

Spectral Invariance of Pseudodifferential Boundary Value Problems on Manifolds with Conical Singularities

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Received: 15 September 2017 / Revised: 12 January 2018
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Abstract We prove the spectral invariance of the algebra of classical pseudodifferential boundary value problems on manifolds with conical singularities in the L_p -setting. As a consequence we also obtain the spectral invariance of the classical Boutet de Monvel algebra of zero order operators with parameters. In order to establish these results, we show the equivalence of Fredholm property and ellipticity for both cases.

Keywords Boundary value problems · Manifolds with conical singularities · Pseudodifferential analysis · Spectral invariance

Mathematics Subject Classification 58J32 · 35J70 · 47L15 · 47A53

1 Introduction

Elliptic boundary value problems on manifolds with conical singularities have been studied since the 60's, where the work of Kondratiev [15] stands out, see also Kozlov,

Communicated by Michael Ruzhansky.

Pedro T. P. Lopes was partially supported by FAPESP (Processo Número 2016/07016-8).

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Maz'ya and Rossmann [16] for a detailed presentation. The pseudodifferential analysis started with the work of Melrose and Mendoza [20, 21], Plamenevsky [22], and Schulze [33]. Algebras of pseudodifferential boundary value problems for conical singularities were constructed in the 90's by Derviz [7] and Schrohe and Schulze [30, 31]. The latter approach combines elements of the Boutet de Monvel calculus [3] with the pseudodifferential analysis developed by Schulze [32, 33]. While initially only L_2 -based Sobolev spaces were used, Coriasco, Schrohe and Seiler established the continuity also on Bessel potential and Besov spaces [5], see also [4], relying on work of Grubb and Kokholm [9, 13].

Our main result is the spectral invariance of the algebra developed in [31] in the L_p -setting, see Theorem 61. This algebra contains, after the composition with order reducing operators, the classical differential boundary value problems studied by Kondratiev [15], hence also their inverses, whenever these exist. As a by-product we obtain the spectral invariance of the algebra of zero order classical Boutet de Monvel operators with parameters in the L_p -setting, see Theorem 29. This algebra includes, after composition with order reducing operators, the differential boundary value problems studied by Agranovich and Vishik in [1], which were an important ingredient for the work of Kondratiev. Spectral invariance for the Boutet de Monvel algebra in the L_2 -setting was shown by Schrohe for the larger class of SG operators [27, Theorem 3.27] and by Grubb [10, Theorem 1.14]. For the L_p -case, partial results were obtained by Grubb [10, Theorem 1.12].

It is an immediate consequence of Theorem 61 that the invertibility of a conically degenerate boundary value problem is to a large extent independent of the space it is considered on: It depends neither on the Sobolev regularity parameter s nor on $1 < p < \infty$. This is of great practical importance as it allows to check invertibility in the most convenient setting. A similar result holds for the Fredholm property, as we show in Corollary 50.

In order to demonstrate the applicability of these results, we study the Dirichlet realization Δ_{Dir} of the Laplacian on a 2-dimensional manifold with conical singularities, e.g. the closure of a plane domain with finitely many conical points. In applications, one is interested in the invertibility of $\lambda - \Delta_{\text{Dir}}, \lambda \notin] -\infty, 0]$, as an unbounded operator in the cone Sobolev space $\mathcal{H}_p^{s,\gamma}(\mathbb{D})$ with domain

$$\mathcal{D}(\Delta_{\text{Dir}}) = \{u \in \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{D}) : u = 0 \text{ on } \partial\mathbb{D}\}$$

for different values of s and p ; see Definition 37 for the definition of the spaces. We show that for $s = 0$ and $p = 2$, the invertibility can be checked by hand. The spectral invariance allows us to deduce the invertibility for all $1 < p < \infty$ and $s > -2 + 1/p$.

This article extends the results of [28] to conical manifolds with boundary. The need to work with Besov spaces led to interesting new features. In Theorem 29, for example, we consider a zero order parameter-dependent operator $A = \{A(\lambda); \lambda \in \Lambda\}$ in Boutet de Monvel's calculus. We show that the invertibility of $A(\lambda)$ for each λ together with a norm estimate $\|A(\lambda)^{-1}\| \leq c(\lambda)^r$ for a constant $c \geq 0$ and sufficiently small $r > 0$ implies that the inverse also is parameter-dependent of order zero. In particular, the operator norm will then be uniformly bounded. Similar effects can be

observed when showing the equivalence of parameter-ellipticity and the Fredholm property with parameters.

This paper is a step toward the analysis of nonlinear partial differential equations on manifolds with boundary and conical singularities, see e.g. [24, 26] by Roidos and Schrohe, [34] by Shao and Simonett or [35] by Vertman for the case without boundary. A next step concerns the analysis of resolvents of closed extensions in the spirit of Gil et al. [8] or [29] in the case without boundary and Krainer [17] for conic manifolds with boundary.

2 Parameter-Dependent Boutet de Monvel Algebra

To make this article readable for non-experts, we briefly describe the parameter-dependent Boutet de Monvel algebra with classical symbols on compact manifolds with boundary in the L_p -setting. We first define several operator classes on the half-space $\mathbb{R}_+^n = \{x \in \mathbb{R}^n; x_n > 0\}$.

The set of parameters of the operators and symbols will always be a conical open set $\Lambda \subset \mathbb{R}^l$, that is, $p \in \Lambda$ implies that $tp \in \Lambda$ for $t > 0$. It can be the empty set, in which case we recover the usual symbols and operators. We write $\mathbb{N}_0 := \{0, 1, 2, \dots\}$ and $\mathbb{R}_{++}^2 = \mathbb{R}_+ \times \mathbb{R}_+$. For a Fréchet space W , the Schwartz space $\mathcal{S}(\mathbb{R}^n, W)$ consists of all $u \in C^\infty(\mathbb{R}^n, W)$ such that $\sup_{x \in \mathbb{R}^n} p(x^\alpha \partial_x^\beta u(x)) < \infty$ for every continuous seminorm p of W . We simply write $\mathcal{S}(\mathbb{R}^n)$, if $W = \mathbb{C}$. If $\Omega \subset \mathbb{R}^n$ is an open set, $C_c^\infty(\Omega)$ denotes the space of smooth functions with compact support in Ω . The operator of restriction of distributions defined in \mathbb{R}^n to Ω is denoted by $r_\Omega : \mathcal{D}'(\mathbb{R}^n) \rightarrow \mathcal{D}'(\Omega)$. It allows us to define the spaces $\mathcal{S}(\overline{\mathbb{R}_+^n}) = r_{\mathbb{R}_+^n}(\mathcal{S}(\mathbb{R}^n))$ and $\mathcal{S}(\overline{\mathbb{R}_+^n} \times \overline{\mathbb{R}_+^n}) = r_{\mathbb{R}_+^n \times \mathbb{R}_+^n}(\mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n))$. When $n = 1$, we also use the notation $\mathcal{S}_+ = \mathcal{S}(\overline{\mathbb{R}_+})$ and $\mathcal{S}_{++} = \mathcal{S}(\overline{\mathbb{R}_+} \times \overline{\mathbb{R}_+}) = \mathcal{S}(\overline{\mathbb{R}_{++}^2})$. The extension by zero of a function u defined in Ω to \mathbb{R}^n will be denoted by e_Ω :

$$e_\Omega(u)(x) = \begin{cases} u(x), & x \in \Omega \\ 0, & x \notin \Omega \end{cases}.$$

If $\Omega = \mathbb{R}_+^n$, we denote $r_{\mathbb{R}_+^n}$ also by r^+ and $e_{\mathbb{R}_+^n}$ by e^+ . The open ball in \mathbb{R}^n with the Euclidean norm whose center is x and radius is $r > 0$ will be denoted by $B_r(x)$. Our convention for the Fourier transform is $\mathcal{F}u(\xi) = \hat{u}(\xi) = \int e^{-ix\xi} u(x) dx$. We shall often use the function $\langle \cdot \rangle : \mathbb{R}^n \rightarrow \mathbb{R}$ defined by

$$\langle \xi \rangle := \sqrt{1 + |\xi|^2}$$

and sometimes we use $\langle \xi, \lambda \rangle := \sqrt{1 + |(\xi, \lambda)|^2}$ and similar expressions, as well.

Finally, given two Banach spaces E and F , we denote by $\mathcal{B}(E, F)$ the bounded operators from E to F and use the notation $\mathcal{B}(E) := \mathcal{B}(E, E)$.

Definition 1 The space $S^m(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$ of parameter-dependent symbols of order $m \in \mathbb{R}$ consists of all functions $p \in C^\infty(\mathbb{R}^n \times \mathbb{R}^n \times \Lambda)$ that satisfy

$$\left| \partial_x^\beta \partial_\xi^\alpha \partial_\lambda^\gamma p(x, \xi, \lambda) \right| \leq C_{\alpha\beta\gamma} \langle \xi, \lambda \rangle^{m-|\alpha|-|\gamma|}, \quad (x, \xi, \lambda) \in \mathbb{R}^n \times \mathbb{R}^n \times \Lambda.$$

A symbol p defines a parameter-dependent pseudodifferential operator $op(p)(\lambda) : \mathcal{S}(\mathbb{R}^n) \rightarrow \mathcal{S}(\mathbb{R}^n)$ by the formula:

$$op(p)(\lambda) u(x) = (2\pi)^{-n} \int e^{ix\xi} p(x, \xi, \lambda) \hat{u}(\xi) d\xi.$$

We say that p is classical, if there are symbols $p_{(m-j)} \in S^{m-j}(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$, $j \in \mathbb{N}_0$, such that

- (1) For all $t \geq 1$ and $|(\xi, \lambda)| \geq 1$, we have $p_{(m-j)}(x, t\xi, t\lambda) = t^{m-j} p_{(m-j)}(x, \xi, \lambda)$.
- (2) We have the asymptotic expansion $p \sim \sum_{j=0}^{\infty} p_{(m-j)}$, i.e., $p - \sum_{j=0}^{N-1} p_{(m-j)} \in S^{m-N}(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$, for all $N \in \mathbb{N}_0$.

This subset is denoted by $S_{cl}^m(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$. It is a Fréchet space with the natural seminorms.

Definition 2 Let $p \in S_{cl}^m(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$, $m \in \mathbb{Z}$, be written as a function of $(x', x_n, \xi', \xi_n, \lambda) \in \mathbb{R}^{n-1} \times \mathbb{R} \times \mathbb{R}^{n-1} \times \mathbb{R} \times \Lambda$. We say that it satisfies the transmission condition, if $p \sim \sum_{j=0}^{\infty} p_{(m-j)}$ and if, for all $k \in \mathbb{N}_0$ and for all $\alpha \in \mathbb{N}_0^{n+1}$, we have

$$D_{x_n}^k D_{(\xi, \lambda)}^\alpha p_{(m-j)}(x', 0, 0, 1, 0) = (-1)^{m-j-|\alpha|} D_{x_n}^k D_{(\xi, \lambda)}^\alpha p_{(m-j)}(x', 0, 0, -1, 0).$$

In this case, the operator $P(\lambda)_+ := r^+ op(p)(\lambda) e^+ : \mathcal{S}(\overline{\mathbb{R}_+}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+})$ is well defined.

Two more classes of functions are required. Our notation here follows Grubb [12].

Definition 3 We denote by $S^m(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$, $m \in \mathbb{R}$, the space of all functions $\tilde{f} \in C^\infty(\mathbb{R}^{n-1} \times \overline{\mathbb{R}_+} \times \mathbb{R}^{n-1} \times \Lambda)$ that satisfy:

$$\|x_n^k D_{x_n}^{k'} D_{x'}^{\beta'} D_\xi^{\alpha'} D_\lambda^\gamma \tilde{f}(x', x_n, \xi', \lambda)\|_{L_\infty(\mathbb{R}_{+x_n})} \leq C_{k, k', \alpha', \beta', \gamma} \langle \xi', \lambda \rangle^{m+1-k+k'-|\alpha'|-|\gamma'|}.$$

The subset $S_{cl}^m(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$ consists of all \tilde{f} with an asymptotic expansion $\tilde{f} \sim \sum_{j=0}^{\infty} \tilde{f}_{(m-j)}$, i.e. there are functions $\tilde{f}_{(m-j)} \in S^{m-j}(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$, $j \in \mathbb{N}_0$, such that $\tilde{f} - \sum_{j=0}^{N-1} \tilde{f}_{(m-j)} \in S^{m-N}(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$ for all $N \in \mathbb{N}_0$, and

$$\tilde{f}_{(m-j)}\left(x', \frac{1}{t}x_n, t\xi', t\lambda\right) = t^{m+1-j} \tilde{f}_{(m-j)}(x', x_n, \xi', \lambda), \quad t \geq 1, \quad |(\xi', \lambda)| \geq 1.$$

Similarly, $S^m(\mathbb{R}^{n-1}, \mathcal{S}_{++}, \Lambda)$ denotes all $\tilde{g} \in C^\infty(\mathbb{R}^{n-1} \times \overline{\mathbb{R}^2_{++}} \times \mathbb{R}^{n-1} \times \Lambda)$ with

$$\begin{aligned} & \|y_n^l x_n^k D_{y_n}^{l'} D_{x_n}^{k'} D_{x'}^{\beta'} D_{\xi'}^{\alpha'} D_\lambda^\gamma \tilde{g}(x', x_n, y_n, \xi', \lambda)\|_{L_\infty(\overline{\mathbb{R}^2_{++}(x_n, y_n)})} \\ & \leq C_{k, k', l, l', \alpha', \beta', \gamma} \langle \xi', \lambda \rangle^{m+2-k+k'-l+l'-|\alpha'|-|\gamma|}. \end{aligned}$$

Write $\tilde{g} \in S_{cl}^m(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$, if $\tilde{g} \sim \sum_{j=0}^\infty \tilde{g}_{(m-j)}$ with $\tilde{g}_{(m-j)} \in S^{m-j}(\mathbb{R}^{n-1}, \mathcal{S}_{++}, \Lambda)$ such that $\tilde{g} - \sum_{j=0}^{N-1} \tilde{g}_{(m-j)}$ belongs to $S^{m-N}(\mathbb{R}^{n-1}, \mathcal{S}_{++}, \Lambda)$, for all $N \in \mathbb{N}_0$, and

$$\tilde{g}_{(m-j)}\left(x', \frac{1}{t}x_n, \frac{1}{t}y_n, t\xi', t\lambda\right) = t^{m+2-j} \tilde{g}_{(m-j)}(x', x_n, y_n, \xi', \lambda), \quad t \geq 1, \quad |(\xi', \lambda)| \geq 1.$$

We may now define the operators that, together with the pseudodifferential ones, appear in the Boutet de Monvel calculus: the Poisson, trace and singular Green operators. We will always restrict ourselves to the classical elements. The notation $\gamma_j : \mathcal{S}(\overline{\mathbb{R}^n_+}) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$, $j \in \mathbb{N}_0$, indicates the operator $\gamma_j u(x') = \lim_{x_n \rightarrow 0} D_{x_n}^j u(x', x_n)$ as well as its extension to Sobolev, Bessel and Besov spaces.

Definition 4 Let $\lambda \in \Lambda$, $m \in \mathbb{R}$ and $d \in \mathbb{N}_0$.

(1) A classical parameter-dependent Poisson operator of order m is an operator family $K(\lambda) : \mathcal{S}(\mathbb{R}^{n-1}) \rightarrow \mathcal{S}(\overline{\mathbb{R}^n_+})$ associated with $\tilde{k} \in S_{cl}^{m-1}(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$ of the form

$$K(\lambda)u(x', x_n) = (2\pi)^{1-n} \int_{\mathbb{R}^{n-1}} e^{ix'\xi'} \tilde{k}(x', x_n, \xi', \lambda) \hat{u}(\xi') d\xi', \quad (2.1)$$

For $\tilde{k} \sim \sum_{j=0}^\infty \tilde{k}_{(m-1-j)}$, we define $\tilde{k}_{(m-1)}(x', \xi', D_n, \lambda) : \mathbb{C} \rightarrow \mathcal{S}(\overline{\mathbb{R}^n_+})$ by

$$\tilde{k}_{(m-1)}(x', \xi', D_n, \lambda)(v) = v \tilde{k}_{(m-1)}(x', x_n, \xi', \lambda).$$

(2) A classical parameter-dependent trace operator of order m and class d is an operator family $T(\lambda) : \mathcal{S}(\overline{\mathbb{R}^n_+}) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$ of the form

$$T(\lambda) = \sum_{j=0}^{d-1} S_j(\lambda) \gamma_j + T'(\lambda),$$

where $S_j(\lambda)$ is a parameter-dependent pseudodifferential operator of order $m-j$ on \mathbb{R}^{n-1} and $T'(\lambda) : \mathcal{S}(\overline{\mathbb{R}^n_+}) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$ is of the form

$$T'(\lambda)u(x') = (2\pi)^{1-n} \int_{\mathbb{R}^{n-1}} e^{ix'\xi'} \int_{\mathbb{R}_+} \tilde{t}(x', x_n, \xi', \lambda) (\mathcal{F}_{x' \rightarrow \xi'} u)(\xi', x_n) dx_n d\xi' \quad (2.2)$$

with $\tilde{t} \in S_{cl}^m(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$. For $\tilde{t} \sim \sum_{j=0}^{\infty} \tilde{t}_{(m-j)}$ we define $\tilde{t}_{(m)}(x', \xi', D_n, \lambda) : \mathcal{S}(\overline{\mathbb{R}_+}) \rightarrow \mathbb{C}$ by

$$\tilde{t}_{(m)}(x', \xi', D_n, \lambda) u = \int_{\mathbb{R}_+} \tilde{t}_{(m)}(x', x_n, \xi', \lambda) u(x_n) dx_n.$$

(3) A classical parameter-dependent singular Green operator of order m and class d is an operator family $G(\lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})$ of the form

$$G(\lambda) = \sum_{j=0}^{d-1} K'_j(\lambda) \gamma_j + G'(\lambda),$$

where K'_j are Poisson operators of order $m-j$ and $G'(\lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})$ is an operator of the form

$$\begin{aligned} G'(\lambda) u(x) &= (2\pi)^{1-n} \int_{\mathbb{R}^{n-1}} e^{ix' \xi'} \int_{\mathbb{R}_+} \tilde{g}(x', x_n, y_n, \xi', \lambda) \\ &\quad \times (\mathcal{F}_{x' \rightarrow \xi'} u)(\xi', y_n) dy_n d\xi', \end{aligned} \quad (2.3)$$

where $\tilde{g} \in S_{cl}^{m-1}(\mathbb{R}^{n-1}, \mathcal{S}_{++}, \Lambda)$. We define the operator $g_{(m-1)}(x', \xi', D_n, \lambda) : \mathcal{S}(\overline{\mathbb{R}_+}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+})$ by

$$\begin{aligned} g_{(m-1)}(x', \xi', D_n, \lambda) u(x_n) &= \sum_{l=0}^{d-1} \tilde{k}'_{l(m-l-1)}(x', x_n, \xi', \lambda) D_{x_n}^l u(0) \\ &\quad + \int_{\mathbb{R}_+} \tilde{g}_{(m-1)}(x', x_n, y_n, \xi', \lambda) u(y_n) dy_n. \end{aligned}$$

Remark 5 With a symbol $p \in S_{cl}^m(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$ that satisfies the transmission condition, we associate the operator $p_{(m)+}(x', 0, \xi', D_n, \lambda) : \mathcal{S}(\overline{\mathbb{R}_+}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+})$ defined by:

$$p_{(m)+}(x', 0, \xi', D_n, \lambda) u(x_n) = \frac{1}{2\pi} \int_{\mathbb{R}} e^{ix_n \xi_n} p_{(m)}(x', 0, \xi', \xi_n, \lambda) \widehat{e^+ u}(\xi_n) d\xi_n.$$

Definition 6 Let n_1, n_2, n_3 and $n_4 \in \mathbb{N}_0$. The set of classical parameter-dependent Boutet de Monvel operators on \mathbb{R}_+^n , denoted by $\mathcal{B}_{n_1, n_2, n_3, n_4}^{m, d}(\mathbb{R}^n, \Lambda)$ for $m \in \mathbb{Z}$ and $d \in \mathbb{N}_0$, or just by $\mathcal{B}^{m, d}(\mathbb{R}^n, \Lambda)$, consists of all operators A given by

$$A(\lambda) = \begin{pmatrix} P_+(\lambda) + G(\lambda) & K(\lambda) \\ T(\lambda) & S(\lambda) \end{pmatrix} : \begin{matrix} \mathcal{S}(\overline{\mathbb{R}_+^n})^{n_1} \\ \oplus \\ \mathcal{S}(\mathbb{R}^{n-1})^{n_2} \end{matrix} \rightarrow \begin{matrix} \mathcal{S}(\overline{\mathbb{R}_+^n})^{n_3} \\ \oplus \\ \mathcal{S}(\mathbb{R}^{n-1})^{n_4} \end{matrix}, \quad (2.4)$$

where P_+ is a pseudodifferential operator of order m satisfying the transmission condition, G is a singular Green operators of order m and class d , K is a Poisson operator of order m , T is a trace operator of order m and class d and S is a pseudodifferential operator of order m . All are parameter-dependent in the respective classes.

The following algebra is also useful to prove spectral invariance:

Definition 7 Let $n_1, n_2, n_3, n_4 \in \mathbb{N}_0$ and $1 < p < \infty$. We define the set $\tilde{\mathcal{B}}_{n_1, n_2, n_3, n_4}^p(\mathbb{R}^n, \Lambda)$, also denoted by $\tilde{\mathcal{B}}^p(\mathbb{R}^n, \Lambda)$, as the set of all operators A of the form (2.4), where: P_+ is of order 0, G is of order 0 and class 0, K is of order $\frac{1}{p}$, T is of order $-\frac{1}{p}$ and class 0 and S is of order 0. All are parameter-dependent in the respective classes.

Definition 8 With $A \in \mathcal{B}_{n_1, n_2, n_3, n_4}^{m, d}(\mathbb{R}^n, \Lambda)$, we associate the operator-valued principal boundary symbol $\sigma_\partial(A)$, defined on $\mathbb{R}^{n-1} \times ((\mathbb{R}^{n-1} \times \Lambda) \setminus \{0\})$. The operator

$$\sigma_\partial(A)(x', \xi', \lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n})^{n_1} \oplus \mathbb{C}^{n_2} \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})^{n_3} \oplus \mathbb{C}^{n_4} \quad (2.5)$$

is given by

$$\begin{pmatrix} p_{(m)+}(x', 0, \xi', D_n, \lambda) + g_{(m-1)}(x', \xi', D_n, \lambda) & k_{(m-1)}(x', \xi', D_n, \lambda) \\ t_{(m)}(x', \xi', D_n, \lambda) & s_{(m)}(x', \xi', \lambda) \end{pmatrix}$$

where the entries are the matrix version of the operators in Definition 4 and Remark 5.

Similarly, with $A \in \tilde{\mathcal{B}}_{n_1, n_2, n_3, n_4}^p(\mathbb{R}^n, \Lambda)$, we associate an operator $\sigma_\partial(A)(x', \xi', \lambda)$ acting as in (2.5), given as

$$\begin{pmatrix} p_{(0)+}(x', 0, \xi', D_n, \lambda) + g_{(-1)}(x', \xi', D_n, \lambda) & k_{(\frac{1}{p}-1)}(x', \xi', D_n, \lambda) \\ t_{(-\frac{1}{p})}(x', \xi', D_n, \lambda) & s_{(0)}(x', \xi', \lambda) \end{pmatrix}$$

Let now M be a manifold with boundary, E_0 and E_1 two complex hermitian vector bundles over M and F_0 and F_1 two complex hermitian vector bundles over ∂M . Let $U_j \subset M$, $j = 1, \dots, N$, be open cover of M consisting of trivializing sets for the vector bundles, $\Phi_1, \dots, \Phi_N \in C^\infty(M)$ be a partition of unity subordinate to U_1, \dots, U_N and $\Psi_1, \dots, \Psi_N \in C^\infty(M)$ be supported in U_j such that $\Psi_j \Phi_j = \Phi_j$.

A linear operator $A(\lambda) : C^\infty(M, E_0) \oplus C^\infty(\partial M, F_0) \rightarrow C^\infty(M, E_1) \oplus C^\infty(\partial M, F_1)$ can always be written as

$$A(\lambda) = \sum_{j=1}^N \Phi_j A(\lambda) \Psi_j + \sum_{j=1}^N \Phi_j A(\lambda) (1 - \Psi_j).$$

Using the above definitions, we define the Boutet de Monvel algebra on M :

Definition 9 A family $A(\lambda) : C^\infty(M, E_0) \oplus C^\infty(\partial M, F_0) \rightarrow C^\infty(M, E_1) \oplus C^\infty(\partial M, F_1)$, $\lambda \in \Lambda$, is called a parameter-dependent Boutet de Monvel operator of order $m \in \mathbb{Z}$ and class $d \in \mathbb{N}_0$, if

- (1) The operators $\Psi A(\lambda) \Phi : \mathcal{S}(\overline{\mathbb{R}_+^n})^{n_1} \oplus \mathcal{S}(\mathbb{R}^{n-1})^{n_2} \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})^{n_3} \oplus \mathcal{S}(\mathbb{R}^{n-1})^{n_4}$ belong to $\mathcal{B}^{m,d}(\mathbb{R}^n, \Lambda)$ after localization.
- (2) The Schwartz kernels of the operators $\sum_{j=1}^N \Phi_j A(\lambda) (1 - \Psi_j)$ belong to

$$\begin{pmatrix} \mathcal{S}(\Lambda, C^\infty(M \times M, \text{Hom}(\pi_2^* E_0, \pi_1^* E_1))) & \mathcal{S}(\Lambda, C^\infty(M \times \partial M, \text{Hom}(\pi_2^* F_0, \pi_1^* E_1))) \\ \mathcal{S}(\Lambda, C^\infty(\partial M \times M, \text{Hom}(\pi_2^* E_0, \pi_1^* F_1))) & \mathcal{S}(\Lambda, C^\infty(\partial M \times \partial M, \text{Hom}(\pi_2^* F_0, \pi_1^* F_1))) \end{pmatrix},$$

where Hom indicates the space of homomorphisms and $\pi_i : M \times M \rightarrow M$ is given by $\pi_i(x_1, x_2) = x_i$ for $i = 1, 2$.

If $\partial M = \emptyset$, the algebra reduces to the classical parameter-dependent pseudodifferential operators. The above definition is independent of the partitions of unity and trivializing sets we choose.

A central notion is parameter-ellipticity:

Definition 10 Given a parameter-dependent Boutet de Monvel operator $A \in \mathcal{B}_{E_0, F_0, E_1, F_1}^{m,d}(M, \Lambda)$ we define:

- (1) The interior principal symbol $\sigma_\psi(A) \in C^\infty((T^*M \times \Lambda) \setminus \{0\}, \text{Hom}(\pi_{T^*M \times \Lambda}^* E_0, \pi_{T^*M \times \Lambda}^* E_1))$, where $\pi_{T^*M \times \Lambda} : T^*M \times \Lambda \rightarrow M$ is the canonical projection. It is the principal symbol of the pseudodifferential operator part of the operator A .
- (2) The boundary principal symbol $\sigma_\partial(A)$. For $(z, \lambda) \in (T^*\partial M \times \Lambda) \setminus \{0\}$ we let

$$\sigma_\partial(A)(z)(\lambda) : \pi_{T^*\partial M \times \Lambda}^* \left(\begin{array}{c} E_0|_{\partial M} \otimes \mathcal{S}(\overline{\mathbb{R}_+}) \\ \oplus \\ F_0 \end{array} \right) \rightarrow \pi_{T^*\partial M \times \Lambda}^* \left(\begin{array}{c} E_1|_{\partial M} \otimes \mathcal{S}(\overline{\mathbb{R}_+}) \\ \oplus \\ F_1 \end{array} \right),$$

where $\pi_{T^*\partial M \times \Lambda} : (T^*\partial M \times \Lambda) \setminus \{0\} \rightarrow \partial M$ is the canonical projection. After localization, it corresponds to the symbol in Definition 8.

We say that $A(\lambda)$ is parameter-elliptic if both symbols are invertible. With obvious changes, we can also define parameter-ellipticity, interior and boundary principal symbols of operators $A \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$.

The parameter-dependent pseudodifferential operators defined above are a particular version of the more general calculus introduced by Grubb in [11], and by Grubb [10] and Grubb and Kokholm in [13], for the L_p case. In these references, pseudodifferential symbols $p \in S_{1,0}^{m,\nu}(\mathbb{R}^n \times \mathbb{R}^n, \mathbb{R}_+)$ of order $m \in \mathbb{R}$ and regularity $\nu \in \mathbb{R}$ are used. These are functions $p \in C^\infty(\mathbb{R}^n \times \mathbb{R}^n \times \overline{\mathbb{R}_+})$ that satisfy the estimates:

$$\left| \partial_x^\beta \partial_\xi^\alpha \partial_\lambda^\gamma p(x, \xi, \lambda) \right| \leq C_{\alpha\beta\gamma} \left(\left(\frac{\langle \xi \rangle}{\langle \xi, \lambda \rangle} \right)^{\nu - |\alpha|} + 1 \right) \langle \xi, \lambda \rangle^{m - |\alpha| - \gamma}.$$

Similar estimates are used to define the boundary terms. This more general parameter-dependent calculus, as well as its notion of parameter-ellipticity [11, Chapter 3], can be reduced to ours by considering $\nu = +\infty$. Therefore many results of our calculus can be deduced from this more general one. Our simplified version of the parameter-dependent calculus coincides with the one used by Schrohe and Schulze [30, 31]. It is easier to handle and very suitable for the study of conical singularities, which is our main concern.

The above operators act continuously on Bessel and Besov spaces. First we fix a dyadic partition of unity $\{\varphi_j; j \in \mathbb{N}_0\}$.

Definition 11 Let $\varphi_0 \in C_c^\infty(\mathbb{R}^n)$ be supported $\{\xi; |\xi| < 2\}$, $0 \leq \varphi_0 \leq 1$ and $\varphi_0(\xi) = 1$ in a neighborhood of the closed unit ball. Define $\varphi_j \in C_c^\infty(\mathbb{R}^n)$, $j \geq 1$, by $\varphi_j(\xi) = \varphi_0(2^{-j}\xi) - \varphi_0(2^{-j+1}\xi)$.

Remark 12 We use the following notation: $K_j := \{\xi \in \mathbb{R}^n; 2^{j-1} \leq |\xi| \leq 2^{j+1}\}$, for $j \geq 1$, and $K_0 := \{\xi \in \mathbb{R}^n; |\xi| \leq 2\}$. The above definition implies that $\text{supp}(\varphi_j) \subset \text{interior}(K_j)$, for $j \geq 0$. Moreover, we see that $\varphi_j(\xi) = \varphi_1(2^{-j+1}\xi)$, for $j \geq 2$ and $\sum_{j=0}^{\infty} \varphi_j(\xi) = 1$, $\xi \in \mathbb{R}^n$.

Definition 13 For each $s \in \mathbb{R}$, we define the operator $\langle D \rangle^s : \mathcal{S}'(\mathbb{R}^n) \rightarrow \mathcal{S}'(\mathbb{R}^n)$ as the pseudodifferential operator with symbol $\xi \in \mathbb{R}^n \mapsto \langle \xi \rangle^s$. Moreover, we write $\varphi_j(D)u = op(\varphi_j)u$.

- (1) The Bessel potential space $H_p^s(\mathbb{R}^n) = \{u \in \mathcal{S}'(\mathbb{R}^n); \langle D \rangle^s u \in L_p(\mathbb{R}^n)\}$, for $1 < p < \infty$ and $s \in \mathbb{R}$, is the Banach space with norm $\|u\|_{H_p^s(\mathbb{R}^n)} := \|\langle D \rangle^s u\|_{L_p(\mathbb{R}^n)}$.
- (2) The Besov space $B_p^s(\mathbb{R}^n)$, for $s \in \mathbb{R}$ and $1 < p < \infty$, is the Banach space of all tempered distributions $f \in \mathcal{S}'(\mathbb{R}^n)$ that satisfy:

$$\|f\|_{B_p^s(\mathbb{R}^n)} := \left(\sum_{j=0}^{\infty} 2^{jsp} \|\varphi_j(D)f\|_{L_p(\mathbb{R}^n)}^p \right)^{\frac{1}{p}} < \infty.$$

For an open set $\Omega \subset \mathbb{R}^n$, we define the Bessel potential spaces $H_p^s(\Omega)$, as the set of restrictions of $H_p^s(\mathbb{R}^n)$ to Ω with norm

$$\|u\|_{H_p^s(\Omega)} := \left\{ \inf \|v\|_{H_p^s(\mathbb{R}^n)}; r_\Omega(v) = u \right\}.$$

Similarly, we define the Besov spaces $B_p^s(\Omega)$. Together with partition of unity and local charts, this leads to the spaces $H_p^s(M)$, $H_p^s(M, E)$, $B_p^s(\partial M)$ and $B_p^s(\partial M, E)$, where E is a vector bundle over M or ∂M .

Remark 14 Let $s \in \mathbb{R}$, $1 < p < \infty$ and $\frac{1}{p} + \frac{1}{q} = 1$.

- (1) There are continuous inclusions $C_c^\infty(\mathbb{R}^n) \hookrightarrow \mathcal{S}(\mathbb{R}^n) \hookrightarrow B_p^s(\mathbb{R}^n) \hookrightarrow \mathcal{S}'(\mathbb{R}^n)$. Moreover the spaces $C_c^\infty(\mathbb{R}^n)$ and $\mathcal{S}(\mathbb{R}^n)$ are dense in $B_p^s(\mathbb{R}^n)$. The same can be said of $H_p^s(\mathbb{R}^n)$.

- (2) The dual of $B_p^s(\mathbb{R}^n)$ is $B_q^{-s}(\mathbb{R}^n)$, where the identification is given by the L_2 scalar product. Again the same holds for $H_p^s(\mathbb{R}^n)$ and $H_q^{-s}(\mathbb{R}^n)$.
- (3) A pseudodifferential operator with symbol $a \in S^m(\mathbb{R}^n \times \mathbb{R}^n)$ extends to continuous operators $op(a) : H_p^s(\mathbb{R}^n) \rightarrow H_p^{s-m}(\mathbb{R}^n)$ and $op(a) : B_p^s(\mathbb{R}^n) \rightarrow B_p^{s-m}(\mathbb{R}^n)$ for all $s \in \mathbb{R}$.
- (4) The following interpolation holds: $(L_p(\mathbb{R}^n), H_p^1(\mathbb{R}^n))_{\theta,p} = B_p^{\theta}(\mathbb{R}^n)$, for all $0 < \theta < 1$, where $(X, Y)_{\theta,p}$ denotes the real interpolation space of the interpolation couple (X, Y) , as in Lunardi [19].
- (5) If M is a compact manifold (with or without boundary) and E is a vector bundle over M , then $H_p^s(M, E) \hookrightarrow H_p^{s'}(M, E)$ and $B_p^s(M, E) \hookrightarrow B_p^{s'}(M, E)$ are compact inclusions, whenever $s > s'$.
- (6) The trace functional $\gamma_0 : \mathcal{S}(\mathbb{R}^n) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$ extends to a continuous and surjective map $\gamma_0 : H_p^s(\mathbb{R}^n) \rightarrow B_p^{s-\frac{1}{p}}(\mathbb{R}^{n-1})$ when $s > \frac{1}{p}$.
- (7) The Besov spaces do not depend on the choice of the dyadic partition of unity; different partitions yield equivalent norms.

Remark 15 We recall some notions from vector-valued harmonic analysis; see for instance Denk and Kaip [6]. A Banach space G is a UMD space if, for some $p \in]1, \infty[$, the Hilbert transform H , given by

$$Hf(x) = \frac{1}{\pi} \lim_{\epsilon \rightarrow 0^+} \int_{|x-y| \geq \epsilon} \frac{f(y)}{x-y} dy, \quad f \in \mathcal{S}(\mathbb{R}, G),$$

extends to a bounded operator in $\mathcal{B}(L_p(\mathbb{R}, G))$. The Banach space has property (α) if there exists a constant $C > 0$ such that for all $n \in \mathbb{N}$, for all $(\alpha_{ij})_{i,j=1,\dots,n} \subset \mathbb{C}$ with $|\alpha_{ij}| \leq 1$, and for all $(x_{ij})_{i,j=1,\dots,n} \subset G$, we have

$$\int_{[0,1] \times [0,1]} \|r_i(s) r_j(t) \alpha_{ij} x_{ij}\|_G ds dt \leq C \int_{[0,1] \times [0,1]} \|r_i(s) r_j(t) x_{ij}\|_G ds dt,$$

where $r_j(t) = \text{sign}(\sin(2^k \pi t))$, $j \in \mathbb{N}$, are the Rademacher functions. These properties allow the extension of important theorems of classical harmonic analysis to the vector valued case.

If G is a UMD space with property (α) , we can define, using Bochner integrals, $B_p^s(\mathbb{R}^n, G)$ and $H_p^s(\mathbb{R}^n, G)$ in the same way as before, see, for instance, [2,6]. It is worth noting that $B_p^s(\mathbb{R}^n)$ and $B_p^s(\partial X, E)$ are UMD spaces with the property (α) for all $s \in \mathbb{R}$ and $1 < p < \infty$. Later, we also use that $B_p^s(\mathbb{R}, G) \subset H_p^1(\mathbb{R}, G) := \{u \in L_p(\mathbb{R}, G); \frac{du}{dt} \in L_p(\mathbb{R}, G)\}$, for all $0 < s < 1$.

Let us now state the following properties of composition, adjoints and continuity of Boutet de Monvel operators [9, 11, 13, 23].

Theorem 16 (1) (Composition) *Let $A \in \mathcal{B}_{E_1, F_1, E_2, F_2}^{m, d}(M, \Lambda)$, $B \in \mathcal{B}_{E_0, F_0, E_1, F_1}^{m', d'}(M, \Lambda)$. Then $AB \in \mathcal{B}_{E_0, F_0, E_2, F_2}^{m+m', d''}(M, \Lambda)$, where $d'' := \max\{m' + d, d'\}$. Sim-*

ilarly, if $A \in \tilde{\mathcal{B}}_{E_1, F_1, E_2, F_2}^p(M, \Lambda)$ and $B \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$, then $AB \in \tilde{\mathcal{B}}_{E_0, F_0, E_2, F_2}^p(M, \Lambda)$.

(2) (Adjoint) Let $A \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$. Then $A^* \in \tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^q(M, \Lambda)$, where $\frac{1}{p} + \frac{1}{q} = 1$ and A^* is the only operator that satisfies, for every $u \in C^\infty(M, E_0) \oplus C^\infty(\partial M, F_0)$ and $v \in C^\infty(M, E_1) \oplus C^\infty(\partial M, F_1)$, the relation

$$(A(\lambda)u, v)_{L_2(M, E_1) \oplus L_2(M, F_1)} = (u, A^*(\lambda)v)_{L_2(M, E_0) \oplus L_2(M, F_0)}.$$

(3) (Continuity) An operator $A \in \mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(M, \Lambda)$ induces bounded operators $A(\lambda) : H_p^s(M, E_0) \oplus B_p^{s-\frac{1}{p}}(\partial M, F_0) \rightarrow H_p^{s-m}(M, E_1) \oplus B_p^{s-m-\frac{1}{p}}(\partial M, F_1)$ for all $s > d - 1 + \frac{1}{p}$. Similarly $A \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$ induces bounded operators $A(\lambda) : H_p^s(M, E_0) \oplus B_p^s(\partial M, F_0) \rightarrow H_p^s(M, E_1) \oplus B_p^s(\partial M, F_1)$, $\forall s > -1 + \frac{1}{p}$.

(4) (Fredholm property) If $A \in \mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(M, \Lambda)$, $d = \max\{m, 0\}$, is parameter-elliptic, then there exists a $B \in \mathcal{B}_{E_1, F_1, E_0, F_0}^{-m, d'}(M, \Lambda)$, $d' = \max\{-m, 0\}$, such that

$$AB - I \in \mathcal{B}_{E_1, F_1, E_1, F_1}^{-\infty, d'}(M, \Lambda) \quad \text{and} \quad BA - I \in \mathcal{B}_{E_0, F_0, E_0, F_0}^{-\infty, d}(M, \Lambda). \quad (2.6)$$

As a consequence, $A(\lambda)$ is a Fredholm operator of index 0 for each $\lambda \in \Lambda$, and there exists a constant $\lambda_0 > 0$ such that $A(\lambda)$ is invertible, if $|\lambda| \geq \lambda_0$.

Similarly, if $A \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$ is parameter-elliptic, then there exists a $B \in \tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^p(M, \Lambda)$ such that Eq. (2.6) holds for $d = d' = 0$.

2.1 The Equivalence Between Ellipticity and Fredholm Property

In this section, we prove that the Fredholm property together with some growth condition on λ implies parameter-dependent ellipticity. The use of Besov spaces makes the proofs a little more elaborate than e.g. the proof in the parameter-independent L_2 -case studied by Rempel and Schulze [23]. To make it clearer, we first study the pseudodifferential term on Besov spaces and then the boundary terms.

2.1.1 Pseudodifferential Operators with Parameters on a Manifold Without Boundary Acting on Besov Spaces

In this section, we prove the following theorem:

Theorem 17 *Let M be a compact manifold without boundary, E and F be vector bundles over M . Let $A(\lambda) : C^\infty(M, E) \rightarrow C^\infty(M, F)$, $\lambda \in \Lambda$, be a classical parameter-dependent pseudodifferential operator of order 0. Then the following conditions are equivalent:*

(i) *A is parameter-elliptic.*

(ii) *There exist uniformly bounded operators $B_j(\lambda) : B_p^0(M, F) \rightarrow B_p^0(M, E)$, $\lambda \in \Lambda$, $j = 1$ and 2 , such that*

$$B_1(\lambda) A(\lambda) = 1 + K_1(\lambda) \text{ and } A(\lambda) B_2(\lambda) = 1 + K_2(\lambda).$$

where $K_1(\lambda) : B_p^0(M, E) \rightarrow B_p^0(M, E)$ and $K_2(\lambda) : B_p^0(M, F) \rightarrow B_p^0(M, F)$ are compact operators for every $\lambda \in \Lambda$ and $\lim_{|\lambda| \rightarrow \infty} K_j(\lambda) = 0$.

(iii) *There exist bounded operators $B_j(\lambda) : B_p^0(M, F) \rightarrow B_p^0(M, E)$, $\lambda \in \Lambda$, $j = 1$ and 2 , such that*

$$B_1(\lambda) A(\lambda) = 1 + K_1(\lambda) \text{ and } A(\lambda) B_2(\lambda) = 1 + K_2(\lambda).$$

where $K_1(\lambda) : B_p^0(M, E) \rightarrow B_p^0(M, E)$ and $K_2(\lambda) : B_p^0(M, F) \rightarrow B_p^0(M, F)$ are compact operators for every $\lambda \in \Lambda$. Moreover, $\lim_{|\lambda| \rightarrow \infty} K_j(\lambda) = 0$ and there exist $M \in \mathbb{N}_0$ and $C > 0$ such that $\|B_j(\lambda)\|_{\mathcal{B}(B_p^0(M, F), B_p^0(M, E))} \leq C \langle \ln(\lambda) \rangle^M$, for $j = 1$ and 2 .

The third item also holds if $\|B_j(\lambda)\|_{\mathcal{B}(B_p^0(M, F), B_p^0(M, E))} \leq C \langle \lambda \rangle^r$, for some sufficiently small r , as a careful study of our proof shows.

We note that $A(\lambda) B_2(\lambda) = 1 + K_2(\lambda)$ is equivalent to $B_2(\lambda)^* A(\lambda)^* = 1 + K_2(\lambda)^*$, where $*$ indicates the adjoint. This is the condition that we shall need. Obviously condition i) implies that $\dim(E) = \dim(F)$.

If (i) holds, then we can find a parametrix to $A(\lambda)$ by Theorem 16(4) so that (ii) is true, and (ii) trivially implies (iii). So we only need to prove that (iii) implies (i).

Definition 18 Let $s > 0$, $0 < \tau < \frac{1}{3}$ and $(y, \eta) \in \mathbb{R}^n \times \mathbb{R}^n$. We define the operator $R_s(y, \eta) : \mathcal{S}(\mathbb{R}^n) \rightarrow \mathcal{S}(\mathbb{R}^n)$, also denoted just by R_s , by

$$R_s u(x) = s^{\frac{\tau n}{p}} e^{isx\eta} u(s^\tau(x - y)).$$

Below we collect some well-known facts about the operators R_s . The items 1, 2, 4, 5 and 6 can be found in [23, 28]. As we are dealing also with Besov spaces, some estimates must be done more carefully. The third item was not proven in the previous references. Statement 7 is stronger than usual. Both are necessary, as R_s is not an isometry in the space $B_p^0(\mathbb{R}^n)$.

Lemma 19 *The operator $R_s = R_s(y, \eta)$ has the following properties:*

- (1) $\|R_s u\|_{L_p(\mathbb{R}^n)} = \|u\|_{L_p(\mathbb{R}^n)}$ for all $u \in \mathcal{S}(\mathbb{R}^n)$.
- (2) $\lim_{s \rightarrow \infty} R_s u = 0$ weakly in $L_p(\mathbb{R}^n)$ for all $u \in \mathcal{S}(\mathbb{R}^n)$.
- (3) $R_s : B_p^\theta(\mathbb{R}^n) \rightarrow B_p^\theta(\mathbb{R}^n)$ is continuous for all $s > 0$ and $\|R_s u\|_{B_p^\theta(\mathbb{R}^n)} \leq C_\theta (1 + s \langle \eta \rangle)^\theta \|u\|_{H_p^1(\mathbb{R}^n)}$, for every $\theta \in]0, 1[$, $s \geq 1$ and $u \in \mathcal{S}(\mathbb{R}^n)$. The constant C_θ depends on θ , but not on y, η or s .
- (4) The operator R_s is invertible. Its inverse is given by

$$R_s^{-1} u(x) = s^{-\frac{\tau n}{p}} e^{-is(y+s^{-\tau}x)\eta} u(y + s^{-\tau}x).$$

(5) The Fourier transform of $R_s u$ is given by

$$\mathcal{F}(R_s u)(\xi) = s^{\frac{\tau n}{p} - n\tau} e^{-iy(\xi - s\eta)} \hat{u}(s^{-\tau}(\xi - s\eta)).$$

(6) Let $a \in S^m(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$. Then

$$R_s^{-1} op(a)(s\lambda) R_s u(x) = op(a_s)(\lambda) u(x),$$

where $a_s(x, \xi, \lambda) = a(y + s^{-\tau}x, s\eta + s^\tau\xi, s\lambda)$.

(7) Let $a \in S_{cl}^0(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$ be classical, $u \in \mathcal{S}(\mathbb{R}^n)$, $\lambda \in \Lambda$ with $(\eta, \lambda) \neq (0, 0)$ and $0 < r < \tau$. Then

$$\lim_{s \rightarrow \infty} s^r \|op(a)(s\lambda) R_s u - a_{(0)}(y, \eta, \lambda) R_s u\|_{B_p^0(\mathbb{R}^n)} = 0. \quad (2.7)$$

Proof (1), (4) and (5) are just simple computations, and (6) follows from (4), (5) and the definition of pseudodifferential operators.

In order to prove (2), we just have to note that $\lim_{s \rightarrow \infty} \int_{\mathbb{R}^n} R_s u(x) v(x) dx = 0$ for all $u \in \mathcal{S}(\mathbb{R}^n)$ and $v \in \mathcal{S}(\mathbb{R}^n)$. The proof follows then from the fact that $L_p(\mathbb{R}^n)' \simeq L_q(\mathbb{R}^n)$, for $\frac{1}{p} + \frac{1}{q} = 1$, and that R_s is an isometry.

(3) The operator $R_s : B_p^\theta(\mathbb{R}^n) \rightarrow B_p^\theta(\mathbb{R}^n)$ is continuous for all $s \in \mathbb{R}$, as R_s is the composition of dilatation, translation and multiplication by $e^{is\eta x}$. The estimate follows by interpolation. In fact, for $s \geq 1$, it is easy to see that $\|R_s u\|_{H_p^1(\mathbb{R}^n)} \leq (1 + s \langle \eta \rangle) \|u\|_{H_p^1(\mathbb{R}^n)}$. As $(L_p(\mathbb{R}^n), H_p^1(\mathbb{R}^n))_{\theta, p} = B_p^\theta(\mathbb{R}^n)$, we conclude (see Lunardi [19, Corollary 1.1.7]) that there exists a constant C_θ such that

$$\|R_s u\|_{B_p^\theta(\mathbb{R}^n)} \leq C_\theta \|R_s u\|_{H_p^1(\mathbb{R}^n)}^\theta \|R_s u\|_{L_p(\mathbb{R}^n)}^{1-\theta} \leq C_\theta (1 + s \langle \eta \rangle)^\theta \|u\|_{H_p^1(\mathbb{R}^n)}.$$

(7) This is the longest statement we need to prove. We divide the proof into several steps. Our first goal is the L_p -convergence:

$$\lim_{s \rightarrow \infty} s^r \|op(a_s)(\lambda) u - a_{(0)}(y, \eta, \lambda) u\|_{L_p(\mathbb{R}^n)} = 0, \quad \text{where } u \in \mathcal{S}(\mathbb{R}^n). \quad (2.8)$$

In a *first step* let us show that, for every $(x, \xi) \in \mathbb{R}^n \times \mathbb{R}^n$,

$$|a(y + s^{-\tau}x, s\eta + s^\tau\xi, s\lambda) - a_{(0)}(y, \eta, \lambda)| \leq C_{\lambda, \eta} \langle x \rangle \langle \xi \rangle^2 s^{-\tau}. \quad (2.9)$$

Let $\chi : \mathbb{R}^n \times \Lambda \rightarrow \mathbb{C}$ be a smooth function that is equal to 0 in a neighborhood of the origin and equal to 1 outside a closed ball centered at the origin that does not contain (η, λ) . For $s \geq 1$, we have

$$\begin{aligned} & |a(y + s^{-\tau}x, s\eta + s^\tau\xi, s\lambda) - \chi(s\eta + s^\tau\xi, s\lambda) a_{(0)}(y + s^{-\tau}x, s\eta + s^\tau\xi, s\lambda)| \\ & \leq \frac{C}{\langle s\eta + s^\tau\xi, s\lambda \rangle} \leq C s^\tau \langle s\eta, s\lambda \rangle^{-1} \langle \xi \rangle, \end{aligned} \quad (2.10)$$

where we have used Peetre's inequality. Since $a_{(0)}(y, s\eta, s\lambda) = a_{(0)}(y, \eta, \lambda)$,

$$\begin{aligned}
& |\chi(s\eta + s^\tau \xi, s\lambda) a_{(0)}(y + s^{-\tau} x, s\eta + s^\tau \xi, s\lambda) - a_{(0)}(y, \eta, \lambda)| \\
& \leq \sum_{j=1}^n \left(\int_0^1 s^{-\tau} |x_j| |\chi(s\eta + ts^\tau \xi, s\lambda) (\partial_{x_j} a_{(0)})(y + ts^{-\tau} x, s\eta + ts^\tau \xi, s\lambda)| dt \right. \\
& \quad \left. + s^\tau \int_0^1 |\xi_j \partial_{\xi_j} (\chi a_{(0)})(y + ts^{-\tau} x, s\eta + ts^\tau \xi, s\lambda)| dt \right) \\
& \leq \sum_{j=1}^n \left(C_1 s^{-\tau} |x_j| + C_2 \frac{s^{2\tau}}{\langle s\eta, s\lambda \rangle} |\xi_j| \langle \xi \rangle \right). \tag{2.11}
\end{aligned}$$

The estimates (2.10) and (2.11) imply (2.9) for $\tau < \frac{1}{3}$.

In a *second step* we are going to show the pointwise convergence of the integrand of (2.8) for all $u \in \mathcal{S}(\mathbb{R}^n)$ and all $x \in \mathbb{R}^n$. We know that

$$\begin{aligned}
& s^r (op(a_s)(\lambda) u(x) - a_{(0)}(y, \eta, \lambda) u(x)) \\
& = (2\pi)^{-n} \int_{\mathbb{R}^n} e^{ix\xi} s^r (a(y + s^{-\tau} x, s\eta + s^\tau \xi, s\lambda) - a_{(0)}(y, \eta, \lambda)) \hat{u}(\xi) d\xi.
\end{aligned}$$

The integrand goes to zero, as we have seen in Eq. (2.9). Moreover

$$|s^r (a(y + s^{-\tau} x, s\eta + s^\tau \xi, s\lambda) - a_{(0)}(y, \eta, \lambda)) \hat{u}(\xi)| \leq C_{\lambda, \eta} \langle x \rangle \langle \xi \rangle^2 |\hat{u}(\xi)|,$$

is integrable with respect to ξ , so that the dominated convergence theorem applies.

In the *third step* we will finally prove (2.8). It is enough to show that the integrand is dominated. Indeed, integration by parts shows that

$$\begin{aligned}
& s^r x^\gamma (op(a_s)(\lambda) u(x) - a_{(0)}(y, \eta, \lambda) u(x)) \\
& = (-1)^{|\gamma|} \sum_{\sigma \leq \gamma} \binom{\gamma}{\sigma} s^r (2\pi)^{-n} \int_{\mathbb{R}^n} e^{ix\xi} D_\xi^\sigma (a(y + s^{-\tau} x, s\eta + s^\tau \xi, s\lambda) \\
& \quad - a_{(0)}(y, \eta, \lambda)) D_\xi^{\gamma-\sigma} \hat{u}(\xi) d\xi. \tag{2.12}
\end{aligned}$$

For $\sigma = 0$, we recall (2.9); for $\sigma \neq 0$, we use that $r + 2\tau |\sigma| - |\sigma| < 0$ and obtain

$$s^r |D_\xi^\sigma (a(y + s^{-\tau} x, s\eta + s^\tau \xi, s\lambda))| \leq C |(\eta, \lambda)|^{-|\sigma|} \langle \xi \rangle^{|\sigma|}.$$

As $\xi \mapsto \langle \xi \rangle^M \hat{u}(\xi)$ is integrable for all $M > 0$, (2.12) can be estimated by $\tilde{C}_{\lambda, \eta, \gamma} \langle x \rangle$. Hence, for arbitrary N ,

$$s^r |op(a_s)(\lambda) u(x) - a_{(0)}(y, \eta, \lambda) u(x)| \leq C_{\lambda, \eta, N} \langle x \rangle^{-N}.$$

The dominated convergence then shows the desired L_p -convergence.

Our next goal is to show L_p -convergence of the derivative:

$$\lim_{s \rightarrow \infty} s^r \|op(a_s)(\lambda)u - a_{(0)}(y, \eta, \lambda)u\|_{H_p^1(\mathbb{R}^n)} = 0, \quad u \in \mathcal{S}(\mathbb{R}^n). \quad (2.13)$$

Let us first observe that

$$\partial_{x_j} op(a_s)(\lambda) = op(a_s)(\lambda) \partial_{x_j} u + s^{-\tau} op\left(\left(\partial_{x_j} a\right)_s\right)(\lambda) u.$$

Using Eq. (2.8) and the fact that $r < \tau$, we conclude that

$$\lim_{s \rightarrow \infty} s^r \|op(a_s)(\lambda) \partial_{x_j} u - a_{(0)}(y, \eta, \lambda) \partial_{x_j} u\|_{L_p(\mathbb{R}^n)} = 0$$

and

$$\begin{aligned} & \lim_{s \rightarrow \infty} s^r \|s^{-\tau} op\left(\left(\partial_{x_j} a\right)_s\right)(\lambda) u\|_{L_p(\mathbb{R}^n)} \\ & \leq \lim_{s \rightarrow \infty} s^{r-\tau} \|op\left(\left(\partial_{x_j} a\right)_s\right)(\lambda) u - \left(\partial_{x_j} a\right)_{(0)}(y, \eta, \lambda) u\|_{L_p(\mathbb{R}^n)} \\ & \quad + \lim_{s \rightarrow \infty} s^{r-\tau} \|\left(\partial_{x_j} a\right)_{(0)}(y, \eta, \lambda) u\|_{L_p(\mathbb{R}^n)} = 0 \end{aligned}$$

for all $u \in \mathcal{S}(\mathbb{R}^n)$. Hence

$$\lim_{s \rightarrow \infty} s^r \|\partial_{x_j} op(a_s)(\lambda) u - a_{(0)}(y, \eta, \lambda) \partial_{x_j} u\|_{L_p(\mathbb{R}^n)} = 0. \quad (2.14)$$

Equations (2.8) and (2.14) imply (2.13).

In order to finish the proof of item (7), choose $\theta > 0$ such that $\theta + r < \tau$. Then item (3) implies that

$$\begin{aligned} & s^r \|op(a)(s\lambda) R_s u - a_{(0)}(y, \eta, \lambda) R_s u\|_{B_p^0(\mathbb{R}^n)} \\ & \leq s^r \left\| R_s \left(R_s^{-1} op(a)(s\lambda) R_s u - a_{(0)}(y, \eta, \lambda) u \right) \right\|_{B_p^\theta(\mathbb{R}^n)} \\ & \leq C_\theta (1 + s \langle \eta \rangle)^\theta s^r \|op(a_s)(\lambda) u - a_{(0)}(y, \eta, \lambda) u\|_{H_p^1(\mathbb{R}^n)}. \end{aligned}$$

As the last term goes to zero, we obtain (2.7). \square

Corollary 20 *Let $a \in S_{cl}^0(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$ satisfy the transmission condition and $u \in \mathcal{S}(\overline{\mathbb{R}_+^n})$. Then*

$$\lim_{s \rightarrow \infty} s^r \|r^+ op(a)(s\lambda) R_s (e^+ u) - a_{(0)}(y, \eta, \lambda) r^+ R_s (e^+ u)\|_{L_p(\mathbb{R}_+^n)} = 0,$$

for $(y, \eta, \lambda) \in \overline{\mathbb{R}_+^n} \times ((\mathbb{R}^n \times \Lambda) \setminus \{0\})$ and $0 < r < \tau$, where $R_s = R_s(y, \eta)$.

Proof We use that $r^+ : L_p(\mathbb{R}^n) \rightarrow L_p(\mathbb{R}_+^n)$ is continuous, that $R_s : L_p(\mathbb{R}^n) \rightarrow L_p(\mathbb{R}^n)$ is an isometry mapping $C_c^\infty(\mathbb{R}_+^n)$ to $C_c^\infty(\mathbb{R}_+^n)$, and Eq. (2.8). \square

In order to control the action of R_s on Besov spaces, we recall the equivalence of Besov norm and L_p norm on certain subsets of $\mathcal{S}(\mathbb{R}^n)$, see e.g. [18].

Lemma 21 (Besov space property) *There is a constant $C > 0$ such that*

$$C^{-1} \|u\|_{B_p^0(\mathbb{R}^n)} \leq \|u\|_{L_p(\mathbb{R}^n)} \leq C \|u\|_{B_p^0(\mathbb{R}^n)},$$

for all $u \in \mathcal{S}'(\mathbb{R}^n)$ with $\text{supp } \mathcal{F}(u) \subset \bigcup_{k=m}^{m+2} K_k$ for some $m \geq 0$. Here C does not depend on m . In particular, under these circumstances, $u \in L_p(\mathbb{R}^n)$ if and only if $u \in B_p^0(\mathbb{R}^n)$.

The number 2 could be replaced by a different one. We recall that the sets K_j were defined in Remark 12.

Proof As $\varphi_j(\xi) = \varphi_1(2^{-j+1}\xi)$ for $j \geq 1$, and $u = \sum_{j=m-1}^{m+3} \varphi_j(D)u$, the estimate

$$\|\varphi_j(D)u\|_{L_p(\mathbb{R}^n)} = \|\mathcal{F}^{-1}(\varphi_j) * u\|_{L_p(\mathbb{R}^n)} \leq \|\mathcal{F}^{-1}\varphi_1\|_{L_1(\mathbb{R}^n)} \|u\|_{L_p(\mathbb{R}^n)}, \quad j \geq 1,$$

implies the result. \square

The operator R_s has important properties when acting on functions whose Fourier transform is supported in $\tilde{K} := \{\xi \in \mathbb{R}^n; \frac{1}{2} < |\xi| < 1\}$.

Lemma 22 *There is a constant $s_0 > 0$, that depends only on η , for which the operator $R_s = R_s(y, \eta)$ has the following properties:*

- (1) *If $u \in \mathcal{S}'(\mathbb{R}^n)$ and $\text{supp } (\mathcal{F}u) \subset \tilde{K}$, then, for every $s \geq s_0$, there is an $m \in \mathbb{N}_0$ that depends on s , such that $\text{supp } \mathcal{F}(R_s u) \subset \bigcup_{k=m}^{m+2} K_k$.*
- (2) *There exists a constant $C > 0$ such that $C^{-1} \|u\|_{B_p^0(\mathbb{R}^n)} \leq \|R_s u\|_{B_p^0(\mathbb{R}^n)} \leq C \|u\|_{B_p^0(\mathbb{R}^n)}$ for all $s > s_0$ and all $u \in B_p^0(\mathbb{R}^n)$ with $\text{supp } (\mathcal{F}u) \subset \tilde{K}$.*
- (3) *For $u \in \mathcal{S}(\mathbb{R}^n)$ with $\text{supp } (\mathcal{F}u) \subset \tilde{K}$, $\lim_{s \rightarrow \infty} R_s u = 0$ weakly in $B_p^0(\mathbb{R}^n)$.*

Proof (1) By item (5) of Lemma 19, $\mathcal{F}(R_s u)(\xi) = 0$, unless $\frac{1}{2} < |s^{-\tau}(\xi - s\eta)| < 1$. If $\eta = 0$, this means that $\frac{1}{2}s^\tau < |\xi| < s^\tau$. If $\eta \neq 0$, choose $s_0 > 0$ such that $2s^\tau < s|\eta|$, for $s > s_0$. Then $\text{supp } \mathcal{F}(R_s(u)) \subset \{\xi; \frac{1}{2}s|\eta| < |\xi| < 2s|\eta|\}$, for $s > s_0$. The result now follows easily.

- (2) As $\text{supp } \mathcal{F}(R_s u) \subset \bigcup_{k=m}^{m+2} K_k$ and $\text{supp } (\mathcal{F}(u)) \subset \tilde{K}$, the result follows from Lemma 21 and the fact that R_s is an isometry in $L_p(\mathbb{R}^n)$.
- (3) From item (2) of Lemma 19, we know that

$$\lim_{s \rightarrow \infty} \int_{\mathbb{R}^n} R_s u(x) v(x) dx = 0, \quad v \in \mathcal{S}(\mathbb{R}^n).$$

However, $B_q^0(\mathbb{R}^n) \cong B_p^0(\mathbb{R}^n)'$, for $\frac{1}{p} + \frac{1}{q} = 1$, and $\mathcal{S}(\mathbb{R}^n)$ is dense in $B_q^0(\mathbb{R}^n)$. As, by item 2), $\|R_s u\|_{B_p^0(\mathbb{R}^n)}$ is uniformly bounded in s for all fixed $u \in \mathcal{S}(\mathbb{R}^n)$ such that $\text{supp } (\mathcal{F}u) \subset \tilde{K}$, the result follows. \square

We now prove Theorem 17. The next simple lemma will be useful:

Lemma 23 *Let E and F be Banach spaces and E' and F' be their dual spaces. If $A: E \rightarrow F$ is a bounded linear operator such that A is injective, has closed range and its adjoint $A^*: F' \rightarrow E'$ is also injective, then A is an isomorphism.*

Proof Suppose that the range $R(A)$ of A is a proper subset of F . By the Hahn-Banach Theorem, there is an $f \in F^*$, $f \neq 0$, such that $f|_{R(A)} = 0$. This implies that $A^*(f) = f \circ A = 0$. As $A^*: F' \rightarrow E'$ is injective, we conclude that $f = 0$, which is a contradiction. \square

Proof (of Theorem 17)

As it suffices to prove the implication (iii) \implies (i), consider $A(\lambda)$, $B(\lambda)$ and $K(\lambda)$ as in (iii). Our aim is to prove that the principal symbol $p_{(0)}(z, \lambda)$ of A is invertible for every $(z, \lambda) \in (T^*M \times \Lambda) \setminus \{0\}$. We focus on a trivializing coordinate neighborhood U containing $x = \pi(z)$. We choose smooth functions Φ, Ψ and H supported in U such that Φ equals 1 near x and $\Psi\Phi = \Phi$, $H\Psi = \Psi$. Denote by $\tilde{A}(\lambda) \in \mathcal{B}(B_p^0(\mathbb{R}^n)^{N_1}, B_p^0(\mathbb{R}^n)^{N_2})$ and $\tilde{B}(\lambda) \in \mathcal{B}(B_p^0(\mathbb{R}^n)^{N_2}, B_p^0(\mathbb{R}^n)^{N_1})$ the operators $HA(\lambda)\Psi$ and $\Phi B(\lambda)H$ in local coordinates. Then our assumptions imply that there are compact operators $\tilde{K}(\lambda)$, tending to zero in $\mathcal{B}(B_p^0(\mathbb{R}^n)^{N_1})$ as $|\lambda| \rightarrow \infty$ such that

$$\tilde{B}(\lambda)\tilde{A}(\lambda) = \tilde{\Phi} + \tilde{K}(\lambda), \quad (2.15)$$

where $\tilde{\Phi}$ is Φ in local coordinates. Here we use the fact that $\tilde{B}(\lambda)$ has logarithmic growth and that $\Phi B(\lambda)H^2 A(\lambda)\Psi$ differs from $\Phi B(\lambda)A(\lambda)\Psi$ by a compact operator whose norm tends to zero as $|\lambda| \rightarrow \infty$.

Denote by $(y, \eta, \lambda) \in \mathbb{R}^n \times (\mathbb{R}^n \times \Lambda) \setminus \{0\}$ the point corresponding to (z, λ) and fix an element $u = cv \in \mathcal{S}(\mathbb{R}^n)^{N_1}$, where $c \in \mathbb{C}^{N_1}$ and $0 \neq v \in \mathcal{S}(\mathbb{R}^n)$ with $\text{supp}(\mathcal{F}v) \subset \{\xi; \frac{1}{2} < |\xi| < 1\}$. Equation (2.15) together with item (ii) of Lemma 22 implies that

$$\begin{aligned} \|u\|_{B_p^0(\mathbb{R}^n)^{N_1}} &\leq C \left(\|\tilde{B}(s\lambda)\|_{\mathcal{B}(B_p^0(\mathbb{R}^n)^{N_2}, B_p^0(\mathbb{R}^n)^{N_1})} \|\tilde{A}(s\lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_2}} \right. \\ &\quad \left. + \|\tilde{K}(s\lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_1}} + \|(1 - \tilde{\Phi})R_s u\|_{B_p^0(\mathbb{R}^n)^{N_1}} \right). \end{aligned} \quad (2.16)$$

We claim that $\lim_{s \rightarrow \infty} \|\tilde{K}(s\lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_1}} = 0$: Indeed $\|\tilde{K}(s\lambda)\|_{\mathcal{B}(B_p^0(\mathbb{R}^n)^{N_1})} \rightarrow 0$ for $\lambda \neq 0$, and $\|R_s u\|_{B_p^0(\mathbb{R}^n)^{N_1}} \leq C \|u\|_{B_p^0(\mathbb{R}^n)^{N_1}}$. For $\lambda = 0$, we use that $\tilde{K}(0)$ is compact and the third item of Lemma 22, which implies that $\lim_{s \rightarrow \infty} R_s u = 0$ weakly in $B_p^0(\mathbb{R}^n)^{N_1}$.

Since $\tilde{\Phi} \in C_c^\infty(\mathbb{R}^n)$ is equal to 1 in a neighborhood of y , $\lim_{s \rightarrow \infty} (1 - \tilde{\Phi})R_s(y, \eta)u = 0$ in the topology of $\mathcal{S}(\mathbb{R}^n)$ and, therefore, also in the topology of $B_p^0(\mathbb{R}^n)$. We moreover estimate

$$\begin{aligned} \|\tilde{A}(s\lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_2}} &\leq \|\tilde{A}(s\lambda)R_s u - p_{(0)}(y, \eta, \lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_2}} \\ &\quad + C \|p_{(0)}(y, \eta, \lambda)c\|_{\mathcal{B}(\mathbb{C}^{N_1}, \mathbb{C}^{N_2})} \|v\|_{B_p^0(\mathbb{R}^n)}. \end{aligned}$$

Item 7 of Lemma 19 implies that $\lim_{s \rightarrow \infty} s^r \|\tilde{A}(s\lambda)R_s u - p_{(0)}(y, \eta, \lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_2}} = 0$ for r sufficiently small. By assumption, $\|\tilde{B}(s\lambda)\|_{\mathcal{B}(B_p^0(\mathbb{R}^n)^{N_2}, B_p^0(\mathbb{R}^n)^{N_1})} \leq \tilde{C} \langle \ln(s\lambda) \rangle^M$. Taking s sufficiently large, we conclude that

$$\begin{aligned} &C \left(\|\tilde{B}(s\lambda)\|_{\mathcal{B}(B_p^0(\mathbb{R}^n)^{N_2}, B_p^0(\mathbb{R}^n)^{N_1})} \|\tilde{A}(s\lambda)R_s u - p_{(0)}(y, \eta, \lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_2}} \right. \\ &\quad \left. + \|\tilde{K}(s\lambda)R_s u\|_{B_p^0(\mathbb{R}^n)^{N_1}} + \|(1 - \tilde{\Phi})R_s u\|_{B_p^0(\mathbb{R}^n)^{N_1}} \right) \leq \frac{1}{2} \|u\|_{B_p^0(\mathbb{R}^n)^{N_1}}. \end{aligned}$$

Hence, for sufficiently large s , we have

$$\begin{aligned} \|c\|_{\mathbb{C}^{N_1}} \|v\|_{B_p^0(\mathbb{R}^n)} &= \|u\|_{B_p^0(\mathbb{R}^n)^{N_1}} \\ &\leq \tilde{C} \langle \ln(s\lambda) \rangle^M \|p_{(0)}(y, \eta, \lambda)c\|_{\mathcal{B}(\mathbb{C}^{N_1}, \mathbb{C}^{N_2})} \|v\|_{B_p^0(\mathbb{R}^n)}. \end{aligned}$$

As $v \neq 0$, this clearly implies that $p_{(0)}(y, \eta, \lambda)$ is injective.

An analogous argument applies to the adjoint operator. We conclude that $p_{(0)}(y, \eta, \lambda)^*$, that is, the adjoint of $p_{(0)}(y, \eta, \lambda)$ and the principal symbol of $A(\lambda)^*$, is also injective. Lemma 23 then tells us that $p_{(0)}(y, \eta, \lambda)$ is an isomorphism and, in particular, that $N_2 = N_1$. Therefore $A(\lambda)$ is an elliptic operator. \square

2.1.2 Boutet de Monvel Operators with Parameters Acting on L_p -Spaces

Theorem 24 *Let M be a compact manifold with boundary ∂M . Let E_0 and E_1 be vector bundles over M , F_0 and F_1 be vector bundles over ∂M and $A \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$. Then the following conditions are equivalent:*

- (i) *The operator $A(\lambda)$ is an elliptic parameter-dependent operator.*
- (ii) *We find bounded operators $B_1(\lambda) : L_p(M, E_0) \oplus B_p^0(M, F_0) \rightarrow L_p(M, E_1) \oplus B_p^0(M, F_1)$ and $B_2(\lambda) : L_p(M, E_1) \oplus B_p^0(M, F_1) \rightarrow L_p(M, E_0) \oplus B_p^0(M, F_0)$ such that*

$$B_1(\lambda)A(\lambda) = 1 + K_1(\lambda) \quad \text{and} \quad A(\lambda)B_2(\lambda) = 1 + K_2(\lambda), \quad \lambda \in \Lambda,$$

where the $B_j(\lambda)$ are uniformly bounded in λ and $K_1(\lambda) : L_p(M, E_0) \oplus B_p^0(M, F_0) \rightarrow L_p(M, E_0) \oplus B_p^0(M, F_0)$ and $K_2(\lambda) : L_p(M, E_1) \oplus B_p^0(M, F_1) \rightarrow L_p(M, E_1) \oplus B_p^0(M, F_1)$ are compact and $\lim_{|\lambda| \rightarrow \infty} K_j(\lambda) = 0$, $j = 1, 2$.

- (iii) *Condition ii) holds with the uniform boundedness of the $B_j(\lambda)$ replaced by the condition that, for $j = 1, 2$ and some $M \in \mathbb{N}_0$,*

$$\|B_j(\lambda)\|_{\mathcal{B}(L_p(M, E_1) \oplus B_p^0(M, F_1), L_p(M, E_0) \oplus B_p^0(M, F_0))} \leq C \langle \ln(\lambda) \rangle^M.$$

Remark 25 Let $A^*(\lambda)$ be the adjoint operator of $A(\lambda)$. Theorem 16 tells us that $A(\lambda)B_2(\lambda) = 1 + K_2(\lambda)$ is equivalent to

$$B_2^*(\lambda)A^*(\lambda) = 1 + K_2^*(\lambda),$$

which is the condition that we will need later.

Again a standard parametrix construction shows that (i) implies (ii). As (ii) implies (iii) trivially, we only have to prove that (iii) implies (i).

We fix a point $(y, \eta) \in \mathbb{R}^{n-1} \times \mathbb{R}^{n-1}$ and a constant $0 < \tau < \frac{1}{3}$. For every $s > 0$, we define the isometries $R_s = R_s(y, \eta) : L_p(\mathbb{R}^{n-1}) \rightarrow L_p(\mathbb{R}^{n-1})$, $S_s : L_p(\mathbb{R}_+) \rightarrow L_p(\mathbb{R}_+)$ and $R_s \otimes S_s : L_p(\mathbb{R}_+^n) \rightarrow L_p(\mathbb{R}_+^n)$ by

$$\begin{aligned} R_s v(x') &= s^{\frac{\tau(n-1)}{p}} e^{isx' \cdot \eta} v(s^\tau (x' - y)), \\ S_s w(x_n) &= s^{\frac{1}{p}} w(sx_n), \\ R_s \otimes S_s u(x) &= s^{\frac{\tau(n-1)}{p}} s^{\frac{1}{p}} e^{isx' \cdot \eta} v(s^\tau (x' - y), sx_n). \end{aligned}$$

The following simple proposition will be useful. It is very similar to the results we have already seen.

Proposition 26 *The operator $R_s \otimes S_s : L_p(\mathbb{R}_+^n) \rightarrow L_p(\mathbb{R}_+^n)$ satisfies:*

- (1) $\|R_s \otimes S_s u\|_{L_p(\mathbb{R}_+^n)} = \|u\|_{L_p(\mathbb{R}_+^n)}$, $u \in L_p(\mathbb{R}_+^n)$.
- (2) $\lim_{s \rightarrow \infty} R_s \otimes S_s u = 0$ in the weak topology of $L_p(\mathbb{R}_+^n)$.

Proof (1) Is easily verified.

- (2) Due to the first item and the fact that $L_q(\mathbb{R}_+^n) \cong L_p(\mathbb{R}_+^n)'$, it is enough to prove that if $u(x) = u_1(x')u_2(x_n)$ and $v(x) = v_1(x')v_2(x_n)$, where $u_1, v_1 \in C_c^\infty(\mathbb{R}^{n-1})$ and $u_2, v_2 \in C_c^\infty(\overline{\mathbb{R}_+})$, then

$$\begin{aligned} &\lim_{s \rightarrow \infty} \int_{\mathbb{R}_+^n} R_s \otimes S_s u(x) v(x) dx \\ &= \lim_{s \rightarrow \infty} \left(\int_{\mathbb{R}^{n-1}} R_s u_1(x') v_1(x') dx' \right) \left(\int_{\mathbb{R}_+} S_s u_2(x_n) v_2(x_n) dx_n \right) = 0. \end{aligned}$$

A simple computation shows that both terms on the right hand side go to zero as $s \rightarrow \infty$.

□

Proposition 27 *Let $0 < r < \tau$ and let $v \in \mathcal{S}(\mathbb{R}^{n-1})$ be such that $\mathcal{F}(v)$ has compact support. Denote by $C(s)$ a function such that $\lim_{s \rightarrow \infty} C(s) = 0$. Then*

(1) (Pseudodifferential operator in the interior) Let $p \in S_{cl}^0(\mathbb{R}^n \times \mathbb{R}^n, \Lambda)$ satisfy the transmission condition and $p \sim \sum_{j \in \mathbb{N}_0} p(-j)$ be its asymptotic expansion. Then

$$\begin{aligned} s^r \left\| op(p)(s\lambda)(R_s v \otimes S_s w) \right. \\ \left. - R_s \otimes S_s \left(\frac{v(x')}{2\pi} \int_{\mathbb{R}} e^{ix_n \xi_n} p_{(0)}(y, x_n, \eta, \xi_n, \lambda) \mathcal{F}_{x_n \rightarrow \xi_n}(e^+ w)(\xi_n) d\xi_n \right) \right\|_{L_p(\mathbb{R}_+^n)} \\ \leq C(s) \|w\|_{L_p(\mathbb{R}_+)} , \quad w \in \mathcal{S}(\overline{\mathbb{R}_+}). \end{aligned}$$

(2) (Singular Green operators) Let $S_{cl}^{-1}(\mathbb{R}^{n-1}, \mathcal{S}_{++}, \Lambda) \ni \tilde{g} \sim \sum_{j \in \mathbb{N}_0} \tilde{g}_{(-1-j)}$ and $G(\lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})$ be defined by (2.3). Then, for $w \in \mathcal{S}(\overline{\mathbb{R}_+})$,

$$\begin{aligned} s^r \left\| G(s\lambda)(R_s v \otimes S_s w) \right. \\ \left. - R_s \otimes S_s \left(v(x') \int_{\mathbb{R}_+} \tilde{g}_{(-1)}(y, x_n, y_n, \eta, \lambda) w(y_n) dy_n \right) \right\|_{L_p(\mathbb{R}_+^n)} \\ \leq C(s) \|w\|_{L_p(\mathbb{R}_+)} . \end{aligned}$$

(3) (Trace operators) Let $S_{cl}^{-\frac{1}{p}}(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda) \ni \tilde{t} \sim \sum_{j \in \mathbb{N}_0} \tilde{t}_{(-\frac{1}{p}-j)}$ and $T(\lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n}) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$ be defined by (2.2). Then for $w \in \mathcal{S}(\overline{\mathbb{R}_+})$,

$$\begin{aligned} s^r \left\| T(s\lambda)(R_s v \otimes S_s w) - R_s \left(v(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})}(y, x_n, \eta, \lambda) w(x_n) dx_n \right) \right\|_{B_p^0(\mathbb{R}^{n-1})} \\ \leq C(s) \|w\|_{L_p(\mathbb{R}_+)} . \end{aligned}$$

(4) (Poisson operators) Let $S_{cl}^{\frac{1}{p}-1}(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda) \ni \tilde{k} \sim \sum_{j \in \mathbb{N}_0} \tilde{k}_{(\frac{1}{p}-1-j)}$ and $K(\lambda) : \mathcal{S}(\mathbb{R}^{n-1}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})$ be defined by (2.1). Then for $w \in \mathcal{S}(\overline{\mathbb{R}_+})$,

$$\lim_{s \rightarrow \infty} s^r \left\| K(s\lambda)(R_s v) - R_s \otimes S_s \left(\tilde{k}_{(\frac{1}{p}-1)}(y, x_n, \eta, \lambda) v(x') \right) \right\|_{L_p(\mathbb{R}_+^n)} = 0 .$$

Proof The items (1), (2) and (4) extend the results in [23, Section 2.3.4.2]. They can be obtained by replacing the operators R_s and S_s in [23] by the definitions given here and arguing similarly as for the third item.

The third item is more delicate, as the limit is taken in the Besov space: Let q be such that $\frac{1}{p} + \frac{1}{q} = 1$. Using item 4, 5 and 6 of Lemma 19, we find that

$$\begin{aligned} R_s^{-1} T(s\lambda)(R_s v \otimes S_s w)(x') \\ = \int_{\mathbb{R}^{n-1}} e^{ix' \xi'} \left(\int_{\mathbb{R}_+} s^{-\frac{1}{q}} \tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \hat{v}(\xi') w(x_n) dx_n \right) d\xi' . \end{aligned}$$

Fix $(y, \eta, \lambda) \in \mathbb{R}^{n-1} \times \mathbb{R}^{n-1} \times \Lambda$ such that $(\eta, \lambda) \neq (0, 0)$. We will use the simple fact that if $v \in \mathcal{S}(\mathbb{R}^{n-1})$ is such that $\text{supp}(\mathcal{F}(v))$ is compact, then for all $\theta \in]0, 1[$ and for all $\xi' \in \text{supp}(\mathcal{F}(v))$, there is a $s_0 > 0$ such that

$$C^{-1} s^M |(\eta, \lambda)|^M \leq \langle s\eta + \theta s^\tau \xi', s\lambda \rangle^M \leq C s^M |(\eta, \lambda)|^M, \quad s \geq s_0.$$

The constant C does not depend on $\theta, s \geq s_0$ and $\xi' \in \text{supp}(\mathcal{F}(v))$.

We start by establishing L_p -convergence: let $0 < r < \tau$ and $v \in \mathcal{S}(\mathbb{R}^{n-1})$ with $\text{supp}(\mathcal{F}(v))$ compact. Then, for all $w \in \mathcal{S}(\overline{\mathbb{R}_+})$, we have

$$\begin{aligned} s^r \left\| R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) - v(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})}(y, x_n, \eta, \lambda) w(x_n) dx_n \right\|_{L_p(\mathbb{R}^{n-1})} \\ \leq C(s) \|w\|_{L_p(\mathbb{R}_+)}, \end{aligned}$$

where $C(s)$ is a constant that depends on $s, (y, \eta, \lambda)$ and v but not on w . Moreover, $\lim_{s \rightarrow \infty} C(s) = 0$.

We divide the proof into s , always assuming that $s \geq s_0$. First we see that

$$\begin{aligned} s^r \left| R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) - v(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})}(y, x_n, \eta, \lambda) w(x_n) dx_n \right| \\ \leq \|w\|_{L_p(\mathbb{R}_+)} \left(\int_{\mathbb{R}_+} \left| \left(\int_{\mathbb{R}^{n-1}} e^{i x' \xi'} s^{r-\frac{1}{q}} \left(\tilde{t}(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda) \right. \right. \right. \right. \\ \left. \left. \left. \left. - s^{\frac{1}{q}} \tilde{t}_{(-\frac{1}{p})}(y, x_n, \eta, \lambda) \right) \hat{v}(\xi') d\xi' \right|^q dx_n \right)^{\frac{1}{q}}. \end{aligned} \quad (2.17)$$

In a *first step* we will prove that, for all $(x', x_n, \xi') \in \mathbb{R}^{n-1} \times \mathbb{R}_+ \times \mathbb{R}^{n-1}$ and $M \in \mathbb{N}_0$, there is a constant that depends on η, λ and M such that

$$\begin{aligned} & \left| s^{r-\frac{1}{q}} \left(\tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) - s^{\frac{1}{q}} \tilde{t}_{(-\frac{1}{p})}(y, x_n, \eta, \lambda) \right) \right| \\ & \leq C_{\eta, \lambda, M} \langle x_n \rangle^{-M} s^{r-\tau}, \quad \xi' \in \text{supp}(\mathcal{F}(v)) \end{aligned} \quad (2.18)$$

Let us fix a function $\chi \in C^\infty(\mathbb{R}^{n-1} \times \Lambda)$ that is zero near the origin and equal to 1 outside a closed ball that does not contain (η, λ) . We note that

$$\begin{aligned} & \left| s^{r-\frac{1}{q}} x_n^M \left(\tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right. \right. \\ & \quad \left. \left. - \chi(s\eta + s^\tau \xi', s\lambda) \tilde{t}_{(-\frac{1}{p})} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right) \right| \\ & \leq C_1 s^{-\frac{1}{q} + r + M} \langle s\eta + s^\tau \xi', s\lambda \rangle^{-\frac{1}{p} - M} \leq C_2 s^{-1+r} |(\eta, \lambda)|^{-\frac{1}{p} - M} \end{aligned} \quad (2.19)$$

for $\xi' \in \text{supp}(\mathcal{F}(v))$. We now study the term

$$s^{r-\frac{1}{q}+M} \left(\frac{x_n}{s} \right)^M (\chi(s\eta + s^\tau \xi', s\lambda) \tilde{t}_{(-\frac{1}{p})} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \\ - s^{\frac{1}{q}} \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda)) \quad (2.20)$$

Using the fact that $s^{-\frac{1}{q}} \tilde{t}_{(-\frac{1}{p})} \left(y, \frac{x_n}{s}, s\eta, s\lambda \right) = \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda)$, and a Taylor expansion we conclude that the expression (2.20) is smaller or equal to

$$s^{r-\tau-\frac{1}{q}+M} \sum_{|\beta|=1} \left| x'^\beta \right| \int_0^1 \left| \frac{x_n}{s} \right|^M \left| \partial_{x'}^\beta \left(\chi \tilde{t}_{(-\frac{1}{p})} \right) \right| \\ \times \left(y + \theta s^{-\tau} x', \frac{x_n}{s}, s\eta + \theta s^\tau \xi', s\lambda \right) \left| d\theta \right| \\ + s^{r+\tau-\frac{1}{q}+M} \sum_{|\beta|=1} \left| \xi'^\beta \right| \int_0^1 \left| \frac{x_n}{s} \right|^M \left| \partial_{\xi'}^\beta \left(\chi \tilde{t}_{(-\frac{1}{p})} \right) \right| \\ \times \left(y + \theta s^{-\tau} x', \frac{x_n}{s}, s\eta + \theta s^\tau \xi', s\lambda \right) \left| d\theta \right| \\ \leq C \left(s^{r-\tau} \langle x' \rangle |(\eta, \lambda)|^{-\frac{1}{p}+1-M} + s^{r+\tau-1} \langle \xi' \rangle |(\eta, \lambda)|^{-\frac{1}{p}-M} \right). \quad (2.21)$$

As $0 < r < \tau < \frac{1}{3}$, we conclude that $-1 + r < r - \tau$ and $r + \tau - 1 < r - \tau$. Hence (2.18) follows from the estimates of (2.19) and (2.21).

In a *second step* we will next show that the limit of Eq. (2.17) as $s \rightarrow \infty$ is zero. This is true, as it is smaller than or equal to

$$C_{\eta, \lambda} s^{r-\tau} \int_{\mathbb{R}^{n-1}} |\hat{v}(\xi')| d\xi' \left(\int_{\mathbb{R}_+} \langle x_n \rangle^{-M} dx_n \right)^{\frac{1}{q}}, \quad M > 1.$$

In a *third step* we want to prove that, for all $M \in \mathbb{N}_0$, the expression (2.17) is bounded by $C_M \langle x' \rangle^{-M}$, for a constant $C_M > 0$. Then Lebesgue's dominated convergence theorem will imply that (2.17) holds. In order to do that, we note that

$$x'^\gamma \int e^{ix'\xi'} s^{r-\frac{1}{q}} \left(\tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right. \\ \left. - s^{\frac{1}{q}} \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) \right) \hat{v}(\xi') d\xi'$$

is a linear combination of terms of the form

$$\int_{\mathbb{R}^{n-1}} e^{ix'\xi'} s^{r-\frac{1}{q}} D_{\xi'}^\sigma \left(\tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right. \\ \left. - s^{\frac{1}{q}} \tilde{t}_{\left(-\frac{1}{p}\right)}(y, x_n, \eta, \lambda) \right) D_{\xi'}^{\gamma-\sigma} \hat{v}(\xi') d\xi'.$$

If $\sigma = 0$, we have already proven that the above expression is smaller than $C_{\eta, \lambda, M} \langle x_n \rangle^{-M} s^{r-\tau}$. For $\sigma \neq 0$, we estimate

$$\begin{aligned} & \left| s^{r-\frac{1}{q}} x_n^M D_{\xi'}^\sigma \left(\tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right) \right| \\ & \leq \left| s^{r-\frac{1}{q}+\tau|\sigma|+M} \left(\frac{x_n}{s} \right)^M \left(D_{\xi'}^\sigma \tilde{t} \right) \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right| \\ & \leq C_1 s^{r-\frac{1}{q}+\tau|\sigma|+M} \langle s\eta + s^\tau \xi', s\lambda \rangle^{-\frac{1}{p}+1-M-|\sigma|} \\ & \leq C_2 s^{r+(\tau-1)|\sigma|} |(\eta, \lambda)|^{-|\sigma|-\frac{1}{p}+1-M}. \end{aligned} \quad (2.22)$$

Hence $|s^{r-\frac{1}{q}} D_{\xi'}^\sigma \left(\tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s}, s\eta + s^\tau \xi', s\lambda \right) \right)| \leq C_{\eta, \lambda, M} \langle x_n \rangle^{-M} s^{r-\tau}$. The result now follows easily.

We will next establish the L_p -convergence of the derivative. Let $0 < r < \tau$ and $v \in \mathcal{S}(\mathbb{R}^{n-1})$ with $\text{supp}(\mathcal{F}(v))$ compact. Then, for all $w \in \mathcal{S}(\overline{\mathbb{R}_+})$, we have

$$\begin{aligned} & s^r \left\| R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) - v(x') \int_{\mathbb{R}_+} \tilde{t}_{\left(-\frac{1}{p}\right)}(y, x_n, \eta, \lambda) w(x_n) dx_n \right\|_{H_p^1(\mathbb{R}^{n-1})} \\ & \leq C(s) \|w\|_{L_p(\mathbb{R}_+)}, \end{aligned} \quad (2.23)$$

where $C(s)$ is a constant that depends on s , (y, η, λ) and v but not on w . Moreover, $\lim_{s \rightarrow \infty} C(s) = 0$.

Let us first fix a notation. We denote by $(\partial_{x_j} T)(\lambda)$, $j = 1, \dots, n-1$, the operator:

$$(\partial_{x_j} T)(\lambda)(u)(x') = \int_{\mathbb{R}^{n-1}} e^{ix'\xi'} \int_{\mathbb{R}_+} \partial_{x_j} \tilde{t}(x', x_n, \xi', \lambda) (\mathcal{F}_{x' \rightarrow \xi'} u)(\xi', x_n) dx_n d\xi'.$$

Now, let us first observe that, for $j = 1, \dots, n-1$,

$$\begin{aligned} \partial_{x_j} R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) &= R_s^{-1} T(s\lambda) (R_s (\partial_{x_j} v) \otimes S_s w) \\ &\quad + s^{-\tau} R_s^{-1} (\partial_{x_j} T)(s\lambda) (R_s v \otimes S_s w). \end{aligned} \quad (2.24)$$

Using Eq. (2.17) and the fact that $r < \tau$, we conclude that

$$\begin{aligned} & s^r \left\| R_s^{-1} T(s\lambda) (R_s (\partial_{x_j} v) \otimes S_s w) - \partial_{x_j} v(x') \int_{\mathbb{R}_+} \tilde{t}_{\left(-\frac{1}{p}\right)}(y, x_n, \eta, \lambda) w(x_n) dx_n \right\|_{L_p(\mathbb{R}^{n-1})} \\ & \leq C(s) \|w\|_{L_p(\mathbb{R}_+)} \end{aligned} \quad (2.25)$$

and

$$\begin{aligned}
& s^r \left\| s^{-\tau} R_s^{-1} (\partial_{x_j} T) (s\lambda) (R_s v \otimes S_s w) \right\|_{L_p(\mathbb{R}^{n-1})} \\
& \leq s^{r-\tau} \left\| R_s^{-1} (\partial_{x_j} T) (s\lambda) (R_s v \otimes S_s w) \right. \\
& \quad \left. - v(x') \int_{\mathbb{R}_+} (\partial_{x_j} \tilde{t})_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) w(x_n) dx_n \right\|_{L_p(\mathbb{R}^{n-1})} \\
& \quad + s^{r-\tau} \|v\|_{L_p(\mathbb{R}^{n-1})} \left\| x_n \mapsto (\partial_{x_j} \tilde{t})_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) \right\|_{L_q(\mathbb{R}_+)} \|w\|_{L_p(\mathbb{R}_+)} \\
& \leq C(s) \|w\|_{L_p(\mathbb{R}_+)}.
\end{aligned} \tag{2.26}$$

The expressions (2.24), (2.25) and (2.26) imply that

$$\begin{aligned}
& s^r \left\| \partial_{x_j} \left(R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) - v(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) w(x_n) dx_n \right) \right\|_{L_p(\mathbb{R}^{n-1})} \\
& \leq C(s) \|w\|_{L_p(\mathbb{R}_+)}.
\end{aligned} \tag{2.27}$$

Finally, (2.23) is a consequence of Eqs. (2.27) and (2.17).

We are now in the position to prove item 3. Choose $0 < \theta < \theta + r < \tau$. Then

$$\begin{aligned}
& s^r \left\| T(s\lambda) (R_s v \otimes S_s w) - (R_s v)(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) w(x_n) dx_n \right\|_{B_p^0(\mathbb{R}^{n-1})} \\
& \leq s^r \left\| R_s \left(R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) \right. \right. \\
& \quad \left. \left. - v(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) w(x_n) dx_n \right) \right\|_{B_p^\theta(\mathbb{R}^{n-1})} \\
& \leq C_\theta (1 + s \langle \eta \rangle)^\theta s^r \left\| R_s^{-1} T(s\lambda) (R_s v \otimes S_s w) \right. \\
& \quad \left. - v(x') \int_{\mathbb{R}_+} \tilde{t}_{(-\frac{1}{p})} (y, x_n, \eta, \lambda) w(x_n) dx_n \right\|_{H_p^1(\mathbb{R}^n)} \leq C(s) \|w\|_{L_p(\mathbb{R}_+)}.
\end{aligned}$$

□

We also need to understand the action of the singular Green and trace operators on the operators $R_s = R_s(y, \eta)$ for $(y, \eta) \in \overline{\mathbb{R}_+^n} \times \mathbb{R}^n$. Notice that $(y, \eta) \in \overline{\mathbb{R}_+^n} \times \mathbb{R}^n$ instead of $\mathbb{R}^{n-1} \times \mathbb{R}^{n-1}$ as in the previous proposition.

Proposition 28 *Let $R_s = R_s(y, \eta)$, where $\eta = (\eta', \eta_n) \in \mathbb{R}^{n-1} \times \mathbb{R}$ and $y = (y', 0) \in \mathbb{R}^{n-1} \times \mathbb{R}$. For $u \in C_c^\infty(\mathbb{R}_+^n)$ the following properties hold:*

- (1) (Green) For $\tilde{g} \in S_{cl}^{-1}(\mathbb{R}^{n-1}, \mathcal{S}_{++}, \Lambda)$ define $G(\lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n}) \rightarrow \mathcal{S}(\overline{\mathbb{R}_+^n})$ by Eq. (2.3). Then $\lim_{s \rightarrow \infty} s^r \|G(s\lambda) R_s(e^+ u)\|_{L_p(\mathbb{R}_+^n)} = 0$ for all $r > 0$.
- (2) (Trace) For $\tilde{t} \in S_{cl}^{-\frac{1}{p}}(\mathbb{R}^{n-1}, \mathcal{S}_+, \Lambda)$ define $T(\lambda) : \mathcal{S}(\overline{\mathbb{R}_+^n}) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$ by Eq. (2.2). Then $\lim_{s \rightarrow \infty} s^r \|T(s\lambda) R_s(e^+ u)\|_{B_p^0(\mathbb{R}^{n-1})} = 0$ for all $r > 0$.

Proof The proof is analogous to that of Proposition 27. Let us sketch the proof of (2) as (1) is similar.

Let $\frac{1}{p} + \frac{1}{q} = 1$, $R_s^{-1} := R_s^{-1}(y', \eta') : \mathcal{S}(\mathbb{R}^{n-1}) \rightarrow \mathcal{S}(\mathbb{R}^{n-1})$ and $R_s := R_s(y, \eta) : \mathcal{S}(\mathbb{R}^n) \rightarrow \mathcal{S}(\mathbb{R}^n)$. Using item 6 of Lemma 19 in (x', ξ') and the definition of R_s , we obtain that

$$R_s^{-1} T(s\lambda)(R_s u)(x') = \int_{\mathbb{R}^{n-1}} e^{ix'\xi'} \left(\int_{\mathbb{R}_+} e^{i\tau s^{1-\tau} x_n \eta_n} s^{-\frac{\tau}{q}} \right. \\ \left. \times \tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s^\tau}, s\eta + s^\tau \xi', s\lambda \right) \mathcal{F}_{x' \rightarrow \xi'} u(\xi', x_n) dx_n \right) d\xi'.$$

Now, we note that

$$\left(\frac{x_n}{s^\tau} \right)^N \left| \tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s^\tau}, s\eta + s^\tau \xi', s\lambda \right) \right| \leq C_N \langle s\eta + s^\tau \xi', s\lambda \rangle^{-\frac{1}{p} + 1 - N},$$

On the support of u , we have $x_n \geq R > 0$ for a certain constant $R > 0$. Hence

$$\left| \tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s^\tau}, s\eta + s^\tau \xi', s\lambda \right) \right| \leq C_N \langle s\eta + s^\tau \xi', s\lambda \rangle^{-\frac{1}{p} + 1 - N} s^\tau N R^{-N} \\ \leq C_N s^{\left(\frac{1}{p}-1\right)(\tau-1)+(2\tau-1)N} \langle \eta, \lambda \rangle^{-\frac{1}{p} + 1 - N} \\ \times \langle \xi' \rangle^{N+\frac{1}{p}-1} R^{-N}.$$

As $2\tau - 1 < 0$, we can always choose $N \in \mathbb{N}_0$ so large that, for all $(x', x_n, \xi', \lambda) \in \mathbb{R}^{n-1} \times \mathbb{R}_+ \times \mathbb{R}^{n-1} \times \Lambda$ such that $x_n \geq R$ and for all $r > 0$, we have

$$\lim_{s \rightarrow \infty} s^r \left(s^{-\frac{\tau}{q}} \tilde{t} \left(y + s^{-\tau} x', \frac{x_n}{s^\tau}, s\eta + s^\tau \xi', s\lambda \right) \right) = 0.$$

For large $N \in \mathbb{N}_0$, the dominated convergence theorem implies that

$$\lim_{s \rightarrow \infty} s^r \left(R_s^{-1} T(s\lambda)(R_s u)(x') \right) = 0, \quad r > 0.$$

Now, to finish the proof, we just study L_p and H_p^1 convergence. Using integration by parts in the expression $x'^\gamma R_s^{-1} T(s\lambda)(R_s u)(x')$, we see that we can dominate $R_s^{-1} T(s\lambda)(R_s u)(x')$ by $\langle x' \rangle^{-N}$ for every N . Hence

$$\lim_{s \rightarrow \infty} s^r \left\| R_s^{-1} T(s\lambda)(R_s u) \right\|_{L_p(\mathbb{R}^{n-1})} = 0.$$

If we take derivatives of first order in x' , we find that

$$\lim_{s \rightarrow \infty} s^r \left\| R_s^{-1} T(s\lambda)(R_s u) \right\|_{H_p^1(\mathbb{R}^{n-1})} = 0.$$

The estimate of the norm of R_s on Besov space and the same argument with interpolation of Proposition 27 lead us to the conclusion that

$$\lim_{s \rightarrow \infty} s^r \|T(s\lambda)(R_s u)\|_{B_p^0(\mathbb{R}^{n-1})} = 0.$$

□

Finally, we prove the main Theorem of this sub-section.

Proof (of Theorem 24) Let $A = \begin{pmatrix} P_+ + G & K \\ T & S \end{pmatrix} \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$ and B_1, B_2, K_1 and K_2 be as in Theorem 24, (iii). Write $B_1 = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$ and decompose similarly K_1 .

Next we choose smooth functions Φ, Ψ and H , supported in a trivializing neighborhood U of $x = \pi(z)$, such that Φ equals 1 near x and $\Psi\Phi = \Phi, H\Psi = \Psi$. We denote by $\tilde{P}_+(\lambda), \tilde{G}(\lambda) \in \mathcal{B}(L_p(\mathbb{R}_+^n)^{n_1}, L_p(\mathbb{R}_+^n)^{n_3})$, $\tilde{T}(\lambda) \in \mathcal{B}(L_p(\mathbb{R}_+^n)^{n_1}, B_p^0(\mathbb{R}^{n-1})^{n_4})$, $\tilde{B}_{11}(\lambda) \in \mathcal{B}(L_p(\mathbb{R}_+^n)^{n_3}, L_p(\mathbb{R}^n)^{n_1})$ and $\tilde{B}_{12}(\lambda) \in \mathcal{B}(B_p^0(\mathbb{R}^{n-1})^{n_4}, L_p(\mathbb{R}_+^n)^{n_1})$ the operators $HP_+(\lambda)\Psi, HG(\lambda)\Psi, \Phi B_{11}(\lambda)H, HT(\lambda)\Psi$, and $\Phi B_{12}(\lambda)H$ in local coordinates.

The identity $B_1 A = I + K_1$ implies that

$$\tilde{B}_{11}(\lambda)(\tilde{P}_+(\lambda) + \tilde{G}(\lambda)) + \tilde{B}_{12}(\lambda)\tilde{T}(\lambda) = \tilde{\Phi} + \tilde{K}(\lambda), \quad (2.28)$$

where $\tilde{\Phi}$ is the function Φ in local coordinates and $\tilde{K}(\lambda)$ is the operator which collects the terms arising from the localizations of $\Phi K_{11}(\lambda)H, \Phi B_{11}(\lambda)(1 - H^2)(P_+(\lambda) + G(\lambda))\Psi$ and $\Phi B_{12}(\lambda)(1 - H^2)T(\lambda)\Psi$. As the latter two operators have smooth integral kernels, with seminorms rapidly decreasing with respect to λ , $\tilde{K}(\lambda)$ is compact and its norm tends to zero as $|\lambda| \rightarrow \infty$.

The interior principal symbol In order to prove the invertibility of the interior principal symbol $p_{(0)}(z, \lambda) : \pi_{T^*M \times \Lambda}^*(E_0) \rightarrow \pi_{T^*M \times \Lambda}^*(E_1)$ for $(z, \lambda) \in (T^*M \times \Lambda) \setminus \{0\}$, fix $u = cv \in C_c^\infty(\mathbb{R}_+^n)^{n_1}$, where $c \in \mathbb{C}^{n_1}$ and $0 \neq v \in C_c^\infty(\mathbb{R}_+^n)$. Denote by $(y, \eta) \in \mathbb{R}_+^n \times \mathbb{R}^n$ the point corresponding to z in local coordinates. For $R_s = R_s(y, \eta)$ we note that $R_s(e^+u) \in C_c^\infty(\mathbb{R}_+^n)$, since $\text{supp } R_s(e^+u) \subset \mathbb{R}_+^n$. In particular $\|u\|_{L_p(\mathbb{R}_+^n)^{n_1}} = \|r^+ R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_1}}$. Hence we obtain from (2.28)

$$\begin{aligned} \|u\|_{L_p(\mathbb{R}_+^n)^{n_1}} &\leq \|B_{11}(s\lambda)\|_{\mathcal{B}(L_p(\mathbb{R}_+^n)^{n_3}, L_p(\mathbb{R}_+^n)^{n_1})} \|\tilde{P}(s\lambda)R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_3}} \\ &+ \|(\tilde{B}_{11}\tilde{G} + \tilde{B}_{12}\tilde{T})(s\lambda)R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_1}} + \|\tilde{K}(s\lambda)R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_1}} \\ &+ \|(1 - \tilde{\Phi})R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_1}}. \end{aligned} \quad (2.29)$$

On the right hand side of Eq. (2.29), we estimate

$$\begin{aligned} \|\tilde{P}(s\lambda)R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_3}} &\leq \|\tilde{P}(s\lambda)R_s(e^+u) - p_{(0)}(y, \eta, \lambda)R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_3}} \\ &+ C\|p_{(0)}(y, \eta, \lambda)c\|_{\mathcal{B}(\mathbb{C}^{n_1}, \mathbb{C}^{n_3})}\|v\|_{L_p(\mathbb{R}_+^n)} \end{aligned} \quad (2.30)$$

and note that Corollary 20 implies that

$$\lim_{s \rightarrow \infty} s^r \|\tilde{P}(s\lambda)R_s(e^+u) - p_{(0)}(y, \eta, \lambda)R_s(e^+u)\|_{L_p(\mathbb{R}_+^n)^{n_3}} = 0.$$

We claim that also $\tilde{K}(s\lambda)R_s(e^+u)$ tends to zero: For $\lambda = 0$ we infer this from the fact that $\tilde{K}(0)$ is compact, while $R_s(e^+u)$ weakly tends to zero. For $\lambda \neq 0$ the norm of $\tilde{K}(s\lambda)$ tends to zero as $s \rightarrow \infty$, whereas $R_s(e^+u)$ is bounded. Finally, it is easy to check that $\lim_{s \rightarrow \infty} (1 - \tilde{\Phi})R_s(e^+u) = 0$ in $\mathcal{S}(\mathbb{R}^n)^{n_1}$ and therefore also in $L_p(\mathbb{R}_+^n)^{n_1}$.

If we assume, for an instant, that also the second summand on the right hand side of (2.29) tends to zero as $s \rightarrow \infty$, then, taking s sufficiently large, the boundedness of R_s , Inequality (2.30) and Eq. (2.29) imply together with the assumption that $\|\tilde{B}_{11}(s\lambda)\|_{\mathcal{B}(L_p(\mathbb{R}_+^n)^{n_3}, L_p(\mathbb{R}_+^n)^{n_1})} \leq C \langle \ln(s\lambda) \rangle^M$, that

$$\|c\|_{\mathbb{C}^{n_1}} \|v\|_{L_p(\mathbb{R}_+^n)} = \|u\|_{L_p(\mathbb{R}_+^n)^{n_1}} \leq \tilde{C} \|p_{(0)}(y, \eta, \lambda) c\|_{\mathcal{B}(\mathbb{C}^{n_1}, \mathbb{C}^{n_3})} \|v\|_{L_p(\mathbb{R}_+^n)}.$$

Hence $p_{(0)}(y, \eta, \lambda)$ is injective. The same argument, applied to the adjoint operator, shows the injectivity of $p_{(0)}(y, \eta, \lambda)^*$ and thus the invertibility of $p_{(0)}(y, \eta, \lambda)$. In particular, $n_1 = n_3$. In order to establish the convergence to zero of the second summand in (2.29), we distinguish two cases.

Case 1 $x \notin \partial M$. Then U can be taken as a subset of the interior of M . According to the rules of the calculus, $\tilde{T}(s\lambda)$ and $\tilde{G}(s\lambda)$ are regularizing elements in their respective classes; in particular, they are compact. For $\lambda \neq 0$, their operator norms are rapidly decreasing as $s \rightarrow \infty$. Arguing as for \tilde{K} above, we obtain the assertion from the assumptions on B .

Case 2 $x \in \partial M$ Here, statements (1) and (2) of Proposition 28 assert that, for every $r > 0$, the norms of $s^r \tilde{G}(s\lambda)R_s(e^+u)$ and $s^r \tilde{T}(s\lambda)R_s(e^+u)$ go to zero in the corresponding spaces as $s \rightarrow \infty$. The assertion then follows from the fact that the norm of $B(s\lambda)$ grows at most logarithmically in s by assumption.

The boundary principal symbol We have to show that, for any given $(z, \lambda) \in (T^*\partial M \times \Lambda) \setminus \{0\}$, $\sigma_\partial(A)(z, \lambda)$ is invertible in

$$\text{Hom} \left(\pi_{\partial M}^* \left(\left(E_0|_{\partial M} \otimes \mathcal{S}(\overline{\mathbb{R}_+}) \right) \oplus F_0 \right), \pi_{\partial M}^* \left(\left(E_1|_{\partial M} \otimes \mathcal{S}(\overline{\mathbb{R}_+}) \right) \oplus F_1 \right) \right).$$

Let \tilde{B} and \tilde{A} be the operators $\text{HA}\Psi$ and ΦBH in local coordinates, respectively. Write the principal boundary symbol of \tilde{A} in the form

$$\begin{pmatrix} p_{(0)+}(x', 0, \xi', D_n, \lambda) + g_{(-1)}(x', \xi', D_n, \lambda) & k_{\left(\frac{1}{p}-1\right)}(x', \xi', D_n, \lambda) \\ t_{\left(-\frac{1}{p}\right)}(x', \xi', D_n, \lambda) & s_{(0)}(x', \xi', \lambda) \end{pmatrix} \quad (2.31)$$

and let $(y, \eta) \in \mathbb{R}^{n-1} \times \mathbb{R}^{n-1}$ be the point that corresponds to z in local coordinates.

Fix a function $0 \neq u' \in \mathcal{S}(\mathbb{R}^{n-1})$ with $\text{supp}(\mathcal{F}u') \subset \{\xi; \frac{1}{2} < |\xi| < 1\}$. For $u = (u_1, \dots, u_{n_1}) \in \mathcal{S}(\overline{\mathbb{R}_+})^{n_1}$ and $v = (v_1, \dots, v_{n_3}) \in \mathbb{C}^{n_2}$, not both zero, denote by

$u' \otimes u$ and $u' \otimes v$ the functions $\mathbb{R}_+^n \ni (x', x_n) \mapsto (u'(x') u_1(x_n), \dots, u'(x') u_{n_1}(x_n))$ and $\mathbb{R}^{n-1} \ni x' \mapsto (u'(x') v_1, \dots, u'(x') v_{n_2})$, respectively. According to Lemmas 19, 21 and 22 there are constants such that

$$\begin{aligned} \|u'\|_{L_p(\mathbb{R}^{n-1})} &= \|R_s u'\|_{L_p(\mathbb{R}^{n-1})} \\ &\leq C_1 \|u'\|_{B_p^0(\mathbb{R}^{n-1})} \leq C_2 \|R_s u'\|_{B_p^0(\mathbb{R}^{n-1})} \leq C_3 \|u'\|_{L_p(\mathbb{R}^{n-1})}, \quad s \geq 1. \end{aligned}$$

Writing $\|\cdot\|_{L_p B_p^0}$ for the norm in $L_p(\mathbb{R}_+^n)^{n_1} \oplus B_p^0(\mathbb{R}^{n-1})^{n_2}$, in analogy with Eq. (2.28) conclude from the identity $B_1 A = I + K_1$ that

$$\begin{aligned} \|u'\|_{L_p(\mathbb{R}^{n-1})} &\left\| \begin{pmatrix} u \\ v \end{pmatrix} \right\|_{L_p(\mathbb{R}_+)^{n_1} \oplus \mathbb{C}^{n_2}} \\ &\leq C \left\| \tilde{\Phi} \begin{pmatrix} R_s u' \otimes S_s u \\ R_s u' \otimes v \end{pmatrix} \right\|_{L_p B_p^0} + C \left\| (1 - \tilde{\Phi}) \begin{pmatrix} R_s u' \otimes S_s u \\ R_s u' \otimes v \end{pmatrix} \right\|_{L_p B_p^0} \\ &\leq C \left(\left\| \tilde{B}(s\lambda) \tilde{A}(s\lambda) \begin{pmatrix} R_s \otimes S_s & 0 \\ 0 & R_s \end{pmatrix} \begin{pmatrix} u' \otimes u \\ u' \otimes v \end{pmatrix} - \tilde{B}(s\lambda) \begin{pmatrix} R_s \otimes S_s & 0 \\ 0 & R_s \end{pmatrix} \right. \right. \\ &\quad \times \left. \left. \begin{pmatrix} p_{(0)}(x', 0, \xi', D_n, \lambda) + g_{(-1)}(x', \xi', D_n, \lambda) & k_{\left(\frac{1}{p}-1\right)}(x', \xi', D_n, \lambda) \\ t_{\left(-\frac{1}{p}\right)}(x', \xi', D_n, \lambda) & s_{(0)}(x', \xi', \lambda) \end{pmatrix} \begin{pmatrix} u' \otimes u \\ u' \otimes v \end{pmatrix} \right\|_{L_p B_p^0} \right. \\ &\quad + \left\| \tilde{B}(s\lambda) \begin{pmatrix} R_s \otimes S_s & 0 \\ 0 & R_s \end{pmatrix} \right. \\ &\quad \times \left. \left. \begin{pmatrix} p_{(0)}(x', 0, \xi', D_n, \lambda) + g_{(-1)}(x', \xi', D_n, \lambda) & k_{\left(\frac{1}{p}-1\right)}(x', \xi', D_n, \lambda) \\ t_{\left(-\frac{1}{p}\right)}(x', \xi', D_n, \lambda) & s_{(0)}(x', \xi', \lambda) \end{pmatrix} \begin{pmatrix} u' \otimes u \\ u' \otimes v \end{pmatrix} \right\|_{L_p B_p^0} \right. \\ &\quad + \left\| \tilde{K}(s\lambda) \begin{pmatrix} R_s u' \otimes S_s u \\ R_s u' \otimes v \end{pmatrix} \right\|_{L_p B_p^0} + \left\| (1 - \tilde{\Phi}) \begin{pmatrix} R_s u' \otimes S_s u \\ R_s u' \otimes v \end{pmatrix} \right\|_{L_p B_p^0}. \end{aligned}$$

Let us first consider the case where $\lambda \neq 0$. We infer from Proposition 27 and the fact that the norm of $\tilde{B}(s\lambda)$ is $O((\ln(s\lambda))^M)$ that the first summand on the right hand side is $o(\|(u' \otimes u) \oplus (u' \otimes v)\|)$. The same is true for the third summand, since the norm of $\tilde{K}(s\lambda)$ tends to zero as $s \rightarrow \infty$. The fourth summand tends to zero in $\mathcal{S}(\mathbb{R}_+^n)^{n_1} \oplus \mathcal{S}(\mathbb{R}^{n-1})^{n_2}$, a fortiori in the $L_p B_p^0$ -norm. Taking s sufficiently large, we may achieve that the sum of the first, the third and the fourth summand is $\leq \frac{1}{2}(\|(u' \otimes u) \oplus (u' \otimes v)\|)$. From the boundedness of $\tilde{B}(s\lambda)$, R_s and S_s for this fixed value of s , we conclude that, with norms taken in $L_p(\mathbb{R}_+)^{n_1} \oplus \mathbb{C}^{n_2}$ and $L_p(\mathbb{R}_+)^{n_3} \oplus \mathbb{C}^{n_4}$,

$$\begin{aligned} &\left\| \begin{pmatrix} u \\ v \end{pmatrix} \right\| \\ &\leq C \left\| \begin{pmatrix} p_{(0)}(x', 0, \xi', D_n, \lambda) + g_{(-1)}(x', \xi', D_n, \lambda) & k_{\left(\frac{1}{p}-1\right)}(x', \xi', D_n, \lambda) \\ t_{\left(-\frac{1}{p}\right)}(x', \xi', D_n, \lambda) & s_{(0)}(x', \xi', \lambda) \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} \right\| \end{aligned}$$

In case $\lambda = 0$, we obtain the same conclusion using the compactness of $\tilde{K}(0)$.

Hence the operator from Eq. (2.31) is injective and has closed range. As the same can be said of the adjoint, we conclude from Lemma 23 that the principal boundary symbol is an isomorphism. \square

2.2 The Spectral Invariance of the Parameter-Dependent Boutet de Monvel Algebra

Theorem 29 *Let $A \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$ be a parameter-dependent operator. Suppose that, for each $\lambda \in \Lambda$, the operator*

$$A(\lambda) : L_p(M, E_0) \oplus B_p^0(\partial M, F_0) \rightarrow L_p(M, E_1) \oplus B_p^0(\partial M, F_1)$$

is invertible. If there are constants $C > 0$ and $M \in \mathbb{N}_0$ such that

$$\|A(\lambda)^{-1}\|_{\mathcal{B}(L_p(M, E_1) \oplus B_p^0(\partial M, F_1), L_p(M, E_0) \oplus B_p^0(\partial M, F_0))} \leq C \langle \ln(\lambda) \rangle^M, \lambda \in \Lambda,$$

then $A(\lambda)^{-1} \in \tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^p(M, \Lambda)$.

Proof By Theorem 24 A is parameter-elliptic. Hence we find a parametrix $B \in \tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^p(M, \Lambda)$ and $K_1 \in \mathcal{B}_{E_1, F_1, E_1, F_1}^{-\infty, 0}(M, \Lambda)$ and $K_2 \in \mathcal{B}_{E_0, F_0, E_0, F_0}^{-\infty, 0}(M, \Lambda)$ such that $AB = I + K_1$ and $BA = I + K_2$. We conclude that

$$A(\lambda)^{-1} = B(\lambda) - K_2(\lambda) A(\lambda)^{-1} = B(\lambda) - K_2(\lambda) \left(B(\lambda) - A(\lambda)^{-1} K_1(\lambda) \right).$$

As $K_2 B \in \mathcal{B}_{E_1, F_1, E_0, F_0}^{-\infty, 0}(M, \Lambda)$, $A(\lambda)^{-1}$ grows at most as $\langle \ln(\lambda) \rangle^M$ in λ and $K_j(\lambda)$, $j = 1, 2$, are integral operators with smooth kernels whose derivatives decay rapidly with respect to λ , we see that $K_2 A^{-1} K_1 \in \mathcal{B}_{E_1, F_1, E_0, F_0}^{-\infty, 0}(M, \Lambda)$ and $A^{-1} \in \tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^p(M, \Lambda)$. \square

The above theorem establishes spectral invariance for the $\tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(M, \Lambda)$ calculus. When $\Lambda = \emptyset$, that is, the algebra is independent of parameters, we can use order reducing operators and argue as in the proof of Corollary 50, to prove spectral invariance of the Boutet de Monvel calculus of integer order in the L_p -setting.

In [10, Theorem 1.12] Grubb proved that the inverse of elliptic elements of Boutet de Monvel algebra belongs again to the algebra. This was done for a larger algebra that allows the treatment on some non-compact manifolds. For the class of operators defined here, our result is stronger, as it does not assume ellipticity.

3 Boundary Value Problems on Manifolds with Conical Singularities

In this section, we provide the definitions and results concerning manifolds with boundary and conical singularities that we shall need. Details can be found in [30, 31].

Definition 30 A compact manifold with boundary and conical singularities of dimension n is a triple (D, Σ, \mathcal{F}) formed by:

- (1) A compact Hausdorff topological space D .
- (2) A finite subset $\Sigma \subset D$, which we call conical points, such that $D \setminus \Sigma$ is an n -dimensional smooth manifold with boundary.
- (3) A set of functions $\mathcal{F}_\sigma = \{\varphi : U_\sigma \rightarrow X_\sigma \times [0, 1[/ X_\sigma \times \{0\}, \sigma \in \Sigma\}$ such that:
 - (i) The sets $U_\sigma \subset D$ are open and disjoint sets. Moreover, each U_σ is a neighborhood of $\sigma \in \Sigma$.
 - (ii) X_σ is a compact smooth manifold with boundary for each $\sigma \in \Sigma$.
 - (iii) The function $\varphi_\sigma : U_\sigma \rightarrow X_\sigma \times [0, 1[/ X_\sigma \times \{0\}$ is a homeomorphism, $\varphi_\sigma(\sigma) = X_\sigma \times \{0\} / X_\sigma \times \{0\}$ and $\varphi_\sigma : U_\sigma \setminus \{\sigma\} \rightarrow X_\sigma \times]0, 1[$ is a diffeomorphism.

Remark 31 For each $\sigma \in \Sigma$, we could use a different function $\tilde{\varphi}_\sigma : U_\sigma \rightarrow X_\sigma \times [0, 1[/ X_\sigma \times \{0\}$ with the same properties as in item iii), as long as, for each σ ,

$$\tilde{\varphi}_\sigma \circ \varphi_\sigma^{-1} : X_\sigma \times]0, 1[\rightarrow X_\sigma \times]0, 1[$$

extends to a diffeomorphism $\tilde{\varphi}_\sigma \circ \varphi_\sigma^{-1} : X_\sigma \times]-1, 1[\rightarrow X_\sigma \times]-1, 1[$. These are the changes of variables that we allow to do near the singularities.

For the analysis of the typical (pseudo-) differential boundary value problems on these manifolds, we introduce the Fuchs type boundary value problems on a manifold with corners \mathbb{D} . It is obtained by gluing the sets $X_\sigma \times [0, 1[$ in place of U_σ , using the functions φ_σ . In this way, the singularities are identified with the sets $X_\sigma \times \{0\}$. The above remark ensures that the use of different functions $\tilde{\varphi}_\sigma$ instead of φ_σ leads to diffeomorphic manifolds with corners. In order to avoid unnecessary complications with the notation, we shall consider manifolds with just one point singularity. A neighborhood of the conical point will always be identified with $X \times [0, 1[/ X \times \{0\}$ and a neighborhood of the corner will always be identified with $X \times [0, 1[$, where X is a compact manifold with boundary. For a finite number of singularities the definitions and arguments are analogous.

We will denote by $\text{int}(\mathbb{D})$ the manifold with boundary $\mathbb{D} \setminus (X \times \{0\})$. By $\text{int}(\mathbb{B})$, we denote the boundary of $\text{int}(\mathbb{D})$. In a neighborhood of the singularity, it can be identified with $\partial X \times]0, 1[$. Finally \mathbb{B} is the manifold with boundary given by $\text{int}(\mathbb{B}) \cup (\partial X \times \{0\})$. In particular, in a neighborhood of the singularity, it can be identified with $\partial X \times]0, 1[$. We will also use $2\mathbb{D}$ to denote a manifold with boundary in which \mathbb{D} is embedded. The boundary of $2\mathbb{D}$ is $2\mathbb{B}$, a manifold without boundary.

We divide our presentation into two parts. First we define the classes of functions and distributions and then the operators. The operators acting on a neighborhood of the singularity will be defined as operators on $X \times]0, 1[$. We denote by E_0 and E_1 two vector bundles over \mathbb{D} and by F_0 and F_1 two vector bundles over \mathbb{B} . Let $\pi_X : X \times [0, 1[\rightarrow X$ be the projection operator, then there are vector bundles E'_0 and E'_1 over X such that E_0 and E_1 can be identified with $\pi_X^*(E'_0)$ and $\pi_X^*(E'_1)$, respectively. Similarly, if $\pi_{\partial X} : \partial X \times [0, 1[\rightarrow \partial X$ is the projection operator, then

there are vector bundles F'_0 and F'_1 over ∂X such that F_0 and F_1 can be identified with $\pi_{\partial X}^*(F'_0)$ and $\pi_{\partial X}^*(F'_1)$, respectively. E_0 will denote E_0 and E'_0 and the same will be done for E_1 , F_0 and F_1 . We also denote by $2E_0$, $2F_0$, ... the vector bundles over $2\mathbb{D}$ and $2\mathbb{B}$, whose restriction to \mathbb{D} and \mathbb{B} are E_0 and F_0 .

Finally, a cut-off function $\omega \in C_c^\infty(\overline{\mathbb{R}_+})$ is a smooth nonnegative function that is equal to 1 in a neighborhood of 0 and equal to 0, outside $[0, 1]$.

3.1 Classes of Functions and Distributions

In the following sections, X is a manifold endowed with a Riemannian metric and with boundary ∂X . All vector bundles are assumed to be hermitian. We use the notation $X^\wedge := \mathbb{R}_+ \times X$ and $\partial X^\wedge := \mathbb{R}_+ \times \partial X$ and we will denote by E , E_0 and E_1 vector bundles over X or \mathbb{D} and by F , F_0 and F_1 vector bundles over ∂X or \mathbb{B} . The vector bundles E , E_0 , E_1 , F , F_0 and F_1 will also refer to the pullback bundles in $X \times \mathbb{R}$, X^\wedge , $\partial X \times \mathbb{R}$ and ∂X^\wedge . Finally we denote by $C^\infty(X, E, F)$ the set $C^\infty(X, E) \oplus C^\infty(\partial X, F)$.

Definition 32 Let W be a Fréchet space and $\gamma \in \mathbb{R}$. We define the Fréchet space $\mathcal{T}_\gamma(\mathbb{R}_+, W)$ as the space of all functions $\varphi \in C^\infty(\mathbb{R}_+, W)$ that satisfy

$$\sup \left\{ \langle \ln(t) \rangle^l p \left(t^{\frac{1}{2}-\gamma} (t \partial_t)^k \varphi(t) \right), t \in \mathbb{R}_+ \right\} < \infty,$$

for all $k, l \in \mathbb{N}_0$ and for all continuous seminorms p of W . We write $\mathcal{T}_\gamma(\mathbb{R}_+)$ when $W = \mathbb{C}$.

Definition 33 Let $\omega \in C^\infty([0, 1[)$ be a cut-off function. The space of functions $C_\gamma^\infty(\mathbb{D})$, $\gamma \in \mathbb{R}$, consists of all functions $u \in C^\infty(\text{int}(\mathbb{D}))$ such that $\omega u \in \mathcal{T}_{\gamma - \frac{n}{2}}(X^\wedge)$. Similarly, $C_\gamma^\infty(\mathbb{B})$ are all the functions $u \in C^\infty(\text{int}(\mathbb{B}))$ such that $\omega u \in \mathcal{T}_{\gamma - \frac{n-1}{2}}(\partial X^\wedge)$.

Definition 34 Let $X = \cup_{j=1}^M U_j$ be a cover of X consisting of trivializing sets and $\varphi_j : U_j \subset X \rightarrow V_j \subset \overline{\mathbb{R}_+^n}$ be coordinate charts and $(\psi_j)_{j=1}^M$ be a partition of unity subordinate to U_j , $j = 1, \dots, M$. The space $H_p^s(X \times \mathbb{R}, E)$ is defined as the set of distributions $\mathcal{D}'(\mathbb{R} \times X, E)$ such that $(t, x) \in \mathbb{R} \times \mathbb{R}_+^n \mapsto (\psi_j u)(t, \varphi_j^{-1}(x))$ belong to $H_p^s(\mathbb{R} \times \mathbb{R}_+^n, \mathbb{C}^N)$, where N is the dimension of E , with norm given by:

$$\|u\|_{H_p^s(X \times \mathbb{R}, E)} = \sum_{j=1}^M \left\| (\psi_j u)(t, \varphi_j^{-1}(x)) \right\|_{H_p^s(\mathbb{R} \times \mathbb{R}_+^n, \mathbb{C}^N)}.$$

The space $\mathcal{H}_p^{s, \gamma}(X^\wedge, E)$ is the space of all distributions $u \in \mathcal{D}'(X^\wedge, E)$ such that $u(t, x) = t^{-\frac{n+1}{2} + \gamma} v(\ln(t), x)$, where $v \in H_p^s(X \times \mathbb{R}, E)$. Its norm is given by $\|u\|_{\mathcal{H}_p^{s, \gamma}(X^\wedge, E)} := \|v\|_{H_p^s(X \times \mathbb{R}, E)}$.

Similarly, using the space $B_p^s(\mathbb{R}^n, \mathbb{C}^N)$ instead of $H_p^s(\mathbb{R} \times \mathbb{R}_+^n, \mathbb{C}^N)$, we define the space $B_p^s(\partial X \times \mathbb{R}, F)$, where N is the dimension of F . Associated to it is the space $\mathcal{B}_p^{s,\gamma}(\partial X^\wedge, F)$ of all distributions $u \in \mathcal{D}'(\partial X^\wedge, F)$ such that $u(t, x) = t^{-\frac{n}{2}+\gamma} v(\ln(t), x)$, where $v \in B_p^s(\partial X \times \mathbb{R}, F)$. Its norm is given by $\|u\|_{\mathcal{B}_p^{s,\gamma}(\partial X^\wedge, F)} := \|v\|_{B_p^s(\partial X \times \mathbb{R}, F)}$.

Remark 35 The above definition implies $u \mapsto \|t \partial_t u\|_{L_p(X^\wedge, E, dx \frac{dt}{t})} + \|u\|_{L_p(\mathbb{R}_+, H_p^1(X, E), \frac{dt}{t})}$ is an equivalent norm for $\mathcal{H}_p^{1, \frac{n+1}{2}}(X^\wedge, E)$.

Finally, we need Bessel and Besov spaces with asymptotics. First let us define asymptotic types.

Definition 36 We say that $P = \{(p_j, m_j, L_j); j \in \{1, \dots, M\}\}$ is an asymptotic type for $C^\infty(X, E)$ with weight $(\gamma, k) \in \mathbb{R} \times \mathbb{N}_0$ if $p_j \in \mathbb{C}$, $\frac{n+1}{2} - \gamma - k < \operatorname{Re}(p_j) < \frac{n+1}{2} - \gamma$, are distinct numbers, $m_j \in \mathbb{N}_0$ and $L_j \subset C^\infty(X, E)$ are finite dimensional spaces. The set of all asymptotic types is denoted by $As(X, E, \gamma, k)$. Similarly, we say that $Q = \{(p_j, m_j, L_j); j \in \{1, \dots, M\}\}$ is an asymptotic type for $C^\infty(\partial X, F)$ with weight $(\gamma, k) \in \mathbb{R} \times \mathbb{N}_0$ and write $Q \in As(\partial X, F, \gamma, k)$, if $p_j \in \mathbb{C}$, $\frac{n}{2} - \gamma - k < \operatorname{Re}(p_j) < \frac{n}{2} - \gamma$, are distinct numbers, $m_j \in \mathbb{N}_0$ and $L_j \subset C^\infty(\partial X, F)$ are finite dimensional spaces.

Definition 37 The Bessel potential and Besov space with asymptotics, respectively, are defined as follows:

(1) Let $P = \{(p_j, m_j, L_j); j \in \{1, \dots, M\}\} \in As(X, E, \gamma, k)$. We define

$$\mathcal{H}_{p,P}^{s,\gamma}(\mathbb{D}, E) = \cap_{\epsilon > 0} \mathcal{H}_p^{s,\gamma+k-\epsilon}(\mathbb{D}, E) \oplus \mathcal{E}_P(X),$$

where $\mathcal{E}_P := \left\{ X^\wedge \ni (t, x) \mapsto \omega(t) \sum_{j=1}^M \sum_{k=0}^{m_j} t^{-p_j} \ln^k(t) v_{jk}(x), v_{jk} \in L_j \right\}$.

(2) Let $\tilde{P} = \{(\tilde{p}_j, \tilde{m}_j, \tilde{L}_j); j \in \{1, \dots, M\}\} \in As(\partial X, F, \gamma, k)$. We define

$$\mathcal{B}_{p,\tilde{P}}^{s,\gamma}(\mathbb{B}, F) = \cap_{\epsilon > 0} \mathcal{B}_p^{s,\gamma+k-\epsilon}(\mathbb{B}, F) \oplus \mathcal{E}_{\tilde{P}}(\partial X),$$

where $\mathcal{E}_{\tilde{P}} := \left\{ \partial X^\wedge \ni (t, x) \mapsto \omega(t) \sum_{j=1}^M \sum_{k=0}^{\tilde{m}_j} t^{-\tilde{p}_j} \ln^k(t) v_{jk}(x), v_{jk} \in \tilde{L}_j \right\}$ and ω is a cut-off function.

Remark 38 (1) The scalar product of $L_2(\partial X^\wedge, F, dx \frac{dt}{t})$ allows the identification $\mathcal{B}_p^{s, \frac{n}{2}}(\partial X^\wedge, F)' \cong \mathcal{B}_q^{-s, \frac{n}{2}}(\partial X^\wedge, F)$, $\frac{1}{p} + \frac{1}{q} = 1$. As $\mathcal{B}_p^{s,\gamma}(\partial X^\wedge, F) = t^{\gamma - \frac{n}{2}} \mathcal{B}_p^{s, \frac{n}{2}}(\partial X^\wedge, F)$ and $\mathcal{B}_q^{-s, -\gamma}(\partial X^\wedge, F) = t^{-\gamma - \frac{n}{2}} \mathcal{B}_q^{-s, \frac{n}{2}}(\partial X^\wedge, F)$, we conclude that $\mathcal{B}_p^{s,\gamma}(\partial X^\wedge, F)' \cong \mathcal{B}_q^{-s, -\gamma}(\partial X^\wedge, F)$, if we use the scalar product of $L_2(\partial X^\wedge, F, t^{n-1} dt dx)$.

(2) In the same way, $\mathcal{H}_p^{s, \frac{n+1}{2}}(X^\wedge, E)' \cong \mathcal{H}_q^{-s, \frac{n+1}{2}}(X^\wedge, E)$, if we use the scalar product of $L_2(X^\wedge, E, dx \frac{dt}{t})$, and $\mathcal{H}_p^{s, \gamma}(X^\wedge, E)' \cong \mathcal{H}_q^{-s, -\gamma}(X^\wedge, E)$, if we use that of $L_2(X^\wedge, E, t^n dt dx)$.

Definition 39 Let $s, \gamma \in \mathbb{R}$ and $1 < p < \infty$.

(1) We define $\mathcal{H}_p^{s, \gamma}(\mathbb{D}, E)$ as the space of all distributions $u \in H_{p, \text{loc}}^s(\text{int}(\mathbb{D}), E)$ such that, for any cut-off function ω , here considered as a function on \mathbb{D} , we have $\omega u \in \mathcal{H}_p^{s, \gamma}(X^\wedge, E)$. Its norm is given by

$$\|u\|_{\mathcal{H}_p^{s, \gamma}(\mathbb{D}, E)} := \|\omega u\|_{\mathcal{H}_p^{s, \gamma}(X^\wedge, E)} + \|(1 - \omega)u\|_{H_{p, \text{loc}}^s(\text{int}(\mathbb{D}), E)}.$$

(2) Similarly, we obtain $\mathcal{B}_p^{s, \gamma}(\mathbb{B}, F)$ from $\mathcal{B}_p^{s, \gamma}(\partial X^\wedge, F)$ and $B_{p, \text{loc}}^s(\text{int}(\mathbb{B}), F)$.

3.2 Classes of Operators

We are going to use the natural identification

$$\mathcal{T}_\gamma(\mathbb{R}_+, C^\infty(X, E, F)) \cong \mathcal{T}_\gamma(\mathbb{R}_+, C^\infty(X, E)) \oplus \mathcal{T}_\gamma(\mathbb{R}_+, C^\infty(\partial X, F))$$

and write $\Gamma_\sigma := \{z \in \mathbb{C}; \operatorname{Re}(z) = \sigma\}$. The latter set will be obviously identified with \mathbb{R} , when it is convenient to do so.

Definition 40 The weighted Mellin transform is the continuous linear operator $\mathcal{M}_\gamma : \mathcal{T}_\gamma(\mathbb{R}_+, C^\infty(X, E, F)) \rightarrow \mathcal{S}(\Gamma_{\frac{1}{2}-\gamma}, C^\infty(X, E, F))$ defined by

$$\mathcal{M}_\gamma \varphi(z) = \int_0^\infty t^z \varphi(t) \frac{dt}{t}, \quad z \in \Gamma_{\frac{1}{2}-\gamma}.$$

It is an invertible operator, whose inverse is given by

$$\mathcal{M}_\gamma^{-1} \varphi(t) = \frac{1}{2\pi i} \int_{\Gamma_{\frac{1}{2}-\gamma}} t^{-z} \varphi(z) dz = \frac{1}{2\pi} \int t^{-\left(\frac{1}{2}-\gamma+i\tau\right)} \varphi\left(\frac{1}{2}-\gamma+i\tau\right) d\tau.$$

Definition 41 For $m \in \mathbb{Z}$ and $d \in \mathbb{N}_0$, $M\mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X, \mathbb{R}_+; \Gamma_\gamma)$ is the space of all functions $h \in C^\infty(\mathbb{R}_+, \mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X, \Gamma_\gamma))$ that satisfy

$$\sup \left\{ p \left((t \partial_t)^k h(t) \right), t \in \mathbb{R}_+ \right\} < \infty,$$

for all continuous seminorms p of $\mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X, \Gamma_\gamma)$. In a similar way we define $M\tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(X, \mathbb{R}_+; \Gamma_\gamma)$.

To a function in $M\mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X, \mathbb{R}_+; \Gamma_\gamma)$ or $M\tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(X, \mathbb{R}_+; \Gamma_\gamma)$ we associate the Mellin operator

$$op_M^\gamma(h) : \mathcal{T}_\gamma(\mathbb{R}_+, C^\infty(X, E_0, F_0)) \rightarrow \mathcal{T}_\gamma(\mathbb{R}_+, C^\infty(X, E_1, F_1))$$

by

$$[op_M^\gamma(h)\varphi](t) = \frac{1}{2\pi} \int_{\mathbb{R}} t^{-\left(\frac{1}{2}-\gamma+i\tau\right)} h\left(t, \frac{1}{2}-\gamma+i\tau\right) (\mathcal{M}_\gamma\varphi)\left(\frac{1}{2}-\gamma+i\tau\right) d\tau.$$

We also need to define the discrete Mellin asymptotic types:

Definition 42 A discrete Mellin asymptotic type of order $d \in \mathbb{N}_0$ is a set

$$P = \{(p_j, m_j, L_j)\}_{j \in \mathbb{Z}},$$

where $p_j \in \mathbb{C}$ satisfy $\operatorname{Re}(p_j) \rightarrow \pm\infty$ as $j \rightarrow \mp\infty$, $m_j \in \mathbb{N}_0$ and L_j are finite-dimensional subspaces of operators of finite rank in $\mathcal{B}_{E_0, F_0, E_1, F_1}^{-\infty, d}(X)$. The collection of all these asymptotic types is denoted by $\operatorname{As}(\mathcal{B}_{E_0, F_0, E_1, F_1}^{-\infty, d}(X))$. Moreover, we let $\pi_{\mathbb{C}} P := \{p_j : j \in \mathbb{Z}\} \subset \mathbb{C}$.

The asymptotic types are used to define the following meromorphic functions.

Definition 43 The space $M_P^{m, d}(X)$, $P \in \operatorname{As}(\mathcal{B}_{E_0, F_0, E_1, F_1}^{-\infty, d}(X))$, is the space of all meromorphic functions $a : \mathbb{C} \setminus \pi_{\mathbb{C}} P \rightarrow \mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X)$ such that:

(i) For every $p_j \in \pi_{\mathbb{C}} P$, there is a neighborhood of p_j where a can be written as

$$a(z) = \sum_{k=0}^{m_j} v_{jk} (z - p_j)^{-k-1} + a_0(z).$$

Above, a_0 is a holomorphic function near p_j , with values in $\mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X)$ and $v_{jk} \in L_j$, for $k = 0, \dots, m_j$.

(ii) For every $N \in \mathbb{N}_0$, the function $\gamma \in [-N, N] \mapsto a_N(\gamma + i \cdot) \in \mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X, \mathbb{R})$ is continuous, where

$$a_N(z) := a(z) - \sum_{|Re(p_j)| \leq N} \sum_{k=0}^{m_j} v_{jk} (z - p_j)^{-k-1}.$$

For $P \in \operatorname{As}(\mathcal{B}_{E_0, F_0, E_1, F_1}^{-\infty, 0}(X))$, we can also define $\tilde{M}_P^p(X)$ replacing $\mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(X)$ by $\tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(X)$. When $P = \emptyset$, we also use the notations $M_{\mathcal{O} E_0, F_0, E_1, F_1}^{m, d}(X)$ and $\tilde{M}_{\mathcal{O} E_0, F_0, E_1, F_1}^p(X)$.

The last operator that we need are the Green ones.

Definition 44 We define $C_{G E_0, F_0, E_1, F_1}^d(\mathbb{D}; \gamma, \gamma', k)$ as the space of operators of the form

$$\mathcal{G} = \sum_{j=0}^d \mathcal{G}_j \begin{pmatrix} D^j & 0 \\ 0 & 1 \end{pmatrix} + \mathcal{G}_0,$$

where, for each \mathcal{G}_j , there exist asymptotic types $P \in \text{As}(X, E_1, \gamma', k)$ and $P' \in \text{As}(X, E_0, -\gamma, k)$, $Q \in \text{As}(\partial X, F_1, \gamma' - \frac{1}{2}, k)$ and $Q' \in \text{As}(\partial X, F_0, -\gamma - \frac{1}{2}, k)$, such that \mathcal{G}_j and its formal adjoint with respect to $\mathcal{H}_2^{0,0}(\mathbb{D}, E_j) \oplus \mathcal{B}_2^{-\frac{1}{2}, -\frac{1}{2}}(\mathbb{B}, F_j)$, $j = 0, 1$, define continuous operators:

$$\mathcal{G}_j : \begin{array}{c} \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) \\ \oplus \\ \mathcal{B}_p^{r, \gamma - \frac{1}{2}}(\mathbb{B}, F_0) \end{array} \rightarrow \begin{array}{c} \mathcal{H}_{p, P}^{\infty, \gamma'}(\mathbb{D}, E_1) \\ \oplus \\ \mathcal{B}_{p, Q}^{\infty, \gamma' - \frac{1}{2}}(\mathbb{B}, F_1) \end{array}, \mathcal{G}_j^* : \begin{array}{c} \mathcal{H}_q^{s, -\gamma'}(\mathbb{D}, E_1) \\ \oplus \\ \mathcal{B}_q^{r, -\gamma' - \frac{1}{2}}(\mathbb{B}, F_1) \end{array} \rightarrow \begin{array}{c} \mathcal{H}_{q, P'}^{\infty, -\gamma}(\mathbb{D}, E_0) \\ \oplus \\ \mathcal{B}_{q, Q'}^{\infty, -\gamma - \frac{1}{2}}(\mathbb{B}, F_0) \end{array}$$

for all $r \in \mathbb{R}$, $s > -1 + \frac{1}{p}$ on the left hand side and $s > -1 + \frac{1}{q}$ on the right hand side. Near the boundary \mathbb{B} of \mathbb{D} , the operators D^j coincide with $(-i \partial_\nu)^j$ where ∂_ν is the normal derivative.

Similarly, $\tilde{C}_{G E_0, F_0, E_1, F_1}^p(\mathbb{D}; k)$ denotes the space of all operators \mathcal{G} for which there exist asymptotic types $P \in \text{As}(X, E_1, \frac{n+1}{2}, k)$, $P' \in \text{As}(X, E_0, \frac{n+1}{2}, k)$, $Q \in \text{As}(\partial X, F_1, \frac{n}{2}, k)$ and $Q' \in \text{As}(\partial X, F_0, \frac{n}{2}, k)$, such that \mathcal{G} and its formal adjoint \mathcal{G}^* with respect to $\mathcal{H}_2^{0, \frac{n+1}{2}}(\mathbb{D}, E_j) \oplus \mathcal{B}_2^{0, \frac{n}{2}}(\mathbb{B}, F_j)$, $j = 0, 1$, define continuous operators:

$$\mathcal{G}_j : \begin{array}{c} \mathcal{H}_p^{s, \frac{n+1}{2}}(\mathbb{D}, E_0) \\ \oplus \\ \mathcal{B}_p^{r, \frac{n}{2}}(\mathbb{B}, F_0) \end{array} \rightarrow \begin{array}{c} \mathcal{H}_{p, P}^{\infty, \frac{n+1}{2}}(\mathbb{D}, E_1) \\ \oplus \\ \mathcal{B}_{p, Q}^{\infty, \frac{n}{2}}(\mathbb{B}, F_1) \end{array}, \mathcal{G}_j^* : \begin{array}{c} \mathcal{H}_q^{s, \frac{n+1}{2}}(\mathbb{D}, E_1) \\ \oplus \\ \mathcal{B}_q^{r, \frac{n}{2}}(\mathbb{B}, F_1) \end{array} \rightarrow \begin{array}{c} \mathcal{H}_{q, P'}^{\infty, \frac{n+1}{2}}(\mathbb{D}, E_0) \\ \oplus \\ \mathcal{B}_{q, Q'}^{\infty, \frac{n}{2}}(\mathbb{B}, F_0) \end{array}$$

for all $r \in \mathbb{R}$, $s > -1 + \frac{1}{p}$ on the left hand side and $s > -1 + \frac{1}{q}$ on the right hand side.

It is an immediate consequence of the embedding properties for cone Sobolev spaces that, for $r \in \mathbb{R}$, $s > d + 1/p - 1$ and arbitrary $r', s' \in \mathbb{R}$, an operator

$$C_{G E_0, F_0, E_1, F_1}^d(\mathbb{D}; \gamma, \gamma', k) \ni \mathcal{G} : \begin{array}{c} \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) \\ \oplus \\ \mathcal{B}_p^{r, \gamma - \frac{1}{2}}(\mathbb{B}, F_0) \end{array} \rightarrow \begin{array}{c} \mathcal{H}_p^{s', \gamma'}(\mathbb{D}, E_1) \\ \oplus \\ \mathcal{B}_p^{r', \gamma' - \frac{1}{2}}(\mathbb{B}, F_1) \end{array}$$

is compact. An analogous statement applies to operators in $\tilde{C}_{G E_0, F_0, E_1, F_1}^p(\mathbb{D}; k)$.

Finally, we can define the cone algebra for boundary value problems.

Definition 45 For $\gamma \in \mathbb{R}$, $m \in \mathbb{Z}$, $d \in \mathbb{N}_0$ and $k \in \mathbb{N}_0$ we define the space $C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, (\gamma, \gamma - m, k))$ of all operators $A : C_\gamma^\infty(\mathbb{D}, E_0) \oplus C_{\gamma - \frac{1}{2}}^\infty(\mathbb{B}, F_0) \rightarrow C_{\gamma - m}^\infty(\mathbb{D}, E_1) \oplus C_{\gamma - m - \frac{1}{2}}^\infty(\mathbb{B}, F_1)$ of the form

$$A = \omega_0 A_M \omega_1 + (1 - \omega_2) A_\psi (1 - \omega_3) + M + G, \quad (3.1)$$

where $\omega_1, \dots, \omega_4 \in C^\infty[0, 1[$ are cut-off functions. The operator A_M is a Mellin operator: $A_M = t^{-m} op_M^{\gamma - \frac{n}{2}}(h)$, with $h \in C^\infty(\overline{\mathbb{R}_+}, M_{E_0, F_0, E_1, F_1}^{m, d}(X))$. The operator A_ψ is a Boutet de Monvel operator $A_\psi \in \mathcal{B}_{2E_0, 2F_0, 2E_1, 2F_1}^{m, d}(2\mathbb{D})$. The operator M is a smoothing Mellin operator: $M = \omega_0 \left(\sum_{l=0}^{k-1} t^{-m+l} op_M^{\gamma - \frac{n}{2}}(h_l) \right) \omega_1$ with $h_l \in M_{E_0, F_0, E_1, F_1}^{-\infty, d}(X)$, $\pi_{\mathbb{C}} P_l \cap \Gamma_{\frac{n+1}{2} - \gamma_l} = \emptyset$, and $\gamma - l \leq \gamma_l \leq \gamma$. The operator G is a Green operator: $G \in C_G^d(E_0, F_0, E_1, F_1)(\mathbb{D}; \gamma, \gamma - m, k)$.

Similarly, the algebra $\tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$ is defined as the space of all continuous operators $A : C_{\frac{n+1}{2}}^\infty(\mathbb{D}, E_0) \oplus C_{\frac{n}{2}}^\infty(\mathbb{B}, F_0) \rightarrow C_{\frac{n+1}{2}}^\infty(\mathbb{D}, E_1) \oplus C_{\frac{n}{2}}^\infty(\mathbb{B}, F_1)$ of the form (3.1), where $A_M = op_M^{\frac{1}{2}}(h)$, with $h \in C^\infty(\overline{\mathbb{R}_+}, \tilde{M}_{E_0, F_0, E_1, F_1}^p(X))$, $A_\psi \in \tilde{\mathcal{B}}_{2E_0, 2F_0, 2E_1, 2F_1}^p(2\mathbb{D})$, $M = \omega_0 \left(\sum_{l=0}^{k-1} t^l op_M^{\gamma - \frac{n}{2}}(h_l) \right) \omega_1$ with $h_l \in M_{E_0, F_0, E_1, F_1}^{-\infty, 0}(X)$, $\pi_{\mathbb{C}} P_l \cap \Gamma_{\frac{n+1}{2} - \gamma_l} = \emptyset$, and $\frac{n+1}{2} - l \leq \gamma_l \leq \frac{n+1}{2}$, and $G \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$.

Definition 46 (Ellipticity) Using the notation of Definition 45, we say that $A \in C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, (\gamma, \gamma - m, k))$, $d \leq \max\{0, m\}$, is elliptic if:

- (1) Outside the singularity $X \times \{0\}$, A is an elliptic Boutet de Monvel operator in $\mathcal{B}_{E_0, F_0, E_1, F_1}^{m, d}(\text{int}(\mathbb{D}))$: Its interior symbol and boundary symbol are invertible at each point.
- (2) Its conormal symbol $\sigma_M(A)(z) := h(0, z) + h_0(z) : H_p^s(X, E_0) \oplus B_p^{s - \frac{1}{p}}(X, F_0) \rightarrow H_p^{s-m}(X, E_1) \oplus B_p^{s - \frac{1}{p} - m}(X, F_1)$, $s > d - 1 + \frac{1}{p}$, is invertible for each $z \in \Gamma_{\frac{n+1}{2} - \gamma}$ and its inverse belongs to $B_{E_1, F_1, E_0, F_0}^{-m, d'}(X, \Gamma_{\frac{n+1}{2} - \gamma})$, $d' = \max\{-m, 0\}$.

Similarly, we say that $A \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$ is an elliptic operator if, outside the singularity $X \times \{0\}$, A is an elliptic operator in $\tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(\text{int}(\mathbb{D}))$ and its conormal symbol $\sigma_M(A)(z) := h(0, z) + h_0(z) : H_p^s(X, E_0) \oplus B_p^s(X, F_0) \rightarrow H_p^s(X, E_1) \oplus B_p^s(X, F_1)$ is invertible for each $z \in \Gamma_{\frac{n+1}{2}}$, $s > d - 1 + \frac{1}{p}$, and its inverse belongs to $\tilde{B}_{E_1, F_1, E_0, F_0}^p(X, \Gamma_{\frac{n+1}{2}})$.

Remark 47 Definition 46 follows [31]. Instead one might ask that

- (1) The principal pseudodifferential symbol $\sigma_\psi(A)$ is invertible on $T^*(\text{int } \mathbb{D}) \setminus \{0\}$ and, in local coordinates (t, x, τ, ξ) for the cotangent space in a collar neighborhood of the conical point, $t^m \sigma_\psi(A)(t, x, \tau/t, \xi)$ is smoothly invertible up to $t = 0$.

(2) The boundary principal symbol $\sigma_\partial(A)$ is invertible on $T^*(\text{int } \mathbb{B}) \setminus \{0\}$ and, in local coordinates (t, y, τ, η) for the cotangent space in a collar neighborhood of the conical point, $t^m \sigma_\partial(A)(t, y, \tau/t, \eta)$ is smoothly invertible up to $t = 0$.
(3) The conormal symbol is pointwise invertible.

See [14, Section 6.2.1] for details.

Proposition 48 *The operators in $C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, (\gamma, \gamma - m, k))$ and $\tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$ have the following properties:*

(1) *If $B \in C_{E_0, F_0, E_1, F_1}^{m_0, d_0}(\mathbb{D}, (\gamma, \gamma - m_0, k))$ and $A \in C_{E_1, F_1, E_2, F_2}^{m_1, d_1}(\mathbb{D}, (\gamma - m_0, \gamma - m_2, k))$ then $AB \in C_{E_0, F_0, E_2, F_2}^{m_2, d_2}(\mathbb{D}, (\gamma, \gamma - m_2, k))$, where $m_2 := m_0 + m_1$ and $d_2 := \max\{m_0 + d_1, d_0\}$. If $B \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$ and $A \in \tilde{C}_{E_1, F_1, E_2, F_2}^p(\mathbb{D}, k)$, then $AB \in \tilde{C}_{E_0, F_0, E_2, F_2}^p(\mathbb{D}, k)$.*
(2) *If $A \in C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, (\gamma, \gamma - m, k))$, then A extends to a continuous operator:*

$$A : \begin{array}{ccc} \bigoplus_{\mathcal{B}_p^{s-\frac{1}{p}, \gamma-\frac{1}{2}}(\mathbb{B}, F_0)} & \rightarrow & \bigoplus_{\mathcal{B}_p^{s-m-\frac{1}{p}, \gamma-m-\frac{1}{2}}(\mathbb{B}, F_1)} \\ \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) & & \mathcal{H}_p^{s-m, \gamma-m}(\mathbb{D}, E_1) \end{array}, \quad s > d - 1 + \frac{1}{p}.$$

If $A \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$, then A extends to a continuous operator:

$$A : \begin{array}{ccc} \bigoplus_{\mathcal{B}_p^{s, \frac{n+1}{2}}(\mathbb{B}, F_0)} & \rightarrow & \bigoplus_{\mathcal{B}_p^{s, \frac{n+1}{2}}(\mathbb{B}, F_1)} \\ \mathcal{H}_p^{s, \frac{n+1}{2}}(\mathbb{D}, E_0) & & \mathcal{H}_p^{s, \frac{n+1}{2}}(\mathbb{D}, E_1) \end{array}, \quad s > -1 + \frac{1}{p}.$$

(3) *If $A \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$, then its formal adjoint with respect to the inner product in $\mathcal{H}_2^{0, \frac{n+1}{2}}(\mathbb{D}, E_j) \oplus \mathcal{B}_2^{0, \frac{n}{2}}(\mathbb{B}, F_j)$ belongs to $\tilde{C}_{E_1, F_1, E_0, F_0}^q(\mathbb{D}, k)$, for $\frac{1}{p} + \frac{1}{q} = 1$. If A is elliptic, so is its adjoint.*
(4) *If $A \in C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, (\gamma, \gamma - m, k))$ is elliptic, $d := \max\{m, 0\}$, then there is an operator $B \in C_{E_1, F_1, E_0, F_0}^{-m, d'}(\mathbb{D}, (\gamma - m, \gamma, k))$, $d' := \max\{-m, 0\}$, such that*

$$\begin{aligned} BA - I &\in C_{G E_0, F_0, E_0, F_0}^d(\mathbb{D}, (\gamma, \gamma, k)); \\ AB - I &\in C_{G E_1, F_1, E_1, F_1}^{d'}(\mathbb{D}, (\gamma - m, \gamma - m, k)). \end{aligned}$$

Similarly, if $\tilde{A} \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$ is elliptic, then there is an operator $\tilde{B} \in \tilde{C}_{E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$, such that

$$\tilde{B}\tilde{A} - I \in \tilde{C}_{G E_0, F_0, E_0, F_0}^p(\mathbb{D}, k) \quad \text{and} \quad \tilde{A}\tilde{B} - I \in \tilde{C}_{G E_1, F_1, E_1, F_1}^p(\mathbb{D}, k).$$

In particular, A and \tilde{A} are then Fredholm operators.

(5) (Existence of order reducing operators) *For $m \in \mathbb{Z}$, $m' \in \mathbb{R}$ and $\gamma \in \mathbb{R}$, there are elliptic operators $A \in C_{E_0, E_0}^{m, 0}(\mathbb{D}, (\gamma, \gamma - m, k))$ and $B \in C_{F_0, F_0}^{m', 0}(\mathbb{B}, (\gamma - \frac{1}{2}, \gamma - m' - \frac{1}{2}, k))$, such that $A : \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) \rightarrow \mathcal{H}_p^{s-m, \gamma-m}(\mathbb{D}, E_0)$ and $B : \mathcal{B}_p^{s-\frac{1}{p}, \gamma-\frac{1}{2}}(\mathbb{B}, F_0) \rightarrow \mathcal{B}_p^{s-m'-\frac{1}{p}, \gamma-m'-\frac{1}{2}}(\mathbb{B}, F_0)$ are invertible for all $s > -1 + \frac{1}{p}$, see [14, Section 6.4].*

3.3 The Equivalence Between the Fredholm Property and the Ellipticity

Theorem 49 *Let $A \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$. Then the following conditions are equivalent:*

(i) *A is elliptic.*

$$\begin{array}{ccc} \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_0) & \xrightarrow{\quad} & \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_1) \\ \text{(ii)} \quad A : \quad \bigoplus & \rightarrow & \bigoplus \\ \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_0) & & \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_1) \end{array} \quad \text{is Fredholm.}$$

That (i) implies (ii) follows from the existence of a parametrix of an elliptic operator, as it is stated in item (4) of Proposition 48. It remains to prove that (ii) implies (i). If A is Fredholm, then condition (1) of Definition 46 holds by Theorem 24. In fact, the proof of Theorem 24 is local, so it applies in this context. In the next two subsections, we will show that condition (2) of Definition 46 holds. We rely on the arguments in [28, Section 3.1]; however, the Besov space estimates need more attention. Before, however, we note the following consequence.

Corollary 50 *For $A \in C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, \gamma, \gamma - m, k)$, $m \in \mathbb{Z}$, $d \leq \max\{m, 0\}$, $p \in]1, \infty[$, $s \in \mathbb{Z}$, $s \geq d$, the following are equivalent:*

(i) *A is elliptic.*

(ii)

$$A : \begin{array}{ccc} \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) & \xrightarrow{\quad} & \mathcal{H}_p^{s-m, \gamma-m}(\mathbb{D}, E_1) \\ \bigoplus & & \bigoplus \\ \mathcal{B}_p^{s-\frac{1}{p}, \gamma-\frac{1}{2}}(\mathbb{B}, F_0) & \rightarrow & \mathcal{B}_p^{s-m-\frac{1}{p}, \gamma-m-\frac{1}{2}}(\mathbb{B}, F_1) \end{array} \quad \text{is Fredholm.} \quad (3.2)$$

In particular, the Fredholm property is independent of p and s , subject to the condition $s \in \mathbb{Z}$, $s \geq d$. The same is then true for the kernel and the index.

Proof According to item (4) of Proposition 48, ellipticity implies the Fredholm property. In order to see the converse, we note that, by item 5 of Proposition 48, we find operators $P^{-s} \in t^{-s} C_{E_0, E_0}^{-s, 0}(\mathbb{D}, \frac{n+1}{2}, \frac{n+1}{2} + s, k)$ and $Q^{s-m} \in t^{s-m} C_{E_1, E_1}^{s-m, 0}(\mathbb{D}, \frac{n+1}{2}, \frac{n+1}{2} - s + m, k)$ defined on \mathbb{D} , $\tilde{P}^{-s+1/p} \in t^{-s+1/p} C_{F_0, F_0}^{-s+1/p}(\mathbb{B}, \frac{n}{2}, \frac{n}{2} + s - \frac{1}{p}, k)$ and $\tilde{Q}^{s-m+1/p} \in t^{s-m+1/p} C_{F_1, F_1}^{s-m+1/p}(\mathbb{B}, \frac{n}{2}, \frac{n}{2} - s + m - \frac{1}{p}, k)$, defined on \mathbb{B} , such that $P^{-s} : \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_0) \rightarrow \mathcal{H}_p^{s, \frac{n+1}{2}}(\mathbb{D}, E_0)$, $\tilde{P}^{-s+\frac{1}{p}} : \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_0) \rightarrow$

$\mathcal{B}_p^{s-\frac{1}{p}, \frac{n}{2}}(\mathbb{B}, F_0)$, $Q^{s-m} : \mathcal{H}_p^{s-m, \frac{n+1}{2}}(\mathbb{D}, E_1) \rightarrow \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_1)$, and $\tilde{Q}^{s-m+\frac{1}{p}} : \mathcal{B}_p^{s-m-\frac{1}{p}, \frac{n}{2}}(\mathbb{B}, F_1) \rightarrow \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_1)$ are invertible. Here we use that $s \in \mathbb{Z}$. Since A is a Fredholm operator, the operator $\tilde{A} \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$, defined by

$$\tilde{A} = \begin{pmatrix} Q^{s-m} & 0 \\ 0 & \tilde{Q}^{s-m+\frac{1}{p}} \end{pmatrix} t^{\frac{n+1}{2}-\gamma+m} A t^{-\frac{n+1}{2}+\gamma} \begin{pmatrix} P^{-s} & 0 \\ 0 & \tilde{P}^{-s+\frac{1}{p}} \end{pmatrix} \quad (3.3)$$

is a Fredholm operator in $\mathcal{B}(\mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_0) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_0), \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_1) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_1))$. By Theorem 49, \tilde{A} is elliptic, hence so is A . As a consequence, the Fredholm property is independent of p and s .

Suppose A is elliptic and $u \in \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) \oplus \mathcal{B}_p^{s-\frac{1}{p}, \gamma-\frac{1}{2}}(\mathbb{B}, F_0)$ belongs to the kernel of A . Then the existence of a parametrix and the mapping properties of the Green operators imply that, for some $\epsilon > 0$ and all $t \in \mathbb{R}$, $u \in \mathcal{H}_p^{t, \gamma+\epsilon}(\mathbb{D}, E_0) \oplus \mathcal{B}_p^{t-\frac{1}{p}, \gamma+\epsilon-\frac{1}{2}}(\mathbb{B}, F_0)$. Thus u also is an element of $\mathcal{H}_q^{t, \gamma}(\mathbb{D}, E_0) \oplus \mathcal{B}_q^{t-\frac{1}{p}, \gamma-\frac{1}{2}}(\mathbb{B}, F_0)$ for $1 < q < \infty$ and $t \in \mathbb{R}$ and belongs to the kernel of A on that space. This shows the independence of the kernel on s and p .

We next consider the formal adjoint \tilde{A}' of \tilde{A} in the sense of item 3) of Proposition 48, which is an elliptic element of $\tilde{C}_{E_1, F_1, E_0, F_0}^q(\mathbb{D}, k)$, where $1/p + 1/q = 1$. Its extension to an operator in $\mathcal{B}(\mathcal{H}_q^{0, \frac{n+1}{2}}(\mathbb{D}, E_1) \oplus \mathcal{B}_q^{0, \frac{n}{2}}(\mathbb{B}, F_1), \mathcal{H}_q^{0, \frac{n+1}{2}}(\mathbb{D}, E_0) \oplus \mathcal{B}_q^{0, \frac{n}{2}}(\mathbb{B}, F_0))$ furnishes the adjoint to the operator \tilde{A} acting as in (3.3). The index of \tilde{A} then is the difference of the kernel dimensions of \tilde{A} and \tilde{A}' . By the same argument as above, these are independent of p and q . Hence the index of \tilde{A} is independent of p and the index of A is independent of s and p . \square

3.4 Besov-Space Preliminaries

Given dyadic partitions of unity $\{\varphi_j\}_{j \in \mathbb{N}_0} \subset C_c^\infty(\mathbb{R})$ and $\{\tilde{\varphi}_j\}_{j \in \mathbb{N}_0} \subset C_c^\infty(\mathbb{R}^{n-1})$ of \mathbb{R} and \mathbb{R}^{n-1} , respectively, we define a dyadic partition of unity $\{\psi_j\}_{j \in \mathbb{N}_0} \subset C_c^\infty(\mathbb{R}^n)$ of \mathbb{R}^n by

$$\begin{aligned} \psi_0(t, x) &:= \varphi_0(t) \tilde{\varphi}_0(x), \\ \psi_j(t, x) &:= \varphi_j(t) \left(\sum_{k=0}^j \tilde{\varphi}_k(x) \right) + \left(\sum_{k=0}^{j-1} \varphi_k(t) \right) \tilde{\varphi}_j(x) \\ &= \psi_0(2^{-j}t, 2^{-j}x) - \psi_0(2^{-j+1}t, 2^{-j+1}x), \quad j \geq 1. \end{aligned}$$

Then $\text{supp}(\psi_0) \subset \{(t, x) \in \mathbb{R}^n; \|(t, x)\|_N < 2\}$ and $\text{supp}(\psi_j) \subset \{(t, x) \in \mathbb{R}^n; 2^{j-1} < \|(t, x)\|_N < 2^{j+1}\}$, for $j \geq 1$. Here $\|(t, x)\|_N$ denotes the norm

$$\|(t, x)\|_N = \max \{|x|, |t|\},$$

where $|x|$ denotes the Euclidean norm of $x \in \mathbb{R}^{n-1}$ and $|t|$ denotes the modulus of $t \in \mathbb{R}$.

Item 8 of Remark 14 implies that we can choose the following norm for $B_p^s(\mathbb{R}^n)$:

$$\|f\|_{B_p^s(\mathbb{R}^n)} := \left(\sum_{j=0}^{\infty} 2^{jsp} \|\psi_j(D) f\|_{L_p(\mathbb{R}^n)}^p \right)^{\frac{1}{p}}.$$

The next spaces are very useful for computations.

Definition 51 Let G be a Banach space that is a *UMD* Banach space with the property (α) . We define $\mathcal{B}_p^{s,\frac{1}{2}}(\mathbb{R}_+, G)$ as the space of all $u \in \mathcal{D}'(\mathbb{R}_+, G)$ such that $(\mathbb{R} \ni t \mapsto u(e^{-t})) \in B_p^s(\mathbb{R}, G)$ and $\mathcal{H}_p^{s,\frac{1}{2}}(\mathbb{R}_+, G)$ as the set of all $u \in \mathcal{D}'(\mathbb{R}_+, G)$ such that $(\mathbb{R} \ni t \mapsto u(e^{-t})) \in H_p^s(\mathbb{R}, G)$. In particular, $\mathcal{H}_p^{0,\frac{1}{2}}(\mathbb{R}_+, G) = L_p(\mathbb{R}_+, G, \frac{dt}{t})$ and $\mathcal{H}_p^{1,\frac{1}{2}}(\mathbb{R}_+, G) = \{u \in L_p(\mathbb{R}_+, G, \frac{dt}{t}); t \partial_t u \in L_p(\mathbb{R}_+, G, \frac{dt}{t})\}$.

Proposition 52 *There is a constant $C > 0$ such that*

$$\|u\|_{\mathcal{B}_p^{0,\frac{n}{2}}(\partial X^\wedge, F)} \leq C \|u\|_{\mathcal{H}_p^{1,\frac{1}{2}}(\mathbb{R}_+, B_p^0(\partial X, F))},$$

for all $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, C^\infty(\partial X, F))$.

Proof In order to prove the proposition, we fix a constant $\theta \in]0, 1[$ and a constant $C_\theta > 1$ such that $j + 1 \leq C_\theta 2^{\theta j}$, for all $j \in \mathbb{N}_0$. The Hölder inequality implies that, for every non-negative real numbers a_0, \dots, a_j , we have

$$\left(\sum_{k=0}^j a_k \right)^p \leq (j+1)^{p-1} \sum_{k=0}^j a_k^p \leq C_\theta^p 2^{j\theta p} \sum_{k=0}^j a_k^p.$$

Now, let us first prove that $\|u\|_{B_p^0(\mathbb{R}^n)} \leq C \|u\|_{H_p^1(\mathbb{R}, B_p^0(\mathbb{R}^{n-1}))}$:

$$\begin{aligned} \|u\|_{B_p^0(\mathbb{R}^n)} &= \left(\sum_{j=0}^{\infty} \|\varphi_j(D_t) \sum_{k=0}^j \tilde{\varphi}_k(D_x) u + \sum_{k=0}^{j-1} \varphi_k(D_t) \tilde{\varphi}_j(D_x) u\|_{L_p(\mathbb{R}^n)}^p \right)^{\frac{1}{p}} \\ &\leq \left(\sum_{j=0}^{\infty} \left(\sum_{k=0}^j \|\varphi_j(D_t) \tilde{\varphi}_k(D_x) u\|_{L_p(\mathbb{R}^n)} \right)^p \right)^{\frac{1}{p}} \\ &\quad + \left(\sum_{j=0}^{\infty} \left(\sum_{k=0}^{j-1} \|\varphi_k(D_t) \tilde{\varphi}_j(D_x) u\|_{L_p(\mathbb{R}^n)} \right)^p \right)^{\frac{1}{p}} \end{aligned}$$

$$\begin{aligned}
&\leq 2C_\theta \left(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} 2^{j\theta p} \left\| \varphi_j(D_t) \tilde{\varphi}_k(D_x) u \right\|_{L_p(\mathbb{R}^n)}^p \right)^{\frac{1}{p}} \\
&= 2C_\theta \left(\sum_{j=0}^{\infty} 2^{j\theta p} \int_{\mathbb{R}} \sum_{k=0}^{\infty} \left\| \varphi_j(D_t) \tilde{\varphi}_k(D_x) u \right\|_{L_p(\mathbb{R}^{n-1})}^p dt \right)^{\frac{1}{p}} \\
&= 2C_\theta \left(\sum_{j=0}^{\infty} 2^{j\theta p} \left\| \varphi_j(D_t) u \right\|_{L_p(\mathbb{R}, B_p^0(\mathbb{R}^{n-1}))}^p \right)^{\frac{1}{p}} = 2C_\theta \|u\|_{B_p^0(\mathbb{R}, B_p^0(\mathbb{R}^{n-1}))}.
\end{aligned}$$

Choosing $\theta < 1$, we conclude that

$$\|u\|_{B_p^0(\mathbb{R}^n)} \leq C_\theta \|u\|_{B_p^0(\mathbb{R}, B_p^0(\mathbb{R}^{n-1}))} \leq C_\theta \|u\|_{H_p^1(\mathbb{R}, B_p^0(\mathbb{R}^{n-1}))}.$$

Using a change of variable $t \mapsto e^{-t}$, we obtain that

$$\|u\|_{\mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{R}_+^n)} \leq C_\theta \|u\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, B_p^0(\mathbb{R}^{n-1}))},$$

where $\mathcal{B}_p^{0, \gamma}(\mathbb{R}_+^n) = \{v(\ln(t), x) ; v \in B_p^0(\mathbb{R}^n)\}$. Finally, using partition of unity and localization, we obtain the assertion. \square

For the following proposition we write $\mathcal{HB}_{pE_j, F_j}(X^\wedge) := \mathcal{H}_p^{0, \frac{n+1}{2}}(X^\wedge, E_j) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\partial X^\wedge, F_j)$ and $\mathcal{HB}_{pE_j, F_j}(\mathbb{D}) := \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_j) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_j)$, for $j = 0, 1$. We denote by K_j , $j \in \mathbb{N}_0$, the sets introduced in Remark 12 for $n = 1$.

Proposition 53 *There exists a constant C , independent of m , such that for all $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+)$ and all $v \in C^\infty(X, E, F)$ with $\text{supp}(\tau \mapsto (\mathcal{M}_{\frac{1}{2}} u)(i\tau)) \subset K_m$*

$$\begin{aligned}
&\frac{1}{C} \frac{1}{(m+1)} \|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})} \|v\|_{L_p(X, E) \oplus B_p^0(\partial X, F)} \\
&\leq \|u \otimes v\|_{\mathcal{HB}_{pE, F}(X^\wedge)} \leq C(m+1) \|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})} \|v\|_{L_p(X, E) \oplus B_p^0(\partial X, F)}.
\end{aligned}$$

In order to make the proof more transparent, we first prove the following lemma.

Lemma 54 *There exists a constant $C > 0$, independent of m , such that for $u \in \mathcal{S}(\mathbb{R})$ and $v \in \mathcal{S}(\mathbb{R}^{n-1})$ with $\text{supp}(\mathcal{F}u) \subset K_m$.*

$$\begin{aligned}
&\frac{1}{C} \frac{1}{(m+1)} \|u\|_{L_p(\mathbb{R})} \|v\|_{B_p^0(\mathbb{R}^{n-1})} \leq \|u \otimes v\|_{B_p^0(\mathbb{R}^n)} \\
&\leq C(m+1) \|u\|_{L_p(\mathbb{R})} \|v\|_{B_p^0(\mathbb{R}^{n-1})}.
\end{aligned}$$

Proof Let $(t, x) \in \mathbb{R} \times \mathbb{R}^{n-1}$ and $C > 0$ such that $\|\varphi_k(D_t)u\|_{L_p(\mathbb{R})} \leq C\|u\|_{L_p(\mathbb{R})}$ and $\|\tilde{\varphi}_k(D_x)u\|_{L_p(\mathbb{R}^{n-1})} \leq C\|u\|_{L_p(\mathbb{R}^{n-1})}$ for all $k \in \mathbb{N}_0$ and for all Schwartz functions u . This constant exists, as we have seen in the proof of Lemma 21. In particular, $\|\varphi_k(D_t)\tilde{\varphi}_j(D_x)(u)\|_{L_p(\mathbb{R} \times \mathbb{R}^{n-1})} \leq C^2\|u\|_{L_p(\mathbb{R} \times \mathbb{R}^{n-1})}$, for all $k, j \in \mathbb{N}_0$ and $u \in \mathcal{S}(\mathbb{R} \times \mathbb{R}^{n-1})$.

Using the conventions $\varphi_k = 0$, $\tilde{\varphi}_k = 0$, $\psi_k = 0$ and $K_k = \emptyset$, whenever $k \leq -1$, we see that

$$\begin{aligned}
\|u \otimes v\|_{B_p^0(\mathbb{R}^n)} &= \left(\sum_{j=0}^{\infty} \|\psi_j(D)(u \otimes v)\|_{L_p(\mathbb{R}^n)}^p \right)^{\frac{1}{p}} \\
&= \left(\sum_{j=0}^{\infty} \|\varphi_j(D_t)u \sum_{k=0}^j \tilde{\varphi}_k(D_x)v + \sum_{k=0}^{j-1} \varphi_k(D_t)u \tilde{\varphi}_j(D_x)v\|_{L_p(\mathbb{R}^n)}^p \right)^{\frac{1}{p}} \\
&\leq \left(\sum_{j=m-1}^{m+1} \|\varphi_j(D_t)u\|_{L_p(\mathbb{R})}^p \left\| \sum_{k=0}^j \tilde{\varphi}_k(D_x)v \right\|_{L_p(\mathbb{R}^{n-1})}^p \right)^{\frac{1}{p}} \\
&\quad + \left(\sum_{j=0}^{\infty} \left\| \sum_{k=m-1}^{m+1} \varphi_k(D_t)u \right\|_{L_p(\mathbb{R})}^p \|\tilde{\varphi}_j(D_x)v\|_{L_p(\mathbb{R}^{n-1})}^p \right)^{\frac{1}{p}} \\
&\leq \left(\sum_{j=m-1}^{m+1} \|\varphi_j(D_t)u\|_{L_p(\mathbb{R})}^p (j+1)^{p-1} \sum_{k=0}^j \|\tilde{\varphi}_k(D_x)v\|_{L_p(\mathbb{R}^{n-1})}^p \right)^{\frac{1}{p}} \\
&\quad + \left(\sum_{j=0}^{\infty} \sum_{k=m-1}^{m+1} 3^{p-1} \|\varphi_k(D_t)u\|_{L_p(\mathbb{R})}^p \|\tilde{\varphi}_j(D_x)v\|_{L_p(\mathbb{R}^{n-1})}^p \right)^{\frac{1}{p}} \\
&\leq (m+2)^{1-\frac{1}{p}} 3^{\frac{1}{p}} C \|u\|_{L_p(\mathbb{R})} \left(\sum_{k=0}^{\infty} \|\tilde{\varphi}_k(D_x)v\|_{L_p(\mathbb{R}^{n-1})}^p \right)^{\frac{1}{p}} \\
&\quad + 3C \|u\|_{L_p(\mathbb{R})} \left(\sum_{j=0}^{\infty} \|\tilde{\varphi}_j(D_x)v\|_{L_p(\mathbb{R}^{n-1})}^p \right)^{\frac{1}{p}} \\
&\leq \tilde{C} (m+1) \|u\|_{L_p(\mathbb{R})} \|v\|_{B_p^0(\mathbb{R}^{n-1})}.
\end{aligned}$$

On the other hand, with $\|\cdot\|$ denoting the norm in $L_p(\mathbb{R}^n)$,

$$\begin{aligned}
\|u\|_{L_p(\mathbb{R})}^p \|v\|_{B_p^0(\mathbb{R}^{n-1})}^p &= \sum_{k=0}^{\infty} \|u \otimes \tilde{\varphi}_k(D_x)v\|_{L_p(\mathbb{R}^n)}^p \\
&= \sum_{j=0}^{\infty} \left\| \sum_{k=m-1}^{m+1} \varphi_k(D_t)u \tilde{\varphi}_j(D_x)v \right\|^p
\end{aligned}$$

$$\begin{aligned}
&\leq \sum_{j=0}^{m+1} \left\| \sum_{k=m-1}^{m+1} \varphi_k(D_t) u \tilde{\varphi}_j(D_x) v \right\|^p + \sum_{j=m+2}^{\infty} \left\| \sum_{k=m-1}^{m+1} \varphi_k(D_t) u \tilde{\varphi}_j(D_x) v \right\|^p \\
&\stackrel{(1)}{\leq} \sum_{j=0}^{m+1} \sum_{k=m-1}^{m+1} 3^{p-1} \left\| \varphi_k(D_t) u \tilde{\varphi}_j(D_x) v \right\|^p + \|u \otimes v\|_{B_p^0(\mathbb{R}^n)}^p \\
&\stackrel{(2)}{=} \sum_{j=0}^{m+1} \sum_{k=m-1}^{m+1} 3^{p-1} \left\| \sum_{l=m-2}^{m+2} (\varphi_k(D_t) \otimes \tilde{\varphi}_j(D_x)) \psi_l(D) (u \otimes v) \right\|^p + \|u \otimes v\|_{B_p^0(\mathbb{R}^n)}^p \\
&\leq \sum_{j=0}^{m+1} \sum_{k=m-1}^{m+1} 15^{p-1} \sum_{l=m-2}^{m+2} \|(\varphi_k(D_t) \otimes \tilde{\varphi}_j(D_x)) \psi_l(D) (u \otimes v)\|^p + \|u \otimes v\|_{B_p^0(\mathbb{R}^n)}^p \\
&\leq 3^p 5^{p-1} C^2 (m+2) \sum_{l=0}^{\infty} \|\psi_l(D) (u \otimes v)\|_{L_p(\mathbb{R}^n)}^p + \|u \otimes v\|_{B_p^0(\mathbb{R}^n)}^p \\
&\leq \tilde{C} (m+1) \|u \otimes v\|_{B_p^0(\mathbb{R}^n)}^p.
\end{aligned}$$

We have used in (1) that $\text{supp}(\mathcal{F}u) \subset K_m$ and, therefore, we have

$$\begin{aligned}
\sum_{j=m+2}^{\infty} \left\| \left(\sum_{k=m-1}^{m+1} \varphi_k(D_t) u \right) \tilde{\varphi}_j(D_x) v \right\|^p &\leq \sum_{j=m+2}^{\infty} \left\| \sum_{k=m-1}^{m+1} \varphi_k(D_t) u \tilde{\varphi}_j(D_x) v \right\|^p \\
&+ \sum_{j=0}^{m+1} \left\| \varphi_j(D_t) u \left(\sum_{k=0}^j \tilde{\varphi}_k(D_x) v \right) + \left(\sum_{k=0}^{j-1} \varphi_k(D_t) u \right) \tilde{\varphi}_j(D_x) v \right\|^p = \|u \otimes v\|_{B_p^0(\mathbb{R}^n)}^p.
\end{aligned}$$

We have used in (2) that for $j \in \{0, \dots, m+1\}$ and $k \in \{m-1, \dots, m+1\}$

$$\sum_{l=m-2}^{m+2} \psi_l(D) (\varphi_k(D_t) \otimes \tilde{\varphi}_j(D_x)) = \varphi_k(D_t) \otimes \tilde{\varphi}_j(D_x).$$

□

Proof (of Proposition 53) Let $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+)$, $v \in \mathcal{S}(\mathbb{R}^{n-1})$ and suppose that $\text{supp}(\tau \mapsto \mathcal{M}_{\frac{1}{2}} u(i\tau)) \subset K_m$, $m \in \mathbb{N}_0$. Define $\tilde{u} \in \mathcal{S}(\mathbb{R})$ by $\tilde{u}(t) = u(e^{-t})$. Hence $\mathcal{F}_{t \rightarrow \xi} \tilde{u}(\xi) = \mathcal{F}_{t \rightarrow \xi}(u(e^{-t}))(\xi) = \mathcal{M}_{\frac{1}{2}} u(i\xi)$. Therefore, there is a constant $m \in \mathbb{N}_0$ such that $\text{supp}(\mathcal{F}\tilde{u}) \subset K_m$. Hence, Lemma 54 implies that

$$\begin{aligned}
&\frac{1}{C(m+1)} \|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})} \|v\|_{B_p^0(\mathbb{R}^{n-1})} \\
&= \frac{1}{C(m+1)} \|\tilde{u}\|_{L_p(\mathbb{R})} \|v\|_{B_p^0(\mathbb{R}^{n-1})}
\end{aligned}$$

$$\begin{aligned} &\leq \|\tilde{u} \otimes v\|_{B_p^0(\mathbb{R}^n)} \leq C (m+1) \|\tilde{u}\|_{L_p(\mathbb{R})} \|v\|_{B_p^0(\mathbb{R}^{n-1})} \\ &\leq C (m+1) \|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})} \|v\|_{B_p^0(\mathbb{R}^{n-1})}. \end{aligned}$$

As $\|\tilde{u} \otimes v\|_{B_p^0(\mathbb{R}^n)} = \|u \otimes v\|_{B_p^{0, \frac{n}{2}}(\mathbb{R}_+^n)}$, we conclude that

$$\begin{aligned} &\frac{1}{C(m+1)} \|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})} \|v\|_{B_p^0(\mathbb{R}^{n-1})} \\ &\leq \|u \otimes v\|_{B_p^{0, \frac{n}{2}}(\mathbb{R}_+^n)} \leq C (m+1) \|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})} \|v\|_{B_p^0(\mathbb{R}^{n-1})}. \end{aligned}$$

The general result follows using a partition of unity. \square

3.5 Proof of the Invertibility of the Conormal Symbol

We notice that $\mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, C^\infty(X, E_j, F_j))$ is a dense space of $\mathcal{HB}_{pE_j, F_j}(X^\wedge)$.

Definition 55 Let W be a Fréchet space, $\epsilon > 0$, $\tau_0 \in \mathbb{R}$. We define $T_\epsilon : \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, W) \rightarrow \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, W)$ and $R_{\epsilon, \tau_0} : \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, W) \rightarrow \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, W)$ by $T_\epsilon u(t) = u\left(\frac{t}{\epsilon}\right)$ and $R_{\epsilon, \tau_0} u(t) = \epsilon^{\frac{1}{p}} t^{-i\tau_0} u(t^\epsilon)$.

The above operators are invertible: $T_\epsilon^{-1} = T_{\frac{1}{\epsilon}}$ and $R_{\epsilon, \tau_0}^{-1} = R_{\frac{1}{\epsilon}, -\frac{\tau_0}{\epsilon}}$. The next proposition is analogous to Lemma 19.

Proposition 56 For an UMD Banach space W with the property (α) , the operators $T_\epsilon, R_{\epsilon, \tau_0} : \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, W) \rightarrow \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, W)$ have the following properties:

(1) T_ϵ extends to an isometry

$$T_\epsilon : \mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W) \rightarrow \mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W).$$

If $W = C^\infty(X, E_j, F_j)$, $j = 0, 1$, then the operator T_ϵ extends to an isometry $T_\epsilon : \mathcal{HB}_{pE_j, F_j}(X^\wedge) \rightarrow \mathcal{HB}_{pE_j, F_j}(X^\wedge)$.

(2) For all $\epsilon > 0$, R_{ϵ, τ_0} extends to a bijective continuous map

$$R_{\epsilon, \tau_0} : \mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W) \rightarrow \mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W).$$

There exists a $C \geq 0$ with $\|R_{\epsilon, \tau_0}\|_{\mathcal{B}(\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W))} \leq C(1 + |\tau_0|)$, $\epsilon < 1$.

(3) (i) Let $h \in M\tilde{B}_{E_0, F_0, E_1, F_1}^p(X, \mathbb{R}_+; \Gamma_0) \cap C(\overline{\mathbb{R}_+}, \tilde{B}_{E_0, F_0, E_1, F_1}^p(X, \Gamma_0))$ and $h_0(z) := h(0, z)$. For any $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, C^\infty(X, E_0, F_0))$ we then have

$$\lim_{\epsilon \rightarrow 0} \left\| op_M^{\frac{1}{2}}(h) T_\epsilon u - T_\epsilon op_M^{\frac{1}{2}}(h_0) u \right\|_{\mathcal{HB}_{pE_1, F_1}(X^\wedge)} = 0.$$

(ii) Let $h \in \tilde{B}_{E_0, F_0, E_1, F_1}^p(X, \Gamma_0)$ and $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, C^\infty(X, E_0, F_0))$. Then

$$\lim_{\epsilon \rightarrow 0} \left\| op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} u - R_{\epsilon, \tau_0} h(i\tau_0) u \right\|_{\mathcal{HB}_{pE_1, F_1}(X^\wedge)} = 0.$$

Proof (1) Since $\|T_\epsilon u\|_{L_p(\mathbb{R}_+, W, \frac{dt}{t})} = \|u\|_{L_p(\mathbb{R}_+, W, \frac{dt}{t})}$ and $\|(t\partial_t)(T_\epsilon u)\|_{L_p(\mathbb{R}_+, W, \frac{dt}{t})} = \|t\partial_t u\|_{L_p(\mathbb{R}_+, W, \frac{dt}{t})}$, we conclude that $\|T_\epsilon u\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W)} = \|u\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, W)}$.

In order to show that $T_\epsilon : \mathcal{HB}_{pE_j, F_j}(X^\wedge) \rightarrow \mathcal{HB}_{pE_j, F_j}(X^\wedge)$ is an isometry, it remains to prove that $T_\epsilon : \mathcal{B}_p^{0, \frac{n}{2}}(\partial X^\wedge, F_j) \rightarrow \mathcal{B}_p^{0, \frac{n}{2}}(\partial X^\wedge, F_j)$ is an isometry. This follows with a partition of unity and the fact that $T_\epsilon : \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{R}_+^n) \rightarrow \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{R}_+^n)$ given by $T_\epsilon u(t, x) = u(\frac{t}{\epsilon}, x)$ is an isometry. In fact, if $v(s, x) = u(e^{-s}, x)$, then $(T_\epsilon u)(e^{-s}, x) = v(s + \ln(\epsilon), x)$. Hence

$$\|T_\epsilon u\|_{\mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{R}_+^n)} = \|(s, x) \mapsto v(s + \ln(\epsilon), x)\|_{B_p^0(\mathbb{R}^n)} = \|v\|_{B_p^0(\mathbb{R}^n)} = \|u\|_{\mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{R}_+^n)}.$$

(2) It is easy to see that $\|R_{\epsilon, \tau_0} u\|_{L_p(\mathbb{R}_+, W, \frac{dt}{t})} = \|u\|_{L_p(\mathbb{R}_+, W, \frac{dt}{t})}$. As $t\partial_t(R_{\epsilon, \tau_0} u) = (-i\tau_0) R_{\epsilon, \tau_0} u + \epsilon R_{\epsilon, \tau_0}(t\partial_t u)$, we conclude that

$$\|R_{\epsilon, \tau_0} u\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_j) \oplus B_p^0(X, F_j))} \leq (1 + |\tau_0|) \|u\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_j) \oplus B_p^0(X, F_j))}.$$

(3.i) We first show **L_p-convergence**: For $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, C^\infty(X, E_0, F_0))$,

$$\lim_{\epsilon \rightarrow 0} \left\| T_\epsilon^{-1} op_M^{\frac{1}{2}}(h) T_\epsilon u - op_M^{\frac{1}{2}}(h_0) u \right\|_{L_p(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, F_1), \frac{dt}{t})} = 0. \quad (3.4)$$

The proof here is exactly the same as the proof of [28, Lemma 3.9]. It relies on the fact that $T_\epsilon^{-1} op_M^{\frac{1}{2}}(h) T_\epsilon = op_M^{\frac{1}{2}}(h_\epsilon)$, where $h_\epsilon(t, z) = h(\epsilon t, z)$, and on Lebesgue's dominated convergence theorem.

Next we establish the **L_p-convergence of the derivative**:

$$\lim_{\epsilon \rightarrow 0} \left\| T_\epsilon^{-1} op_M^{\frac{1}{2}}(h) T_\epsilon u - op_M^{\frac{1}{2}}(h_0) u \right\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, F_1))} = 0. \quad (3.5)$$

This follows almost immediately from the fact that

$$(-t\partial_t) op_M^{\frac{1}{2}}(h_\epsilon) u = op_M^{\frac{1}{2}}\left(((-t\partial_t) h)_\epsilon\right) u + op_M^{\frac{1}{2}}(h_\epsilon)((-t\partial_t) u).$$

Using (3.5), the fact that T_ϵ are isometries and Proposition 52, we conclude that, as $\epsilon \rightarrow 0$,

$$\begin{aligned} & \left\| op_M^{\frac{1}{2}}(h) T_\epsilon u - T_\epsilon op_M^{\frac{1}{2}}(h_0) u \right\|_{\mathcal{H}_p^{0, \frac{n+1}{2}}(X^\wedge, E_1) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\partial X^\wedge, F_1)} \\ & \leq C \left\| T_\epsilon^{-1} op_M^{\frac{1}{2}}(h) T_\epsilon u - op_M^{\frac{1}{2}}(h_0) u \right\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, E_1))} \rightarrow 0. \end{aligned}$$

(3.ii) It is straightforward to check that $R_{\epsilon, \tau_0}^{-1} op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} = op_M^{\frac{1}{2}}(h_\epsilon)$, where $h_\epsilon(z) = h(\epsilon z + i \tau_0)$. Repeating the previous arguments, we conclude that

$$\lim_{\epsilon \rightarrow 0} \left\| R_{\epsilon, \tau_0}^{-1} op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} u - h(i \tau_0) u \right\|_{L_p(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, F_1); \frac{dt}{t})} = 0.$$

Moreover, $(-t \partial_t) op_M^{\frac{1}{2}}(h(\epsilon z + i \tau_0)) u = op_M^{\frac{1}{2}}(h(\epsilon z + i \tau_0)) (-t \partial_t u)$. Hence

$$\lim_{\epsilon \rightarrow 0} \left\| R_{\epsilon, \tau_0}^{-1} op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} u - h(i \tau_0) u \right\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, F_1))} = 0.$$

Finally, using Proposition 52 and item 2, we conclude that, as $\epsilon \rightarrow 0$,

$$\begin{aligned} & \left\| op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} u - R_{\epsilon, \tau_0} h(i \tau_0) u \right\|_{\mathcal{H} \mathcal{B}_{p E_1, F_1}(X^\wedge)} \\ & \leq C \left\| R_{\epsilon, \tau_0} \left(R_{\epsilon, \tau_0}^{-1} op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} u - h(i \tau_0) u \right) \right\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, E))} \\ & \leq \tilde{C} (1 + |\tau_0|) \left\| R_{\epsilon, \tau_0}^{-1} op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0} u - h(i \tau_0) u \right\|_{\mathcal{H}_p^{1, \frac{1}{2}}(\mathbb{R}_+, L_p(X, E_1) \oplus B_p^0(\partial X, E))} \\ & \rightarrow 0. \end{aligned}$$

□

The next lemma is analogous to Lemma 22.

Lemma 57 *The operators T_ϵ and R_{ϵ, τ_0} satisfy the following properties:*

- (1) *If $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+)$ with $\text{supp}(\mathcal{M}_{\frac{1}{2}} u) \subset \{\xi \in \Gamma_0; |\xi| \leq \frac{1}{2}\}$, $v \in C^\infty(X, E_j, F_j)$ and $\epsilon < 1$, then $\text{supp}(\mathcal{M}_{\frac{1}{2}}(R_{\epsilon, \tau_0} u)) \subset K_m$, where $K_0 := \{\xi \in \Gamma_0; |\xi| \leq 2\}$, $K_j := \{\xi \in \Gamma_0; 2^{j-1} \leq |\xi| \leq 2^{j+1}\}$, $j \in \mathbb{N}_0 \setminus \{0\}$. The number $m \in \mathbb{N}_0$ is equal to 0 if $|\tau_0| + \frac{1}{2} < 2$ and, for $|\tau_0| + \frac{1}{2} > 2$, m is the smallest number such that $2^{m-1} < |\tau_0| - \frac{1}{2} < |\tau_0| + \frac{1}{2} < 2^{m+1}$. Hence $m \leq C \langle \ln(\tau_0) \rangle$.*

(2) There is a constant $C > 0$ such that for all $\epsilon < 1$, $v \in C^\infty(X, E_j, F_j)$ and $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+)$ with $\text{supp}(\mathcal{M}_{\frac{1}{2}}u) \subset \{\xi \in \mathbb{R}; |\xi| \leq \frac{1}{2}\}$

$$\begin{aligned} & \frac{1}{C \langle \ln \tau_0 \rangle} \|u \otimes v\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)} \\ & \leq \|R_{\epsilon, \tau_0}(u \otimes v)\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)} \leq C \langle \ln \tau_0 \rangle \|u \otimes v\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)}. \end{aligned}$$

(3) For all $u \in \mathcal{T}_{\frac{1}{2}}(\mathbb{R}_+, C^\infty(X, E_j, F_j))$, we have $\lim_{\epsilon \rightarrow 0} T_\epsilon(u) = 0$ weakly in $\mathcal{HB}_{pE_j, F_j}(X^\wedge)$.

Proof (1) An easy computation shows that $\mathcal{M}_{\frac{1}{2}}(R_{\epsilon, \tau_0}u)(z) = \epsilon^{\frac{1}{p}-1} \mathcal{M}_{\frac{1}{2}}u\left(\frac{z}{\epsilon} - \frac{i\tau_0}{\epsilon}\right)$.

When $\epsilon < 1$, this means that, if $x \in \mathbb{R}$ is such that $\mathcal{M}_{\frac{1}{2}}(R_{\epsilon, \tau_0}u)(ix) \neq 0$, then $\tau_0 - \frac{1}{2} < x < \tau_0 + \frac{1}{2}$, which implies that $\text{supp}(\mathcal{M}_{\frac{1}{2}}(R_{\epsilon, \tau_0}u))$ is contained in some ball of radius $\frac{1}{2}$.

(2) As $\text{supp}(\mathcal{M}_{\frac{1}{2}}u) \subset K_0$, Proposition 53 implies that

$$\begin{aligned} \|u \otimes v\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)} & \leq C_1 \|u \otimes v\|_{L_p(\mathbb{R}_+, L_p(X, E_j) \oplus B_p^0(\partial X, F_j); \frac{dt}{t})} \\ & = C_1 \|(R_{\epsilon, \tau_0}u) \otimes v\|_{L_p(\mathbb{R}_+, L_p(X, E_j) \oplus B_p^0(\partial X, F_j); \frac{dt}{t})} \\ & \leq C_2 \langle \ln \tau_0 \rangle \|(R_{\epsilon, \tau_0}u) \otimes v\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)} \end{aligned}$$

and

$$\begin{aligned} \|(R_{\epsilon, \tau_0}u) \otimes v\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)} & \leq C_3 \langle \ln \tau_0 \rangle \|(R_{\epsilon, \tau_0}u) \\ & \otimes v\|_{L_p(\mathbb{R}_+, L_p(X, E_j) \oplus B_p^0(\partial X, F_j); \frac{dt}{t})} \\ & = C_3 \langle \ln \tau_0 \rangle \|u \otimes v\|_{L_p(\mathbb{R}_+, L_p(X, E_j) \oplus B_p^0(\partial X, F_j); \frac{dt}{t})} \\ & \leq C_4 \langle \ln \tau_0 \rangle \|u \otimes v\|_{\mathcal{HB}_{pE_j, F_j}(X^\wedge)}. \end{aligned}$$

(3) We identify the dual of $\mathcal{HB}_{pE_j, F_j}(X^\wedge)$ with $\mathcal{HB}_{qE_j, F_j}(X^\wedge)$, where $\frac{1}{p} + \frac{1}{q} = 1$, using the scalar product $L_2(\mathbb{R}_+, L_2(X, E_j) \oplus L_2(\partial X, F_j), \frac{dt}{t})$. As T_ϵ is an isometry in $\mathcal{HB}_{pE_j, F_j}(X^\wedge)$, it is enough to prove that

$$\lim_{\epsilon \rightarrow 0} \int_{\mathbb{R}_+} \langle u(t/\epsilon), v(t) \rangle_{L_2(X, E_j) \oplus L_2(\partial X, F_j)} \frac{dt}{t} = 0$$

for all $u, v \in C_c^\infty(\mathbb{R}_+, C^\infty(X, E_j, F_j))$. But this is true. In fact, let $a, b, R > 0$ be such that $\text{supp}(u) \subset [0, R]$ and $\text{supp}(v) \subset [a, b]$, then, for $\epsilon < \frac{a}{R}$, we have $\text{supp}(T_\epsilon u) \cap \text{supp}(v) = \emptyset$. Hence we obtain the result. \square

Lemma 58 Let $h \in \tilde{\mathcal{B}}_{E_0, F_0, E_1, F_1}^p(X, \Gamma_0)$ and suppose that there is a constant $c > 0$ such that, for each $u \in \mathcal{T}_{\frac{1}{2}}^1(\mathbb{R}_+, C^\infty(X, E_0, F_0))$, we have

$$\|u\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} \leq c \left\| op_M^{\frac{1}{2}}(h)(u) \right\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)}.$$

Then, for every $v \in C^\infty(X, E_0, F_0)$ and $\tau \in \mathbb{R}$, we have

$$\|v\|_{H_p^0(X, E_0) \oplus B_p^0(\partial X, F_0)} \leq C \langle \ln(\tau) \rangle^2 \|h(i\tau)v\|_{H_p^0(X, E_1) \oplus B_p^0(\partial X, F_1)},$$

for some constant C independent of v .

Proof Let $0 \neq u \in \mathcal{T}_{\frac{1}{2}}^1(\mathbb{R}_+)$, be a function with $\text{supp}(\mathcal{M}_{\frac{1}{2}}(u)) \subset \{z \in \Gamma_0; |z| < \frac{1}{2}\}$ and $v \in C^\infty(X)$. Then item 2 of Lemma 57 implies that

$$\begin{aligned} & \|u \otimes v\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} \\ & \leq C_1 \langle \ln \tau_0 \rangle \|op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0}(u \otimes v) - R_{\epsilon, \tau_0}h(i\tau_0)(u \otimes v)\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)} \\ & \quad + C_2 \langle \ln \tau_0 \rangle \|R_{\epsilon, \tau_0}h(i\tau_0)(u \otimes v)\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)}. \end{aligned}$$

As $\lim_{\epsilon \rightarrow 0} \|op_M^{\frac{1}{2}}(h) R_{\epsilon, \tau_0}(u \otimes v) - h(i\tau_0)(u \otimes v)\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)} = 0$, we see again from Lemma 57 that

$$\begin{aligned} \|u \otimes v\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} & \leq C_1 \langle \ln \tau_0 \rangle \| (R_{\epsilon, \tau_0}u) \otimes h(i\tau_0)v \|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)} \\ & \leq C_2 \langle \ln \tau_0 \rangle^2 \|u \otimes h(i\tau_0)v\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)}, \end{aligned}$$

where $(u \otimes h(i\tau_0)v)(t, x) := u(t)(h(i\tau_0)v)(x)$. Now, it is easy to conclude that

$$\begin{aligned} \|v\|_{H_p^0(X, E_0) \oplus B_p^0(\partial X, F_0)} & \leq \frac{C}{\|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})}} \|u \otimes v\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} \\ & \leq \tilde{C} \frac{1}{\|u\|_{L_p(\mathbb{R}_+, \frac{dt}{t})}} \langle \ln \tau_0 \rangle^2 \|u \otimes h(i\tau_0)v\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)} \\ & \leq \tilde{C} \langle \ln \tau_0 \rangle^2 \|h(i\tau_0)v\|_{H_p^0(X, E_1) \oplus B_p^0(\partial X, F_1)}. \end{aligned}$$

□

We finish with the following proposition that proves the invertibility of the conormal symbol.

Proposition 59 Let $A \in \tilde{C}^p(\mathbb{D}; k)$ be a Fredholm operator in the space

$$\mathcal{B}(\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge), \mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)).$$

Then the conormal symbol is invertible on Γ_0 , and its inverse is an element of $\tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^p(X, \Gamma_0)$.

Proof We are going to consider operators given as

$$A = \omega \text{op}_M^{\frac{1}{2}}(h) \omega_0 + (1 - \omega) P (1 - \omega_1) + G, \quad (3.6)$$

where $P \in \tilde{\mathcal{B}}_{2E_0, 2E_1, 2F_0, 2F_1}^p(2\mathbb{D})$, $G \in \tilde{\mathcal{C}}_{\mathcal{O} E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$, and $h(t, z) = a(t, z) + \tilde{a}(z)$ with functions $a \in C^\infty(\overline{\mathbb{R}_+}, \tilde{\mathcal{M}}_{\mathcal{O} E_0, F_0, E_1, F_1}^p(X))$ and $\tilde{a} \in M_{P E_0, F_0, E_1, F_1}^{-\infty}(X)$ for some asymptotic type P with $\pi_{\mathbb{C}} P \cap \Gamma_0 = \emptyset$. In particular, $h_0(z) := h(0, z) = \sigma_M^0(A)(z)$.

Let us first prove that for all $u \in \mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)$

$$\|u\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} \leq c \|\text{op}_M^{\frac{1}{2}}(h_0)(u)\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)}. \quad (3.7)$$

It suffices to show this for $u \in C_c^\infty(\mathbb{R}_+, C^\infty(X, E_0, F_0))$. We find operators $B_1 \in \mathcal{B}(\mathcal{H}\mathcal{B}_{pE_1, F_1}(\mathbb{D}), \mathcal{H}\mathcal{B}_{pE_0, F_0}(\mathbb{D}))$ and $K_1 \in \mathcal{B}(\mathcal{H}\mathcal{B}_{pE_0, F_0}(\mathbb{D}), \mathcal{H}\mathcal{B}_{pE_0, F_0}(\mathbb{D}))$, where K_1 is compact, such that $B_1 A - 1 = K_1$. Let us choose σ and σ_1 in $C_c^\infty([0, 1])$ such that $\sigma \sigma_1 = \sigma$, $\sigma_1 \omega_1 = \sigma_1$ and $\sigma_1 \omega = \sigma_1$. Then

$$K_1 \sigma = B_1 A \sigma - \sigma = B_1 \sigma_1 A \sigma + B_1 (1 - \sigma_1) A \sigma - \sigma.$$

As the supports of σ and $1 - \sigma_1$ are disjoint, the operator $(1 - \sigma_1) A \sigma$ is a Green operator and therefore compact. Hence

$$\sigma_1 B_1 \sigma_1 A \sigma - \sigma = \sigma_1 K_1 \sigma - \sigma_1 B_1 (1 - \sigma_1) A \sigma = \sigma_1 K_2 \sigma,$$

where K_2 is a compact. Using Eq. (3.6) for $A \sigma$, we conclude that $\sigma = \text{Bop}_M^{\frac{1}{2}}(h) \sigma - K$, where $B = \sigma_1 B_1 \sigma_1$ and $K = \sigma_1 (K_2 - B_1 \sigma_1 G) \sigma$ is compact.

Now let $u \in C_c^\infty(\mathbb{R}_+, C^\infty(X, E_0, F_0))$. We know that $T_\epsilon(u) = \sigma T_\epsilon(u)$, when ϵ is small. As $\sigma = \text{Bop}_M^{\frac{1}{2}}(h) \sigma - K$, we have that, for ϵ sufficiently small,

$$\begin{aligned} \|u\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} &= \|\sigma T_\epsilon(u)\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} \\ &\leq \|B\|_{\mathcal{B}(\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge), \mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge))} \|\text{op}_M^{\frac{1}{2}}(h) T_\epsilon(u) - T_\epsilon \text{op}_M^{\frac{1}{2}}(h_0) u\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)} \\ &\quad + \|B\|_{\mathcal{B}(\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge), \mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge))} \|T_\epsilon \text{op}_M^{\frac{1}{2}}(h_0) u\|_{\mathcal{H}\mathcal{B}_{pE_1, F_1}(X^\wedge)} \\ &\quad + \|K T_\epsilon(u)\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)}. \end{aligned}$$

As $T_\epsilon u$ weakly tends to zero and K is compact, $\lim_{\epsilon \rightarrow 0} \|K T_\epsilon(u)\|_{\mathcal{H}\mathcal{B}_{pE_0, F_0}(X^\wedge)} = 0$. Using that T_ϵ is an isometry and item 3.(ii) of Proposition 56, we conclude that Inequality (3.7) holds. This result together with Lemma 58 implies that

$$\|v\|_{H_p^0(X, E_0) \oplus B_p^0(\partial X, F_0)} \leq C \langle \ln(\tau) \rangle^2 \|h(i\tau)v\|_{H_p^0(X, E_1) \oplus B_p^0(\partial X, F_1)}, \quad (3.8)$$

for some constant C independent of v .

As $A \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}; k)$ is Fredholm, so is $A^* \in \tilde{C}_{E_1, F_1, E_0, F_0}^q(\mathbb{D}; k)$. The above argument implies that $\sigma_M^0(A^*)(z) = h(i\tau)^*$ also satisfies an estimate as (3.8), for q instead of p , where $\frac{1}{p} + \frac{1}{q} = 1$. Hence, for all $\tau \in \mathbb{R}$, $h(i\tau)$ is injective, has closed range and the same is true for its adjoint. Lemma 23 implies that $h(i\tau)$ is bijective and

$$\|h(i\tau)^{-1}\|_{\mathcal{B}(H_p^0(X, E_1) \oplus B_p^0(\partial X, F_1), H_p^0(X, E_0) \oplus B_p^0(\partial X, F_0))} \leq \tilde{C} \langle \ln(\tau) \rangle^2.$$

Theorem 29 implies that $h_0^{-1} \in \tilde{\mathcal{B}}_{E_1, F_1, E_0, F_0}^p(X, \Gamma_0)$. \square

3.6 Spectral Invariance of Boundary Value Problems with Conical Singularities

Once we know the equivalence of Fredholm property and ellipticity, we can establish the spectral invariance.

Theorem 60 *Let $A \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$. Suppose that, for each $\lambda \in \Lambda$, the operator*

$$A : \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_0) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_0) \rightarrow \mathcal{H}_p^{0, \frac{n+1}{2}}(\mathbb{D}, E_1) \oplus \mathcal{B}_p^{0, \frac{n}{2}}(\mathbb{B}, F_1).$$

is invertible. Then $A^{-1} \in \tilde{C}_{E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$.

Proof The operator A is invertible, hence it is Fredholm and there are operators $B \in \tilde{C}_{E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$, $K_1 \in \tilde{C}_{G E_1, F_1, E_1, F_1}^p(\mathbb{D}, k)$ and $K_2 \in \tilde{C}_{G E_0, F_0, E_0, F_0}^p(\mathbb{D}, k)$ such that $AB = I + K_1$ and $BA = I + K_2$. These identities imply that

$$A^{-1} = B - K_2 B + K_2 A^{-1} K_1.$$

As $B \in \tilde{C}_{E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$, $K_2 B \in \tilde{C}_{G E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$ and $K_2 A^{-1} K_1$ belongs to $\tilde{C}_{G E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$, we obtain the result. \square

Theorem 61 *Let $A \in C_{E_0, F_0, E_1, F_1}^{m, d}(\mathbb{D}, (\gamma, \gamma - m, k))$, where $m \in \mathbb{Z}$, $d = \max\{m, 0\}$. Suppose that there is an $s \in \mathbb{Z}$, $s \geq d$ such that*

$$\begin{aligned} A : \mathcal{H}_p^{s, \gamma}(\mathbb{D}, E_0) \oplus \mathcal{B}_p^{s - \frac{1}{p}, \gamma - \frac{1}{2}}(\mathbb{B}, F_0) &\rightarrow \mathcal{H}_p^{s-m, \gamma-m}(\mathbb{D}, E_1) \\ &\oplus \mathcal{B}_p^{s-m - \frac{1}{p}, \gamma-m - \frac{1}{2}}(\mathbb{B}, F_1) \end{aligned}$$

is invertible. Then, $A^{-1} \in C_{E_1, F_1, E_0, F_0}^{-m, d'}(\mathbb{D}, (\gamma - m, \gamma, k))$, where $d' := \max\{-m, 0\}$. In particular, for all $s > d - 1 + \frac{1}{q}$ and $1 < q < \infty$ the operator A is invertible in $\mathcal{B}(\mathcal{H}_q^{s, \gamma}(\mathbb{D}, E_0) \oplus \mathcal{B}_q^{s - \frac{1}{p}, \gamma - \frac{1}{2}}(\mathbb{B}, F_0), \mathcal{H}_q^{s-m, \gamma-m}(\mathbb{D}, E_1) \oplus \mathcal{B}_q^{s-m - \frac{1}{p}, \gamma-m - \frac{1}{2}}(\mathbb{B}, F_1))$.

Proof As in the proof of Corollary 50 we consider the operator $\tilde{A} \in \tilde{C}_{E_0, F_0, E_1, F_1}^p(\mathbb{D}, k)$ defined by (3.3). As A is invertible, so is \tilde{A} . We infer from Theorem 60 that $(\tilde{A})^{-1}$ belongs to $\tilde{C}_{E_1, F_1, E_0, F_0}^p(\mathbb{D}, k)$ and hence $A^{-1} \in C_{E_1, F_1, E_0, F_0}^{-m, d'}(\mathbb{D}, (\gamma - m, \gamma, k))$. \square

4 Application: The Dirichlet Laplacian

In order to illustrate the applicability of Theorem 61, we show how it can be used to study the spectrum of the Dirichlet Laplacian.

We consider a two-dimensional manifold with conical singularities D , for example the closure of a domain in \mathbb{R}^2 , which has a smooth boundary apart from a finite set of conical points. Let \mathbb{D} be the associated manifold with corners introduced in Definition 30, \mathbb{B} its boundary and X be the union of the connected components of the cross-sections of each conical point. Working on \mathbb{D} is analytically simpler; it amounts to introducing polar coordinates near the conical singularities. As before, we write $t \in [0, 1]$ for the variable that represents the distance to the conical points.

We will consider a particular instance of the Dirichlet problem on D ; see also [5, Section 6] for more background on the Dirichlet problem on manifolds with boundary and conical singularities. Since $\dim \mathbb{D} = 2$, we have $n = 1$ in the notation of the previous section.

Denote by Δ the Laplace–Beltrami operator on \mathbb{D} with respect to a straight conically degenerate metric on \mathbb{D} , i.e. a Riemannian metric on \mathbb{D} , which near $t = 0$ takes the form

$$g = dt^2 + t^2 h$$

for a (non-degenerate) Riemannian metric h on X that does not depend on t . By γ_0 denote the trace on \mathbb{B} of a function defined in \mathbb{D} . We consider the operator

$$\mathcal{A} = \begin{pmatrix} \Delta \\ \gamma_0 \end{pmatrix} : \mathcal{H}_p^{s+2, \gamma+2}(\mathbb{D}) \rightarrow \begin{array}{c} \mathcal{H}_p^{s, \gamma}(\mathbb{D}) \\ \oplus \\ \mathcal{B}_p^{s+2-1/p, \gamma+3/2}(\mathbb{B}) \end{array} \quad (4.1)$$

for suitable parameters s, γ and p . Let $Q \in C_{\mathbb{C}, \mathbb{C}}^{2,0}(\mathbb{B}, (\gamma + \frac{3}{2}, \gamma - \frac{1}{2}, k))$ be an invertible operator as in Proposition 48, (5). Then $\begin{pmatrix} \Delta \\ Q\gamma_0 \end{pmatrix}$ is an operator in $C_{\mathbb{C}, 0, \mathbb{C}, \mathbb{C}}^{2,0}(\mathbb{D}, (\gamma + 2, \gamma, k))$ for arbitrary k .

For the above metric, the Laplace–Beltrami operator near $t = 0$ is of the form

$$\Delta = t^{-2}((t\partial_t)^2 + \Delta_X)$$

where Δ_X is the Laplacian on the 1-dimensional manifold X .

We will next check the ellipticity conditions for \mathcal{A} . The analysis can be found in [5, Section 6.1]. It is easy to see that the principal pseudodifferential symbol and the principal boundary symbol are elliptic in the sense of Remark 47. The conormal symbol of \mathcal{A} is

$$\sigma_M^2(\mathcal{A})(z) = \begin{pmatrix} z^2 + \Delta_X \\ \gamma_{0,X} \end{pmatrix} : H^2(X) \rightarrow \begin{array}{c} L_2(X) \\ \oplus \\ H^{3/2}(\partial X) \end{array},$$

where $\gamma_{0,X}$ is the trace operator for functions defined on X to functions on ∂X . Note that here ∂X consists of finitely many points, so that $H^{3/2}(\partial X)$ is just \mathbb{C}^{2N} , where N is the number of components of cross sections of all conical points. We denote by $0 > \lambda_1 > \lambda_2 > \dots$ the different eigenvalues of the Dirichlet problem for the Laplacian on X . Let $q_j^\pm = \pm\sqrt{-\lambda_j}$. Then the conormal symbol will be invertible for all $z \in \mathbb{C} \setminus \{q_j^\pm; j = 1, 2, \dots\}$. In this case, the operator \mathcal{A} in (4.1) will be a Fredholm operator whenever $1 < p < \infty$ and $s > -2 + 1/p$, due to Proposition 48. 4).

We will now consider the realizations of the Laplace operator with Dirichlet conditions on $\mathcal{H}_p^{s,\gamma}(\mathbb{D})$. Let $C_c^\infty(\mathbb{D})$ be the set of smooth functions on \mathbb{D} supported in $\text{int}(\mathbb{D})$ and $C_c^\infty(\mathbb{D})_{\gamma_0} = \{u \in C_c^\infty(\mathbb{D})_{\gamma_0}; \gamma_0(u) = 0\}$. To the Laplace operator with Dirichlet conditions $\Delta : C_c^\infty(\mathbb{D})_{\gamma_0} \rightarrow C_c^\infty(\mathbb{D})$, we can associate two important closed extensions: the minimal and maximal realization. The maximal realization is the Laplace operator $\Delta_{\text{Dir,max}} : \mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,max}}) \subset \mathcal{H}_p^{s,\gamma}(\mathbb{D}) \rightarrow \mathcal{H}_p^{s,\gamma}(\mathbb{D})$ with the domain $\mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,max}}) = \{u \in \mathcal{H}_p^{s+2,\gamma}(\mathbb{D}); \gamma_0(u) = 0 \text{ and } \Delta u \in \mathcal{H}_p^{s,\gamma}(\mathbb{D})\}$.

The minimal realization $\Delta_{\text{Dir,min}}$ is the closure of the operator $\Delta : C_c^\infty(\mathbb{D})_{\gamma_0} \subset \mathcal{H}_p^{s,\gamma}(\mathbb{D}) \rightarrow \mathcal{H}_p^{s,\gamma}(\mathbb{D})$. Its domain is denoted by $\mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,min}})$. It is clear that $\Delta_{\text{Dir,min}} \subset \Delta_{\text{Dir,max}}$. The closed extensions of the Dirichlet Laplacian are therefore precisely those with a domain between that of the minimal and that of the maximal realization.

Instead of \mathcal{A} , we will study the realization Δ_{Dir} , acting like Δ on the domain

$$\mathcal{D}(\Delta_{\text{Dir}}) := \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{D})_{\text{Dir}} := \{u \in \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{D}); \gamma_0 u = 0\}. \quad (4.2)$$

It is well-known that the Fredholm property and the invertibility of the realization Δ_{Dir} are equivalent to that of the operator \mathcal{A} in (4.1); a proof can be found in [5, Section 8].

In applications to nonlinear partial differential equations like the Cahn-Hilliard equation or the porous medium equation one is often interested in realizations with particular weights γ , for example, because the functions in the domain should be bounded near the singular set.

We shall explain this briefly. For $q \in \{q_j^\pm; j = 1, 2, \dots\}$, let $\mathcal{E}_q = \{\omega t^{-q} e; e \in E_q\}$, where ω is an arbitrary cut-off function near $\partial\mathbb{D}$ and E_q is the eigenspace of the Laplace operator with Dirichlet conditions acting on X , $\Delta_{X,\text{Dir}}$, with respect to the eigenvalue λ_j .

For $v \in \mathcal{E}_{q_j^\pm}$, the function Δv is smooth and vanishes near $t = 0$, so it is an element of $\mathcal{H}_2^{\infty,\infty}(\mathbb{D})$. Moreover v satisfies the Dirichlet boundary condition.

It was shown in [5, Proposition 6.1]¹ that the domain of the maximal extension of the Laplacian with Dirichlet boundary condition in the space $\mathcal{H}_p^{s,\gamma}(\mathbb{D})$, $s > -2 + 1/p$, is

$$\mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,max}}) = \mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,min}}) \oplus \bigoplus_{q \in I_\gamma} \mathcal{E}_q. \quad (4.3)$$

Here $I_\gamma =]-1 - \gamma, 1 - \gamma[\cap \{q_j^\pm; j = 0, 1, \dots\}$. Moreover, it can be shown that $\Delta_{\text{Dir}} = \Delta_{\text{Dir,min}}$, whenever $-1 - \gamma$ is not one of the q_j^\pm . In particular, in this case, $\mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,min}}) = \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{D})_{\text{Dir}}$.

In general, we know from [5, Theorem 4.13] that

$$\mathcal{D}_p^{s,\gamma}(\Delta_{\text{Dir,min}}) = \left\{ u \in \bigcap_{\varepsilon > 0} \mathcal{H}_p^{s+2,\gamma+2-\varepsilon}(\mathbb{D})_{\text{Dir}}; \Delta u \in \mathcal{H}_p^{s,\gamma}(\mathbb{D}) \right\}. \quad (4.4)$$

As in [24, 26] we choose the weight γ of the form

$$\gamma = -1 + \delta, \text{ where } 0 < \delta < \min\{-q_1^-, 2\}$$

(note that q_1^- is negative). Then $-1 - \gamma = -\delta \in]q_1^-, 0[$ equals none of the q_j^\pm .

We will now study the spectrum of Δ_{Dir} .

Proposition 62 *For $s = 0$ and $p = 2$ the unbounded operator $\lambda - \Delta_{\text{Dir}}$ in $\mathcal{H}_2^{0,\gamma}(\mathbb{D})$ with domain (4.2) is invertible provided $\lambda \notin]-\infty, 0[$.*

Proof We first note that $\Delta : C_c^\infty(\mathbb{D}) \rightarrow C_c^\infty(\mathbb{D})$ is a non-positive symmetric operator when we consider the scalar product given by $\mathcal{H}_2^{0,0}(\mathbb{D})$. Therefore we can define its Friedrichs extension $\Delta_{\text{Dir,F}}$. Similarly as in the proof of [25, Theorem 4.1] we relate our operator to the Friedrichs extension, whose domain has been determined in [5, Theorem 6.4]:

$$\mathcal{D}(\Delta_{\text{Dir,F}}) = \mathcal{D}_2^{0,0}(\Delta_{\text{Dir,min}}) \oplus \bigoplus_{-1 < q_j^- < 0} \mathcal{E}_{q_j^-}.$$

Step 1. We first check injectivity: Suppose $u \in \mathcal{H}_2^{2,\gamma+2}(\mathbb{D})_{\text{Dir}}$ and $(\lambda - \Delta)u = 0$. The fact that $\Delta u = \lambda u \in \mathcal{H}_2^{2,\gamma+2}(\mathbb{D})$ implies that u is in the maximal domain of Δ_{Dir} in $\mathcal{H}_2^{2,\gamma+2}(\mathbb{D})$, which, according to (4.3)/(4.4) is given by

$$\mathcal{D}_2^{2,\gamma+2}(\Delta_{\text{Dir,min}}) \oplus \bigoplus_{q \in I_{\gamma+2}} \mathcal{E}_q \subseteq \mathcal{H}_2^{4,\gamma+4-\varepsilon}(\mathbb{D})_{\text{Dir}} \oplus \bigoplus_{q \in I_{\gamma+2}} \mathcal{E}_q, \quad \varepsilon > 0.$$

¹ The result is stated for $s = 0$ but extends to other values of s .

We observe that $I_{\gamma+2} =]-2-\delta, -\delta[\cap \{q_j^-; j = 1, 2, \dots\}$, and $\gamma + 4 = 3 + \delta > 3$. Hence u actually is in the domain of the Friedrichs extension. As the Friedrichs extension has no spectrum outside $]-\infty, 0]$, we conclude that $u = 0$.

Step 2. Since Δ_{Dir} is a Fredholm operator, it has closed range. Therefore to prove that $\lambda - \Delta_{\text{Dir}}$ is surjective, it is enough to prove that its adjoint is injective, see Lemma 23. This is the content of the next step.

Step 3. As the scalar product of $\mathcal{H}_2^{0,0}(\mathbb{D})$ can be used to identify the dual of $\mathcal{H}_2^{0,\gamma}(\mathbb{D})$ with $\mathcal{H}_2^{0,-\gamma}(\mathbb{D})$, we can consider the adjoint Δ_{Dir}^* of Δ_{Dir} as an unbounded operator on $\mathcal{H}_2^{0,-\gamma}(\mathbb{D})$. Noting that the domain (4.2) is actually the minimal domain, that the adjoint boundary condition to the Dirichlet boundary condition is again the Dirichlet boundary condition and that Δ is symmetric, the domain of the adjoint can be determined from [5, Theorem 4.6] (or [5, Theorem 6.3]):

$$\begin{aligned} \mathcal{D}_2^{0,-\gamma}(\Delta_{\text{Dir}}^*) &= \mathcal{D}_2^{0,-\gamma}(\Delta_{\text{Dir,max}}) \\ &= \mathcal{D}_2^{0,-\gamma}(\Delta_{\text{Dir,min}}) \oplus \bigoplus_{q \in I_{-\gamma}} \mathcal{E}_q \subseteq \mathcal{H}_2^{2,2-\gamma}(\mathbb{D})_{\text{Dir}} \oplus \bigoplus_{q \in I_{-\gamma}} \mathcal{E}_q, \quad \varepsilon > 0. \end{aligned} \quad (4.5)$$

The last inclusion follows from (4.4). Since $I_{-\gamma} =]-2+\delta, \delta[\cap \{q_j^\pm; j = 1, 2, \dots\}$ and $\delta < q_1^+$, we see that $I_{-\gamma}$ contains only the q_j^- with $-2+\delta < q_j^- < 0$.

Suppose $u \in \mathcal{D}_2^{0,-\gamma}(\Delta_{\text{Dir}}^*)$ with $(\lambda - \Delta)u = 0$. Write $u = v + w$ with $v \in \mathcal{H}_2^{2,2-\gamma}(\mathbb{D})_{\text{Dir}}$ and $w \in \bigoplus_{q \in I_{-\gamma}} \mathcal{E}_q$. Since $(\lambda - \Delta)v = -\lambda w - \Delta w$, $\Delta w \in \mathcal{H}_2^{\infty,\infty}(\mathbb{D})$ and $\lambda w \in \mathcal{H}_2^{\infty,1+\delta}(\mathbb{D})$ (this follows from the fact that $t^{-q} \in \mathcal{H}_p^{\infty,\mu}(\mathbb{D})$ if and only if $\Re(q) < 1 - \mu$), we see that

$$v \in \mathcal{D}_2^{2,1+\delta}(\Delta_{\text{Dir,max}}).$$

This implies that v is in the domain of the Friedrichs extension, and so is w , as $\mathcal{H}_2^{2,1}(\mathbb{D}) \cap \mathcal{D}_2^{0,0}(\Delta_{\text{Dir,max}}) \subset \mathcal{D}(\Delta_{\text{Dir,F}})$, where $\mathcal{D}(\Delta_{\text{Dir,F}})$ is the domain of the Friedrichs extension. This was shown in the proof of Theorem 6.4 of [5]. Since the Friedrichs extension has no spectrum outside $]-\infty, 0]$, we conclude that $u = 0$. Therefore $\lambda - \Delta_{\text{Dir}}^*$ is injective. \square

Theorem 63 For $1 < p < \infty$ and $s > -2 + 1/p$ the unbounded operator $\lambda - \Delta_{\text{Dir}}$ in $\mathcal{H}_p^{s,\gamma}(\mathbb{D})$ with domain (4.2) is invertible whenever $\lambda \notin]-\infty, 0]$.

Proof According to [5, Section 8], the invertibility of

$$\lambda - \Delta_{\text{Dir}} : \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{D})_{\text{Dir}} \rightarrow \mathcal{H}_p^{s,\gamma}(\mathbb{D}) \quad (4.6)$$

is equivalent to that of

$$\begin{pmatrix} \Delta \\ Q_{\gamma_0} \end{pmatrix} : \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{D}) \rightarrow \mathcal{H}_p^{s,\gamma}(\mathbb{D}) \oplus \mathcal{B}_p^{s-1/p,\gamma-1/2}(\mathbb{B}). \quad (4.7)$$

In Proposition 62 we have shown invertibility of (4.6) for $s = 0$ and $p = 2$. Hence we obtain invertibility of (4.7) for this case. According to Theorem 61 the inverse is an element in $C_{\mathbb{C}, \mathbb{C}, \mathbb{C}, 0}^{-2,0}(\mathbb{D}, (\gamma, \gamma + 2, k))$ for arbitrary k . It therefore also furnishes the inverse for arbitrary p and $s > -2 + 1/p$. As a consequence we also obtain the invertibility of Δ_{Dir} in (4.6) for these values of s and p . \square

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