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# Compactness of singular solutions to the sixth order GJMS equation

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## **Abstract**

We study some compactness properties of the set of conformally flat singular metrics with constant, positive sixth order Q-curvature on a finitely punctured sphere. Based on a recent classification of the local asymptotic behavior near isolated singularities, we introduce a notion of necksize for these metrics in our moduli space, which we use to characterize compactness. More precisely, we prove that if the punctures remain separated and the necksize at each puncture is bounded away from zero along a sequence of metrics, then a subsequence converges with respect to the Gromov–Hausdorff metric. Our proof relies on an upper bound estimate which is proved using moving planes and a blow-up argument. This is combined with a lower bound estimate which is a consequence of a removable singularity theorem. We also introduce a homological invariant which may be of independent interest for upcoming research.

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## 1 Introduction

In recent years, there has been active research into analogs of the Yamabe problem and its singular counterpart. In each of these problems, one seeks a representative of a conformal class with constant curvature of some type, scalar curvature in the classical case, and some  $\sigma_k$ -curvature or one of Branson's higher order Q-curvatures in more modern examples. Conformal invariance often complicates these problems, leading to singular solutions and the lack of compactness in the space of solutions. For this reason, it is always appealing to characterize which geometric properties in the solution space imply compactness.

In the present paper, we study the moduli space of complete, conformally flat metrics with constant sixth order Q-curvature on a finitely punctured sphere. Our main result generalizes a theorem of Pollack [29] in the scalar curvature setting, stating that so long as the punctures remain separated and certain geometric necksizes bounded away from zero, the corresponding subset of moduli space is compact in the Gromov–Hausdorff topology.

Let  $n \ge 7$  and denote the n-dimensional sphere by  $\mathbb{S}^n$ . For  $N \in \mathbb{N}$ , we let  $\Lambda = \{p_1, \ldots, p_N\} \subset \mathbb{S}^n$  be a finite subset and seek complete metrics on  $\Omega := \mathbb{S}^n \setminus \Lambda$  of the form  $g = U^{4/(n-6)}g_0$ , where  $g_0$  is the standard round metric. The fact that g is complete on  $\Omega$  forces  $\lim\inf_{p\to p_i} U(p) = \infty$  for each  $i \in \{1, \ldots, N\}$ . Furthermore, we prescribe the resulting metric to have constant sixth order Q-curvature normalized to be

$$Q_n = \frac{n(n^2 - 4)(n^2 - 16)}{32},\tag{1.1}$$

which is the sixth order Q-curvature of the standard round sphere ( $\mathbb{S}^n$ ,  $g_0$ ).

The sixth order Q-curvature behaves well under a conformal change of metric. More precisely, the condition that  $g = U^{4/(n-6)}g_0$  satisfies  $Q_g^6 = Q_n$  on  $\Omega = \mathbb{S}^n \setminus \Lambda$  is equivalent to the PDE

$$P_{g_0}^6 U = c_n U^{\frac{n+6}{n-6}}$$
 on  $\Omega$ ,  $(Q_{6,g_0,N})$ 

where  $c_n = \frac{n-6}{2}Q_n$  is a normalizing constant. The operator on the left-hand side is the sixth order GJMS operator on the sphere defined by

$$P_{g_0}^6 = \left(-\Delta_{g_0} + \frac{(n-6)(n+4)}{4}\right) \left(-\Delta_{g_0} + \frac{(n-4)(n+2)}{4}\right)$$
$$\left(-\Delta_{g_0} + \frac{n(n-2)}{4}\right), \tag{1.2}$$

and after a conformal change of metric  $g=U^{4/(n-6)}g_0$ , it transforms as

$$P_g^6 \phi = U^{-\frac{n+6}{n-6}} P_{g_0}^6(U\phi) \quad \text{for all} \quad \phi \in \mathcal{C}^{\infty}(\Omega). \tag{1.3}$$



For more details on this subject, we refer the interested reader to [9, 13, 15, 23].

The operator  $P_g^6$  is one of a family of geometrically natural, conformally covariant differential operators defined on a Riemannian manifold. This family includes the conformal Laplacian, which is described below and plays an important role in the study of scalar curvature. In [17] Graham, Jenne, Mason and Sparling constructed conformally covariant differential operators  $P_g^{2m}$  on a compact n-dimensional Riemannian manifold  $(M^n,g)$  for any  $m\in\mathbb{N}$  such the leading order term of  $P_g^{2m}$  is  $(-\Delta_g)^m$ . One can then construct the associated Q-curvature of order 2m by  $\frac{n-2m}{2}Q_g^{2m}=P_{g_0}^{2m}(1)$ . In the special case m=1, one recovers the conformal Laplacian

$$P_g^2 = -\Delta_g + \frac{n-2}{4(n-1)}R_g$$
 with  $Q_g^2 = \frac{1}{2(n-1)}R_g$ ,

where  $\Delta_g$  is the Laplace–Beltrami operator of g and  $R_g$  is its scalar curvature. More generally, in [6, Theorem 2.8] Branson constructed a conformally covariant (nonlocal) operator  $P_{g_0}^{\sigma}$  of any order  $\sigma \in (0, n/2)$  in the case that the background metric is the round metric  $g_0$  on the sphere  $\mathbb{S}^n$ . Subsequently, Graham and Zworski [18] and Chang and González [11] extended Branson's construction to any compact manifold that is the conformal infinity of a Poincaré–Einstein metric. Once again, the leading order part of  $P_{g_0}^{2\sigma}$  is  $(-\Delta_{g_0})^{\sigma}$ , understood as the principal value of a singular integral operator. We write the formulae for  $P_g^2$ ,  $P_g^4$  and  $P_g^6$  explicitly in Appendix A. Nevertheless, the expressions for  $P_g^{2\sigma}$  and  $Q_g^{2\sigma}$  for a general  $\sigma \in \mathbb{R}_+$  are more complicated (see for instance [14, 15, 23]).

We remark that the nonlinearity on the right-hand side of  $(\mathcal{Q}_{6,g_0,N})$  has critical growth with respect to the Sobolev embedding  $W^{3,2}(\mathbb{R}^n) \hookrightarrow L^{2^\#}(\mathbb{R}^n)$ , where  $2^\# = \frac{2n}{n-6}$ . It is well known that this embedding is not compact, reflecting the conformal invariance of the PDE  $(\mathcal{Q}_{6,g_0,N})$ .

It will be convenient to transfer the PDE  $(Q_{6,g_0,N})$  to Euclidean space, which we can do using the standard stereographic projection (with the north pole in  $\Omega$ , and thus a regular point of any of the metrics we consider). After stereographic projection, we can write

$$g_0 = u_{\text{sph}}^{\frac{4}{n-6}} \delta$$
 and  $u_{\text{sph}}(x) = \left(\frac{1+|x|^2}{2}\right)^{\frac{6-n}{2}}$ ,

where  $\delta$  is the Euclidean metric. In these coordinates our conformal metric takes the form  $g = U^{4/(n-6)}g_0 = (U \cdot u_{\rm sph})^{4/(n-6)}\delta$ . Thus,  $u \in \mathcal{C}^{\infty}(\mathbb{R}^n \setminus \Gamma)$  given by  $u = U \cdot u_{\rm sph}$  is a positive singular solution to the transformed equation

$$(-\Delta)^3 u = c_n u^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathbb{R}^n \setminus \Gamma, \tag{Q}_{6.\delta,N}$$

where  $\Delta$  is the usual flat Laplacian and  $\Gamma$  is the image of the singular set  $\Lambda$  under the stereographic projection.

As a notational shorthand, we adopt the convention that U refers to a conformal factor relating the metric g to the round metric, i.e.  $g = U^{4/(n-6)}g_0$ , while u refers to



a conformal factor relating the metric g to the Euclidean metric, i.e.  $g = u^{4/(n-6)}\delta$ , with the two related by  $u = Uu_{\rm sph}$ .

**Remark 1.1** In this Euclidean setting, the transformation law (1.3) in particular implies the scaling law for  $(Q_{6,\delta,N})$ , namely if u solves  $(Q_{6,\delta,N})$  then so does  $u_{\lambda}(x) := \lambda^{\frac{n-6}{2}} u(\lambda x)$  for any  $\lambda > 0$ .

We study the compactness properties of both the unmarked and the marked moduli spaces of admissible constant sixth order Q-curvature metrics. We define the unmarked moduli space as

$$\mathcal{M}_{N}^{6} = \left\{ g \in [g_{0}] : g \text{ is complete on } \mathbb{S}^{n} \setminus \Lambda \text{ with } \#\Lambda = N \text{ and } Q_{g}^{6} \equiv Q_{n} \right\}, \quad (1.4)$$

and the marked moduli space as

$$\mathcal{M}_{\Lambda}^6 = \left\{ g \in [g_0] : g \text{ is complete on } \mathbb{S}^n \setminus \Lambda \text{ and } Q_g^6 \equiv Q_n \right\}.$$

Intuitively, in the unmarked moduli space we fix only the number of punctures, whereas in the marked moduli space we fix the punctures themselves. We place the Gromov–Hausdorff topology on both the marked and unmarked moduli spaces.

The first step to understanding the properties of either of these moduli spaces is to study the conformally flat equation

$$(-\Delta)^3 u = c_n u^{\frac{n+6}{n-6}}$$
 in  $\mathbb{B}_R^*$ ,  $(\mathcal{P}_{6,R})$ 

where  $\mathbb{B}_R^* := \{x \in \mathbb{R}^n : 0 < |x| < R\}$  is the punctured ball for  $R < +\infty$ . Allowing  $R \to +\infty$  turns  $(\mathcal{P}_{6,R})$  into the following PDE on the punctured space

$$(-\Delta)^3 u = c_n u^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathbb{R}^n \setminus \{0\}. \tag{$\mathcal{P}_6$ $\infty$}$$

On this subject, the classification of non-singular solutions to  $(\mathcal{P}_{6,\infty})$  is provided in [33]. Later on, in [22] it is proved that blow-up limit solutions do exist. Recently, based on a topological shooting method, the first and last authors classified all possible solutions to this limit equation [3].

One can merge these classification results into the statement below

**Theorem A** Let  $u \in C^6(\mathbb{R}^n \setminus \{0\})$  be a positive solution to  $(\mathcal{P}_{6,\infty})$ .

(a) If the origin is a removable singularity, then there exists  $x_0 \in \mathbb{R}^n$  and  $\varepsilon > 0$  such that u is radially symmetric about  $x_0$  and, up to a constant, is given by

$$u_{x_0,\varepsilon}(x) = \left(\frac{2\varepsilon}{1 + \varepsilon^2 |x - x_0|^2}\right)^{\frac{n-6}{2}}.$$
(1.5)

These are called the (sixth order) spherical solutions (or bubbles).



(b) If the origin is a non-removable singularity, then u is radially symmetric about the origin. Moreover, there exist  $\varepsilon_0 \in (0, \varepsilon_n^*]$  and  $T \in (0, T_{\varepsilon_0}]$  such that

$$u_{\varepsilon,T}(x) = |x|^{\frac{6-n}{2}} v_T(\ln|x| + T).$$
 (1.6)

Here  $\varepsilon_n^* = K_0^{(n-6)/6}$ ,  $T_{\varepsilon} \in \mathbb{R}$  is the fundamental period of the unique T-periodic bounded solution  $v_T \in \mathcal{C}^6(\mathbb{R})$  to the following sixth order IVP

$$\begin{cases} v^{(6)} - K_4 v^{(4)} + K_2 v^{(2)} - K_0 v = c_n v^{\frac{n+6}{n-6}} \\ v(0) = \varepsilon_0, \ v^{(2)}(0) = \varepsilon_2, \ v^{(4)}(0) = \varepsilon_4, \ v^{(1)}(0) = v^{(3)}(0) = v^{(5)}(0) = 0, \end{cases}$$

where  $K_4$ ,  $K_2$ ,  $K_0$ ,  $\varepsilon_n^*$  are dimensional constants (see (3.2)) and  $\varepsilon_2$ ,  $\varepsilon_4$  depend on  $\varepsilon_0$ . These are called (sixth order) Emden–Fowler solutions.

In [22], it is shown that solutions to  $(\mathcal{P}_{6,R})$  with  $R < +\infty$  satisfy a priori bound near the isolated singularity, which implies that they behave like the solutions to the limit equation near the isolated singularity

**Theorem B** Let  $u \in C^6(\mathbb{B}_R^*)$  be a positive singular solution to  $(\mathcal{P}_{6,R})$ . Suppose that  $-\Delta u \geqslant 0$  and  $\Delta^2 u \geqslant 0$ . Then

$$u(x) = (1 + o(1))u_{\varepsilon,T}(|x|) \text{ as } x \to 0,$$
 (1.7)

where  $u_{\varepsilon,T}$  belongs to the family (1.6).

These two results combined motivate the following definition

**Definition 1.2** Let  $g \in \mathcal{M}_N$  with a singular set  $\Lambda \subset \mathbb{S}^n$ ,  $\#\Lambda = N$ , and let  $p_j \in \Lambda$ . Let  $g = U^{4/(n-6)}g_0 = u^{4/(n-6)}\delta$  where we choose stereographic coordinates centered at  $p_j$ . By (1.7) we know  $u(x) = u_{\varepsilon_j,T_j}(|x|)(1 + o(|x|))$  for some  $\varepsilon_j \in (0, \varepsilon_n^*]$ . This  $\varepsilon_j$  is the asymptotic necksize of the metric g at the puncture  $p_j$ .

Now we have conditions to state our main compactness theorem for the unmarked moduli space

**Theorem 1.3** Let  $N \geqslant 3$  and let  $0 < \delta_1, \delta_2 < 1$  be positive real numbers. Then the set

$$\mathcal{Q}^6_{\delta_1,\delta_2} = \left\{g \in \mathcal{M}_N^6 : \mathrm{d}_{g_0}(p_j,\,p_\ell) \geqslant \delta_1 \text{ for each } j \neq \ell \text{ and } \varepsilon_j(g) \geqslant \delta_2 \right\}.$$

is sequentially compact with respect to the Gromov-Hausdorff topology.

**Remark 1.4** Notice that as a consequence of Theorem A (a), it follows that  $\mathcal{M}_1 = \emptyset$ . Also, from Theorem A (b), we have that  $\mathcal{M}_{p_1,p_2} = (0, \varepsilon_n^*]$  for any  $p_1 \neq p_2$ , where  $\varepsilon_n^* \in (0,1)$ . Moreover, it follows that  $\mathcal{M}_2 = (0, \varepsilon_n^*] \times ((\mathbb{S}^n \times \mathbb{S}^n \backslash \text{diag})/\text{SO}(n+1,1))$ , where the group SO(n+1,1) of conformal transformations acts on each  $\mathbb{S}^n$  factor simultaneously. These metrics are called the Delaunay metrics. Furthermore, by moving the singular points around via its conformal group, they all correspond to a doubly punctured sphere and are rotationally invariant.



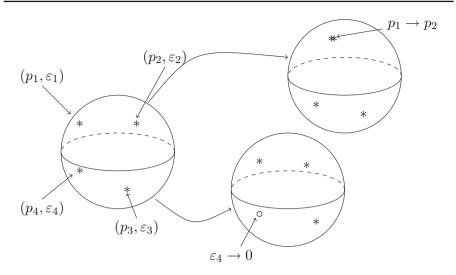


Fig. 1 The two possible degenerations in the moduli space  $\mathcal{M}_4^6$ 

Remark 1.5 It is worthwhile to now describe the possible degenerations of a sequence of metrics in  $\mathcal{M}_N^6$ . Let  $\{g_k = (U_k)^{4/n-6}g_0\}_{k \in \mathbb{N}} \subset \mathcal{M}_N^6$  be a sequence that leaves every compact subset. We denote the singular set of  $g_k$  by  $\Lambda_k = \{p_{1,k}, \ldots, p_{N,k}\}$  and the asymptotic necksize of  $g_k$  at the puncture  $p_{j,k}$  as  $\varepsilon_{j,k}$ . Then either  $\lim_{k \to \infty} \varepsilon_{j,k} = 0$  for some j or  $\lim_{k \to \infty} p_{j,k} = \lim_{k \to \infty} p_{j',k}$  for some  $j \neq j'$ . We sketch these two degenerations in Fig. 1 (It is possible that both degenerations happen simultaneously). In either case, in the limit one obtains a metric  $g_\infty \in \mathcal{M}_{N'}^6$  for some N' < N. In this way, one can compactify the moduli space  $\mathcal{M}_N^6$  by gluing copies of  $\mathcal{M}_{N'}^6$  for N' < N to  $\partial \mathcal{M}_N^6$ . We speculate that this compactification would not give a smooth manifold with boundary, but rather that  $\partial \mathcal{M}_N^6$  is in general a stratified space.

Let us compare our main results with the second and fourth order analogs. In the same spirit as our main result, it was proved in [29] and [2] that the moduli sets

$$Q_{\delta_1,\delta_2}^2 \subset \mathcal{M}_N^2 = \left\{ g \in [g_0] : g \text{ is complete and } R_g \equiv 2^{-1}(n-4) \right\}$$
 (1.8)

and

$$\mathcal{Q}_{\delta_1,\delta_2}^4 \subset \mathcal{M}_N^4 = \left\{ g \in [g_0] : g \text{ is complete and } \mathcal{Q}_g^4 \equiv 2^{-3} n(n^2 - 4) \right\}$$
 (1.9)

are also sequentially compact.

Based on classification results like Theorem A and Theorem B, much more is known about the moduli spaces in (1.8) and (1.9). In fact, some classical works of Mazzeo and Pacard [25] used gluing techniques to prove that there exists a family of solutions in (1.8). Furthermore, Mazzeo, Pollack, and Uhlenbeck [26] proved that



this space turns out to be a finite-dimensional analytic submanifold furnished with a natural Lagrangian structure.

On the moduli space (1.9), less is known. Some of the authors in [1] proved that the moduli space contains a family of metrics with finitely many Delaunay-type ends attached when the background manifold is non-degenerate and has a suitable higher order derivative vanishing of the Weyl tensor. However, the standard round sphere is not included in this class. Notice that if the singular set is empty, a recent result of Gursky, Hang, and Lin [20] proves that the moduli space is non-empty.

Inspired by the arguments in [29], the proof of Theorem 1.3 is divided into three parts that we describe as follows. First, we need to introduce the so-called sixth order geometric Pohozaev invariant, which is related to the Hamiltonian energy of the limiting ODE [28, 31]. Second, we obtain an apriori upper bound and for positive singular solutions to  $(Q_{6,g_0,N})$ , estimates which are accomplished by combining a sliding method, a blow-up argument, and a Harnack inequality. From this, we obtain uniform bound on certain Hölder norms, which by compactness, allows us to extract a limit, up to subsequence. Third, we use the first order asymptotic expansion for the Green function of the sixth order GJMS operator near the pole and the fact the necksizes are away from zero shows that this limit is non-trivial. Finally, one can apply a removable singularity theorem to conclude the proof.

The rest of the paper is divided as follows. In Sect. 3, we define the logarithmic cylindrical change of variables and we use the conformal invariance between the punctured space and the cylinder to transform  $(\mathcal{Q}_{6,g_0,N})$  into a PDE on the cylinder. In Sect. 4, we describe all singular solutions on a doubly punctured sphere. These Delaunay metrics are especially important because they provide asymptotic models for the metrics in  $\mathcal{M}_N^6$  near a given puncture point. In Sect. 5, we define the sixth order Pohozaev invariants associated with  $(\mathcal{Q}_{6,g_0,N})$ . In Sect. 6, we prove *a priori* upper and lower bound estimates for positive singular solutions to  $(\mathcal{Q}_{6,g_0,N})$ . In Sect. 7, we prove the compactness statement in Theorem 1.3.

**Remark 1.6** Several of our supporting results below generalize to the Paneitz operators and Q-curvatures of any order  $\sigma \in (0, n/2)$ , at least in the conformally flat setting. In particular, the convexity result of Lemma 6.1 and the upper bound of Proposition 6.2 both generalize and may be of independent interest. On the other hand, some parts of the proof of Theorem 1.3 do not carry over. In particular, at this time we cannot classify all two-ended constant  $Q^{2\sigma}$ -curvature metrics on the sphere, which is very important for our proof.

#### 2 Notation

Let us establish some standard terminology and definitions. In what follows, we will always be using Einstein's summation convention.

- $\delta = g_{\mathbb{R}^n}$  denotes the standard Euclidean metric;
- $g_0 = g_{\mathbb{S}^n}$  denotes the standard round metric;
- $(e_i)_{i=1}^n$  denotes a local coordinate frame;
- $\mathfrak{T}_s^r(M)$  denotes the set of (r, s)-type tensor over M with  $\mathfrak{T}_0^0(M) = \mathcal{C}^\infty(M)$ ;



- $\operatorname{Rm}_g \in \mathfrak{T}_0^4(M)$  (or  $\operatorname{Rm}_g \in \mathfrak{T}_1^3(M)$ ) denotes the (or covariant) Riemannian curvature tensor,
- $\operatorname{Ric}_g = \operatorname{tr}_g \operatorname{Rm}_g \in \mathfrak{T}^2_0(M)$  denotes the Ricci curvature tensor, which can be expressed as  $\operatorname{Ric}_{jk} = \operatorname{Rm}^i_{ijk} = g^{i\ell} \operatorname{Rm}_{ijk\ell}$ ;
- $R_g = \operatorname{tr}_g \operatorname{Ric}_g \in \mathfrak{T}_0^0(M)$  denotes the scalar curvature given by  $R_g = g^{ij} \operatorname{Ric}_{ij}$ ;
- $\Delta_g = g^{ij} \nabla_i \nabla_j$  denotes the Laplace–Beltrami operator;
- $\delta_g = \text{div}_g$  denotes the metric divergence;
- $\nabla_g$  denotes the Levi–Civita connection;
- $\operatorname{tr}_g : \mathfrak{T}_s^r(M) \to \mathfrak{T}_s^{r-2}(M)$  denotes a trace operator;
- $a_1 \lesssim a_2$  if  $a_1 \leqslant Ca_2$ ,  $a_1 \gtrsim a_2$  if  $a_1 \geqslant Ca_2$ , and  $a_1 \simeq a_2$  if  $a_1 \lesssim a_2$  and  $a_1 \gtrsim a_2$ .
- $u = \mathcal{O}(f)$  as  $x \to x_0$  for  $x_0 \in \mathbb{R} \cup \{\pm \infty\}$ , if  $\limsup_{x \to x_0} (u/f)(x) < \infty$  is the Big-O notation;
- u = o(f) as  $x \to x_0$  for  $x_0 \in \mathbb{R} \cup \{\pm \infty\}$ , if  $\lim_{x \to x_0} (u/f)(x) = 0$  is the little-o notation;
- $u \simeq \widetilde{u}$ , if  $u = \mathcal{O}(\widetilde{u})$  and  $\widetilde{u} = \mathcal{O}(u)$  as  $x \to x_0$  for  $x_0 \in \mathbb{R} \cup \{\pm \infty\}$ ;
- $C^{j,\alpha}(M)$ , where  $j \in \mathbb{N}$  and  $\alpha \in (0, 1)$ , is the classical Hölder space; we simply denote  $C^j(M)$  when  $\alpha = 0$ ;
- $\gamma_n = \frac{n-6}{2}$  is the Fowler rescaling exponent;
- $2^{\#} = \frac{2n}{n-6}$  is the critical Sobolev exponent.

It is also convenient to define some operations involving two tensors.

**Definition 2.1** Let  $(M^n, g)$  be a closed Riemannian manifold with  $n \ge 7$ . We define the following operations with tensors

(a) cross product  $\times : \operatorname{Sym}_2(M) \times \operatorname{Sym}_2(M) \to \operatorname{Sym}_2(M)$  given by

$$(h \times k)_{ij} := g^{m\ell} h_{im} k_{j\ell} = h_i^{\ell} k_{\ell j}.$$

(b) dot product  $\cdot$ : Curv<sub>2</sub>(M)  $\times$  Sym<sub>2</sub>(M)  $\rightarrow \mathbb{R}$  given by

$$h \cdot k := \operatorname{tr}_g(h \times k) = g^{ij} g^{m\ell} h^{im} k_{j\ell} = h^{jm} k_{jm}.$$

(c) Kulkarni–Nomizu product  $\otimes : \operatorname{Sym}_2(M) \times \operatorname{Sym}_2(M) \to \mathfrak{T}_0^4(M)$  given by

$$(h \otimes k)_{ijm\ell} := h_{i\ell}k_{jm} + h_{jm}k_{i\ell} - h_{im}k_{j\ell} - h_{j\ell}k_{im}.$$

(d) dot operator  $\cdot$ : Sym<sub>2</sub>(M)  $\rightarrow$  Sym<sub>2</sub>(M) given by

$$(\operatorname{Rm} \cdot h)_{im} := R_{iim\ell} h^{i\ell}.$$

(e)  $L^2$ -formal adjoint of the Lie derivative  $\delta_g : \operatorname{Sym}_2(M) \to \mathbb{R}$  given by

$$(\delta_g h)_i := -(\operatorname{div}_g h)_i = -\nabla_g^j h_{ij},$$

where  $\operatorname{Sym}_2(M)$  is the set of nondegenerate symmetric bilinear forms and  $\operatorname{Curv}_2(M)$  is the set of algebraic curvature operators.



# 3 Cylindrical coordinates

This section is devoted to constructing a change of variables that transforms the local singular PDE  $(\mathcal{P}_{6,R})$  problem into a nice ODE problem with constant coefficients. This is the conformally flat problem associated with  $(\mathcal{Q}_{6,g_0,N})$ .

**Definition 3.1** We define the sixth order autonomous Emden-Fowler change of variables as follows. Let R > 0 and  $T = -\ln R$  and  $C_T = (T, \infty) \times \mathbb{S}^{n-1}$ . We then define

$$\mathfrak{F}: \mathcal{C}^{\infty}(B_R^*) \to \mathcal{C}^{\infty}(\mathcal{C}_T), \qquad \mathfrak{F}(u)(t,\theta) = e^{-\gamma_n t} u(e^{-t}\theta) = v(t,\theta),$$
 (3.1)

where  $\gamma_n = \frac{n-6}{2}$ .

It is easy to show the inverse transform is given by

$$\mathfrak{F}^{-1}: \mathcal{C}^{\infty}(\mathcal{C}_T) \to \mathcal{C}^{\infty}(B_R^*), \qquad \mathfrak{F}^{-1}(v)(x) = |x|^{-\gamma_n} v(-\ln|x|, x/|x|) = u(x).$$

Using  $\mathfrak{F}$  and performing a lengthy computation we arrive at the following sixth order nonlinear PDE on  $\mathcal{C}_T$ :

$$-P_{\text{cyl}}^6 v = c_n v^{\frac{n+6}{n-6}} \quad \text{on} \quad \mathcal{C}_T. \tag{C_T}$$

Here  $P_{\text{cyl}}^6$  is the sixth order GJMS operator associated to the cylindrical metric  $g_{\text{cyl}} = dt^2 + d\theta^2$  on  $\mathbb{R} \times \mathbb{S}^{n-1}$ , and it is given by

$$P_{\text{cyl}}^6 := P_{\text{rad}}^6 + P_{\text{ang}}^6,$$

where

$$P_{\text{rad}}^6 := \partial_t^{(6)} - K_4 \partial_t^{(4)} + K_2 \partial_t^{(2)} - K_0$$

and

$$P_{\rm ang}^6 := 2\partial_t^{(4)} \Delta_\theta - J_3 \partial_t^{(3)} \Delta_\theta + J_2 \partial_t^{(2)} \Delta_\theta - J_1 \partial_t \Delta_\theta + J_0 \Delta_\theta + 3\partial_t^{(2)} \Delta_\theta^2 - L_0 \Delta_\theta^2 + \Delta_\theta^3$$

with

$$K_0 = 2^{-8}(n-6)^2(n-2)^2(n+2)^2$$

$$K_2 = 2^{-4}(3n^4 - 24n^3 + 72n^2 - 96n + 304)$$

$$K_4 = 2^{-2}(3n^2 - 12n + 44)$$

$$J_0 = 2^{-3}(3n^4 - 18n^3 - 192n^2 + 1864n - 3952)$$

$$J_1 = 2^{-1}(3n^3 + 3n^2 - 244n + 620)$$

$$J_2 = 2n^2 + 13n - 68$$



$$J_3 = 2(n+1)$$

$$L_0 = 2^{-2}(3n^2 - 12n - 20)$$
(3.2)

dimensional constants. For more details on these computations, we refer to [4, Appendix A] (see also [10] for a general computation in product manifolds).

## **Remark 3.2** The following decomposition holds

$$P_{\mathrm{rad}}^6 = L_{\lambda_1} \circ L_{\lambda_2} \circ L_{\lambda_3},$$

where  $L_{\lambda_j} := -\partial_t^2 + \lambda_j$  for j = 1, 2, 3 with

$$\lambda_1 = \frac{(n-6)^2}{2}$$
,  $\lambda_2 = \frac{(n-2)^2}{2}$ , and  $\lambda_3 = \frac{(n+2)^2}{2}$ .

We refer the reader to [3, Proposition 2.7] for the proof.

# 4 Spherical and Delaunay metrics

In this section, we present some particular model metrics on the moduli space. Let  $p_1, p_2 \in \mathbb{S}^n$ , which without loss of generality can be chosen such that  $p_1 = \mathbf{e}_n$  is the north pole and  $p_2 = -p_1$  is the south pole. The conformal factor  $U : \mathbb{S}^n \setminus \{p_1, p_2\} \to (0, \infty)$  determines a metric  $g \in \mathcal{M}_{p_1, p_2}$  and after composing with a stereographic projection it corresponds to a singular solution to  $(\mathcal{P}_{6,\infty})$ 

Applying the cylindrical transform (3.1) to this PDE in turn yields

$$-P_{\text{cyl}}^6 v = c_n v^{\frac{n+6}{n-6}} \quad \text{on} \quad \mathcal{C}_{\infty} := \mathbb{R} \times \mathbb{S}^n.$$

Next, using that these solutions to  $(\mathcal{Q}_{6,g_0,N})$  are radially symmetric with respect to the origin, Theorem A reduces  $(\mathcal{C}_T)$  to a sixth order ODE problem

$$-v^{(6)} + K_4 v^{(4)} - K_2 v^{(2)} + K_0 v = c_n v^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathbb{R}. \tag{$\mathcal{O}_{6,\infty}$}$$

From this last formulation, we quickly compute the cylindrical solution

$$v_{\rm cyl}(t) = \left(\frac{K_0}{c_n}\right)^{\frac{12}{n-6}} = \left(\frac{K_0}{c_n}\right)^{\frac{6}{\gamma_n}} = \varepsilon_n^* > 0,$$

which is the only constant solution. Transforming back from the cylinder to  $\mathbb{R}^n \setminus \{0\}$  we see

$$u_{\text{cyl}}(x) = \mathfrak{F}^{-1}(v_{\text{cyl}}) = \left(\frac{K_0}{c_n}\right)^{\frac{12}{n-6}} |x|^{-\gamma_n}, \quad g_{\text{cyl}} = u_{\text{cyl}}^{\frac{4}{n-6}} \delta.$$



We have already encountered the spherical solution, given by

$$u_{\rm sph}(x) = \left(\frac{1+|x|^2}{2}\right)^{-\gamma_n} \quad \text{and} \quad g_{\rm sph} = u_{\rm sph}^{4/(n-6)}\delta,$$
 (4.1)

which is the particular case of (1.5) with  $\varepsilon = 1$  and  $x_0 = 0$ . Applying the Emden-Fowler change of variables to  $u_{\rm sph}$  we obtain

$$v_{\rm sph}(t,\theta) = \mathfrak{F}(u_{\rm sph})(t,\theta) = (\cosh t)^{-\gamma_n}.$$

In this setting, Theorem A classifies all positive solutions  $v_{\varepsilon_0} \in \mathcal{C}^6(\mathbb{R})$  to  $(\mathcal{O}_{6,\infty})$  in terms of the necksize  $\varepsilon_0 \in (0, \varepsilon_n^*]$ , where  $\varepsilon_0 = \min_{\mathbb{R}} v \in (0, \varepsilon_n^*]$ . Varying the parameter  $\varepsilon$  from its maximal value of  $\varepsilon_n^*$  to 0, we see that the Delaunay solutions in Theorem A (b) interpolate between the cylindrical solution  $v_{\text{cyl}}$  and the spherical solution  $v_{\text{sph}}$ . We denote the minimal period of  $v_{\varepsilon}$  by  $T_{\varepsilon}$ .

**Definition 4.1** For each  $\varepsilon \in (0, \varepsilon_n^*]$  the Delaunay metric of necksize  $\varepsilon$  is

$$g_{\varepsilon} = v_{\varepsilon}^{\frac{4}{n-6}} (\mathrm{d}t^2 + \mathrm{d}\theta^2) = u_{\varepsilon}^{\frac{4}{n-6}} \delta,$$

where  $u_{\varepsilon} = \mathfrak{F}^{-1}(v_{\varepsilon})$ . Observe that we have equivalently defined  $g_{\varepsilon}$  as a metric on  $\mathcal{C}_{-\infty}$ , using  $v_{\varepsilon}$  as the conformal factor, and on  $\mathbb{R}^n \setminus \{0\}$ , using  $u_{\varepsilon} = \mathfrak{F}^{-1}(v_{\varepsilon})$  as the conformal factor.

We can reformulate the expansion (1.7) to read

**Proposition 4.2** Let  $g \in \mathcal{M}_N^6$  with the singular set  $\Lambda$  and let  $p \in \Lambda$ . Then there exists a Delaunay solution  $u_{\varepsilon}$  such that in stereographic coordinates centered at p the asymptotic expansion

$$g = ((1 + o(|x|))u_{\varepsilon,R}(x))^{\frac{4}{n-6}}\delta, \quad u_{\varepsilon,R}(x) = u_{\varepsilon}(Rx).$$

We can restate this asymptotic expansion as

$$g = ((1 + o(|x|))\mathfrak{F}^{-1}(v_{\varepsilon}(\cdot + T))(x))^{\frac{4}{n-6}}\delta = ((1 + o(e^{-t}))v_{\varepsilon}(t+T))^{\frac{4}{n-6}}(dt^{2} + d\theta^{2}).$$

In other words, any admissible metric is asymptotic to a translated Delaunay metric near a puncture. In the formulae above R and T are related by  $R=-\ln T$ .

## 5 Pohozaev invariants

We now turn to a discussion of the existence and specific form of a family of homological integral invariants of solutions of equation  $(Q_{6,g_0,N})$ . These homological invariants were discovered in their simplest form by Pohozaev [28], and generalized by Schoen [31] to the Riemannian setting. Later, Gover and Ørsted [16] defined



Pohozaev invariants for general conformally variational invariants, which includes higher order *Q*-curvature operators.

As a starting point, we define the Hamiltonian energy by

$$\mathcal{H}_{\text{cyl}}(v) := \mathcal{H}_{\text{rad}}(v) + \mathcal{H}_{\text{ang}}(v) + F(v), \tag{5.1}$$

where

$$\mathcal{H}_{\mathrm{rad}}(v) := \frac{1}{2} v^{(3)^2} + \frac{K_4}{2} v^{(2)^2} + \frac{K_2}{2} v^{(1)^2} - \frac{K_0}{2} v^2 + v^{(5)} v^{(1)} - v^{(4)} v^{(2)} - K_4 v^{(3)} v^{(1)},$$

is the radial part,

$$\mathcal{H}_{ang}(v) := -J_4 \left( \partial_t^{(3)} \nabla_{\theta} v \partial_t \nabla_{\theta} v - |\partial_t^{(2)} \nabla_{\theta} v|^2 \right) - \frac{J_2}{2} \left| \partial_t^{(2)} \nabla_{\theta} v \right|^2 - \frac{J_1}{2} \left| \partial_t^{(2)} \nabla_{\theta} v \right|^2 - \frac{J_2}{2} \left| \partial_t^{(2)} \nabla_{\theta} v \right|^2 - \frac{J_2}{2} \left| \partial_t^{(2)} \Delta_{\theta} v \right|^2 + \frac{L_2}{2} \left| \partial_t^{(2)} \Delta_{\theta} v \right|^2 + \frac{L_2}{2} \left| \partial_t^{(2)} \Delta_{\theta} v \right|^2.$$

is the angular part and

$$F(v) := \frac{c_n(n-6)}{2n} |v|^{\frac{2n}{n-6}}$$

is the nonlinear term.

Evaluating a derivative, one can easily verify  $\mathcal{H}_{\text{cyl}}(v)$  is constant for any solution v of the PDE  $(\mathcal{C}_T)$ . We further observe that the last term F in (5.1) is homogeneous of degree  $\frac{2n}{n-6}$  while the remaining terms are all homogeneous of degree 2.

**Definition 5.1** Let  $v \in C^6(C_T)$  be a positive solution to  $(C_T)$ . We define its cylindrical Pohozaev invariant as

$$\mathcal{P}_{\text{cyl}}(v) := \int_{\{t\} \times \mathbb{S}^{n-1}} \mathcal{H}_{\text{cyl}}(v) d\theta \text{ for any } t > T.$$

Observe that this integral does not, in fact, depend on t.

In light of the cylindrical transformation from Definition 3.1, we can define this invariant in spherical coordinates

**Definition 5.2** Let  $u \in C^6(\mathbb{B}_R^*)$  be a positive solution to  $(\mathcal{P}_{6,R})$ . We define its spherical Pohozaev invariant as

$$\mathcal{P}_{\mathrm{sph}}(u) := (\mathcal{P}_{\mathrm{cyl}} \circ \mathfrak{F}^{-1})(u) = \int_{\{t\} \times \mathbb{S}^{n-1}} \mathcal{H}_{\mathrm{cyl}}(\mathfrak{F}^{-1}(u)) d\theta.$$

Finally, in terms of conformal metrics, we have the following definition of an invariant associated with metrics in the moduli space.



**Definition 5.3** Let  $g \in \mathcal{M}_N^6$  and  $p_j \in \Lambda$ . We define its radial (or dilational) Pohozaev invariant at the puncture  $p_j$  as follows. Choose stereographic coordinates sending  $p_j$  to the origin and write  $g = u^{\frac{4}{n-6}} \delta$  in these coordinates. Then define

$$\mathcal{P}_{\mathrm{rad}}(g, p_j) := \mathcal{P}_{\mathrm{sph}}(u) = \int_{\{t\} \times \mathbb{S}^{n-1}} \mathcal{H}_{\mathrm{cyl}}(\mathfrak{F}^{-1}(u)) \mathrm{d}\theta.$$

The most important result of this section states that bounding the radial Pohozaev invariants away from zero is equivalent to bounding the necksizes of the Delaunay asymptotes away from zero.

**Proposition 5.4** Let  $g \in \mathcal{M}_N^6$  and  $p_j \in \Lambda$ . Then  $\mathcal{P}_{rad}(g, p_j)$  is well-defined, negative and depends only on the necksize  $\varepsilon_j$  of the Delaunay asymptote at  $p_j \in \Lambda$ . Moreover, decreasing  $\varepsilon_j$  will increase  $\mathcal{P}_{rad}(g, p_j)$  and if  $\varepsilon_j \setminus 0$  then  $\mathcal{P}_{rad}(g, p_j) \nearrow 0$ .

**Proof** By construction, the integral defining  $\mathcal{P}_{\text{rad}}(g, p_j)$  does not depend on which sphere  $\{t\} \times \mathbb{S}^{n-1}$  we choose, so long as t is sufficiently large, and therefore  $\mathcal{P}_{\text{rad}}$  is well-defined. By the asymptotics in Theorem B we know that the conformal factor is asymptotic to a Delaunay solution  $u_{\varepsilon}$ , and so letting  $t \to \infty$  we see

$$\mathcal{P}_{\mathrm{rad}}(g, p_j) = \lim_{t \to \infty} \int_{\{t\} \times \mathbb{S}^{n-1}} \mathcal{H}_{\mathrm{cyl}}(\mathfrak{F}^{-1}(u)) d\theta = \lim_{t \to \infty} \int_{\{t\} \times \mathbb{S}^{n-1}} \mathcal{H}_{\mathrm{cyl}}(v_{\varepsilon}) d\theta < 0.$$

The remaining properties follow directly from the energy ordering of the Delaunay solutions as described in [3, Lemma 4.14].

**Remark 5.5** One often finds integral invariants in geometric variational problems. For more details on a class of general higher order conformally invariant locally conserved tensors, we cite [16]. These invariants arise from the conformal invariance of  $(Q_{6,g_0,N})$ , by Noether's famous conservation theorem.

For our later applications, we will need a slight refinement of Proposition 5.4.

**Proposition 5.6** Let  $v \in C^6(C_T)$  be a positive solution to the following rescaled equation

$$-P_{\rm cyl}^6 v = A v^{\frac{n+6}{n-6}}$$

for some constant A and let

$$\mathcal{H}_{\text{cyl}}^{A}(v) = \mathcal{H}_{\text{rad}}(v) + \mathcal{H}_{\text{ang}}(v) + \frac{(n-6)A}{2n} |v|^{\frac{2n}{n-6}}.$$

Then

$$\int_{\{t\}\times\mathbb{S}^{n-1}}\mathcal{H}_{\mathrm{cyl}}^A(v)\mathrm{d}\theta$$

is independent of t.



**Proof** The proposition follows from taking the derivative with respect to t and integrating by parts.

### 6 Uniform estimates

This section is devoted to proving uniform upper and lower estimates near the singular set for positive singular solutions to  $(Q_{6,80,N})$ .

We begin by quoting a superharmonicity result of Ngô and Ye [27]. We also remark on a similar superharmonicity result for a related integral equation Ao et al. [5].

**Proposition A** Let  $u \in C^{\infty}(\mathbb{R}^n \setminus \Gamma)$  be a positive solution to  $(\mathcal{Q}_{6,\delta,N})$ . Then additionally  $-\Delta u \geqslant 0$  and  $\Delta^2 u \geqslant 0$  in  $\mathbb{R}^n \setminus \Gamma$ .

**Proof** Following [27, Proposition 1.5] we see that u is both weakly superharmonic and weakly superbiharmonic in  $\mathbb{R}^n$ . In other words, for a smooth test function  $\phi$  compactly supported in  $\mathbb{R}^n \setminus \Gamma$ , we have

$$\int_{\mathbb{R}^n} u(-\Delta)\phi dx \geqslant 0 \text{ and } \int_{\mathbb{R}^n} u(-\Delta)^2 \phi dx \geqslant 0.$$

Standard elliptic regularity then implies u is superharmonic and superbiharmonic where it is smooth, namely in  $\mathbb{R}^n \setminus \Gamma$ .

The first step is a sixth order version of the convexity result [30, Proposition 1], which is proved using the Alexandrov's moving planes (see also [12, Theorem 4.1] for a fourth order version).

**Lemma 6.1** Let  $g = U^{4/(n-6)}g_0$  be a complete metric on  $\Omega = \mathbb{S}^n \setminus \Lambda$  which is conformal to the round metric, such that  $Q_g^6$  is a positive constant. Then the boundary of any (spherically) round ball in  $\Omega$  has a non-negative definite second fundamental form with respect to g.

**Proof** We let  $\mathcal{B}$  be a geodesic ball with respect to the round metric such that  $\overline{\mathcal{B}} \subset \Omega$  and choose a stereographic projection that sends  $\mathcal{B}$  to the half-space  $\{x \in \mathbb{R}^n : x_1 < 0\}$ . As before, we denote the image of the singular set  $\Lambda$  under this stereographic projection by  $\Gamma$ . With respect to these stereographic coordinates the metric takes the form  $g = u^{4/(n-6)}\delta$  where  $u \in \mathcal{C}^{\infty}(\mathbb{R}^n \setminus \Gamma)$  satisfies  $(\mathcal{Q}_{6,\delta,N})$ , namely  $u : \mathbb{R}^n \setminus \Gamma \to (0,\infty)$  satisfy

$$(-\Delta)^3 u = c_n u^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathbb{R}^n \setminus \Gamma.$$

Furthermore, the boundary of our round ball is  $\partial \mathcal{B} = \{x \in \mathbb{R}^n : x_1 = 0\}$  and is oriented by the outward unit normal  $\eta_g = u^{-2/(n-6)} \partial_{x_1}$ . It follows that the second fundamental form II and mean curvature H of  $\partial \mathcal{B}$  are given by

$$II_{ij} = \langle \nabla_{\partial x_j} \eta, \partial_{x_i} \rangle = \frac{2}{n-6} \delta_{ij} u^{\frac{8-n}{n-6}} \partial_{x_1} u, \quad H = \frac{2n}{n-6} u^{\frac{8-n}{n-6}} \partial_{x_1} u.$$



Therefore, (weak) convexity of  $\partial \mathcal{B}$  follows once we show  $\partial_{x_1} u \ge 0$  along the hyperplane  $\{x_1 = 0\}$ .

By Proposition A, we have

$$-\Delta u \geqslant 0$$
 and  $(-\Delta)^2 u \geqslant 0$  in  $\mathbb{R}^n \setminus \Gamma$ .

We now rewrite  $(Q_{6,\delta,N})$  as a second order system, namely letting

$$\mathbf{u}_0 = u$$
,  $\mathbf{u}_1 = -\Delta u$ , and  $\mathbf{u}_2 = (-\Delta)^2 u$ ,

we obtain that  $u_i : \mathbb{R}^n \setminus \Gamma \to (0, \infty)$  for i = 0, 1, 2 satisfy

$$\begin{cases}
-\Delta u_0 = u_1 \geqslant 0 \\
-\Delta u_1 = u_2 \geqslant 0 \\
-\Delta u_2 = c_n u_0^{\frac{n+6}{0}} \geqslant 0.
\end{cases}$$
(6.1)

It follows from [32, Theorem 2.7] that the Newtonian capacity of the singular set vanishes, i.e.  $cap(\Gamma) = 0$ . As a consequence, one can find  $a_0 > 0$  and  $a_j \in \mathbb{R}$  for j = 1, ..., n such that

$$\begin{cases} u_{0}(x) &= a_{0}|x|^{6-n} + \sum_{j=1}^{n} a_{j}x_{j}|x|^{4-n} + \mathcal{O}(|x|^{4-n}) \\ \partial_{x_{i}}u_{0}(x) &= -(n-6)a_{0}x_{i}|x|^{4-n} + \mathcal{O}(|x|^{4-n}) \\ \partial_{x_{i}x_{j}}^{2}u_{0}(x) &= \mathcal{O}(|x|^{4-n}), \end{cases}$$
(6.2)

which, by differentiating further, yields

$$\begin{cases}
 u_{1}(x) &= b_{0}|x|^{4-n} + \sum_{j=1}^{n} b_{j}x_{j}|x|^{2-n} + \mathcal{O}\left(|x|^{2-n}\right) \\
 \partial_{x_{i}}u_{1}(x) &= -(n-4)b_{0}x_{i}|x|^{-n} + \mathcal{O}\left(|x|^{2-n}\right) \\
 \partial_{x_{i}x_{j}}^{2}u_{1}(x) &= \mathcal{O}\left(|x|^{2-n}\right)
\end{cases} (6.3)$$

and

$$\begin{cases}
\mathbf{u}_{2}(x) &= c_{0}|x|^{2-n} + \sum_{j=1}^{n} c_{j}x_{j}|x|^{-n} + \mathcal{O}(|x|^{-n}) \\
\partial_{x_{i}}\mathbf{u}_{2}(x) &= -(n-2)c_{0}x_{i}|x|^{-n} + \mathcal{O}(|x|^{2-n}) \\
\partial_{x_{i}x_{j}}^{2}\mathbf{u}_{2}(x) &= \mathcal{O}(|x|^{-n})
\end{cases} (6.4)$$

as  $|x| \to 0$ , where  $b_0, c_0 > 0$  and  $b_j, c_j \in \mathbb{R}$  for  $j = 1, \dots, n$ .

We are now ready to set up the method of moving planes applied to the triple of functions  $(u_0, u_1, u_2)$ . For any  $\lambda \in \mathbb{R}$ , we let  $\Sigma_{\lambda} = \{x \in \mathbb{R}^n : x_1 > \lambda\}$  and  $T_{\lambda} = \partial \Sigma_{\lambda} = \{x \in \mathbb{R}^n : x_1 = \lambda\}$ . We also set  $\Sigma'_{\lambda} = \Sigma_{\lambda} \setminus \Gamma$ . For any  $x \in \Sigma'_{\lambda}$ , we let

$$x^{\lambda} = (2\lambda - x_1, x_2, \dots, x_n)$$



be the reflection of x across the hyperplane  $T_{\lambda} = \{x_1 = \lambda\}$ . Finally, our goal in moving planes is to show that for any  $\lambda \le 0$  and i = 0, 1, 2, we have

$$w_i^{\lambda}(x) > 0 \text{ for } i = 0, 1, 2,$$
 (6.5)

where  $w_i^{\lambda}: \Sigma_{\lambda}' \to \mathbb{R}$  is given by

$$\mathbf{w}_i^{\lambda}(x) = \mathbf{u}_i(x) - \mathbf{u}_i(x^{\lambda}).$$

Once we establish (6.5), letting  $\lambda \nearrow 0$  the first inequality implies  $\partial_{x_1} \mathbf{u} \ge 0$  on  $T_0 = \partial \mathcal{B}$ , completing our proof.

Observe that the expansion (6.4) implies  $u_2$  is not identically zero. Thus, using the strong maximum principle and the last equation in (6.1), we see that  $u_2 > 0$  on  $\mathbb{R}^n \setminus \Gamma$ . Working backwards, the inequality  $u_2 > 0$  and the same reasoning implies  $u_1 > 0$  on  $\mathbb{R}^n \setminus \Gamma$ , which then in turn gives us  $u_0 > 0$  on  $\mathbb{R}^n \setminus \Gamma$ .

The singular set  $\Gamma$  is compact, so there exists  $R_0 > 0$  such that  $\Gamma \subset \mathbb{B}_{R_0}(0)$ . We use the extended maximum principle [24, Theorem 3.4] to conclude there exists  $\delta > 0$ , depending on  $R > R_0$ , such that

$$|u_0|_{\mathbb{B}_R(0)\setminus\Gamma} \geqslant \delta, \quad |u_1|_{\mathbb{B}_R(0)\setminus\Gamma} \geqslant \delta, \quad \text{and} \quad |u_2|_{\mathbb{B}_R(0)\setminus\Gamma} \geqslant \delta.$$
 (6.6)

Combining our expansion (6.4) with [7, Lemma 2.3] there exists  $R_1 > 0$  and  $\lambda_1 \leq \lambda_0$  such that for each  $\lambda < \lambda_1$  we have

$$\mathbf{w}_0^{\lambda}(x) > 0$$
,  $\mathbf{w}_1^{\lambda}(x) > 0$ , and  $\mathbf{w}_2^{\lambda}(x) > 0$  for  $x \in \Sigma_{\lambda}$  and  $|x| > R$ .

Using this inequality together with (6.6) then implies that there exists  $\lambda_2 \leqslant \lambda_1$  such that

$$w_0^{\lambda}(x) > 0$$
,  $w_1^{\lambda}(x) > 0$ , and  $w_2^{\lambda}(x) > 0$  on  $\Sigma_{\lambda}'$  for each  $\lambda \leqslant \lambda_2$ .

By construction

$$\Delta w_2^{\lambda}(x) = c_n \left( u_0(x^{\lambda})^{\frac{n+6}{n-6}} - u_0(x)^{\frac{n+6}{n-6}} \right) < 0 \quad \text{on} \quad \Sigma_{\lambda}' \quad \text{for each} \quad \lambda \leqslant \lambda_2. \quad (6.7)$$

On the other hand, the asymptotic expansion (6.4) implies

$$\mathbf{w}_2^{\lambda}(x) \to 0 \quad \text{as} \quad |x| \to \infty.$$
 (6.8)

Putting together (6.7), (6.8) and  $w_2^{\lambda}\big|_{T_{\lambda}}=0$ , we see by the maximum principle that  $w_2^{\lambda}(x)\geqslant 0$  for each  $x\in \Sigma_{\lambda}'$  and  $\lambda\leqslant \lambda_2$ . However, by the completeness of the metric g on  $\Omega$  we know that  $w_2^{\lambda}$  is not identically zero on  $\Sigma_{\lambda}'$ , so again the maximum principle actually implies  $w_2^{\lambda}(x)>0$  for each  $x\in \Sigma_{\lambda}'$  and  $\lambda\leqslant \lambda_2$ . Once again, analogous arguments imply  $w_1^{\lambda}>0$  and  $w_0^{\lambda}>0$  on  $\Sigma_{\lambda}'$  for each  $\lambda\leqslant \lambda_2$ .



At this point, we define

$$\lambda^* = \sup\{\lambda \leqslant 0 : \mathbf{w}_i^{\mu}(x) > 0 \text{ for each } \mu \leqslant \lambda \text{ and } i = 0, 1, 2\}$$

and prove that  $\lambda^* = 0$ . Following our definitions, we have

$$\Delta w_0^{\lambda}(x) = -\Delta u_0(x) + \Delta u_0(x^{\lambda}) < 0$$

for each  $x \in \Sigma'_{\lambda}$  and  $\lambda < \lambda^*$ , and so  $\Delta w_0^{\lambda^*} \leq 0$  on  $\Sigma'_{\lambda^*}$ . By similar arguments, we also have

$$\Delta w_1^{\lambda^*}\leqslant 0 \ \ \text{and} \ \ \Delta w_2^{\lambda^*}\leqslant 0 \ \ \text{on} \ \ \Sigma_{\lambda^*}'.$$

Now suppose  $\lambda^* < 0$  and let  $x^* \in \overline{\Sigma}'_{\lambda^*}$  such that  $w_i^{\lambda^*}(x^*) = 0$  for some i = 0, 1, 2. If  $x^{\lambda^*} \in \Sigma'_{\lambda^*}$  is an interior point then the maximum principle implies  $w_i^{\lambda^*} \equiv 0$ , which in turn means  $u_i$  is symmetric about the hyperplane  $T_{\lambda^*}$ . This is impossible because the singular set  $\Gamma$  lies to one side of  $T_{\lambda^*}$ . On the other hand, if  $x^* \in T_{\lambda^*}$  then by the Hopf boundary lemma (together with the fact that  $w_i^{\lambda^*}$  may not be constant in  $\Sigma'_{\lambda^*}$ ) we have

$$0 < \partial_{x_1} \mathbf{w}_i^{\lambda^*}(x^*) = 2\partial_{x_1} \mathbf{u}_i(x^*). \tag{6.9}$$

However, the asymptotic expansions (6.2), (6.3) and (6.4) combined with  $\lambda^* < 0$  tells us

$$u_i(x) - u_i(x^{\lambda^*}) \ge \delta_3 \text{ for } |x| > R_2 \text{ and } x_1 = \lambda^*$$
 (6.10)

for some positive numbers  $\delta_3$  and  $R_2$ . Combining (6.9) and (6.10) implies the inequality  $w_i^{\lambda}$  continues to hold for some small value  $\lambda < \lambda^*$ , contradicting the definition of  $\lambda^*$ .

Next, we prove the upper bound estimate. Our proof borrows from Pollack's proof of the corresponding upper bound in the scalar curvature case.

**Proposition 6.2** Let  $u \in C^{\infty}(\Omega)$  be a positive singular solution to  $(\mathcal{Q}_{6,g_0,N})$ . There exists  $C_1 > 0$  depending only on n and d satisfying

$$u(x) \leqslant C_1 d_{g_0}(x, \Lambda)^{-\gamma_n}$$
.

**Proof** Let  $p_0 \notin \Lambda$ , and  $\rho > 0$  such that  $\mathcal{B}_{\rho}(p_0) \subset \Omega$ , where  $\mathcal{B}_{\rho}(p_0)$  is a geodesic ball with respect to the round metric. We define the auxiliary function  $\psi_{\rho} : \mathcal{B}_{\rho}(p_0) \to \mathbb{R}$  by

$$\psi_{\rho}(x) = (\rho - d_{g_0}(x, x_0))^{\gamma_n} u(x).$$



Notice that choosing  $\rho = \frac{1}{2} d_{g_0}(x_0, \Lambda)$ , it follows

$$\psi_{\rho}(x_0) = \rho^{\gamma_n} u(x_0) = 2^{-\gamma_n} d_{g_0}(x_0, \Lambda)^{\gamma_n} u(x_0).$$

We claim that there exists C > 0 depending only on n such that  $\psi_{\rho}(x) \leq C$  for all admissible choices of  $\lambda$ , u,  $x_0$ , and  $\rho$ . We suppose by contradiction that one can find sequences  $\{\Lambda_k\}_{k\in\mathbb{N}}$ ,  $\{u_k\}_{k\in\mathbb{N}}$ ,  $\{p_{0,k}\}_{k\in\mathbb{N}}$ , and  $\{\rho_k\}_{k\in\mathbb{N}}$  of admissible parameters satisfying

$$M_k = \psi_\rho(p_{1,k}) = \sup_{x \in \mathcal{B}_{\rho_k}(p_{0,k})} \psi_\rho(x) \to +\infty.$$

Also, we observe  $\psi_{\rho}|_{\partial\mathcal{B}_{\rho_k}(p_{0,k})} = 0$ , so  $p_{1,k} \in \operatorname{int}(\mathcal{B}_{\rho_k}(p_{0,k}))$ . Next, by taking  $r_k = \rho_k - \operatorname{d}_{g_0}(p_{1,k}, p_{0,k})$ , and defining be geodesic normal coordinates centered at  $p_{1,k}$ , denoted by y, we set

$$\lambda_k = 2u_k(p_{1,k})^{-\gamma_n}$$
 and  $R_k = r_k \lambda_k^{-1} = 2^{-1} r_k (u_k(p_{1,k}))^{-\gamma_n} = 2^{-1} M_k^{1/\gamma_n}$ .

We now construct a blow-up sequence  $\{w_k\}_{k\in\mathbb{N}}\subset\mathcal{C}^{6,\alpha}(\mathbb{B}_{R_k})$  for some  $\alpha\in(0,1)$  by  $w_k:\mathbb{B}_{R_k}(0)\to\mathbb{R}$  is such that

$$w_k(y) = \lambda_k^{\gamma_n} u_k(\lambda y)$$
 for all  $k \in \mathbb{N}$ .

Whence, using the conformal invariance in Remark 1.1, one can verify that the function  $w_k \in \mathcal{C}^{6,\alpha}(\mathbb{B}_{R_k})$  satisfies

$$P_{\lambda g_k}^6 w_k = c_n w_k^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathbb{B}_{R_k}.$$

Moreover, by construction, one has

$$2^{\gamma_n} = w_k(0) = \sup_{\mathbb{B}_{R_k}(0)} w_k(x) \quad \text{for all} \quad k \in \mathbb{N},$$

which, by Arzela–Ascoli theorem, means there exists a subsequence that converges uniformly on compact sets.

In addition, it is not hard to check that the rescaled metrics  $\lambda g_0$  converge to the classical Euclidean metric  $\delta$  as  $k \to \infty$ . Therefore, by taking the limit of the blow-up sequence, we obtain a positive function  $w_\infty \in \mathcal{C}^{6,\alpha}(\mathbb{R}^n)$  satisfying  $w_\infty(0) = \sup w_\infty = 2^{\gamma_n}$  and

$$(-\Delta)^3 w_{\infty} = c_n w_{\infty}^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathbb{R}^n.$$

By the classification theorem in Theorem A (a), we must have

$$w_{\infty}(x) := 2^{-\gamma_n} \left( 1 + |x|^2 \right)^{-\gamma_n} = 2^{-\gamma_n} u_{\mathrm{sph}}(x).$$



Thus each solution  $u_k$  has a bubble for  $k \gg 1$  sufficiently large. In other terms, a small neighborhood of  $p_{1,k}$  is close (in  $C^{6,\alpha}$ -norm) to the round metric, and hence has a concave boundary, for  $k \gg 1$  sufficiently large.

We verify this by computing the mean curvature of a geodesic sphere explicitly. Using  $g_0 = 4(1+|x|^2)^{-2}\delta$ , a direct computation shows the mean curvature of a hypersurface is given by  $H_{\Sigma} = -\operatorname{tr}_g \langle \nabla_{\partial \ell} \nu_{\Sigma}, \partial_m \rangle$ , where  $\nu_{\Sigma}$  is the unit inward normal vector of  $\Sigma$ .

A geodesic sphere centered at p = 0 coincides with a Euclidean round sphere centered at the origin (with a different radius), and so

$$\nu_{\Sigma} = -\left(\frac{1+|x|^2}{2|x|}\right) x^{\ell} \partial_{x_{\ell}}.$$

A straightforward computation yields

$$H_{\Sigma} = -2n|x|(1+|x|^2) + \frac{n-1+n|x|^2}{|x|},$$

which is negative when |x| > 3. Additionally, since

$$\lim_{k \to \infty} \|w_k - w_\infty\|_{\mathcal{C}^{6,\alpha}(B_{3R_k/4}(0))} = 0,$$

it holds that  $\partial B_{3R_k/4}(0)$  is also mean concave with respect to the metric  $\hat{g}_k \in \operatorname{Met}^{\infty}(B_{3R_k/4}(0))$  defined as  $\hat{g}_k = w_k^{4/(n-6)} \delta_{\ell m}$ , which in turn implies  $\partial \mathbb{B}_{3|p_{1,k}|/8}(p_{1,k})$  is mean concave with respect to the metric  $g_k \in \operatorname{Met}^{\infty}(\Omega)$  given by  $\hat{g}_k = u_k^{4/(n-6)} \delta_{\ell m}$ . This is contradiction with Lemma 6.1, which proves the claim.

Second, we obtain a lower bound estimate.

**Proposition 6.3** Let  $u \in C^{\infty}(\Omega)$  be a positive singular solution to  $(Q_{6,g_0,N})$ . There exists  $C_2 > 0$  depending only on u satisfying

$$C_2 \min_{j \in I_N} d_{g_0}(x, p_j)^{-\gamma_n} \leqslant u(x).$$

**Proof** Indeed, notice that by applying [21, Theorem 1.3] in cylindrical coordinates  $v = \mathfrak{F}(u)$ , we obtain that  $\mathcal{P}_{\text{cyl}}(v) \leq 0$  with equality if and only if

$$\lim_{t \to \infty} \inf v(t, \theta) = \lim_{t \to \infty} \sup v(t, \theta) = \lim_{t \to \infty} v(t, \theta) = 0.$$

Otherwise, if  $\mathcal{P}_{\text{cyl}}(v) < 0$ , there exists  $C_2 > 0$ , which depends on the solution v, such that  $v(t, \theta) \ge C_2$ . This proves the proposition.

Third, we have a version of Harnack inequality for our setting, which will be important in the proof of our main result.



**Proposition 6.4** Let  $\Omega \subset \mathbb{R}^n$  and  $u \in C^{\infty}(\Omega)$ . Assume that  $-\Delta u \geqslant 0$ ,  $\Delta^2 u \geqslant 0$ , and

$$(-\Delta)^3 u = f(u),$$

where f is either linear or superlinear and f(0) = 0. Then, there exists  $\rho_0 > 0$  such that for  $\rho \in (0, \rho_0]$  and  $C_3 > 0$  depending only on  $\Omega$ , f, and  $\rho$ , it holds

$$\sup_{\mathcal{B}_{\rho}(0)} u \leqslant C_3 \inf_{\mathcal{B}_{\rho}(0)} u.$$

**Proof** The proof is a straightforward adaptation of [8, Theorem 3.6].

## 7 Compactness result

In this section, we prove the main result of the manuscript.

Before proceeding to the proof, we need to obtain the existence of a positive Green function for the sixth order GJMS of the round sphere with a prescribed asymptotic rate near a pole given by the fundamental solution to the flat tri-Laplacian.

**Proposition 7.1** Let  $p \in \Lambda \subset (\mathbb{S}^n, g_0)$  be a point on the standard round sphere. There exists a Green function with pole at p, denoted by  $G_p : \mathbb{S}^n \setminus \{p\} \to (0, \infty)$ , that satisfies

$$P_{g_0}G_p=\delta_p,$$

where  $P_{g_0}$  is the sixth order GJMS operator of the round metric given by (1.2) and  $\delta_p$  is the Dirac function concentrated at p. Furthermore, there exists  $C_n > 0$  depending only on n such that

$$G_n(x) = C_n d_\delta(x, p)^{6-n}$$
 (7.1)

in Euclidean coordinates.

**Proof** This is a direct application of [13, Proposition 2.1] for the standard round sphere  $(\mathbb{S}^n, g_0)$ .

**Proof of Theorem 1.3** Let  $\{g_k\}_{k\in\mathbb{N}}=\{(U_k)^{4/n-6}g_0\}\subset\mathcal{M}_N^6$  be a sequence of admissible metrics, each of which is a complete, conformally flat metric on  $\Omega_k=\mathbb{S}^n\backslash\Lambda_k$  with  $Q_{g_k}^6\equiv Q_n=\frac{n(n^4-20n^2+64)}{32}$ . We denote the punctures of  $g_k$  by

$$\Lambda_k := \operatorname{sing}(U_k) = \{p_{1,k}, \dots, p_{N,k}\} \subset \mathbb{S}^n.$$

The proof will be divided into a sequence of steps.

The first step will simplify our later analysis since it allows us to assume the singular points are fixed.



**Step 1.** After passing to a subsequence, we may assume that for  $k \gg 1$  sufficiently large each  $U_k$  is non-singular on the set  $K_1 := \mathbb{S}^n \setminus (\bigcup_{i=1}^N \mathcal{B}_{\delta_1/2}(p_{j,i}))$ .

Indeed, for  $0 < \delta_1 \ll 1$  small enough, the set

$$(\mathbb{S}^n)^N \setminus \left\{ (q_1, \dots, q_k) \in (\mathbb{S}^n)^N : d_{g_0}(q_j, q_\ell) > \delta_1 \text{ for each } j \neq \ell \right\}$$

is compact and contains each singular set  $\Lambda_k$  for all  $k \in \mathbb{N}$ . Thus, there exits  $\{p_{1,\infty},\ldots,p_{N,\infty}\}\subset \mathbb{S}^n$ , and a convergent subsequence such that  $p_{j,k}\to p_{j,\infty}$  as  $k\to +\infty$ , proving Step 1.

To set notation, we define the compact sets

$$K_{\ell} := \mathbb{S}^n \setminus \left( \cup_{j=1}^N \mathcal{B}_{2^{-\ell}\delta_1}(p_{j,\infty}) \right) \text{ for each } \ell \in \mathbb{N}.$$

Notice that by construction the family  $\{K_\ell\}_{\ell\in\mathbb{N}}$  is a compact exhaustion of the limit singular set

$$\Omega_{\infty} := \mathbb{S}^n \setminus \Lambda_{\infty}, \text{ where } \Lambda_{\infty} := \{p_{1,\infty}, \dots, p_{k,\infty}\}.$$

Furthermore, by the convergence  $p_{j,k} \to p_{j,\infty}$  as  $k \to +\infty$ , for each fixed  $\ell \in \mathbb{N}$  there exists  $k_0 \gg 1$  such that  $k \geqslant k_0$  implies  $U_k$  is smooth in  $K_\ell$ .

The second step is based on the uniform upper bound and states that we can extract a limit.

**Step 2.** The exists  $U_{\infty} \in \mathcal{C}^{\infty}(\Omega_{\infty})$  solving  $(\mathcal{Q}_{6,g_0,N})$  such that

$$\lim_{k \to +\infty} \|U_{\infty} - U_k\|_{\mathcal{C}^{\infty}_{loc}(\Omega_{\infty})} = 0.$$
 (7.2)

In fact, using the upper bound in Proposition 6.2, one has that for each compact subset  $K \subset \Omega_{\infty}$ , there exists  $\alpha \in (0, 1)$  and  $C_1 > 0$  depending only on n,  $\Omega$ , and  $\alpha$  such that

$$||U_k||_{\mathcal{C}^{6,\alpha}(K)} \leqslant C_1$$
 for all  $k \in \mathbb{N}$ .

Therefore, as a consequence of the Arzela–Ascoli theorem, one can find a limit  $U_{\infty} \in \mathcal{C}^{6,\alpha}(K)$  solving  $(\mathcal{P}_{6,\infty})$  and a convergent subsequence, which we again denote the same, such that

$$\lim_{k\to+\infty}\|U_{\infty}-U_k\|_{\mathcal{C}^{6,\alpha}_{loc}(\Omega_{\infty})}=0.$$

Furthermore, by applying standard elliptic regularity, we directly obtain that (7.2) holds, and so Step 2 is proved.

The next step is to show that this limit is non-trivial.

Step 3.  $U_{\infty} > 0$  on  $\Omega_{\infty}$ .

If this step were false, there would exist  $p_* \in \Omega_{\infty}$  such that

$$0 = U_{\infty}(p_*) = \lim_{k \to +\infty} U_k(p_*).$$

For each  $k \in \mathbb{N}$ , we define  $\varepsilon_k = U_k(p_*)$  and the rescaled function  $\widehat{U}_k \in \mathcal{C}^{\infty}(\Omega_k)$  given by

$$\widehat{U}_k(x) = \varepsilon_k^{-1} U_k(x)$$
 for all  $k \in \mathbb{N}$ .

A direct computation shows that

$$P_{g_0}\widehat{U}_k = \varepsilon_k^{\frac{12}{n-6}} c_n \widehat{U}_k^{\frac{n+6}{n-6}} \quad \text{in} \quad \Omega_k \quad \text{for all} \quad k \in \mathbb{N}.$$

In addition, by construction, the sequence  $\{\widehat{U}_k\}_{k\in\mathbb{N}}$  satisfy the normalization

$$\widehat{U}_k(p_*) = 1 \quad \text{for all} \quad k \in \mathbb{N}.$$
 (7.3)

By the Harnack inequality in Proposition 6.4 with  $f(u) = c_n u^{2^{\#}-1}$  and  $\Omega = K_{\ell}$ , there exists a positive constant  $C_1$  depending only on n and  $\ell$  such that

$$\sup_{K_{\ell}} |u_{\rm sph} \widehat{U}_k| \leqslant C_1. \tag{7.4}$$

However, there is another positive constant  $C_2$ , again depending only on n and  $\ell$ , such that

$$C_2 \leqslant u_{\rm sph} \leqslant 2^{\gamma_n}. \tag{7.5}$$

Combining (7.4) and (7.5) there exists a uniform constant  $C_3$  such that

$$\sup_{K_{\ell}}\widehat{U}_{k}\leqslant C_{3},$$

and so by the Arzela–Ascoli theorem we may pass to a subsequence  $\widehat{U}_k$  that converges uniformly on compact subsets of  $\Omega_{\infty}$  to a smooth function  $\widehat{U}_{\infty}$ .

This limit function  $\widehat{U}_{\infty}:\Omega_{\infty}\to\mathbb{R}$  satisfies

$$P_{g_0}^6 \widehat{U}_{\infty} = 0$$
 in  $\Omega_{\infty}$ 

and so it has the form

$$\widehat{U}_{\infty} = \sum_{j=1}^{N} \beta_j G_{p_{j,\infty}}$$

for some collection of numbers  $\beta_1, \ldots, \beta_N \in \mathbb{R}$ . The normalization (7.3) implies that one of the coefficients  $\beta_{j_0}$  is positive, so after possibly relabeling the punctures we may assume  $\beta_1 > 0$ .



We now choose a stereographic projection sending  $p_{1,\infty}$  to the origin and perform the Emden-Fowler change of coordinates in Definition 3.1, which yield the functions

$$v_k := \mathfrak{F}(u_{\rm sph}U_k)$$
 and  $\widehat{v}_k := \mathfrak{F}(u_{\rm sph}\widehat{U}_k)$ 

and their respective limits

$$v_{\infty} := \mathfrak{F}(u_{\mathrm{sph}}U_{\infty}) \text{ and } \widehat{v}_{\infty} := \mathfrak{F}(u_{\mathrm{sph}}\widehat{U}_{\infty}).$$

The expansion (7.1) implies

$$\widehat{v}_{\infty}(t,\theta) = e^{-\gamma_n t} (\cosh t)^{-\gamma_n} (C_n e^{-\gamma_n t} + \mathcal{O}(1))$$

$$= C_n + \mathcal{O}(e^{(6-n)t}) \quad \text{as} \quad t \to +\infty.$$
(7.6)

Also, observe that  $\widehat{v}_k \in \mathcal{C}^6(\mathcal{C}_T)$  satisfies the PDE

$$P_{\text{cyl}}^6 \widehat{v}_k = \varepsilon_k^{\frac{12}{n-6}} c_n \widehat{v}_k^{\frac{n+6}{n-6}} \quad \text{in} \quad \mathcal{C}_{T_k} \quad \text{for all} \quad k \in \mathbb{N},$$

which we combine with (7.6) and Proposition 5.6 and the convergence  $\widehat{v}_k \to \widehat{v}_\infty$  to see that for t sufficiently large

$$\int_{\{t\}\times\mathbb{S}^{n-1}} \mathcal{H}_{\text{cyl}}^{\varepsilon_k^{\frac{12}{n-6}} c_n} d\theta = \int_{\{t\}\times\mathbb{S}^{n-1}} \mathcal{H}_{\text{rad}}(\widehat{v}_k) + \mathcal{H}_{\text{ang}}(\widehat{v}_k) + \frac{n-6}{2n} \varepsilon^{\frac{12}{n-6}} c_n |\widehat{v}_k|^{\frac{2n}{n-6}} d\theta$$

$$= -\widetilde{C}_n \beta_1^2 + \mathcal{O}(e^{(6-n)t}). \tag{7.7}$$

for some  $\tilde{C}_n > 0$ . On the other hand, by our construction we have

$$\mathcal{P}_{\text{cyl}}(v_k) = \int_{\{t\} \times \mathbb{S}^{n-1}} \mathcal{H}_{\text{rad}}(v_k) + \mathcal{H}_{\text{ang}}(v_k) + F(v_k) d\theta$$

$$= \int_{\{t\} \times \mathbb{S}^{n-1}} \varepsilon_k^2 \left( \mathcal{H}_{\text{rad}}(\widehat{v}_k) + \mathcal{H}_{\text{ang}}(\widehat{v}_k) \right) + \varepsilon_k^{\frac{2n}{n-6}} F(\widehat{v}_k) d\theta \to 0 \quad (7.8)$$

From (7.7) and (7.8), we find

$$\lim_{k \to +\infty} \mathcal{P}_{\text{rad}}(g_k, p_{1,k}) = 0,$$

which, together with Proposition 5.4, implies  $\lim_{k\to+\infty} \varepsilon_1(g_k) = 0$ . This contradicts the hypothesis that the necksizes are bounded away from zero, that is,  $\varepsilon_j(g_k) > \delta_1$  for some  $0 < \delta_1 \ll 1$ .

At last, we can complete our argument

Step 4. The metric  $g_{\infty} = U_{\infty}^{\frac{4}{n-6}} g_0$  is a complete metric on  $\Omega_{\infty}$ . Indeed, suppose by contradiction that is  $g_{\infty}$  is incomplete. Then, using that  $U_{\infty} \in C^6(\mathbb{R}^n \setminus \{0\})$  satisfies  $(\mathcal{P}_{6,\infty})$ , there exists an index  $j \in \{1, ..., N\}$  such that



 $\liminf_{x\to p_{j,\infty}}U_{\infty}(x)<\infty$ . In this case, the removable singularity result in Proposition 6.3 implies

$$\mathcal{P}_{\text{rad}}(g_{\infty}, p_{i,\infty}) = 0. \tag{7.9}$$

However, by construction

$$0 = \mathcal{P}_{\text{rad}}(g_{\infty}, p_{j,\infty}) = \lim_{k \to +\infty} \mathcal{P}_{\text{rad}}(g_k, p_{j,k}) \geqslant \delta_2,$$

which, by Proposition 5.4 implies  $\varepsilon_j(g_k) \geqslant \delta_2$ , which is contradiction with (7.9). By putting all these steps together, our main theorem is proved.

# Appendix A: Higher order curvature tensors

Let  $(M^n, g)$  is a Riemannian manifold with  $n \ge 7$ . We define the *Schouten tensor*, *Weyl tensor*, and *Bach tensor*, respectively, by

$$\begin{split} A_g &:= \frac{1}{n-2} \left( \operatorname{Ric}_g - \frac{1}{2(n-1)} R_g g \right) \\ W_g &:= \mathring{\operatorname{Rm}}_g - A_g \odot g \\ B_g &:= \Delta_g A_g - \nabla_g^2 \operatorname{tr}_g A_g + 2 \mathring{\operatorname{Rm}}_g \cdot A_g - (n-4) A_g \times A_g - |A_g|^2 g - 2 (\operatorname{tr}_g A_g) A_g, \end{split}$$

where these expressions are written in an abstract index-free manner.

Based on the last notation, we provide explicit formulas for curvatures of order two, four, and sixth as follows

$$\begin{split} Q_g^2 &:= \frac{1}{2(n-1)} R_g, \\ Q_g^4 &:= -\Delta_g \sigma_1(A_g) + 4\sigma_2(A_g) - \frac{n-4}{2} \sigma_1(A_g)^2, \\ Q_g^6 &:= -3! 2^6 v_g^6 - \frac{n+2}{2} \Delta_g(\sigma_1(A_g)^2) + 4\Delta_g \|A_g\|^2 \\ &- 8\delta_g(A_g \# d\sigma_1(A_g)) + \Delta_g^2 \sigma_1(A_g) \\ &- \frac{n-6}{2} \sigma_1(A_g) \Delta_g \sigma_1(A_g) - 4(n-6)\sigma_1(A_g) \|A_g\|^2 \\ &+ \frac{(n-6)(n+6)}{4} \sigma_1(A_g)^3, \end{split} \tag{A.1}$$

where

$$v_g^6 := -\frac{1}{8}\sigma_3(A_g) - \frac{1}{24(n-4)}\langle B_g, A_g \rangle_g.$$

is the third volume normalized coefficient.



Associated with these curvatures, we have the following conformally invariant operators

$$\begin{split} P_g^2 &:= -\Delta_g + \frac{n-2}{2} Q_g^2 \\ P_g^4 &:= \Delta_g^2 - \delta_g T_g^2 \# \mathrm{d} + \frac{n-4}{2} Q_g^4 \\ P_g^6 &:= -\Delta_g^3 - \Delta_g \delta_g T_g^2 \# \mathrm{d} - \delta_g T_g^2 \# \mathrm{d} \Delta_g - \frac{n-2}{2} \Delta_g \left( \sigma_1(A_g) \Delta_g \right) \\ &- \delta_g T_g^4 \# \mathrm{d} + \frac{n-6}{2} Q_g^6. \end{split} \tag{A.2}$$

Here

$$\begin{split} T_g^2 &:= (n-2)\sigma_1(A_g)g - 8A_g, \\ T_g^4 &:= -\frac{3n^2 - 12n - 4}{4}\sigma_1(A_g)^2g + 4(n-4)\|A_g\|^2g + 8(n-2)\sigma_1(A_g)A_g \\ &+ (n-6)\Delta_g\sigma_1(A_g)g + 48A_g^2 - \frac{16}{n-4}B_g, \end{split}$$

where  $\sigma_{\ell}$  denotes the  $\ell$ -th elementary symmetric function for each  $\ell \in \mathbb{N}$ . Notice that  $P_g^2$  is the conformal Laplacian and  $P_g^4$ ,  $P_g^6$  are their higher order conformally invariant powers.

# **Appendix B: Modica estimates**

In this appendix, we discuss possible pointwise estimates for positive smooth solutions to  $(\mathcal{P}_{6,\infty})$ . These estimates have strong geometric implications in terms of the associated conformally flat metric.

In [19, Theorem 1.4], it is proved that positive smooth solutions to

$$(-\Delta)^2 u = \frac{n(n-4)(n^2-4)}{16} u^{\frac{n+4}{n-4}} \text{ in } \mathbb{R}^n \setminus \{0\}$$

satisfies the following pointwise inequality

$$-\Delta u - \frac{4}{n-2} \frac{|\nabla u|^2}{u} \geqslant \sqrt{\frac{n-4}{n}} u^{\frac{n}{n-4}} \quad \text{in} \quad \mathbb{R}^n \setminus \{0\}.$$

This implies in particular that the scalar curvature  $Q_g^2$  of the conformally flat metric  $g=u^{4/(n-4)}\delta$  is positive. These types of results are known in the literature as Modicatype estimates.



In our situation, we start by writing the metric  $g \in [g_0]$  as  $g = (u^{\frac{n-2}{n-6}})^{\frac{4}{n-2}}\delta$ , we see

$$Q_g^2 = -\frac{2}{n-2} u^{\frac{-(n+2)}{n-6}} \Delta\left(u^{\frac{n-2}{n-6}}\right) = -\frac{2}{n-6} u^{-\frac{n-2}{n-6}} \left(\Delta u + \frac{4}{n-6} \frac{|\nabla u|^2}{u}\right).$$
(B.1)

From this, we conclude that  $Q_g^2 \ge 0$  implies  $-\Delta u \ge 0$ , and in fact is a stronger condition. Similarly, writing  $g = (u^{\frac{n-4}{n-6}})^{\frac{4}{n-4}} \delta$ , it follows

$$Q_g^4 = \frac{2}{n-4} u^{-\frac{n+4}{n-6}} (-\Delta)^2 \left( u^{\frac{n-4}{n-6}} \right).$$
 (B.2)

Furthermore, a long computation shows

$$\begin{split} (-\Delta)^2 \left( u^{\frac{n-4}{n-6}} \right) &= \frac{n-4}{n-6} u^{\frac{2}{n-6}} (-\Delta)^2 u + \frac{8(n-4)}{(n-6)^2} u^{\frac{8-n}{n-6}} \langle \nabla u, \nabla \Delta u \rangle \\ &\quad + \frac{4(n-4)}{(n-6)^2} u^{\frac{8-n}{n-6}} |D^2 u|^2 + \frac{8(n-4)(8-n)}{(n-6)^3} u^{\frac{-2(n-7)}{n-6}} D^2 u (\nabla u, \nabla u) \\ &\quad + \frac{4(n-4)(8-n)}{(n-6)^3} u^{\frac{-2(n-7)}{n-6}} |\nabla u|^2 \Delta u + \frac{2(n-7)(n-8)}{(n-6)^4} u^{\frac{20-3n}{n-6}} |\nabla u|^4, \end{split}$$

where

$$|D^2u|^2 = \sum_{i,j=1}^n u_{x_ix_j}^2$$
 and  $D^2u(\nabla u, \nabla u) = \sum_{i,j=1}^n u_{x_ix_j}u_{x_i}u_{x_j}$ .

Hence, the conditions  $Q_g^2 \geqslant 0$  and  $Q_g^4 \geqslant 0$  are not enough to guarantee that  $\Delta^2 u \geqslant 0$  directly.

Based on this, it is natural to ask whether the following result holds.

**Conjecture B.1** The sixth-order Delaunay metrics satisfy  $Q_g^2 > 0$  and  $Q_g^4 > 0$ . In other words:

Let  $u \in C^{\infty}(\mathbb{R}^n \setminus \{0\})$  be a positive solutions to  $(\mathcal{P}_{6,\infty})$ . Then, the conformally flat metric given by  $g = u^{4/(n-6)}\delta$  satisfies the following pointwise estimate

$$Q_2(u)\geqslant \sqrt{\frac{n-6}{n}}u^{\frac{n}{n-6}}\quad \text{and}\quad Q_4(u)\geqslant \sqrt{\frac{n-6}{n}}u^{\frac{n}{n-6}}\quad \text{in}\quad \mathbb{R}^n\setminus\{0\},$$

where

$$Q_2(u) := -\Delta u - \frac{4}{n-6} \frac{|\nabla u|^2}{u}$$

and

$$Q_4(u) := -(-\Delta)^2 u - \frac{8}{(n-6)} u^{\frac{8-n}{2}} \langle \nabla u, \nabla \Delta u \rangle - \frac{4}{(n-6)} u^{\frac{8-n}{2}} |D^2 u|^2$$



$$-\frac{8(8-n)}{(n-6)^2}u^{7-n}D^2u(\nabla u,\nabla u)$$

$$-\frac{4(8-n)}{(n-6)^2}u^{7-n}|\nabla u|^2\Delta u - \frac{2(n-7)(n-8)}{(n-6)^3(n-4)}u^{\frac{20-3n}{2}}|\nabla u|^4.$$

In particular, it follows that the curvatures  $Q_g^2$  and  $Q_g^4$  associated with the conformally flat metric  $g = u^{4/(n-6)}\delta$  are both positive.

**Remark B.2** Notice that if  $u \in C^{\infty}(\mathbb{R}^n \setminus \{0\})$  is a positive solution to  $(\mathcal{P}_{6,\infty})$ , then by Theorem A (a) the conformally flat metric given by  $g = u^{4/(n-6)}\delta$ , which is the round metric in this case, satisfies that  $Q_2(u) \ge 0$  and  $Q_4(u) \ge 0$ . Nevertheless, the last conjecture asks for an improved estimate of the type

$$\widetilde{Q}_2(u) \geqslant 0$$
 and  $\widetilde{Q}_4(u) \geqslant 0$  in  $\mathbb{R}^n \setminus \{0\}$ ,

where

$$\widetilde{Q}_2(u) = Q_2(u) - \sqrt{\frac{n-6}{n}} u^{\frac{n}{n-6}} \text{ and } \widetilde{Q}_4(u) = Q_4(u) - \sqrt{\frac{n-6}{n}} u^{\frac{n}{n-6}}.$$

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**Conflict of interest** We state there is no conflict of interest.

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