

A nonsmooth variational approach to semipositone quasilinear problems in $\mathbb{R}^N$

Jefferson Abrantes Santos^{a,1}, Claudianor O. Alves^{a,2}, Eugenio Massa^{b,3}

^a *Universidade Federal de Campina Grande, Unidade Acadêmica de Matemática, CEP: 58429-900, Campina Grande - PB, Brazil.*

^b *Departamento de Matemática, Instituto de Ciências Matemáticas e de Computação, Universidade de São Paulo, Campus de São Carlos, 13560-970, São Carlos SP, Brazil.*

Abstract

This paper concerns the existence of a solution for the following class of semipositone quasilinear problems

$$\begin{cases} -\Delta_p u = h(x)(f(u) - a) & \text{in } \mathbb{R}^N, \\ u > 0 & \text{in } \mathbb{R}^N, \end{cases}$$

where $1 < p < N$, $a > 0$, $f : [0, +\infty) \rightarrow [0, +\infty)$ is a function with subcritical growth and $f(0) = 0$, while $h : \mathbb{R}^N \rightarrow (0, +\infty)$ is a continuous function that satisfies some technical conditions. We prove via nonsmooth critical points theory and comparison principle, that a solution exists for a small enough. We also provide a version of Hopf's Lemma and a Liouville-type result for the p -Laplacian in the whole $\mathbb{R}^N$.

Mathematical Subject Classification MSC2010: 35J20, 35J62 (49J52).

Key words and phrases: semipositone problems; quasilinear elliptic equations; nonsmooth variational methods; Lipschitz functional; positive solutions.

This is an **Accepted Manuscript** of an article published in Journal of Mathematical Analysis and Applications, doi:10.1016/j.jmaa.2023.127432

1. Introduction

In this paper we study the existence of positive weak solutions for the p -Laplacian semipositone problem in the whole space

$$\begin{cases} -\Delta_p u = h(x)(f(u) - a) & \text{in } \mathbb{R}^N, \\ u > 0 & \text{in } \mathbb{R}^N, \end{cases} \quad (P_a)$$

Email addresses: jefferson@mat.ufcg.edu.br (Jefferson Abrantes Santos), coalves@mat.ufcg.edu.br (Claudianor O. Alves), eug.massa@gmail.com (Eugenio Massa)

¹J. Abrantes Santos was partially supported by CNPq/Brazil 303479/2019-1

²C. O. Alves was partially supported by CNPq/Brazil 304804/2017-7

³E. Massa was partially supported by grant #303447/2017-6, CNPq/Brazil.

where $1 < p < N$, $a > 0$, $f : [0, +\infty) \rightarrow [0, +\infty)$ is a continuous function with subcritical growth and $f(0) = 0$. Moreover, the function $h : \mathbb{R}^N \rightarrow (0, +\infty)$ is a continuous function satisfying

$$(P_1) \quad h \in L^1(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N),$$

$$(P_2) \quad h(x) < B|x|^{-\vartheta} \text{ for } x \neq 0, \text{ with } \vartheta > N \text{ and } B > 0.$$

An example of a function h that satisfies the hypotheses (P_1) – (P_2) is given below:

$$h(x) = \frac{B}{1 + |x|^\vartheta}, \quad \forall x \in \mathbb{R}^N.$$

In the whole of this paper, we say that a function $u \in D^{1,p}(\mathbb{R}^N)$ is a weak solution for (P_a) if u is a continuous positive function that verifies

$$\int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \nabla v \, dx = \int_{\mathbb{R}^N} h(x)(f(u) - a)v \, dx, \quad \forall v \in D^{1,p}(\mathbb{R}^N).$$

1.1. State of art.

The problem (P_a) for $a = 0$ is very simple to be solved, either employing the well known mountain pass theorem due to Ambrosetti and Rabinowitz [6], or via minimization. However, for the case where (P_a) is semipositone, that is, when $a > 0$, the existence of a positive solution is not so simple, because the standard arguments via the mountain pass theorem combined with the maximum principle do not directly give a positive solution for the problem, and in this case, a very careful analysis must be done.

The literature associated with semipositone problems in bounded domains is very rich since the appearance of the paper by Castro and Shivaji [10] who were the first to consider this class of problems. We have observed that there are different methods to prove the existence and nonexistence of solutions, such as subsupersolutions, degree theory arguments, fixed point theory and bifurcation; see for example [1], [5], [2], [7] and their references. In addition to these methods, also variational methods were used in a few papers as can be seen in [4], [8], [9], [12], [14], [17], [18], [20], [21] and [22]. We would like to point out that in [9], Castro, de Figueiredo and Lopera studied the existence of solutions for the following class of semipositone quasilinear problems

$$\begin{cases} -\Delta_p u &= \lambda f(u) & \text{in } \Omega, \\ u(x) &> 0 & \text{in } \Omega, \\ u &= 0 & \text{on } \partial\Omega, \end{cases} \quad (1.1)$$

where $\Omega \subset \mathbb{R}^N$, $N > p > 2$, is a smooth bounded domain, $\lambda > 0$ and $f : \mathbb{R} \rightarrow \mathbb{R}$ is a differentiable function with $f(0) < 0$. In that paper, the authors assumed that there exist $q \in (p-1, \frac{Np}{N-p}-1)$, $A, B > 0$ such that

$$\begin{cases} A(t^q - 1) \leq f(t) \leq B(t^q - 1), & \text{for } t > 0 \\ f(t) = 0, & \text{for } t \leq -1. \end{cases}$$

The existence of a solution was proved by combining the mountain pass theorem with the regularity theory. Motivated by the results proved in [9], Alves, de Holanda and dos Santos [4] studied the existence of solutions for a large class of semipositone quasilinear problems of the type

$$\begin{cases} -\Delta_{\Phi} u &= f(u) - a & \text{in } \Omega, \\ u(x) &> 0 & \text{in } \Omega, \\ u &= 0 & \text{on } \partial\Omega, \end{cases} \quad (1.2)$$

where Δ_{Φ} stands for the Φ -Laplacian operator. The proof of the main result is also done via variational methods, however in their approach the regularity results found in Lieberman [23, 24] play an important role. By using the mountain pass theorem, the authors found a solution u_a for all $a > 0$. After that, by taking the limit when a goes to 0 and using the regularity results in [23, 24], they proved that u_a is positive for a small enough.

Related to semipositone problems in unbounded domains, we only found the paper due to Alves, de Holanda, and dos Santos [3] that studied the existence of solutions for the following class of problems

$$\begin{cases} -\Delta u &= h(x)(f(u) - a) & \text{in } \mathbb{R}^N, \\ u &> 0 & \text{in } \mathbb{R}^N, \end{cases} \quad (1.3)$$

where $a > 0$, $f : [0, +\infty) \rightarrow [0, +\infty)$ and $h : \mathbb{R}^N \rightarrow (0, +\infty)$ are continuous functions with f having a subcritical growth and h satisfying some technical conditions. The main tools used were variational methods combined with the Riesz potential theory.

1.2. Statement of the main results.

Motivated by the results found in [9], [4] and [3], we intend to study the existence of solutions for (P_a) with two different types of nonlinearities. In order to state our first main result, we assume the following conditions on f :

(f₀)

$$\lim_{t \rightarrow 0^+} \frac{F(t)}{t^p} = 0;$$

(f_{sc}) there exists $q \in (1, p^*)$ such that $\limsup_{t \rightarrow +\infty} \frac{f(t)}{t^{q-1}} < \infty$,

where $p^* = \frac{pN}{N-p}$ is the critical Sobolev exponent;

(f_∞) $q > p$ in (f_{sc}) and there exist $\theta > p$ and $t_0 > 0$ such that

$$0 < \theta F(t) \leq f(t)t, \quad \forall t > t_0,$$

where $F(t) = \int_0^t f(\tau) d\tau$.

Our first main result has the following statement

Theorem 1.1. *Assume the conditions (P_1) – (P_2) , (f_{sc}) , (f_0) and (f_∞) . Then there exists $a^* > 0$ such that, if $a \in [0, a^*)$, problem (P_a) has a positive weak solution $u_a \in C(\mathbb{R}^N) \cap D^{1,p}(\mathbb{R}^N)$.*

As mentioned above, a version of Theorem 1.1 was proved in [3] in the semilinear case $p = 2$. Their proof exploited variational methods for C^1 functionals and Riesz potential theory in order to prove the positivity of the solutions of a smooth approximated problem, which then resulted to be actual solutions of problem (P_a) . In our setting, since we are working with the p -Laplacian, that is a nonlinear operator, we do not have a Riesz potential theory analogue that works well for this class of operator. Hence, a different approach was developed in order to treat the problem (P_a) for $p \neq 2$. Here, we make a different approximation for problem (P_a) , which results in working with a *nonsmooth approximating functional*.

As a result, the Theorem 1.1 is also new when $p = 2$, since the set of hypotheses we assume here is different. In fact, avoiding the use of the Riesz theory, we do not need to assume that f is Lipschitz (which would not even be possible in the case of condition $(\tilde{f}_0)$ below), and a different condition on the decaying of the function h is required.

The use of the nonsmooth approach turns out to simplify several technicalities usually involved in the treatment of semipositone problems. Actually, working with the C^1 functional naturally associated to (P_a) , one obtains critical points u_a that may be negative somewhere. When working in bounded sets, the positivity of u_a is obtained, in the limit as $a \rightarrow 0$, by proving convergence in C^1 sense to the positive solution u_0 of the case $a = 0$, which is enough since u_0 has also normal derivative at the boundary which is bounded away from zero in view of the Hopf's Lemma. This approach can be seen for instance in [4, 9, 20, 22]. In $\mathbb{R}^n$, a different argument must be used: actually one can obtain convergence on compact sets, but the limiting solution u_0 goes to zero at infinity as $|x|^{(p-N)/(p-1)}$ (see Remark 2), which means that one needs to be able to do some finer estimates on the convergence. In [3], with $p = 2$, the use of the Riesz potential, allowed to prove that $|x|^{N-2}|u_a - u_0| \rightarrow 0$ uniformly, which then led to the positivity of u_a in the limit.

In the lack of this tool, we had to find a different way to prove the positivity of u_a . The great advantage of our approach via nonsmooth analysis, is that our critical points u_a will always be nonnegative functions (see Lemma 2.3). In spite of not necessary being weak solutions of the equation in problem (P_a) , they turn out to be supersolutions and also subsolutions of the limit equation with $a = 0$. These properties will allow us to use comparison principle in order to prove the strict positivity of u_a with the help of a suitable barrier function (see the Lemmas 4.1 and 4.2). From the positivity it will immediately follow that u_a is indeed a weak solutions of (P_a) .

The reader is invited to see that by (f_∞) , there exist $A_1, B_1 > 0$ such that

$$F(t) \geq A_1|t|^\theta - B_1, \quad \text{for } t \geq 0. \quad (1.4)$$

This inequality yields that the functional we will be working with is not bounded from below. On the other hand, the condition (f_0) will produce a “range of mountains” geometry around the origin for the functional, which completes the mountain pass structure. Finally, conditions (f_{sc}) and (f_∞) impose a subcritical growth to f , which are used to obtain the required compactness condition.

Next, we are going to state our second result. For this result, we still assume (f_{sc}) together with the following conditions:

$(\tilde{f}_0)$

$$\lim_{t \rightarrow 0^+} \frac{F(t)}{t^p} = \infty;$$

$(\tilde{f}_\infty) \quad q < p$ in (f_{sc}) .

Our second main result is the following:

Theorem 1.2. *Assume the conditions (P_1) – (P_2) , (f_{sc}) , $(\tilde{f}_0)$ and $(\tilde{f}_\infty)$. Then there exists $a^* > 0$ such that, if $a \in [0, a^*)$, problem (P_a) has a positive weak solution $u_a \in C(\mathbb{R}^N) \cap D^{1,p}(\mathbb{R}^N)$.*

In the proof of Theorem 1.2, the condition $(\tilde{f}_0)$ will produce a situation where the origin is not a local minimum for the energy functional, while $(\tilde{f}_\infty)$ will make the functional coercive, in view of (f_{sc}) . It will be then possible to obtain solutions via minimization. As in the proof of Theorem 1.1, we will work with a nonsmooth approximating functional that will give us an approximate solution. After some computation, we prove that this approximate solution is in fact a solution for the original problem when a is small enough.

Remark 1. *Observe that if f, h satisfy the set of conditions of Theorem 1.1 or those of Theorem 1.2 and u is a solution of Problem (P_a) , then the rescaled function $v = a^{\frac{1}{q-1}}u$ is a solution of the problem:*

$$\begin{cases} -\Delta_p v &= a^{\frac{(q-p)}{q-1}} h(x)(\tilde{f}_a(v) - 1) & \text{in } \mathbb{R}^N, \\ v &> 0 & \text{in } \mathbb{R}^N, \end{cases} \quad (1.5)$$

which then takes the form of Problem (1.1), with $\lambda := a^{\frac{(q-p)}{q-1}}$ and a new nonlinearity $\tilde{f}_a(t) = a^{-1}f(a^{\frac{1}{q-1}}t)$, which satisfies the same hypotheses of f . In particular, if $f(t) = t^{q-1}$ then $\tilde{f}_a \equiv f$.

In the conditions of Theorem 1.1, where $q > p$, we obtain a solution of Problem (1.5) for suitably small values of λ , while in the conditions of Theorem 1.2, where $q < p$, solutions are obtained for suitably large values of λ .

It is worth noting that, as $a \rightarrow 0$, the solutions of Problem (P_a) that we obtain are bounded and converge, up to subsequences, to a solution of Problem (P_a) with $a = 0$ (see Lemma 3.2). As a consequence, the corresponding solutions of Problem (1.5) satisfy $v(x) \rightarrow \infty$ for every $x \in \mathbb{R}^N$.

Semipositone problems formulated as in (1.5) were considered recently in [15, 26].

As a final result, we also show that, by the same technique used to prove the positivity of our solution, it is possible to obtain a version of Hopf's Lemma for the p -Laplacian in the whole $\mathbb{R}^N$, see Proposition 5.2. A further consequence is the following Liouville-type result:

Proposition 1.3. *Let $N > p > 1$ and $u \in D^{1,p}(\mathbb{R}^N) \cap C_{loc}^{1,\alpha}(\mathbb{R}^N)$ be a solution of problem:*

$$\begin{cases} -\Delta_p u &= g(x)\hat{f}(u) & \text{in } \mathbb{R}^N, \\ u &\geq 0 & \text{in } \mathbb{R}^N, \end{cases} \quad (1.6)$$

where $\hat{f}, g$ are continuous, $g(x) > 0$ in $\mathbb{R}^N$ and $\hat{f}(0) = 0$ while $\hat{f}(t) > 0$ for $t > 0$. If $\liminf_{|x| \rightarrow \infty} |x|^{(N-p)/(p-1)} u(x) = 0$, then $u \equiv 0$.

1.3. Organization of the article.

This article is organized as follows: in Section 2, we prove the existence of a nonnegative solution, denoted by u_a , for a class of approximate problems. In Section 3, we establish some properties involving the approximate solution u_a . In Section 4, we prove the Theorems 1.1 and 1.2 respectively. Finally, in Section 5, we prove the Proposition 5.2 about Hopf's Lemma for the p -Laplacian in the whole $\mathbb{R}^N$.

1.4. Notations.

Throughout this paper, the letters $c, c_i, C, C_i, i = 1, 2, \dots$, denote positive constants which vary from line to line, but are independent of terms that take part in any limit process. Furthermore, we denote the norm of $L^p(\Omega)$ for any $p \geq 1$ by $\|\cdot\|_p$. In some places we will use " $\rightarrow$ ", " $\rightharpoonup$ " and " $\rightharpoonup^*$ " to denote the strong convergence, weak convergence and weak star convergence, respectively.

2. Preliminary results

In the sequel, we consider the discontinuous function $f_a : \mathbb{R} \rightarrow \mathbb{R}$ given by

$$f_a(t) = \begin{cases} f(t) - a & \text{if } t \geq 0, \\ 0 & \text{if } t < 0, \end{cases} \quad (2.1)$$

and its primitive

$$F_a(t) = \int_0^t f_a(\tau) d\tau = \begin{cases} F(t) - at & \text{if } t \geq 0, \\ 0 & \text{if } t \leq 0. \end{cases} \quad (2.2)$$

A direct computation gives

$$-at^+ \leq F_a(t) \leq \begin{cases} F(t) & \text{if } t \geq 0, \\ 0 & \text{if } t \leq 0, \end{cases} \quad (2.3)$$

where $t^+ = \max\{t, 0\}$.

Our intention is to prove the existence of a positive solution for the following auxiliary problem

$$\begin{cases} -\Delta_p u &= h(x)f_a(u) & \text{in } \mathbb{R}^N, \\ u &> 0 & \text{in } \mathbb{R}^N, \end{cases} \quad (\text{AP}_a)$$

because such a solution is also a solution of (P_a) .

Associated with (AP_a) , we have the energy functional $I_a : D^{1,p}(\mathbb{R}^N) \rightarrow \mathbb{R}$ defined by

$$I_a(u) = \frac{1}{p} \int_{\mathbb{R}^N} |\nabla u|^p dx - \int_{\mathbb{R}^N} h(x)F_a(u)dx,$$

which is only locally Lipschitz.

Hereafter, we will endow $D^{1,p}(\mathbb{R}^N) = \{u \in L^{p^*}(\mathbb{R}^N); \nabla u \in L^p(\mathbb{R}^N, \mathbb{R}^N)\}$ with the usual norm

$$\|u\| = \left(\int_{\mathbb{R}^N} |\nabla u|^p dx \right)^{\frac{1}{p}}.$$

Since the Gagliardo-Nirenberg-Sobolev inequality (see [19])

$$\|u\|_{p^*} \leq S_{N,p} \|u\|$$

holds for all $u \in D^{1,p}(\mathbb{R}^N)$ for some constant $S_{N,p} > 0$, we have that the embedding

$$D^{1,p}(\mathbb{R}^N) \hookrightarrow L^{p^*}(\mathbb{R}^N) \quad (2.4)$$

is continuous. The following Lemma provides us an useful compact embedding for $D^{1,p}(\mathbb{R}^N)$.

Lemma 2.1. *Assume (P_1) . Then, the embedding $D^{1,p}(\mathbb{R}^N) \hookrightarrow L_h^q(\mathbb{R}^N)$ is continuous and compact for every $q \in [1, p^*)$.*

Proof. The continuity is obtained by Hölder inequality, using (2.4) and (P_1) :

$$\int_{\mathbb{R}^N} h|u|^q dx \leq \|h\|_r \|u\|_{p^*}^q \leq C_h \|u\|^q, \quad \forall u \in D^{1,p}(\mathbb{R}^N), \quad (2.5)$$

where $r = p^*/(p^* - q)$ is dual to p^*/q .

Let $\{u_n\}$ be a sequence in $D^{1,p}(\mathbb{R}^N)$ with $u_n \rightharpoonup 0$ in $D^{1,p}(\mathbb{R}^N)$. For each $R > 0$, we have the continuous embedding $D^{1,p}(\mathbb{R}^N) \hookrightarrow W^{1,p}(B_R(0))$. Since the embedding $W^{1,p}(B_R(0)) \hookrightarrow L^p(B_R(0))$ is compact, it follows that $D^{1,p}(\mathbb{R}^N) \hookrightarrow L^p(B_R(0))$ is a compact embedding as well. Hence, for some subsequence, still denoted by itself,

$$u_n(x) \rightarrow 0 \text{ a.e. in } \mathbb{R}^N.$$

By the continuous embedding (2.4), we also know that $\{|u_n|^q\}$ is a bounded sequence in $L^{\frac{p^*}{q}}(\mathbb{R}^N)$. Then, up to a subsequence if necessary,

$$|u_n|^q \rightharpoonup 0 \text{ in } L^{\frac{p^*}{q}}(\mathbb{R}^N),$$

or equivalently,

$$\int_{\mathbb{R}^N} |u_n|^q \varphi dx \rightarrow 0, \quad \forall \varphi \in L^r(\mathbb{R}^N).$$

As (P_1) guarantees that $h \in L^r(\mathbb{R}^N)$, it follows that

$$\int_{\mathbb{R}^N} h(x) |u_n|^q dx \rightarrow 0.$$

This shows that $u_n \rightarrow 0$ in $L_h^q(\mathbb{R}^N)$, finishing the proof. $\square$

We also give the following result that will be used later.

Lemma 2.2. *If $u_n \rightharpoonup u$ in $D^{1,p}(\mathbb{R}^N)$ and (P_1) holds, then*

$$\int_{\mathbb{R}^N} h(x) |u_n - u| |u_n|^{q-1} dx \rightarrow 0 \quad \text{as } n \rightarrow +\infty, \quad \forall q \in [1, p^*].$$

Proof. Set $r = \frac{p^*}{q-1} \in \left(\frac{p^*}{p^*-1}, \infty\right]$ and $r' = \frac{p^*}{p^*-(q-1)} \in [1, p^*)$ its dual exponent. First note that $\{u_n\}$ is bounded in $D^{1,p}(\mathbb{R}^N)$, and so, $\{|u_n|^{q-1}\}$ is bounded in $L^r(\mathbb{R}^N)$ by (2.4), while $h|u_n - u| \rightarrow 0$ in $L^{r'}$ since we can apply Lemma 2.1 with $h^{r'}$ in the place of h , which also satisfies condition (P_1) . Then by Hölder inequality

$$\int_{\mathbb{R}^N} h(x) |u_n - u| |u_n|^{q-1} dx \leq \|h(u_n - u)\|_{r'} \|u_n^{q-1}\|_r \rightarrow 0.$$

$\square$

2.1. Critical points theory for the functional I_a

As mentioned in the last subsection, the functional I_a is only locally Lipschitz in $D^{1,p}(\mathbb{R}^N)$, then we cannot use variational methods for C^1 functionals. Having this in mind, we will then use the theory of critical points for locally Lipschitz functions in a Banach space, see Clarke [13] for more details.

First of all, we recall that $u \in D^{1,p}(\mathbb{R}^N)$ is a critical point of I_a if

$$\int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \nabla v dx + \int_{\mathbb{R}^N} h(x) (-F_a)^0(u, v) dx \geq 0, \quad \forall v \in D^{1,p}(\mathbb{R}^N), \quad (2.6)$$

where

$$(-F_a)^0(t, s) = \limsup_{\xi \searrow 0, \tau \rightarrow t} \frac{-F_a(\tau + \xi s) + F_a(\tau)}{\xi}$$

indicates the generalized directional derivative of $-F_a$ at the point t along the direction s .

It is easy to see that a global minimum is always a critical point; moreover, an analogous of the classical mountain pass theorem holds true (see [11]), where a critical point in the sense of (2.6) is obtained at the usual minimax level provided the following form of (PS)-condition holds true:

(PS_L) If $\{u_n\}$ is a sequence in $D^{1,p}(\mathbb{R}^N)$ such that $\{I_a(u_n)\}$ is bounded and

$$\int_{\mathbb{R}^N} |\nabla u_n|^{p-2} \nabla u_n \nabla v \, dx + \int_{\mathbb{R}^N} h(x) (-F_a)^0(u_n, v) \, dx \geq -\varepsilon_n \|v\|, \quad (2.7)$$

$\forall v \in D^{1,p}(\mathbb{R}^N)$, where $\varepsilon_n \rightarrow 0$, then $\{u_n\}$ admits a convergent subsequence.

In the next Lemma, let us collect some useful properties that can be derived by the definition of critical points of I_a , given in (2.6).

Lemma 2.3. *Assume (P_1) and (f_{sc}) . Then a critical point u_a of the functional I_a , as defined in (2.6), has the following properties:*

1. $u_a \geq 0$ in $\mathbb{R}^N$;
2. if $u_a > 0$ in $\mathbb{R}^N$ then it is a weak solution of problem (AP_a) , and also a solution of problem (P_a) ;
3. u_a is a weak subsolution of $-\Delta_p u = h(x)f(u)$ in $\mathbb{R}^N$;
4. u_a is a weak supersolution of $-\Delta_p u = h(x)(f(u) - a)$ in $\mathbb{R}^N$.

Proof. Straightforward calculations give

$$(-F_a)^0(t, s) = \begin{cases} -(f(t) - a)s & \text{for } t > 0, s \in \mathbb{R} \\ as & \text{for } t = 0, s > 0 \\ 0 & \text{for } \begin{cases} t < 0, s \in \mathbb{R} \\ t = 0, s \leq 0. \end{cases} \end{cases} \quad (2.8)$$

By using $u_a^- = \max\{0, -u_a\}$ as a test function in (2.6) we get

$$-\|u_a^-\|^p = \int_{\mathbb{R}^N} |\nabla u_a|^{p-2} \nabla u_a \nabla u_a^- \, dx \geq - \int_{\mathbb{R}^N} h(x) (-F_a)^0(u_a, u_a^-) \, dx \geq 0,$$

then $u_a^- \equiv 0$ and then (1.) is proved.

If $u_a > 0$ in $\text{supp}(\phi)$, then from (2.6),

$$\int_{\mathbb{R}^N} |\nabla u_a|^{p-2} \nabla u_a \nabla \phi \, dx \geq - \int_{\mathbb{R}^N} h(x) (-F_a)^0(u_a, \phi) \, dx = \int_{\mathbb{R}^N} h(x) f_a(u_a) \phi \, dx,$$

and by testing also with $-\phi$ one obtains equality, then (2.) is proved.

If $\phi \geq 0$ in (2.6) then

$$\int_{\mathbb{R}^N} |\nabla u_a|^{p-2} \nabla u_a \nabla \phi \, dx \geq - \int_{\mathbb{R}^N} h(x) (-F_a)^0(u_a, \phi) \, dx \geq \int_{\mathbb{R}^N} h(x) (f(u_a) - a) \phi \, dx;$$

by testing with $-\phi$ one obtains

$$- \int_{\mathbb{R}^N} |\nabla u_a|^{p-2} \nabla u_a \nabla \phi \, dx \geq - \int_{\mathbb{R}^N} h(x) (-F_a)^0(u_a, -\phi) \, dx \geq - \int_{\mathbb{R}^N} h(x) f(u_a) \phi \, dx.$$

The above analysis guarantees that, for every $\phi \in D^{1,p}(\mathbb{R}^N)$ with $\phi \geq 0$,

$$\int_{\mathbb{R}^N} h(x)(f(u_a) - a)\phi \, dx \leq \int_{\mathbb{R}^N} |\nabla u_a|^{p-2} \nabla u_a \nabla \phi \, dx \leq \int_{\mathbb{R}^N} h(x)f(u_a)\phi \, dx, \quad (2.9)$$

which proves the claims (3.) and (4.). $\square$

2.2. Mountain pass geometry

Throughout this subsection we assume the hypotheses of Theorem 1.1. The next two Lemmas will be useful to prove that in this case I_a verifies the mountain pass geometry.

Lemma 2.4. *There exist $\rho, \alpha > 0$ such that*

$$I_a(u) \geq \alpha, \quad \text{for } \|u\| = \rho \text{ and any } a \geq 0.$$

Proof. Notice that, in view of (f₀), (f_{sc}) and (2.3), given $\epsilon > 0$, there exists $C_\epsilon > 0$ such that

$$F_a(t) \leq \epsilon |t|^p + C_\epsilon |t|^q, \quad \forall t \in \mathbb{R}.$$

Thus, by Lemma 2.1,

$$\int_{\mathbb{R}^N} h(x)F_a(u(x)) \, dx \leq \epsilon C \|u\|^p + C_\epsilon C \|u\|^q, \quad \forall u \in D^{1,p}(\mathbb{R}^N).$$

Thereby, setting $\|u\| = \rho$, we obtain

$$I_a(u) \geq \rho^p \left(\frac{1}{p} - \epsilon C - C C_\epsilon \rho^{q-p} \right).$$

Now, fixing $\epsilon = 1/(2pC)$ and choosing ρ sufficiently small such that $C C_\epsilon \rho^{q-p} \leq 1/4p$, so that the term in parentheses is at least $1/4p$, we see that the claim is satisfied by taking $\alpha = (1/4p)\rho^p$. $\square$

Lemma 2.5. *There exists $v \in D^{1,p}(\mathbb{R}^N)$ and $a_1 > 0$ such that $\|v\| > \rho$ and $I_a(v) < 0$, for all $a \in [0, a_1]$.*

Proof. Fix a function

$$\varphi \in C_0^\infty(\mathbb{R}^N) \setminus \{0\}, \quad \text{with } \varphi \geq 0 \quad \text{and } \|\varphi\| = 1.$$

Note that for all $t > 0$,

$$\begin{aligned} I_a(t\varphi) &= \frac{1}{p} t^p - \int_{\Omega} h(x) F_a(t\varphi) \, dx \\ &= \frac{1}{p} t^p - \int_{\Omega} h(x) F(t\varphi) \, dx + a \int_{\Omega} h(x) t\varphi \, dx, \end{aligned}$$

where $\Omega = \text{supp } \varphi$. Now, estimating with (1.4) and assuming that a is bounded in some set $[0, a_1]$, we find

$$I_a(t\varphi) \leq \frac{1}{p}t^p - A_1t^\theta \int_{\mathbb{R}^N} h(x)\varphi^\theta dx + B_1 \|h\|_1 + ta_1 \int_{\mathbb{R}^N} h(x)\varphi dx \quad (2.10)$$

Since $h > 0$ the two integrals are positive, and using the fact that $\theta > p > 1$, we can fix $t_1 > \rho$ large enough so that $I_a(v) < 0$, where $v = t_1\varphi \in D^{1,p}(\mathbb{R}^N)$. $\square$

In the sequel, we are going to prove the version of (PS)-condition required in the critical points theory for Lipschitz functionals, for the functional I_a . To do this, observe that (f_∞) yields that f_a also satisfies the famous condition due to Ambrosetti-Rabinowitz, that is, there exists $T > 0$, which does not depend on $a \geq 0$, such that

$$\theta F_a(t) \leq t f_a(t) + T, \quad t \in \mathbb{R}. \quad (2.11)$$

Lemma 2.6. *For all $a \geq 0$, the functional I_a satisfies the condition (PS_L) .*

Proof. Observe that, by (2.8), $(-F_a)^0(t, \pm t) = \mp f_a(t)t$ for all $t \in \mathbb{R}$. Then, from (2.7),

$$\left| \int_{\mathbb{R}^N} |\nabla u_n|^p dx - \int_{\mathbb{R}^N} h(x) f_a(u_n) u_n dx \right| \leq \varepsilon_n \|u_n\|.$$

For n large enough, we assume $\varepsilon_n < 1$ so we get

$$- \|u_n\| - \|u_n\|^p \leq - \int_{\mathbb{R}^N} h(x) f_a(u_n) u_n dx. \quad (2.12)$$

On the other hand, since $|I_a(u_n)| \leq K$ for some $K > 0$, it follows that

$$\frac{1}{p} \|u_n\|^p - \int_{\mathbb{R}^N} h(x) F_a(u_n) dx \leq K, \quad \forall n \in \mathbb{N}. \quad (2.13)$$

From (2.11) and (2.13),

$$\frac{1}{p} \|u_n\|^p - \frac{1}{\theta} \int_{\mathbb{R}^N} h(x) f_a(u_n) u_n dx - \frac{1}{\theta} T \|h\|_1 \leq K, \quad (2.14)$$

thereby, by (2.12) and (2.14),

$$\left(\frac{1}{p} - \frac{1}{\theta} \right) \|u_n\|^p - \frac{1}{\theta} \|u_n\| \leq K + \frac{1}{\theta} T \|h\|_1,$$

for n large enough. This shows that $\{u_n\}$ is bounded in $D^{1,p}(\mathbb{R}^N)$. Thus, without loss of generality, we may assume that

$$u_n \rightharpoonup u \quad \text{in } D^{1,p}(\mathbb{R}^N)$$

and

$$u_n(x) \rightarrow u(x) \quad \text{a.e. in } \mathbb{R}^N.$$

By (2.8) and conditions (f_{sc}) -(f_0), there exists $C > 0$ that does not depend on a such that

$$|(-F_a)^0(t, s)| \leq (C(|t|^{q-1} + |t|) + a) |s|$$

and so,

$$|h(x)(-F_a)^0(u_n, u_n - u)| \leq Ch(x)|u_n - u|(|u_n|^{q-1} + |u_n| + a).$$

By Lemma 2.2, we have the limit

$$\int_{\mathbb{R}^N} h(x)(-F_a)^0(u_n, \pm(u_n - u)) dx \rightarrow 0,$$

that combines with the inequalities below, obtained from (2.7),

$$\begin{aligned} -\varepsilon_n \|u - u_n\| - \int_{\mathbb{R}^N} h(x)(-F_a)^0(u_n, u - u_n) dx \\ \leq \int_{\mathbb{R}^N} |\nabla u_n|^{p-2} \nabla u_n \nabla(u - u_n) dx \\ \leq \int_{\mathbb{R}^N} h(x)(-F_a)^0(u_n, u_n - u) dx + \varepsilon_n \|u - u_n\|, \end{aligned} \quad (2.15)$$

to give

$$\int_{\mathbb{R}^N} |\nabla u_n|^{p-2} \nabla u_n \nabla(u - u_n) dx \rightarrow 0. \quad (2.16)$$

The weak convergence $u_n \rightharpoonup u$ in $D^{1,p}(\mathbb{R}^N)$ yields

$$\int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \nabla(u_n - u) dx \rightarrow 0. \quad (2.17)$$

From (2.16), (2.17) and the (S+) property of the p -Laplacian, we deduce that $u_n \rightarrow u$ in $D^{1,p}(\mathbb{R}^N)$, finishing the proof. Here, the Simon's inequality found in [25, Lemma A.0.5] plays an important role to conclude the strong convergence. $\square$

Next, we obtain a critical point for I_a , by the mountain pass theorem for Lipschitz functionals. Furthermore, we will make explicit the dependence of the constants on the bounded interval $[0, \bar{a})$ where the parameter a is taken, by using as subscript its endpoint, which we still have to fix, while we will not mention their dependence on h and f .

Lemma 2.7. *There exists a constant $C_{a_1} > 0$ such that I_a has a critical point $u_a \in D^{1,p}(\mathbb{R}^N)$ satisfying $0 < \alpha \leq I_a(u_a) \leq C_{a_1}$, for every $a \in [0, a_1)$.*

Proof. The Lemmas 2.4, 2.5 and 2.6 guarantee that we can apply the mountain pass theorem for Lipschitz functionals due to [11] to show the existence of a critical point $u_a \in D^{1,p}(\mathbb{R}^N)$ for all $a \in [0, a_1)$, with $I_a(u_a) = d_a \geq \alpha > 0$, where d_a is the mountain pass level associated with I_a .

Now, taking $\varphi \in C_0^\infty(\Omega)$ as in the proof of Lemma 2.5, $t > 0$, and estimating as in (2.10), we see that $I_a(t\varphi)$ is bounded from above, uniformly if $a \in [0, a_1]$. Consequently, the mountain pass level is also estimated in the same way, that is,

$$0 < \alpha \leq d_a = I_a(u_a) \leq \max\{I_a(t\varphi); t \geq 0\} \leq C_{a_1}.$$

□

The next Lemma establishes a very important estimate involving the Sobolev norm of the solution u_a for $a \in [0, a_1]$.

Lemma 2.8. *There exist constants k_{a_1}, K_{a_1} , such that $0 < k_{a_1} \leq \|u_a\| \leq K_{a_1}$ for all $a \in [0, a_1]$.*

Proof. Using again that $(-F_a)^0(t, \pm t) = \mp f_a(t)t$, we get from (2.6) that

$$\|u_a\|^p - \int_{\mathbb{R}^N} h(x) f_a(u_a) u_a = 0. \quad (2.18)$$

By Lemma 2.7, and subtracting (2.18) divided by θ , we get the inequality below

$$C_{a_1} \geq I_a(u_a) = \left(\frac{1}{p} - \frac{1}{\theta}\right) \|u_a\|^p + \int_{\mathbb{R}^N} h(x) \left(\frac{1}{\theta} f_a(u_a) u_a - F_a(u_a)\right) dx,$$

which combined with (2.11) leads to

$$C_{a_1} \geq \left(\frac{1}{p} - \frac{1}{\theta}\right) \|u_a\|^p - \|h\|_\infty T,$$

establishing the estimate from above.

In order to get the estimate from below, just note that by (2.3) and the embeddings in Lemma 2.1,

$$\alpha \leq I_a(u_a) \leq \frac{1}{p} \|u_a\|^p + a \int_{\mathbb{R}^N} u_a^+ dx \leq \frac{1}{p} \|u_a\|^p + C a_1 \|u_a\|.$$

This gives the desired estimate from below. □

2.3. Global minimum geometry

Throughout this subsection, we assume the hypotheses of Theorem 1.2. The next three Lemmas will prove that I_a has a global minimum at a negative level.

Lemma 2.9. *There exist $a_1, \alpha > 0$ and $u_0 \in D^{1,p}(\mathbb{R}^N)$ such that*

$$I_a(u_0) \leq -\alpha, \quad \text{for any } a \in [0, a_1].$$

Proof. Let $\varphi \in C_0^\infty(\mathbb{R}^N)$ be as in the proof of Lemma 2.5. For $t > 0$,

$$I_a(t\varphi) = \frac{1}{p} t^p - \int_{\Omega} h(x) F(t\varphi) dx + a \int_{\Omega} h(x) t\varphi dx,$$

where $\Omega = \text{supp } \varphi$.

From $(\widehat{f_0})$ and using the fact that $\inf_{x \in \text{supp } \varphi} h(x) = h_0 > 0$, we have that, for $t_0 > 0$ small enough

$$\int_{\Omega} h(x) F(t_0 \varphi) dx \geq \frac{2}{p} t_0^p.$$

Therefore,

$$I_a(t_0 \varphi) \leq -\frac{1}{p} t_0^p + a t_0 \int_{\Omega} h(x) \varphi dx.$$

Now fixing $\alpha = \frac{1}{2p} t_0^p > 0$ and choosing $a_1 = a_1(t_0)$ in such way that $a_1 t_0 \int_{\Omega} h(x) \varphi dx \leq \alpha$, we derive that

$$I_a(t_0 \varphi) \leq -\alpha < 0 \quad \text{for } a \in [0, a_1),$$

showing the Lemma. $\square$

Lemma 2.10. *I_a is coercive, uniformly with respect to $a \geq 0$, in fact, there exist $H, \rho > 0$ independent of a such that $I_a(u) \geq H$ whenever $\|u\| \geq \rho$.*

Proof. By (f_{sc}) and Lemma 2.1, there is $C > 0$ such that

$$\begin{aligned} I_a(u) &\geq \frac{1}{p} \|u\|^p - \int_{\mathbb{R}^N} h(x) (C + C|u|^q) dx \\ &\geq \frac{1}{p} \|u\|^p - C - C \|u\|^q, \end{aligned} \quad (2.19)$$

then the claim follows easily since $p > q$ from $(\widetilde{f}_{\infty})$. $\square$

Lemma 2.11. *For every $a \in \mathbb{R}$, I_a is weakly lower semicontinuous.*

Proof. The proof is classical, since the norm is weakly lower semicontinuous and the term $\int_{\mathbb{R}} h(x) F_a(u) dx$ is weakly continuous. To see this, let $\{u_n\}$ be a sequence in $D^{1,p}(\mathbb{R}^N)$ such that

$$u_n \rightharpoonup u \quad \text{in } D^{1,p}(\mathbb{R}^N).$$

Then, proceeding as in the proof of Lemma 2.1, up to a subsequence

$$u_n(x) \rightarrow u(x) \quad \text{in } L_h^q(\mathbb{R}^N) \text{ and a.e. in } \mathbb{R}^N.$$

This means that $w_n = h^{1/q} u_n \rightarrow w = h^{1/q} u$ in L^q , as a consequence, we may also assume that $\{w_n\}$ is dominated by some $g \in L^q$. On the other hand, by (f_{sc}) and (P_1)

$$|h F_a(u_n)| \leq h C(|u_n|^q + 1) \leq C(g^q + h) \in L^1(\mathbb{R}^N),$$

and so, $h F_a(u_n)$ is dominated and converges to its a.e. limit $h F_a(u)$. Since the same argument can be applied to any subsequence of the initial sequence, we can ensure that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} h F_a(u_n) dx = \int_{\mathbb{R}^N} h F_a(u) dx$$

along any $D^{1,p}$ -weakly convergent sequence. $\square$

We will now obtain a candidate solution for problem (AP_a) by minimization.

Lemma 2.12. *There exists a constant $C_{a_1} > 0$ such that I_a has a global minimizer $u_a \in D^{1,p}(\mathbb{R}^N)$ satisfying $0 > -\alpha \geq I_a(u_a) \geq -C_{a_1}$, for every $a \in [0, a_1)$.*

Proof. The minimizer is obtained in view of the above Lemmas. Actually the global minimum of I_a stays below $-\alpha$ by Lemma 2.9, while the boundedness from below is a consequence of (2.19). $\square$

The next Lemma establishes the same important estimate as the one in Lemma 2.8, for the minimizer u_a .

Lemma 2.13. *There exist constants k_{a_1}, K_{a_1} , such that $0 < k_{a_1} \leq \|u_a\| \leq K_{a_1}$ for all $a \in [0, a_1)$.*

Proof. The bound from above for the norm of u_a is a consequence of the uniform coercivity proved in Lemma 2.10, since $I_a(u_0) < 0$. For the estimate from below, just note that by (2.3),

$$\begin{aligned} 0 > -\alpha \geq I_a(u_a) &= \frac{1}{p} \|u\|^p - \int_{\mathbb{R}^N} h(x) F_a(u_a) dx \\ &\geq - \int_{\mathbb{R}^N} h(x) F(u_a^+) dx \end{aligned}$$

and the right hand side goes to zero if $\|u_a\|$ goes to zero, by Lemma 2.1 and the continuity of the integral. $\square$

3. Further estimates for the critical points u_a

From now on u_a will be the critical point obtained in Lemma 2.7 or in Lemma 2.12. Our first result ensures that the family $\{u_a\}_{a \in [0, \bar{a})}$ is a bounded set in $L^\infty(\mathbb{R}^N)$ for $\bar{a}$ small enough. This fact is crucial in our approach.

Lemma 3.1. *There exists $C_{a_1}^\infty > 0$ such that*

$$\|u_a\|_\infty \leq C_{a_1}^\infty, \quad \forall a \in [0, a_1). \quad (3.1)$$

Proof. By Lemma 2.3 we know that for $a \in [0, a_1)$, $u_a \geq 0$ and it is a weak subsolution of

$$-\Delta_p u = h(x) f(u), \quad \text{in } \mathbb{R}^N.$$

In the case of the mountain pass geometry, u_a is also a weak subsolution of

$$-\Delta_p u = h(x) \alpha(x) (1 + |u|^{p-2} u) \quad \text{in } \mathbb{R}^N,$$

where, from (f_{sc}) and (f_∞) ,

$$\alpha(x) := \frac{f(u_a(x))}{1 + u_a(x)^{p-1}} \leq D(1 + u_a(x)^{q-p}) \quad \text{in } \mathbb{R}^N,$$

for some $D > 0$ which depends only on f .

Let $K_\rho(x)$ denote a cube centered at x with edge length ρ , and $\|\cdot\|_{r,K}$ denote the L^r norm restricted to the set K . Our goal is to prove that, for a fixed $\rho > 0$ and any $x \in \mathbb{R}^N$, one has

$$\sup_{K_\rho(x)} u_a \leq C \left(1 + \|u_a\|_{p^*, K_{2\rho}(x)}\right) \quad (3.2)$$

where C depends on p, N, f, h only. Since $K_\rho(x)$ can be taken anywhere and the right hand side is bounded for $\{u_a\}_{a \in [0, a_1]}$ by Lemma 2.8 and (2.4), equation (3.2) gives a uniform bound for u_a in L^∞ , proving our claim.

In order to prove (3.2), we will use Trudinger [28, Theorem 5.1] (see also Theorem 1.3 and Corollary 1.1). For this, we need to show that

$$\sup_{x \in \mathbb{R}^N, \rho > 0} \frac{\|h\alpha\|_{N/p, K_\rho(x)}}{\rho^\delta} \leq C$$

for a suitable $\delta > 0$ and C that do not depend on $a \in [0, a_1]$ (see eq. (5.1) in [28]).

Actually let $\tau = p^*/(q - p) > N/p$, then

$$\|h\alpha\|_{N/p, K_\rho(x)} \leq \|h\alpha\|_{\tau, K_\rho(x)} |K_\rho(x)|^{p/N - 1/\tau},$$

and

$$\begin{aligned} \|h\alpha\|_{\tau, K_\rho(x)}^\tau &= \int_{K_\rho(x)} (h\alpha)^\tau dx \leq \int_{K_\rho(x)} h^\tau D(1 + u_a^{q-p})^\tau dx \leq \\ &\leq D' \int_{K_\rho(x)} h^\tau (1 + u_a^{p^*}) dx \leq D''(1 + \|u_a\|_{p^*}^{p^*}). \end{aligned} \quad (3.3)$$

Using the fact that $|K_\rho(x)| = \rho^N$, we conclude that $\rho^{-\delta} \|h\alpha\|_{N/p, K_\rho(x)}$ is bounded, for a suitable $\delta > 0$, by a constant depending only on p, N, f, h and $\|u_a\|_{p^*}$, which is bounded by Lemma 2.8 and (2.4).

In the case of the minimum geometry, we can take α to be a constant and then the boundedness of $\rho^{-\delta} \|h\alpha\|_{N/p, K_\rho(x)}$ is easily obtained since $h \in L^\infty$ (in this case (3.2) can also be obtained directly from Theorem 1.3 and Corollary 1.1 in [28]). $\square$

In what follows, we show an estimate from below of the norm $L^\infty(B_\gamma)$ of u_a for a small enough, where $B_\gamma \subset \mathbb{R}^N$ is the open ball centered at origin with radio $\gamma > 0$. This estimate is a key point to understand the behavior of the family $\{u_a\}$ when a goes to 0.

Lemma 3.2. *There exist $\delta, \gamma > 0$ that do not depend on $a \in [0, a_1]$, such that $\|u_a\|_{\infty, B_\gamma} \geq \delta$ for all $a \in [0, a_1]$.*

Proof. By (2.9), since $u_a \geq 0$,

$$\int_{\mathbb{R}^N} |\nabla u_a|^p dx \leq \int_{\mathbb{R}^N} h(x) f(u_a) u_a dx. \quad (3.4)$$

By Lemma 2.8 (resp. Lemma 2.13) the left hand side is bounded from below by $k_{a_1}^p$. Let now

• Γ be such that $f(t)t < \Gamma$ for $t \in [0, C_{a_1}^\infty]$, where $C_{a_1}^\infty$ was given in Lemma 3.1,

• γ be such that $\int_{\mathbb{R}^N \setminus B_\gamma} h dx < k_{a_1}^p / (2\Gamma)$,

• δ be such that $f(t)t < k_{a_1}^p / (2 \|h\|_\infty |B_\gamma|)$ for $t \in [0, \delta]$.

Then if $u_a < \delta$ in B_γ we are lead to the contradiction

$$k_{a_1}^p \leq \int_{\mathbb{R}^N} |\nabla u_a|^p dx \leq \int_{\mathbb{R}^N \setminus B_\gamma} h(x) f(u_a) u_a dx + \int_{B_\gamma} h(x) f(u_a) u_a dx < k_{a_1}^p$$

and then the claim is proved. $\square$

We can now prove the following convergence result.

Lemma 3.3. *Given a sequence of positive numbers $a_j \rightarrow 0$, there exists $u \in D^{1,p}(\mathbb{R}^N)$ and $\beta > 0$ such that, up to a subsequence, $u_{a_j} \rightarrow u$ weakly in $D^{1,p}(\mathbb{R}^N)$ and in $C^{1,\beta}$ sense in compact sets. Moreover, $u > 0$ is a solution of (P_a) with $a = 0$.*

Proof. Fixing $u_j = u_{a_j}$, it follows that $\{u_j\}$ is bounded in $L^\infty(\mathbb{R}^N)$, which means that we may apply [27, Theorem 1] to obtain that it is also bounded in $C_{loc}^{1,\alpha}(\mathbb{R}^N)$ for some $\alpha > 0$. As a consequence, for $\beta \in (0, \alpha)$, in any compact set $\bar{\Omega}$ it admits a subsequence that converges in $C^{1,\beta}(\bar{\Omega})$ and using a diagonal procedure we see that there exists $u \in C^{1,\beta}(\mathbb{R}^N)$ such that, again up to a subsequence, $u_n \rightarrow u$ in $C^{1,\beta}$ sense in compact sets. From Lemma 3.2, u is not identically zero. The boundedness in $W_{loc}^{1,p}(\mathbb{R}^N)$ implies that we may also assume that $u_j \rightharpoonup u$ in $W_{loc}^{1,p}$ and in $L_{loc}^{p^*}(\mathbb{R}^N)$.

For $\phi \geq 0$ with support in some bounded set Ω , from (2.9) we have

$$\int_{\Omega} h(x) (f(u_j) - a_j) \phi dx \leq \int_{\Omega} |\nabla u_j|^{p-2} \nabla u_j \nabla \phi dx \leq \int_{\Omega} h(x) f(u_j) \phi dx; \quad (3.5)$$

the above convergences bring

$$\int_{\Omega} |\nabla u|^{p-2} \nabla u \nabla \phi dx = \int_{\Omega} h(x) f(u) \phi dx, \quad (3.6)$$

then u is a nontrivial solution of (P_a) with $a = 0$, and since $f \geq 0$, it follows that u is everywhere positive. $\square$

4. Proof of the main Theorems

In order to prove that $u_a > 0$ for a small enough, we will first construct a subsolution that will be used for comparison.

Lemma 4.1. *Let $\vartheta > N > p$ be as in (P₂). Given $A, r > 0$ there exists $H > 0$ such that the problem*

$$\begin{cases} -\Delta_p z &= A & \text{in } B_r, \\ -\Delta_p z &= -H|x|^{-\vartheta} & \text{in } \mathbb{R}^N \setminus B_r, \end{cases} \quad (4.1)$$

has an explicit family of bounded radial and radially decreasing weak solutions, defined up to an additive constant. More precisely, if we take $H = A^{\frac{\vartheta-N}{N}} r^\vartheta$ and if we fix $\lim_{|x| \rightarrow \infty} z(x) = 0$, then the solution is

$$z(x) = \begin{cases} C - \left(\frac{A}{N}\right)^{1/(p-1)} \frac{p-1}{p} |x|^{p/(p-1)} & \text{for } |x| < r, \\ \left(\frac{A}{N} r^\vartheta\right)^{1/(p-1)} \frac{p-1}{\vartheta-p} |x|^{(p-\vartheta)/(p-1)} & \text{for } |x| \geq r, \end{cases} \quad (4.2)$$

where C is chosen so that the two formulas coincide for $|x| = r$.

Proof. For a radial function $u(x) = v(|x|)$ one has

$$\Delta_p u = |v'|^{p-2} \left[(p-1)v'' + \frac{N-1}{\rho} v' \right].$$

By substitution, one can see that a function in the form $u(x) = v(|x|) = \sigma|x|^\lambda$ is a solution of the equation $\Delta_p u = \varrho|x|^b$ provided

$$\begin{cases} \lambda = \frac{p+b}{p-1}, \\ |\sigma|^{p-2} \sigma = \frac{1}{(N+b)|\lambda|^{p-2}\lambda} \varrho. \end{cases}$$

In particular,

- if $b = 0$ then $\lambda = \frac{p}{p-1} > 0$ and σ has the same sign of ϱ ;
- if $b = -\vartheta$ with $\vartheta > N > p$ then $\lambda = \frac{p-\vartheta}{p-1} < 0$ and still σ has the same sign of ϱ .

Now, taking the two functions

$$\begin{cases} -\left(\frac{A}{N}\right)^{1/(p-1)} \frac{p-1}{p} |x|^{p/(p-1)} & \text{for } |x| < r, \\ -\left(\frac{H}{\vartheta-N}\right)^{1/(p-1)} \frac{p-1}{\vartheta-p} |x|^{(p-\vartheta)/(p-1)} & \text{for } |x| > r, \end{cases}$$

they satisfy (4.1) and their radial derivatives are

$$\begin{cases} -\left(\frac{A}{N}\right)^{1/(p-1)} |x|^{1/(p-1)} & \text{for } |x| < r, \\ -\left(\frac{H}{\vartheta-N}\right)^{1/(p-1)} |x|^{(1-\vartheta)/(p-1)} & \text{for } |x| > r. \end{cases}$$

Note that the derivatives are equal at $|x| = r$ provided

$$\frac{A}{N}r = \frac{H}{\vartheta - N}r^{1-\vartheta}.$$

Having this in mind, we can therefore construct z piecewise as in (4.2) obtaining a bounded, radial and radially decreasing function, to which any constant can be added. $\square$

In order to finalize the proof of our main Theorems, we only need to show that for any sequence of positive numbers $a_j \rightarrow 0$ there exists a subsequence of the corresponding critical points $u_j := u_{a_j}$ that are positive: this is proved in the following Lemma.

Lemma 4.2. *If h satisfies (P_1) – (P_2) , then the sequence $\{u_j\}$ satisfies $u_j > 0$ for j large enough.*

Proof. Fix $r > 0$. From Lemma 3.3, up to a subsequence, $u_j \rightarrow u > 0$ uniformly in $\overline{B_r}$. Thus, there exist $A, j_0 > 0$ such that,

$$u_j > 0 \quad \text{and} \quad h(x)f_{a_j}(u_j) \geq A, \quad \text{in } \overline{B_r}, \quad \text{for } j > j_0. \quad (4.3)$$

Now let B be the constant in condition (P_2) , $H = A \frac{\vartheta - N}{N} r^\vartheta$ as from Lemma 4.1 and let $j_1 > j_0$ be such that $a_j B < H$ for $j > j_1$. Then we have,

$$h(x)f_{a_j}(u_j) \geq -h(x)a_j \geq -H|x|^{-\vartheta}, \quad \text{in } B_r^C, \quad \text{for } j > j_1. \quad (4.4)$$

Combining equation (2.9), the above inequalities and (4.1), we get, for every $\phi \in D^{1,p}(\mathbb{R}^N)$, $\phi \geq 0$,

$$\int_{\mathbb{R}^N} |\nabla u_j|^{p-2} \nabla u_j \nabla \phi \, dx \geq \int_{\mathbb{R}^N} h(x)(f_{a_j}(u_j)) \phi \, dx \geq \int_{\mathbb{R}^N} |\nabla z|^{p-2} \nabla z \nabla \phi \, dx. \quad (4.5)$$

In order to conclude, fix an arbitrary $R > r$ and define z_R by subtracting from z a constant so that $z_R = 0$ on ∂B_R (but observe that $z_R > 0$ in B_R). By (4.5) (which holds true also for z_R) and since $u_j \geq 0 = z_R$ on ∂B_R we obtain by Comparison Principle that $u_j \geq z_R > 0$ in B_R . Since R is arbitrary, we have proved that $u_j > 0$ in $\mathbb{R}^N$ for $j > j_1$.

In particular, since $z_R \rightarrow z$ uniformly as $R \rightarrow \infty$, we conclude that $u_j \geq z$ in $\mathbb{R}^N$ for $j > j_1$. $\square$

5. Final comments.

In this section we would like to point out some results that can be useful when studying problems that involve the p -Laplacian operator in the whole $\mathbb{R}^N$. Proposition 5.2 below works like a Hopf's Lemma for the p -Laplacian operator in the whole $\mathbb{R}^N$ and it allows to prove the Liouville-type result in Proposition 1.3.

Lemma 5.1. *Let $N > p$ and $A, r > 0$. Then, the problem*

$$\begin{cases} -\Delta_p z = A & \text{in } B_r, \\ -\Delta_p z = 0 & \text{in } \mathbb{R}^N \setminus B_r, \end{cases} \quad (5.1)$$

has an explicit family of bounded radial and radially decreasing weak solutions, defined up to an additive constant. More precisely, if we fix $\lim_{|x| \rightarrow \infty} z(x) = 0$, then the solution is

$$z(x) = \begin{cases} C - \left(\frac{A}{N}\right)^{1/(p-1)} \frac{p-1}{p} |x|^{p/(p-1)} & \text{for } |x| < r, \\ \left(\frac{A}{N}\right)^{1/(p-1)} \frac{p-1}{N-1} r^{N/(p-1)} |x|^{(p-N)/(p-1)} & \text{for } |x| \geq r, \end{cases}$$

where C is chosen so that the two formulas coincide for $|x| = r$.

Proof. For $|x| > r$ we consider the family of p -harmonic functions $C_1 |x|^{\frac{p-N}{p-1}}$, with radial derivative $-C_1 \frac{N-p}{p-1} |x|^{-\frac{N-1}{p-1}}$.

Then we only have to set $-\left(\frac{A}{N}\right)^{1/(p-1)} r^{1/(p-1)} = -C_1 \frac{N-p}{p-1} r^{-(N-1)/(p-1)}$, that is,

$$C_1 = \left(\frac{A}{N}\right)^{1/(p-1)} \frac{p-1}{N-p} r^{N/(p-1)}. \quad (5.2)$$

□

Proceeding as in the proof of Lemma 4.2, we obtain the following Proposition as an immediate consequence of the above Lemma.

Proposition 5.2 (Hopf's Lemma). *Suppose $N > p$, $A, r, \alpha > 0$ and $u \in D^{1,p}(\mathbb{R}^N) \cap C_{loc}^{1,\alpha}(\mathbb{R}^N)$ satisfying*

$$\begin{cases} -\Delta_p u \geq A > 0 & \text{in } B_r, \\ -\Delta_p u \geq 0 & \text{in } \mathbb{R}^N, \\ u \geq 0 & \text{in } \mathbb{R}^N. \end{cases}$$

Then $u(x) \geq C |x|^{(p-N)/(p-1)}$ for $|x| > r$, where C is given in (5.2).

The above Proposition complements, in some sense, the study made in [16, Theorem 3.1], which obtained a similar estimate when u is a solution of a particular class of p -Laplacian problems in the whole $\mathbb{R}^N$.

Remark 2. *Proposition 5.2 applies in particular to the limit solution u obtained in Lemma 3.3, providing us with its decay rate at infinity.*

Finally, from Proposition 5.2 it is straightforward to derive Proposition 1.3.

References

- [1] I. Ali, A. Castro, R. Shivaji, Uniqueness and stability of nonnegative solutions for semipositone problems in a ball, *Proc. Am. Math. Soc.* 117 (3) (1993) 775–782. Cited at page(s) [2](#)
- [2] W. Allegretto, P. Nistri, P. Zecca, Positive solutions of elliptic non-positone problems, *Differ. Integral Equ.* 5 (1) (1992) 95–101. Cited at page(s) [2](#)
- [3] C. O. Alves, A. R. F. de Holanda, J. A. dos Santos, Existence of positive solutions for a class of semipositone problem in whole $\mathbb{R}^N$, *Proc. Roy. Soc. Edinburgh Sect. A* 150 (5) (2020) 2349–2367.
URL <https://doi.org/10.1017/prm.2019.20> Cited at page(s) [3](#), [4](#)
- [4] C. O. Alves, A. R. F. de Holanda, J. A. Santos, Existence of positive solutions for a class of semipositone quasilinear problems through Orlicz-Sobolev space, *Proc. Amer. Math. Soc.* 147 (1) (2019) 285–299.
URL <https://doi.org/10.1090/proc/14212> Cited at page(s) [2](#), [3](#), [4](#)
- [5] A. Ambrosetti, D. Arcoya, B. Buffoni, Positive solutions for some semipositone problems via bifurcation theory, *Differ. Integral Equ.* 7 (3-4) (1994) 655–663. Cited at page(s) [2](#)
- [6] A. Ambrosetti, P. H. Rabinowitz, Dual variational methods in critical point theory and applications, *J. Funct. Anal.* 14 (1973) 349–381. Cited at page(s) [2](#)
- [7] V. Anuradha, D. D. Hai, R. Shivaji, Existence results for superlinear semipositone BVP's, *Proc. Am. Math. Soc.* 124 (3) (1996) 757–763. Cited at page(s) [2](#)
- [8] S. Caldwell, A. Castro, R. Shivaji, S. Unsurangsie, Positive solutions for classes of multiparameter elliptic semipositone problems, *Electron. J. Differ. Equ.* 2007 (2007) 10, id/No 96. Cited at page(s) [2](#)
- [9] A. Castro, D. G. de Figueredo, E. Lopera, Existence of positive solutions for a semipositone p -Laplacian problem, *Proc. R. Soc. Edinb., Sect. A, Math.* 146 (3) (2016) 475–482. Cited at page(s) [2](#), [3](#), [4](#)
- [10] A. Castro, R. Shivaji, Non-negative solutions for a class of non-positone problems, *Proc. R. Soc. Edinb., Sect. A, Math.* 108 (3-4) (1988) 291–302. Cited at page(s) [2](#)
- [11] K. C. Chang, Variational methods for nondifferentiable functionals and their applications to partial differential equations, *J. Math. Anal. Appl.* 80 (1) (1981) 102–129.
URL [https://doi.org/10.1016/0022-247X\(81\)90095-0](https://doi.org/10.1016/0022-247X(81)90095-0) Cited at page(s) [8](#), [12](#)

- [12] M. Chhetri, P. Drábek, R. Shivaji, Existence of positive solutions for a class of p -Laplacian superlinear semipositone problems, *Proc. R. Soc. Edinb., Sect. A, Math.* 145 (5) (2015) 925–936. Cited at page(s) 2
- [13] F. H. Clarke, Optimization and nonsmooth analysis, vol. 5 of *Classics in Applied Mathematics*, 2nd ed., Society for Industrial and Applied Mathematics (SIAM), Philadelphia, PA, 1990.
URL <https://doi.org/10.1137/1.9781611971309> Cited at page(s) 8
- [14] D. G. Costa, H. Ramos Quoirin, H. Tehrani, A variational approach to superlinear semipositone elliptic problems, *Proc. Am. Math. Soc.* 145 (6) (2017) 2661–2675. Cited at page(s) 2
- [15] D. G. Costa, H. Ramos Quoirin, H. Tehrani, A variational approach to superlinear semipositone elliptic problems, *Proc. Amer. Math. Soc.* 145 (6) (2017) 2661–2675.
URL <https://doi.org/10.1090/proc/13426> Cited at page(s) 5
- [16] D. G. Costa, H. Tehrani, R. Thomas, Estimates at infinity for positive solutions to a class of p -Laplacian problems in $\mathbb{R}^N$, *J. Math. Anal. Appl.* 391 (1) (2012) 170–182.
URL <https://doi.org/10.1016/j.jmaa.2012.02.019> Cited at page(s) 20
- [17] D. G. Costa, H. Tehrani, J. Yang, On a variational approach to existence and multiplicity results for semipositone problems, *Electron. J. Differ. Equ.* 2006 (2006) 10, id/No 11. Cited at page(s) 2
- [18] A. K. Drame, D. G. Costa, On positive solutions of one-dimensional semipositone equations with nonlinear boundary conditions, *Appl. Math. Lett.* 25 (12) (2012) 2411–2416. Cited at page(s) 2
- [19] L. C. Evans, Partial differential equations, vol. 19 of *Graduate Studies in Mathematics*, American Mathematical Society, Providence, RI, 1998. Cited at page(s) 7
- [20] G. M. Figueiredo, E. Massa, J. Santos, Existence of positive solutions for a class of semipositone problems with kirchhoff operator, *Annales Fennici Mathematici* 46 (2) (2021) 655–666.
URL <https://afm.journal.fi/article/view/110567> Cited at page(s) 2, 4
- [21] F. Gazzola, B. Peletier, P. Pucci, J. Serrin, Asymptotic behavior of ground states of quasilinear elliptic problems with two vanishing parameters. II, *Ann. Inst. H. Poincaré C Anal. Non Linéaire* 20 (6) (2003) 947–974.
URL [https://doi.org/10.1016/S0294-1449\(03\)00013-1](https://doi.org/10.1016/S0294-1449(03)00013-1) Cited at page(s) 2
- [22] L. Jeanjean, Some continuation properties via minimax arguments, *Electron. J. Differential Equations* (2011) No. 48, 10. Cited at page(s) 2, 4

- [23] G. M. Lieberman, Boundary regularity for solutions of degenerate elliptic equations, *Nonlinear Anal.* 12 (11) (1988) 1203–1219. Cited at page(s) 3
- [24] G. M. Lieberman, The natural generalization of the natural conditions of Ladyzhenskaya and Ural'tseva for elliptic equations, *Comm. Partial Differential Equations* 16 (2-3) (1991) 311–361.
URL <https://doi.org/10.1080/03605309108820761> Cited at page(s) 3
- [25] I. Peral Alonso, Multiplicity of solutions for the p -laplacian, in: *Second School of Nonlinear Functional Analysis and Applications to Differential Equations*, Trieste, 1997. Cited at page(s) 12
- [26] K. Perera, R. Shivaji, I. Sim, A class of semipositone p -Laplacian problems with a critical growth reaction term, *Adv. Nonlinear Anal.* 9 (1) (2020) 516–525.
URL <https://doi.org/10.1515/anona-2020-0012> Cited at page(s) 5
- [27] P. Tolksdorf, Regularity for a more general class of quasilinear elliptic equations, *J. Differential Equations* 51 (1) (1984) 126–150. Cited at page(s) 17
- [28] N. S. Trudinger, On Harnack type inequalities and their application to quasilinear elliptic equations, *Comm. Pure Appl. Math.* 20 (1967) 721–747.
URL <https://doi.org/10.1002/cpa.3160200406> Cited at page(s) 16