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# Submersions Maps of Constant Rank Submersions with Folds and Immersions

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## 1 Introduction

The aim of these notes is to give global characterizations of maps of constant rank and submersions with definite folds by means of their Stein factorizations. We also establish a relation between the problem of existence of these maps and the problem of extensions of immersions. A theorem on the existence of submersions with definite folds is proved.

Let  $M$  and  $N$  be connected differentiable manifolds of dimensions  $m$  and  $n$ . Assume that  $M$  is closed and  $m \neq n$ . Let  $f : M \rightarrow N$  be differentiable. The Stein factorization of  $f$  is given by the commutative diagram

$$\begin{array}{ccc} M & & \\ q \downarrow & \searrow f & \\ W & \xrightarrow{g} & N \end{array}$$

where  $W$  is the quotient space of  $M$  by the equivalence relation that identifies to a point each connected component of each fiber of  $f$ .

As an example, let  $N$  be  $\mathbb{R}$  and let  $f : M \rightarrow \mathbb{R}$  be a Morse function. Then  $W$  is the Reeb's graph of  $f$ .

Let  $f$  be a submersion. Then the local form of  $f$  as a projection is known. Its Stein factorization provides a global characterization of  $f$  as the composite of the projection of a bundle with connected fiber with a covering map.

When  $f$  is a map of constant rank  $k$ ,  $0 < k < m, n$  its local form is also known.

$f$  is locally the composite of a projection with an inclusion map. In this case, the Stein factorization of  $f$  also gives a global version of this local characterization of  $f$ .

Let now  $f$  be a submersion with definite folds. Then  $f$  is a quotient of a submersion  $h : E \rightarrow N$ , where  $E$  is an  $m$ -manifold with boundary  $\partial E$  and the restriction  $h|_{\partial E}$  has rank  $n - 1$ . There are several recent results in the study of submersions with folds [1], [3], [4], [6]. We also prove a result in this direction.

## 2 Maps of constant rank

Let  $f : M \rightarrow N$  be a submersion. Then  $m > n$ ,  $f$  is onto  $N$  and  $M$  must also be closed. In this case  $g$  is a local homeomorphism thus  $W$  is a closed  $n$ -manifold with the differentiable structure induced by  $g$ . It follows that  $g$  is an immersion. As  $\dim N = \dim W$  and  $g$  is onto then  $g$  is a covering map. Also  $q$  is a submersion. It follows that  $M \xrightarrow{q} W$  is a differentiable bundle with connected fiber. Conversely, if  $M \xrightarrow{q} W$  is a bundle with connected fiber and  $W \xrightarrow{g} N$  is a differentiable covering map then  $f = g \circ q$  is a submersion.

We have many non-trivial examples. When  $N$  is simply-connected  $g$  is a diffeomorphism. Take  $N = S^4$ . Then there is an  $S^7$ -bundle over  $S^4$  that is not orthogonal [8]. If  $N = S^1$  then  $W$  is diffeomorphic to  $S^1$  and  $g : W \rightarrow S^1$  is an  $r$ -fold covering, where  $r =$  number of connected components of a fiber of  $f$ . In this case the bundles over  $S^1$  are determined by  $\pi_0(\text{Diff } F)$ . We have for example the non-orthogonal  $S^6$ -bundle over  $S^1$  obtained from  $S^6 \times [0, 1]$  under identification of  $S^6 \times \{0\}$  with  $S^6 \times \{1\}$  by a diffeomorphism not isotopic to an orthogonal one [2].

Now let  $f : M \rightarrow N$  be of constant rank  $k$ ,  $0 < k < m, n$ . In this case,  $f(M)$  is locally given by submanifolds of dimension  $k$  of  $N$ . Thus  $g : W \rightarrow N$  is locally given by topological embeddings with submanifolds as images. As  $W$  is compact, we may give to  $W$  a differentiable structure of dimension  $k$  so that  $W$  becomes a closed  $k$ -manifold and  $g$  becomes an immersion. Here also  $M \xrightarrow{q} W$  is a differentiable bundle with connected

fiber. Thus we have proved the following proposition.

**Proposition** *Let  $f : M \rightarrow N$  have constant rank  $k$ ,  $0 < k < m, n$ . Then  $W$  is a closed  $k$ -manifold,  $M \xrightarrow{q} W$  is a bundle with connected fiber and  $g : W \rightarrow N$  is an immersion, where  $f = g \circ q$  is the Stein factorization of  $f$ .*

The converse is also true.

### 3 Submersions with folds

Let  $f : M \rightarrow N$  be a submersion with definite folds. This means that all the singularities of  $f$  are definite fold points. At those points  $f$  is locally given by  $y_i = x_i$ ,  $i = 1, \dots, n-1$ ,  $y_n = x_n^2 + \dots + x_m^2$ . Let  $S_0$  denote the singular set of  $f$ . This is an  $(n-1)$ -submanifold of  $M$ . In this case,  $g|_{q(M-S_0)} : q(M-S_0) \rightarrow N$  is a local homeomorphism. It follows from this and from the normal forms of  $f$  at fold points that  $W$  is an  $n$ -manifold with boundary  $\partial W$  diffeomorphic to  $S_0$  and that  $g : W \rightarrow N$  is an immersion. We also have that  $q|_{M-S_0}$  is a proper submersion. Thus  $q|_{M-S_0} : M-S_0 \rightarrow W-\partial W$  is a bundle map. This bundle extends to a bundle  $E \xrightarrow{p} W$  where  $E$  is a manifold with boundary  $\partial E$ . That follows from the behavior of the bundle on a collar neighbourhood of  $\partial W$ . We also have a projection map  $j : E \rightarrow M$  such that  $p = q \circ j$ . Now it follows from the normal forms of  $f$  at points of  $S_0$  that the fiber of those bundles is diffeomorphic to  $S^{m-n}$  and that  $\partial E \xrightarrow{p \circ j} \partial W$  extends to a bundle of open discs over  $\partial W$ . This implies that  $\partial E \xrightarrow{p \circ j} \partial W$  is an orthogonal bundle.

There are many recent results on the study of manifolds which admit submersions with folds into  $\mathbb{R}^n$ . We present here a contribution.

Let  $f : M^{n+1} \rightarrow \mathbb{R}^n$  be a submersion with folds such that  $M$  is closed and  $n \geq 6$ . Assume that  $M$  is simply connected and that  $S_0$  is the disjoint union of two simply connected components  $S_1$  and  $S_2$ . Also assume that a closed tubular neighbourhood  $N$  of

$S_1$  with boundary  $\partial N$  is such that  $\pi_*(M - S_0, \partial N) = 0$ .

**Theorem** *On the above conditions  $M$  is a differentiable  $S^2$ -bundle over  $S_1$ .*

**Proof**

The boundary  $\partial W$  of  $W$  is the union of two components  $\partial_1 W = q(S_1)$  and  $\partial_2 W = q(S_2)$ . For  $i = 1, 2$  let  $c_i : \partial_i W \times [0, \varepsilon] \rightarrow W$  be a diffeomorphism into  $W$  such that  $C_i = c_i(\partial_i W \times [0, \varepsilon/2])$  is a closed collar neighbourhood of  $\partial_i W$  in  $W$ . Then  $N_i = q^{-1}(C_i)$  is a tubular neighbourhood of  $S_i$  in  $M$ . It follows that  $\pi_*(M - S_0, \partial N_i) = 0$ . Assume that  $C_1 \cap C_2 = \emptyset$ . There is a strong deformation retraction from  $M - S_0$  onto the closure  $E_0$  of  $M - (N_1 \cup N_2)$ . Thus we have  $\pi_*(E_0, \partial N_1) = 0$ . Set  $W_0 = \text{closure of } W - (C_1 \cup C_2)$ . Then  $E_0 \xrightarrow{q|_{E_0}} W_0$  is a fibration that maps  $\partial N_1$  onto  $\partial C_1$ . It follows that  $\pi_*(W_0, \partial C_1) = 0$ . From the collar neighbourhoods we get a diffeomorphism between  $W$  and  $W_0$ . This implies  $\pi_*(W, \partial W_1) = 0$ . Now for  $i = 1, 2$   $\partial_i W$  is diffeomorphic to  $S_i$ . Thus  $\partial_1 W$  and  $\partial_2 W$  are simply connected. From the fibration  $M - S_0 \rightarrow W - \partial W$  and the inclusion map  $M - S_0 \rightarrow M$  we get  $\pi_1(W) = \pi_1(M)$  so that  $W$  is also simply connected. As  $n \geq 6$  we have from  $h$ -cobordism that  $W$  is diffeomorphic to  $\partial_1 W \times [0, 1]$ . We may now assume that  $W = \partial_1 W \times [0, 1]$ . The composite map  $p_1 \circ q : M \rightarrow \partial_1 W$  is a submersion, where  $p_1 : W \rightarrow \partial_1 W$  is the usual projection on the first factor. This follows from the normal forms of  $f$  on  $S_0$ . This means that  $M \xrightarrow{p_1 \circ q} \partial_1 W$  is a differentiable bundle. Now for any  $x \in \partial_1 W$   $Q_x = (p_1 \circ q)^{-1}(x)$  is a differentiable manifold. If  $p_2 : \partial_1 W \times [0, 1] \rightarrow [0, 1]$  denotes the projection on the second factor then  $p_2 \circ q|_{Q_x} : Q_x \rightarrow [0, 1]$  is a Morse function with two critical points. This implies that  $Q_x$  is diffeomorphic to  $S^2$ .

The bundle  $M \rightarrow S_1$  in the theorem must be orthogonal, as the fiber is  $S^2$ . The bundle  $E \xrightarrow{p} \partial_1 W \times [0, 1]$  must be equivalent to  $\partial_1 E \times [0, 1] \xrightarrow{p \circ \text{id}_E \times \text{identity}} \partial_1 W \times [0, 1]$ , where  $\partial_1 E = p^{-1}(\partial_1 W)$ . Now  $j: E \rightarrow M$  identifies to a point in  $S_0$  each fiber over a point of  $\partial_1 W$ . This fiber is diffeomorphic to  $S^1$ . Thus the diffeomorphism of  $E$  that corresponds to  $(y, t) \mapsto (y, 1-t)$  on  $\partial_1 E \times [0, 1]$  extends to a homeomorphism of  $M$  that interchanges  $S_1$  and  $S_2$ . This implies that  $S_1$  and  $S_2$  are homeomorphic.

Let  $n = 6$  and  $S_1 = S^5$ . Then  $M$  is an  $S^2$ -bundle over  $S^5$ . As  $\pi_4(SO(3))$  is cyclic of order 2 this implies that  $M$  is either diffeomorphic to  $S^5 \times S^2$  or to the non-trivial  $S^2$ -bundle over  $S^5$ . On the other hand the bundle  $E \xrightarrow{p} S^5 \times [0, 1]$  is equivalent to the trivial bundle  $S^5 \times S^1 \times [0, 1] \rightarrow S^5 \times [0, 1]$ . In fact its restriction to  $S^5 \times \{0\}$ ,  $\partial_1 E \rightarrow S^5$  say, is trivial for it is an  $S^1$ -bundle over  $S^5$ . It implies that  $M$  is homeomorphic to  $S^5 \times S^2$ . Thus  $M$  must be diffeomorphic to  $S^5 \times S^2$ . The knots  $S^5 \rightarrow S_1 \subset M$  and  $S^5 \rightarrow S_2 \subset M$  are then equivalent in the sense that there is a homeomorphism of  $M$  that maps  $S_1$  onto  $S_2$ . Indeed they are the sections of  $S^5 \times S^2 \rightarrow S^5$  given by south pole and north pole of  $S^2$ .

## 4 Immersions

In all previous cases of maps  $f: M \rightarrow N$  an immersion  $g: W \rightarrow N$  is involved. We may ask several questions about extensions. For example, let  $S_1$  and  $S_2$  be  $(n-1)$ -manifolds and let immersions  $g_1: S_1 \rightarrow \mathbb{R}^n$  and  $g_2: S_2 \rightarrow \mathbb{R}^n$  as well as disjoint embeddings  $i_1: S_1 \rightarrow M^{n+1}$  and  $i_2: S_2 \rightarrow M^{n+1}$  be given. We may ask if there is a submersion with definite folds  $f: M^{n+1} \rightarrow \mathbb{R}^n$  such that  $S_0 = i_1(S_1) \cup i_2(S_2)$  and  $g_1 = f \circ i_1$ ,  $g_2 = f \circ i_2$ . The answer is negative in general. If  $n = 6$ ,  $S_1 = S_2 = S^5$  and  $i_1(S_1)$  satisfies the conditions of the theorem in section 3 then  $M$  must be  $S^5 \times S^2$ . On the other hand, there must be an immersion  $g: S^5 \times [0, 1] \rightarrow \mathbb{R}^6$  such that  $g|_{S^5 \times \{0\}} = g_1$  and  $g|_{S^5 \times \{1\}} = g_2$ . This is not always true.

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