *Proc. of the 39th Annual Conference of the IEEE Industrial Electronics Society*, vol. 1, pp. 6158-6163, Nov. 2013.
- [11] P. W. Hammond, “A new approach to enhance power quality for medium voltage AC drives”, in *IEEE Transactions on Industry Applications*, vol. 33, n° 1, pp. 202-208, Jan./Feb. 1997.
- [12] C. L. Toh, L. E. Norum, “A Performance Analysis of Three Potential Control Network for Monitoring and Control in Power Electronics Converter”, in *Proc. of the IEEE International Conference on Industrial Technology*, vol. 1, pp. 224-229, Mar. 2012.
- [13] J. Lago, G. J. M. Sousa, M. L. Heldwein, “Digital Control/Modulation Platform for a Modular Multilevel Converter System”, in *Proc. of COBEP*, vol. 1, pp. 271-277, Oct. 2013.
- [14] Y. Zhou, D. Jiang, P. Hu, J. Guo, Y. Liang, Z. Lin, “A Prototype of Modular Multilevel Converters”, in *IEEE Transactions on Power Electronics*, vol. 29, n° 7, pp. 3267-3278, July 2014.
- [15] G. S. da Silva, R. P. Vieira, C. Rech, “Observador por modos deslizantes aplicado no controle das tensões equivalentes dos polos em conversores multiníveis modulares”, in *Eletrônica de Potência - SOBRAEP*, vol. 22, n° 3, pp. 319-328, Sep. 2017.
- [16] H. Hatas, N. Genc, A. Mamizadeh, “FPGA Implementation of SPWM for Cascaded Multilevel Inverter by Using XSG”, in *Proc. of the 4th International Conference on Power Electronics and their Applications*, vol. 1, pp. 1-6, Sep. 2019.
- [17] M. Parker, “Understanding Peak Floating-Point Performance Claims”, 2017. [Online]. Disponível em: <www.intel.com>.
- [18] F. T. Ghetti, A. O. Almeida, P. M. de Almeida, P. G. Barbosa, “Simulação em tempo real de algoritmos de equalização das tensões CC de um conversor multinível modular”, in *Eletrônica de Potência - SOBRAEP*, vol. 22, n° 4, Dec. 2017.
- [19] L. Koleff, M. Conde, P. Hayashi, F. Sacco, K. Enomoto, E. Pellini, W. Komatsu, L. Matakas Jr., “Development of a FPGA-Based Control System for Modular Multilevel Converter Applications”, in *Proc. of the 15th Brazilian Power Electronics and 5th IEEE Southern Power Electronics Conference*, vol. 1, pp. 69-74, Dec. 2019.
- [20] Texas Instruments, “TMS320x2833x, 2823x DSC External Interface (XINTF) Reference Guide”, 2008. [Online]. Disponível em: <www.ti.com>.
- [21] Xilinx Inc., “Zynq-7000 SoC Data Sheet: Overview”, 2018. [Online]. Disponível em: <www.xilinx.com>.
- [22] Philips Semiconductors, “12S Bus Specifications”, 1996. [Online]. Disponível em: <www.sparkfun.com>.
- [23] D. G. Holmes, B. P. McGrath, “Opportunities for harmonic cancellation with carrier based PWM for two-level and multilevel cascaded inverters”, in *IEEE Transactions on Industry Applications*, vol. 37, n° 2, pp. 574-582, Mar./Apr. 2001.
- [24] K. Carvalho, W. Komatsu and L. Matakas Junior, “A Novel Fixed Switching Frequency Control Strategy for the Modular Multilevel Converter in the ABC Reference Frame”, in *Proc. of the 13th IEEE International Conference on Industry Applications*, vol. 1, pp. 12-16, Nov. 2018.
- [25] K. Enomoto. *Proposal for modelling and control of MMCs with fixed switching frequency*. Ph.D. Thesis, University of São Paulo, São Paulo, 2019.

BIOGRAPHIES

Lucas Koleff, received his B.Sc. degree from the University of São Paulo (USP) and his D.D. M.Sc. degree from the Technical University of Darmstadt in 2015. He is currently working towards his Ph.D. degree at the USP.

Manoel Conde de Almeida, received his B.S. degree from University of Campinas in 1986 and his MBA degree from the USP in 2002. He is currently working towards his M.Sc. degree at the USP.

Pedro Henrique Itio Hayashi, received his B.Sc. and M.Sc. degrees from the USP in 2015 and 2019, respectively. He is currently looking forward to starting his Ph.D. course.

Francesco Sacco, received his B.Sc. degree from Pontifical Catholic University of São Paulo in 2008. He is currently working towards his M.Sc. degree at the USP.

Kelly Caroline Mingorancia de Carvalho Enomoto, received her B.Sc., M.Sc. and Ph.D. degrees from the USP in 2012, 2015 and 2019, respectively.

Eduardo Lorenzetti Pellini, received his B.Sc., M.Sc., and Ph.D. degrees from the USP in 2000, 2005 and 2010, respectively. He is currently an Assistant Professor at the USP.

Wilson Komatsu, received his B.S., M.S., and Ph.D. degrees from the USP in 1986, 1992 and 2000, respectively. He is currently an Associate Professor at the USP.

Lourenço Matakas Junior, received his B.S., M.S., and Ph.D. degrees from the USP in 1984, 1989 and 1998, respectively. He is currently an Associate Professor at the USP.