

# REPRESENTATIONS OF LIE ALGEBRAS OF VECTOR FIELDS ON AFFINE VARIETIES

BY

YULY BILLIG

*School of Mathematics and Statistics, Carleton University  
1125 Colonel By Drive, Ottawa, ON K1S 5B6, Canada  
e-mail: billig@math.carleton.ca*

AND

VYACHESLAV FUTORNY

*Instituto de Matemática e Estatística, Universidade de São Paulo  
Rua do Matão, 1010 CEP 05508-090 São Paulo, SP, Brasil  
e-mail: futorny@ime.usp.br*

AND

JONATHAN NILSSON

*Department of Mathematical Sciences  
Chalmers University of Technology and the University of Gothenburg  
SE-412 96 Gothenburg, Sweden  
e-mail: jonathn@chalmers.se*

## ABSTRACT

For an irreducible affine variety  $X$  over an algebraically closed field of characteristic zero we define two new classes of modules over the Lie algebra of vector fields on  $X$ —gauge modules and Rudakov modules, which admit a compatible action of the algebra of functions. Gauge modules are generalizations of modules of tensor densities whose construction was inspired by non-abelian gauge theory. Rudakov modules are generalizations of a family of induced modules over the Lie algebra of derivations of a polynomial ring studied by Rudakov [23]. We prove general simplicity theorems for these two types of modules and establish a pairing between them.

---

Received February 28, 2018 and in revised form December 1, 2018

## Introduction

Classification of complex simple finite-dimensional Lie algebras by Killing (1889), [15] and Cartan (1894) [8] shaped the development of Lie theory in the first half of the 20th century. Since Sophus Lie, the Lie groups and corresponding Lie algebras (as infinitesimal transformations) were related to the symmetries of geometric structures which need not be finite-dimensional. Later infinite-dimensional Lie groups and algebras were connected with the symmetries of systems which have an infinite number of independent degrees of freedom, for example in Conformal Field Theory, e.g., [1], [28]. The discovery of the first four classes of simple infinite-dimensional Lie algebras goes back to Sophus Lie who introduced certain pseudogroups of transformations in small dimensions. This work was completed by Cartan who showed that corresponding simple Lie algebras are of type  $W_n$ ,  $S_n$ ,  $H_n$  and  $K_n$  [9]. These four classes of Cartan type algebras were the first examples of simple infinite-dimensional Lie algebras. The general theory of simple infinite-dimensional Lie algebras at large is still undeveloped, in particular their representation theory.

The first Witt algebra  $\mathcal{W}_1$  is the Lie algebra of polynomial vector fields on a circle whose universal central extension is the famous Virasoro algebra which plays a crucial role in quantum field theory. Mathieu [19] classified irreducible modules with finite-dimensional weight spaces for the first Witt algebra  $\mathcal{W}_1$ . Higher rank Witt algebras  $\mathcal{W}_n$  are simple Lie algebras of polynomial vector fields on an  $n$ -dimensional torus. Significant efforts were required to generalize the results of Mathieu for an arbitrary  $n$ : [26], [17], [11], [12], [3], [20], [4], [5], resulting in the classification of simple weight modules with finite-dimensional weight spaces in [5]. We note that an understanding of the representations of  $\mathcal{W}_n$  is also important for the representation theory of toroidal Lie algebras [2].

The first Witt algebra can be realized as the algebra of meromorphic vector fields on the Riemann sphere  $\mathbb{P}^1(\mathbb{C})$  which are holomorphic outside of 0 and  $\infty$ . This realization has a natural generalization to the case of general Riemann surfaces. With a compact Riemann surface  $\Sigma$  and a finite subset  $S$  of  $\Sigma$  one can associate the Krichever–Novikov type vector field Lie algebra [16] of those meromorphic vector fields on  $\Sigma$  which are holomorphic outside of  $S$ . The genus zero case and  $S = \{0, \infty\}$  corresponds to the first Witt algebra. For the theory of Krichever–Novikov Lie algebras we refer to [24].

For an arbitrary irreducible affine variety  $X \subset \mathbb{A}_{\mathbf{k}}^n$  over an algebraically closed field  $\mathbf{k}$  of characteristic 0, the Lie algebra  $\mathcal{V}_X$  of polynomial vector fields was studied in [13], [14], [27] (see also [6]). It can be identified with the derivation algebra of the coordinate ring of  $X$ . This algebra is not simple in general. In fact,  $\mathcal{V}_X$  is simple if and only if  $X$  is a smooth variety. In this paper we begin a systematic study of representations of the Lie algebras  $\mathcal{V}_X$  for arbitrary smooth affine varieties  $X$  aiming to generalize successful representation theory of Witt algebras. Note that the general case is significantly more complicated since the standard tools of Lie theory, like Cartan subalgebras, root decompositions etc., do not apply in this case. Even in the case when  $X$  is an affine elliptic curve, the Lie algebra  $\mathcal{V}_X$  does not contain non-zero semisimple or nilpotent elements [6]. As a result it was not even clear how to approach the problem of classifying or at least constructing simple modules over  $\mathcal{V}_X$  for a general smooth variety  $X$ , since techniques of the classical representation theory of simple finite-dimensional Lie algebras cannot be used. Representations of  $\mathcal{V}_X$  when  $X$  is an affine space  $\mathbb{A}_{\mathbf{k}}^n$  were studied by Rudakov [23] but the classification problem of simple modules is still open (cf. [18], [22], [10]). The case of a sphere  $X = \mathbb{S}^2$  was treated in [7] (see also [6]). A new class of modules (tensor modules) was constructed, these are modules of tensor fields on a sphere. The main idea of [7] which goes back to [12] suggests that as a first step one needs to study the category of representations for the Lie algebra  $\mathcal{V}_X$  which admit a compatible action of the algebra  $A_X$  of polynomial functions on the variety  $X$ . This idea was successfully implemented in [5] in the case of an  $n$ -dimensional torus and led to the classification of simple weight modules with finite-dimensional weight spaces.

Developing ideas of [7] we introduce a category  $A\mathcal{V}\text{-Mod}$  of  $\mathcal{V}_X$ -modules with a compatible action of  $A_X$  for an arbitrary irreducible affine variety  $X$ . The main goal of the paper is the construction of two families of simple objects in  $A\mathcal{V}\text{-Mod}$ : gauge modules and Rudakov modules.

Rudakov modules  $R_p(U)$  are generalizations of certain induced modules over the Lie algebra of derivations of a polynomial ring studied by Rudakov [23]. These modules are associated with a point  $p \in X$  and a finite-dimensional representation  $U$  of the Lie algebra  $\mathfrak{L}_+$  of vector fields of non-negative degree on an affine space. Modules studied by Rudakov in [23] correspond to  $X = \mathbb{A}^n$ ,  $p = 0$  and simple modules  $U$ .

Gauge modules are generalizations of modules of tensor densities (or simply tensor modules) whose construction was inspired by non-abelian gauge theory. Tensor modules were the key objects in the classification theory for  $\mathcal{W}_n$  (modules of intermediate series in the case of  $\mathcal{W}_1$ ) [5]. The algebra of functions  $A_X$ , the algebra of vector fields  $\mathcal{V}_X$ , and the space of 1-forms are natural examples of tensor modules. Let  $h$  be a standard minor (non-zero  $r \times r$ -minor, where  $r$  is the rank of the matrix) of the Jacobian matrix of the defining ideal of  $X$ . Denote by  $A_{(h)}$  the localization of  $A$  by  $h$ . We define gauge  $A\mathcal{V}$ -modules as submodules of  $A_{(h)} \otimes U$  for each chart, where  $U$  is a finite-dimensional  $\mathfrak{L}_+$ -module, and the action of  $\mathcal{V}_X$  involves gauge fields  $\{B_i\}$ . We will say that such gauge modules are associated with  $U$ . The tensor modules defined in [7] are examples of gauge modules where the functions  $B_i$  are all zero. In particular, we obtain classical modules of tensor densities when  $X$  is the torus and  $\mathcal{W}_n$  is the derivation algebra of Laurent polynomials.

We expect a family of gauge modules to be quite large as indicated in the following conjecture.

**CONJECTURE 1:** *Every  $A\mathcal{V}_X$ -module that is finitely generated over  $A_X$  is a gauge module.*

Our main result is the following

**MAIN THEOREM:** *Let  $\mathbf{k}$  be an algebraically closed field of characteristic 0,  $X \subset \mathbb{A}_{\mathbf{k}}^n$  an irreducible affine variety of dimension  $s$ , and  $U$  a finite-dimensional simple  $\mathfrak{gl}_s(\mathbf{k})$ -module. Then*

- *The Rudakov module  $R_p(U)$  is a simple  $A\mathcal{V}$ -module for any non-singular point  $p \in X$ .*
- *If  $X$  is smooth, then any gauge  $A\mathcal{V}$ -module associated with  $U$  is simple.*

This result allows us to construct new families of simple  $A\mathcal{V}$ -modules. We note that the question of simplicity of restrictions of Rudakov and gauge modules to the Lie algebra  $\mathcal{V}_X$  remains open. We are going to address this question in a subsequent paper.

**ACKNOWLEDGEMENTS.** The present paper is based on the work conducted during the visit of Y.B. and J.N. to the University of São Paulo. This visit was partially supported through SPRINT grant funded by FAPESP (2016/50475-3) and by Carleton University. Y.B. and J.N. gratefully acknowledge the hospitality of the University of São Paulo. Y.B. acknowledges support from the

Natural Sciences and Engineering Research Council of Canada. V.F. was supported in part by a CNPq grant (304467/2017-0) and by an FAPESP grant (2014/09310-5). The authors are grateful to Christian Ortiz for useful discussions.

## Preliminaries

FUNCTIONS AND VECTOR FIELDS ON ALGEBRAIC VARIETIES. Our general setup follows the papers [6] and [7] where more details can be found. We reiterate the basics of the setup here.

Let  $X \subset \mathbb{A}^n$  be an irreducible affine algebraic variety over an algebraically closed field  $\mathbf{k}$  of characteristic zero, and let  $I_X = \langle g_1, \dots, g_m \rangle$  be the ideal of all functions that vanish on  $X$ . Let  $A_X := \mathbf{k}[x_1, \dots, x_n]/I_X$  be the algebra of polynomial functions on  $X$ . Denote by  $\mathcal{V}_X := \text{Der}_{\mathbf{k}}(A_X)$  the Lie algebra of polynomial vector fields on  $X$ . We shall often drop the subscripts and write just  $A$  and  $\mathcal{V}$  for  $A_X$  and  $\mathcal{V}_X$ . Note that  $\mathcal{V}$  is an  $A$ -module and that  $A$  is a left  $\mathcal{V}$ -module. We can give a more explicit description of the Lie algebra  $\mathcal{V}$  using Lie algebra  $W_n$  of vector fields on  $\mathbb{A}^n$ ,  $W_n := \text{Der}(\mathbf{k}[x_1, \dots, x_n])$ . It was shown in [6] that there is an isomorphism of Lie algebras:

$$\mathcal{V} \simeq \{\mu \in W_n \mid \mu(I_X) \subset I_X\} / \{\mu \in W_n \mid \mu(\mathbf{k}[x_1, \dots, x_n]) \subset I_X\}.$$

Alternatively, we can consider  $\mathcal{V}$  as a subalgebra of

$$\bigoplus_{i=1}^n A \frac{\partial}{\partial x_i}.$$

If we define a matrix  $J = (\frac{\partial g_i}{\partial x_j})_{i,j}$  and consider it as a map  $J : A^{\oplus n} \rightarrow A^{\oplus m}$ , then  $\sum_{i=1}^n f_i \frac{\partial}{\partial x_i} \in \mathcal{V}$  if and only if  $(f_1, \dots, f_n) \in \text{Ker } J$  [6].

Let  $r := \text{rank}_F J$  where  $F$  is the field of fractions of  $A$ , and let  $\{h_i\}$  be the non-zero  $r \times r$ -minors of  $J$ . Define charts  $N(h_i) := \{p \in X \mid h_i(p) \neq 0\}$ . If  $X$  is smooth, these charts cover  $X$  and we call this set of charts the **standard atlas** for  $X$ .

Recall from [7] that  $t_1, \dots, t_s \in A$  are called **chart parameters** in the chart  $N(h)$  if the following conditions hold:

- $t_1, \dots, t_s$  are algebraically independent over  $\mathbf{k}$ , so that  $\mathbf{k}[t_1, \dots, t_s] \subset A$ .
- Every  $f \in A$  is algebraic over  $\mathbf{k}[t_1, \dots, t_s]$ .
- The derivation  $\frac{\partial}{\partial t_i}$  of  $\mathbf{k}[t_1, \dots, t_s]$  extends uniquely to a derivation of the localized algebra  $A_{(h)}$ .

From these conditions it also follows that  $s = \dim X$  and that

$$\text{Der}(A_{(h)}) = \bigoplus_{i=1}^s A_{(h)} \frac{\partial}{\partial t_i};$$

see [7] for details. Since  $\mathcal{V} = \text{Der}(A) \subset \text{Der}(A_{(h)})$ , each vector field  $\eta$  has a unique representation  $\eta = \sum_{i=1}^s f_i \frac{\partial}{\partial t_i}$  for some  $f_i \in A_{(h)}$ . The **standard chart parameters** in  $N(h_i)$  are chosen to be the variables  $x_k$  such that the  $k$ -th column of  $J$  is not part of the minor  $h_i$ .

We recall the following result from [6, Section 3].

LEMMA 2: *Let  $t_1, \dots, t_s$  be standard chart parameters in the chart  $N(h)$ . Then  $h \frac{\partial}{\partial t_i} \in \mathcal{V}$  for all  $i$ .*

The following result was also stated in [6].

LEMMA 3: *Let  $t_1, \dots, t_s$  be standard chart parameters in the chart  $N(h)$ , and let  $p \in N(h)$ . Let  $\bar{t}_i = t_i - t_i(p)$ . Then  $\bar{t}_1, \dots, \bar{t}_s$  are local parameters at  $p$  in the classical sense of [25, Section 2.2.1].*

*Proof.* We need to show that  $\{\bar{t}_1, \dots, \bar{t}_s\}$  is a basis for  $\mathfrak{m}_p/\mathfrak{m}_p^2$ . Clearly,  $\bar{t}_i \in \mathfrak{m}_p$ . Since  $s = \dim X$ , it suffices to prove linear independence. Suppose that  $\sum_{i=1}^s c_i \bar{t}_i \in \mathfrak{m}_p^2$  for some  $c_i \in \mathbf{k}$ . Then  $d(\sum_{i=1}^s c_i \bar{t}_i) \in \mathfrak{m}_p$  for all derivations  $d \in \text{Der}A$ . Taking  $d = h \frac{\partial}{\partial t_k}$  we get  $h(p)c_k 1(p) = 0 \Leftrightarrow c_k = 0$  for all  $k$ , which shows that the set  $\{\bar{t}_1, \dots, \bar{t}_s\}$  is linearly independent in  $\mathfrak{m}_p/\mathfrak{m}_p^2$ , and thus it is a basis. ■

**$A\mathcal{V}$ -MODULES.** We shall study spaces  $M$  equipped with module structures over both the commutative unital algebra  $A$  and over the Lie algebra  $\mathcal{V}$  such that the two actions are compatible in the following sense:

$$\eta \cdot (f \cdot m) = \eta(f) \cdot m + f \cdot (\eta \cdot m)$$

for all  $\eta \in \mathcal{V}$ ,  $f \in A$ , and  $m \in M$ . Equivalently,  $M$  is a module over the smash product  $A \# \mathcal{U}(\mathcal{V})$ ; see [21] for details. For brevity we define  $A\mathcal{V} := A \# \mathcal{U}(\mathcal{V})$ . The category of  $A\mathcal{V}$ -modules is equipped with a tensor product: for  $A\mathcal{V}$ -modules  $M$  and  $N$ , the space  $M \otimes_A N$  is also an  $A\mathcal{V}$ -module, where we have

$$\eta \cdot (m \otimes n) := \eta \cdot m \otimes n + m \otimes \eta \cdot n$$

as usual; see [7, Section 2] for details.

The category of  $A\mathcal{V}$ -modules is also equipped with duals. First of all, for  $M \in A\mathcal{V}\text{-Mod}$  we define

$$M^* = \text{Hom}_{\mathbf{k}}(M, \mathbf{k})$$

to be the full dual space. Here a function  $f$  acts by  $(f \cdot \varphi)(m) := \varphi(f \cdot m)$ , and a vector field  $\eta$  acts by  $(\eta \cdot \varphi)(m) = -\varphi(\eta \cdot m)$ . These actions are compatible, so  $M^*$  is an  $A\mathcal{V}$ -module. If  $\mathcal{V}$  possesses an abelian *ad*-diagonalizable Cartan subalgebra  $\mathfrak{h}$ , we may also consider the **restricted dual** of  $M$ ; this is the submodule

$$\overline{M}^* := \bigoplus_{\lambda \in \mathfrak{h}^*} \text{Hom}_{\mathbf{k}}(M_\lambda, \mathbf{k})$$

of  $M^*$ , where  $M_\lambda$  is the weight subspace of  $M$  of weight  $\lambda$  with respect to  $\mathfrak{h}$ .

On the other hand, we also define

$$M^\circ := \text{Hom}_A(M, A).$$

We equip this space with the natural  $A$ -action  $(f \cdot \varphi)(m) = \varphi(f \cdot m)$ , and we define the action of a vector field  $\eta$  by

$$(\eta \cdot \varphi)(m) := -\varphi(\eta \cdot m) + \eta(\varphi(m)).$$

These actions are also compatible, so  $M^\circ$  is an  $A\mathcal{V}$ -module.

Duals and tensor products allow us to construct more  $A\mathcal{V}$ -modules. In particular, the module of 1-forms may be defined as  $\Omega_X^1 = \mathcal{V}_X^\circ$ .

*Example 4:* Let  $X = \mathbb{S}^1$  be the circle. Here  $A = \mathbf{k}[t, t^{-1}]$  and  $\mathcal{V}$  is spanned by  $\{e_k\}_{k \in \mathbb{Z}}$  where  $e_k = t^{k+1} \frac{\partial}{\partial t}$ . For each  $\alpha \in \mathbf{k}$  we have an  $A\mathcal{V}$ -module  $\mathfrak{F}_\alpha$  spanned by  $\{v_s\}_{s \in \mathbb{Z}}$  where the action is given by

$$t^k \cdot v_s = v_{s+k} \quad \text{and} \quad e_k \cdot v_s = (s + \alpha k) v_{k+s}.$$

In this setting we get the following relation between the different duals:

$$\mathfrak{F}_\alpha^\circ \simeq \mathfrak{F}_{-\alpha} \quad \text{and} \quad \overline{\mathfrak{F}}_\alpha^* \simeq \mathfrak{F}_{1-\alpha}.$$

**FILTRATION OF  $\mathcal{V}$ .** Fix a standard chart  $N(h)$  with chart parameters  $t_1, \dots, t_s$  and fix a point  $p$  in this chart. Write  $\mathfrak{m}_p$  for the maximal ideal in  $A$  consisting of functions that vanish at  $p$ . For  $l \geq -1$ , define  $\mathcal{V}(l) := \{\eta \in \mathcal{V} \mid \eta(A) \in \mathfrak{m}_p^{l+1}\}$ . Then we have a filtration of subalgebras

$$\mathcal{V} = \mathcal{V}(-1) \supset \mathcal{V}(0) \supset \mathcal{V}(1) \supset \dots,$$

with  $[\mathcal{V}(l), \mathcal{V}(k)] \subset \mathcal{V}(l+k)$  for  $l+k \geq -1$ . This also shows that for  $l \geq 0$ ,  $\mathcal{V}(l)$  is an ideal of  $\mathcal{V}(0)$ . To simplify notation we shall sometimes write  $\mathcal{V}_+$  for  $\mathcal{V}(0)$ .

LEMMA 5: We have  $\mathcal{V}(l) = \mathfrak{m}_p^{l+1}\mathcal{V}$ .

*Proof.* It is clear that  $\mathfrak{m}_p^{l+1}\mathcal{V} \subset \mathcal{V}(l)$ . For the reverse inclusion, take  $\eta \in \mathcal{V}(l)$  and express it as

$$\eta = \sum_{i=1}^s f_i \frac{\partial}{\partial t_i}.$$

Then by the definition of  $\mathcal{V}(l)$  we have  $hf_k = h\eta(t_k) \in \mathfrak{m}_p^{l+1}$  for each  $k$ . Hence  $f_k \in \mathfrak{m}_p^{l+1}$  as  $h(p) \neq 0$ . Since  $h\frac{\partial}{\partial t_i} \in \mathcal{V}$  we have  $h\eta \in \mathfrak{m}_p^{l+1}\mathcal{V}$ .

But we also have  $(h - h(p))^{l+1}\eta \in \mathfrak{m}_p^{l+1}\mathcal{V}$ . Expanding this and using the fact that  $h\eta \in \mathfrak{m}_p^{l+1}\mathcal{V}$  we also get  $h(p)^{l+1}\eta \in \mathfrak{m}_p^{l+1}\mathcal{V}$ . Since  $h(p) \neq 0$  we finally have  $\eta \in \mathfrak{m}_p^{l+1}\mathcal{V}$  which completes the proof. ■

## Rudakov modules

Let  $p$  be a non-singular point of  $X$  and let  $\{t_1, \dots, t_s\}$  be the standard chart parameters centered at  $p$ , i.e.,  $t_1(p) = \dots = t_s(p) = 0$ . In other words, given standard chart parameters  $x_{j_1}, \dots, x_{j_s}$ , we take  $t_i = x_{j_i} - x_{j_i}(p)$ .

Write  $\mathfrak{L}$  for the algebra of polynomial derivations,

$$\mathfrak{L} = \bigoplus_{i=1}^s \mathbf{k}[X_1, \dots, X_s] \frac{\partial}{\partial X_i}.$$

If  $Q$  is a monomial of degree  $d$ , we define the degree of the derivation  $Q \frac{\partial}{\partial X_i}$  to be  $d - 1$ . For  $l \geq -1$ , let  $\mathfrak{L}(l)$  be the subalgebra of  $\mathfrak{L}$  consisting of derivations with no terms of degree less than  $l$ . We shall usually write  $\mathfrak{L}_+$  for  $\mathfrak{L}(0)$ . This concept of degrees also extends to the Lie algebra

$$\hat{\mathfrak{L}} := \text{Der}(\mathbf{k}[[X_1, \dots, X_s]]) = \bigoplus_{i=1}^s \mathbf{k}[[X_1, \dots, X_s]] \frac{\partial}{\partial X_i},$$

and we have filtrations

$$\mathfrak{L} \supset \mathfrak{L}_+ \supset \mathfrak{L}(1) \supset \mathfrak{L}(2) \supset \dots$$

and

$$\hat{\mathfrak{L}} \supset \hat{\mathfrak{L}}_+ \supset \hat{\mathfrak{L}}(1) \supset \hat{\mathfrak{L}}(2) \supset \dots$$

Consider the embedding  $\mathcal{V} \subset \hat{\mathfrak{L}}$  with  $t_i \mapsto X_i$  discussed in [6, Section 3]. It follows from Lemma 5 that in this embedding we have  $\mathcal{V}(l) = \hat{\mathfrak{L}}(l) \cap \mathcal{V}$ .

LEMMA 6: (a) For any element  $\mu \in \mathfrak{L}$  whose terms all have degree less than  $N$ , there exists  $\eta \in \mathcal{V}$  such that

$$\eta = \mu + \text{ terms of degree } \geq N.$$

(b) There is an isomorphism of Lie algebras

$$\mathcal{V}_+/\mathcal{V}(l) \simeq \mathfrak{L}_+/\mathfrak{L}(l).$$

(c) In particular, we have

$$\mathcal{V}_+/\mathcal{V}(1) \simeq \mathfrak{gl}_s(\mathbf{k}).$$

*Proof.* For part (a) it is sufficient to show that for every  $N \in \mathbb{N}$  there exists  $\eta \in \mathcal{V}$  such that

$$\eta = \frac{\partial}{\partial X_i} + \text{ terms of degree } \geq N.$$

Then the claim of (a) will follow since such vector fields may be multiplied by a polynomial in  $X_1, \dots, X_s$ .

To construct  $\eta$  we first take  $h \frac{\partial}{\partial X_i} \in \mathcal{V}$ . Since  $h(p) \neq 0$ , the power series for  $h$  is invertible, and we can write

$$h^{-1} = q_N + \text{ terms of degree } > N,$$

with  $q_N \in \mathbf{k}[X_1, \dots, X_s] \subset A$ . Then  $\eta = q_N h \frac{\partial}{\partial X_i}$  will have the desired form.

Part (b) is an immediate consequence of (a), and part (c) follows from the fact that  $\mathfrak{L}_+/\mathfrak{L}(1) \simeq \mathfrak{gl}_s(\mathbf{k})$ . ■

Let  $U$  be a finite-dimensional  $\mathfrak{L}_+$ -module. By the discussion after [3, Lemma 2], there exists  $l \in \mathbb{N}$  such that

$$\mathfrak{L}(l)U = (0),$$

hence  $U$  is an  $\mathfrak{L}_+/\mathfrak{L}(l)$ -module. The isomorphism of Lemma 6(b) defines a  $\mathcal{V}_+$ -module structure on  $U$  such that  $\mathcal{V}(l)U = (0)$ . We also define an  $A$ -action on  $U$  by evaluation:  $f \cdot u := f(p)u$  for  $f \in A$  and  $u \in U$ . Note that  $\mathfrak{m}_p U = (0)$ . For  $\eta \in \mathcal{V}_+$  we have

$$\eta \cdot (f \cdot u) = f(p)\eta \cdot u = f \cdot (\eta \cdot u) + \eta(f) \cdot u,$$

since  $\eta(f) \in \mathfrak{m}_p$ . This shows that the two actions are compatible and that  $U$  is in fact an  $A \# \mathcal{U}(\mathcal{V}_+)$ -module.

The **Rudakov module**  $R_p(U)$  is defined as an induced module

$$R_p(U) := A\#\mathcal{U}(\mathcal{V}) \otimes_{A\#\mathcal{U}(\mathcal{V}_+)} U.$$

*Remark 7:* The special case when  $X = \mathbb{A}^n$ ,  $p = 0$ , and  $U$  is a simple  $\mathfrak{gl}_n$ -module was studied by Rudakov in [23]. The corresponding module  $R_0(U)$  was shown to be simple as a  $W_n$ -module whenever  $R_0(U)$  does not appear in the de Rham complex.

**THEOREM 8:** *Let  $U$  be a finite-dimensional simple  $\mathcal{V}_+/\mathcal{V}(1) \simeq \mathfrak{gl}_s(\mathbf{k})$ -module, and let  $p$  be a non-singular point of  $X$ . Then the corresponding Rudakov module  $R_p(U)$  is a simple  $A\mathcal{V}_X$ -module.*

To prove this theorem we need some preliminary results.

We define a chain of subspaces in the Rudakov module by  $R_0 := 1 \otimes U$  and  $R_{i+1} := R_i + \mathcal{V} \cdot R_i$ . We also let  $R_i := (0)$  for  $i < 0$ . This gives a filtration

$$R_0 \subset R_1 \subset R_2 \subset \cdots \quad \text{with } \bigcup_{i=0}^{\infty} R_i = R_p(U).$$

**LEMMA 9:** *We have*

- (a)  $\mathfrak{m}_p R_l \subset R_{l-1}$ ,
- (b)  $\mathcal{V}(j) R_l \subset R_{l-j}$  for all  $j$ .

*Proof.* We proceed to prove these claims by induction on  $l$ . We first prove (a). For  $l = 0$ , claim (a) obviously holds. For the inductive steps we note that

$$\mathfrak{m}_p R_{l+1} \subset \mathfrak{m}_p R_l + \mathcal{V} \mathfrak{m}_p R_l + [\mathfrak{m}_p, \mathcal{V}] R_l.$$

Here the two first terms on the right side lie in  $R_l$ , and since  $[\eta, f] = \eta(f)$  in the algebra  $A\#\mathcal{U}(\mathcal{V})$ , the third term also lies in  $A R_l = (\mathbf{k} \oplus \mathfrak{m}_p) R_l = R_l$ . Thus claim (a) holds by induction.

For claim (b) we first consider the base case  $l = 0$ . Since  $\mathcal{V}(1)U = 0$  and  $\mathcal{V}(0)U \subset U$ , the base case is trivially true for  $j \geq 0$ . For  $j = -1$ , the base case holds by definition of the sequence  $R_l$ .

For the induction step we assume that for a fixed  $l$  and for all  $j \geq -1$  we have  $\mathcal{V}(j) R_l \subset R_{l-j}$ , and we compute

$$\begin{aligned} \mathcal{V}(j) R_{l+1} &\subset \mathcal{V}(j) R_l + \mathcal{V}(j) \mathcal{V} R_l \subset \mathcal{V}(j) R_l + \mathcal{V} \mathcal{V}(j) R_l + [\mathcal{V}(j), \mathcal{V}] R_l \\ &\subset \mathcal{V}(j) R_l + \mathcal{V} R_{l-j} + \mathcal{V}(j-1) R_l \subset R_{(l+1)-j}, \end{aligned}$$

and claim (b) also follows by induction. ■

**COROLLARY 10:** *Both  $\mathfrak{m}_p$  and  $\mathcal{V}(1)$  act locally nilpotent on  $R_p(U)$ .*

**Remark 11:** It follows from the previous Corollary that for any  $v \in R_p(U)$  the space  $Av$  is finite-dimensional. Hence the Rudakov module is not finitely generated as an  $A$ -module.

**PROPOSITION 12:** *Let  $U$  be a finite-dimensional  $\mathfrak{L}_+$ -module and let  $R_p(U)$  be the corresponding Rudakov module. For any non-zero  $v \in R_p(U)$  we have*

$$Av \cap (1 \otimes U) \neq (0).$$

*Proof.* Pick  $l$  such that  $v \in R_l$ . Consider the following elements of  $\mathcal{V}$ , given by Lemma 6(a):

$$\eta_i = \frac{\partial}{\partial X_i} + \text{ terms of degree } \geq l.$$

Then  $\{\eta_1, \dots, \eta_s\}$  is a basis of the space  $\mathcal{V}/\mathcal{V}_+$ . Note that for any  $w \in R_{l-2}$  we have  $\eta_i \eta_j w = \eta_j \eta_i w$  since  $[\eta_i, \eta_j]w \in \mathcal{V}(l-1)R_{l-2} \subset R_{-1} = (0)$ . Using the Poincaré–Birkhoff–Witt theorem and this commutativity relation we may write

$$(1) \quad v = \sum_{i=1}^{\dim U} P_i(\eta_1, \dots, \eta_s) u_i,$$

where  $P_i(\eta_1, \dots, \eta_s)$  are polynomials of degree  $\leq l$ , and  $\{u_i\}$  is a basis of  $U$ .

We claim that

$$(2) \quad t_k \cdot v = - \sum_{i=1}^{\dim U} \left( \frac{\partial}{\partial \eta_k} P_i(\eta_1, \dots, \eta_s) \right) u_i.$$

Indeed,  $t_k u_i = 0$  and  $[t_k, \eta_i] = -\eta_i(t_k) = -\delta_{i,k} + a$  where  $a \in \mathfrak{m}_p^{l+1}$  and by Lemma 9(a) we have  $aR_l = 0$ .

Now choose a polynomial  $P$  among  $\{P_i\}$  with maximal degree  $d$ , and let  $\eta_1^{r_1} \cdots \eta_s^{r_s}$  be a monomial occurring in  $P$  with non-zero coefficient and with  $\sum r_i = d$ . Then the above discussion shows that  $Av$  contains the non-zero element

$$t_1^{r_1} \cdots t_s^{r_s} \cdot v \in 1 \otimes U.$$

This completes the proof. ■

We are now ready to prove the main theorem of this section.

PROOF OF THEOREM 8. To establish the simplicity of  $R_p(U)$  we need to show that every non-zero vector  $v \in R_p(U)$  generates  $R_p(U)$  as an  $A\mathcal{V}$ -module. By Proposition 12, the  $A$ -submodule generated by  $v$  contains a non-zero vector  $u \in U$ . Since  $U$  is a simple  $\mathcal{V}_+$ -module, the  $A\mathcal{V}$ -submodule generated by  $v$  contains  $1 \otimes U$ . By construction of the Rudakov module  $1 \otimes U$  generates  $R_p(U)$ . This completes the proof of the theorem.

We end this section with a result on isomorphisms of Rudakov modules.

THEOREM 13: *Let  $U, U'$  be two  $\mathfrak{L}_+$ -modules and  $p, p'$  be non-singular points on  $X$ . Rudakov modules  $R_p(U)$  and  $R_{p'}(U')$  are isomorphic as  $A\mathcal{V}$ -modules if and only if  $p = p'$  and  $U \cong U'$ .*

*Proof.* Let us assume that  $R_p(U)$  and  $R_{p'}(U')$  are isomorphic as  $A\mathcal{V}$ -modules. By Corollary 10,  $\mathfrak{m}_p$  acts locally nilpotently on  $R_p(U)$ . If  $p \neq p'$ , then  $1 \in \mathfrak{m}_p + \mathfrak{m}_{p'}$  and  $\mathfrak{m}_{p'}$  does not act locally nilpotently on  $R_p(U)$ . Hence we must have  $p = p'$ .

We claim that

$$\{v \in R_p(U) \mid \mathfrak{m}_p v = (0)\} = 1 \otimes U.$$

To prove this claim we choose  $v \in R_l$  and, as in the proof of Proposition 12, we expand  $v$  as in (1). Note that  $\eta_1^{k_1} \dots \eta_s^{k_s} u_i$  with  $k_1 + \dots + k_s \leq l$  are linearly independent by the Poincaré–Birkhoff–Witt theorem and form a basis of  $R_l$ . Our claim then follows immediately from (2).

We conclude that an isomorphism map  $R_p(U) \rightarrow R_p(U')$  must map  $1 \otimes U$  to  $1 \otimes U'$ , and this must be an isomorphism of  $U$  and  $U'$  as  $\mathfrak{L}_+$ -modules. ■

## Gauge modules

We use notation of the previous sections. Let  $h$  be a non-zero  $r \times r$ -minor in the Jacobian matrix  $J$ , where  $r = \text{rank}_F J$ , and let  $(U, \rho)$  be a finite-dimensional  $\mathfrak{L}_+$ -module.

**Definition 14:** The functions  $B_i : A_{(h)} \otimes U \rightarrow A_{(h)} \otimes U$ ,  $1 \leq i \leq s$ , are called **gauge fields** if

- (i) each  $B_i$  is  $A_{(h)}$ -linear,
- (ii)  $[B_i, \rho(\mathfrak{L}_+)] = 0$ ,
- (iii)  $[\frac{\partial}{\partial t_i} + B_i, \frac{\partial}{\partial t_j} + B_j] = 0$  as operators on  $A_{(h)} \otimes U$  for all  $1 \leq i, j \leq s$ .

LEMMA 15: Let  $(U, \rho)$  be a finite-dimensional  $\mathfrak{L}_+$ -module and let  $\{B_i\}$  be gauge fields,  $1 \leq i \leq s$ . Then the space  $A_{(h)} \otimes U$  is an  $A_{(h)}\text{Der}A_{(h)}$ -module with the following action of  $\text{Der}A_{(h)} = \bigoplus_{i=1}^s A_{(h)} \frac{\partial}{\partial t_i}$ :

$$(3) \quad \left( f \frac{\partial}{\partial t_i} \right) \cdot (g \otimes u) = f \frac{\partial g}{\partial t_i} \otimes u + g f B_i (1 \otimes u) + \sum_{k \in \mathbb{Z}_+^s \setminus \{0\}} \frac{1}{k!} g \frac{\partial^k f}{\partial t^k} \otimes \rho \left( X^k \frac{\partial}{\partial X_i} \right) u,$$

where  $g \in A_{(h)}$ ,  $u \in U$ , and  $k! = \prod_{i=1}^s k_i!$  for  $k = (k_1, \dots, k_s)$ . Note that the sum on the right is finite.

The proof of this lemma is a direct computation and we leave it as an exercise to the reader.

Identifying the Lie algebra vector fields  $\mathcal{V}$  with its natural embedding into  $\text{Der}A_{(h)}$ , we immediately obtain

LEMMA 16: Together with the natural left  $A$ -action, the  $\mathcal{V}$ -action (3) above equips  $A_{(h)} \otimes U$  with the structure of an  $A\mathcal{V}$ -module.

Definition 17: An  $A\mathcal{V}$ -submodule of a module  $A_{(h)} \otimes U$ , which is finitely generated as an  $A$ -module, will be called a **local gauge module**.

Remark 18: Note that simple  $\mathfrak{L}_+$ -modules  $U$  correspond to simple modules over  $\mathfrak{L}_+/\mathfrak{L}(1) \simeq \mathfrak{gl}_s$ . In this case, the third term in (3) takes the simpler form

$$\sum_{k=1}^s g \frac{\partial f}{\partial t_k} \otimes E_{ki} \cdot u.$$

If we additionally take all  $B_i$  as zero, we recover the  $A\mathcal{V}$ -modules studied in [7].

Definition 19: We shall say that an  $A\mathcal{V}$ -module  $M$  is a **gauge module** if it is isomorphic to a local gauge module for each chart  $N(h)$  in our standard atlas.

The conjecture from the introduction states that any module in the category  $A\mathcal{V}\text{-Mod}$  which is finitely generated over  $A$  is a gauge module.

EXAMPLE: GAUGE MODULES OF RANK ONE ON THE SPHERE. In this section we prove some further results about gauge modules in the case of the sphere. It turns out that the class of gauge modules is wider than the set of tensor modules constructed in the paper [7].

Let  $X = \mathbb{S}^2 \subset \mathbb{A}^3$  be given by the equation  $x^2 + y^2 + z^2 = 1$ . We also use the notations  $(x_1, x_2, x_3) = (x, y, z)$ . The Lie algebra  $\mathcal{V}_{\mathbb{S}^2}$  of vector fields

on the sphere is generated by  $\Delta_{12}$ ,  $\Delta_{23}$ , and  $\Delta_{31}$  as an  $A$ -module, where  $\Delta_{ij} = x_j \frac{\partial}{\partial x_i} - x_i \frac{\partial}{\partial x_j}$ . These generators satisfy the relation

$$x_1 \Delta_{23} + x_2 \Delta_{31} + x_3 \Delta_{12} = 0.$$

Consider the chart  $N(z)$  where  $t_1 = x$  and  $t_2 = y$  are chart parameters. Let  $U = \text{span}(u_\alpha)$  be the one-dimensional  $\mathfrak{gl}_2$ -module where the identity matrix acts as  $\alpha$ . Taking  $B_1 = B_2 = 0$ , we obtain an  $A\mathcal{V}$ -module structure on the space  $A_{(z)} \otimes u_\alpha$ . These modules coincide with those constructed in the paper [7] where it was shown that for  $\alpha \in \mathbb{Z}$ , the space

$$\mathfrak{F}_\alpha^z := z^{-\alpha} A \otimes u_\alpha \subset A_{(z)} \otimes u_\alpha$$

is a proper  $A\mathcal{V}$ -submodule which is free of rank 1 over  $A$ .

The analogous construction goes through for the other two standard charts  $N(x)$  and  $N(y)$  yielding the modules  $\mathfrak{F}_\alpha^x$  and  $\mathfrak{F}_\alpha^y$ . As discussed in [7], these three modules are isomorphic via chart transformation maps. For example, the isomorphism  $\mathfrak{F}_\alpha^z \rightarrow \mathfrak{F}_\alpha^x$  is given by

$$f \otimes u_\alpha \mapsto (\frac{z}{x})^\alpha f \otimes u_\alpha.$$

We write just  $\mathfrak{F}_\alpha$  for this chart-independent version of the module; this is what was called a **tensor module** in [7].

Now,  $\mathfrak{F}_\alpha^z$  is isomorphic to  $A \otimes u_\alpha$  as vector spaces via the map

$$A \otimes u_\alpha \rightarrow \mathfrak{F}_\alpha^z \quad f \otimes u_\alpha \mapsto z^{-\alpha} f \otimes u_\alpha.$$

This correspondence lets us transfer the  $A\mathcal{V}$ -module structure of  $\mathfrak{F}_\alpha$  to  $A \otimes u_\alpha$ .

This module structure on  $A \otimes u_\alpha$  in fact coincides with the local gauge module structure on  $A \otimes u_\alpha$  in the chart with  $h = z$  as defined in Lemma 16, but where we now have  $B_1 = B_x = -\alpha x z^{-2}$  and  $B_2 = B_y = -\alpha y z^{-2}$ . However, in this gauge module setting,  $\alpha$  is no longer required to be an integer, and we obtain a larger class of gauge modules  $\{\mathfrak{F}_\alpha \mid \alpha \in \mathbf{k}\}$ . It turns out that the action can be expressed more simply in a chart-independent way as described in the following theorem.

**THEOREM 20:** *For each  $\alpha \in \mathbf{k}$  we have a  $\mathcal{V}_{\mathbb{S}^2}$ -action on the space  $\mathfrak{F}_\alpha = A \otimes u_\alpha$  given by*

$$(f \Delta_{ij}) \cdot (g \otimes u_\alpha) = f \Delta_{ij}(g) \otimes u_\alpha + \alpha g \Delta_{ij}(f) \otimes u_\alpha.$$

*Together with the natural  $A$ -action,  $\mathfrak{F}_\alpha$  is a simple  $A\mathcal{V}$ -module which is isomorphic to a gauge module in the sense of Lemma 16 above.*

*Proof.* The formula for the action is an easy computation and follows from the discussion above. The fact that  $\mathfrak{F}_\alpha$  is simple follows from the following section. ■

**EXAMPLE: GAUGE MODULES OF RANK ONE ON AN AFFINE SPACE.** Let us consider gauge modules of rank one on an affine space  $\mathbb{A}^n$ . Irreducible finite-dimensional  $\mathfrak{L}_+$ -modules are just  $\mathfrak{gl}_n$ -modules, and 1-dimensional modules are those where matrix  $A$  acts as multiplication by  $\alpha \operatorname{tr}(A)$  for some fixed  $\alpha \in \mathbf{k}$ . Then the action of the Lie algebra of polynomial vector fields on a gauge module  $M = \mathbf{k}[x_1, \dots, x_n] \otimes U_\alpha$  with  $U_\alpha = \mathbf{k}u_\alpha$  may be written as

$$f \frac{\partial}{\partial x_i} (g \otimes u_\alpha) = f \frac{\partial g}{\partial x_i} \otimes u_\alpha + fg B_i \otimes u_\alpha + \alpha g \frac{\partial f}{\partial x_i} \otimes u_\alpha,$$

where the gauge fields  $B_1, \dots, B_n \in \mathbf{k}[x_1, \dots, x_n]$  satisfy

$$\frac{\partial B_i}{\partial x_j} = \frac{\partial B_j}{\partial x_i}$$

for all  $1 \leq i, j \leq n$ . The last condition means that the differential form  $B_1 dx_1 + \dots + B_n dx_n$  is closed, and since the de Rham cohomology of  $\mathbb{A}^n$  is trivial, there exists a function  $G \in \mathbf{k}[x_1, \dots, x_n]$  such that  $B_i = \frac{\partial G}{\partial x_i}$ . In this case we can interpret  $M$  as a module  $M = e^G \mathbf{k}[x_1, \dots, x_n] \otimes U_\alpha$  with the action

$$f \frac{\partial}{\partial x_i} (g \otimes u_\alpha) = f \frac{\partial g}{\partial x_i} \otimes u_\alpha + \alpha g \frac{\partial f}{\partial x_i} \otimes u_\alpha,$$

where  $g$  now is a product of a polynomial with the function  $e^G$ .

**SIMPLICITY OF GAUGE MODULES.** Let  $X \subset \mathbb{A}^n$  be an irreducible algebraic variety of dimension  $s$ . Fix a chart  $N(h)$  in the standard atlas, and let  $t_1, \dots, t_s$  be chart parameters.

**PROPOSITION 21:** *Let  $M$  be an  $A\mathcal{V}$ -submodule of  $A_{(h)} \otimes U$ , where  $U$  is a finite-dimensional  $\mathfrak{gl}_s$ -module with weight basis*

$$\{u_k \mid k \in \Gamma\}.$$

*Then for  $\sum_{k \in \Gamma} g_k \otimes u_k \in M$  we also have  $\sum_{k \in \Gamma} (hg_k \otimes E_{ij} \cdot u_k) \in M$  for all  $1 \leq i, j \leq s$ . In other words,  $M$  is invariant under the operators  $h \otimes E_{ij}$  on  $A_{(h)} \otimes U$ .*

*Proof.* It suffices to prove the statement for a single term  $g \otimes u$ . For each vector field  $\mu \in \mathcal{V}$  and for each function  $f \in A$  we have

$$(f\mu) \cdot (g \otimes u) - f(\mu \cdot (g \otimes u)) \in M.$$

Taking  $f = t_i$  and  $\mu = h \frac{\partial}{\partial t_j}$  we obtain the desired element in  $M$ :

$$\begin{aligned} \left( t_i h \frac{\partial}{\partial t_j} \right) \cdot (g \otimes u) - t_i \left( h \frac{\partial}{\partial t_j} \cdot (g \otimes u) \right) &= \sum_{q=1}^s h g \frac{\partial t_i}{\partial t_q} \otimes E_{qj} \cdot u \\ &= h g \otimes E_{ij} \cdot u. \quad \blacksquare \end{aligned}$$

Note that minor  $h$  defining the chart  $N(h)$  gives rise to a filtration of  $A_{(h)} \otimes U$ :

$$\cdots \subset h^{k+1} A \otimes U \subset h^k A \otimes U \subset h^{k-1} A \otimes U \subset \cdots.$$

*Definition 22:* Let  $M$  be an  $A\mathcal{V}$ -submodule of  $A_{(h)} \otimes U$ .

- We say that  $M$  is **bounded** if  $M \subset h^j A \otimes U$  for some  $j$ .
- We say that  $M$  is **dense** if  $M \supset h^k A \otimes U$  for some  $k$ .

Note that  $M$  is bounded if and only if  $M$  is finitely generated as an  $A$ -module, since  $A$  is noetherian.

**PROPOSITION 23:** *Let  $U$  be a finite-dimensional simple  $\mathfrak{gl}_s$ -module. Then every non-zero  $A\mathcal{V}$ -submodule of  $A_{(h)} \otimes U$  is dense.*

*Proof.* Let  $M \subset A_{(h)} \otimes U$  be a non-zero submodule. Let

$$I = \{f \in A \mid f(A \otimes U) \subset M\}.$$

Then  $I$  is an ideal of  $A$ . To show that  $M$  is dense we need to show that  $h^N \in I$  for some  $N$ .

Let  $\Gamma$  be a weight basis for  $U$ . Let  $v \in M$  and write this element in the form  $v = \sum_{k \in \Gamma} f_k \otimes u_k$  with  $f_k \in A_{(h)}$ , in fact we shall assume that  $f_k \in A$  (otherwise just multiply  $v$  by a power of  $h$ ).

Fix an index  $k_0$  such that  $f_{k_0}$  is non-zero. The Jacobson density theorem implies that for each  $k \in \Gamma$  there exists  $w_k \in \mathcal{U}(\mathfrak{gl}_s)$  such that  $w_k u_{k_0} = u_k$  and  $w_k u_i = 0$  for  $i \neq k_0$ . Fix an ordering among the  $E_{ij}$  and express  $w_k$  in the corresponding PBW-basis and let  $r$  be the highest length of terms occurring in this expression of  $w_k$ . For products in  $\mathcal{U}(\mathfrak{gl}_s)$  of length  $t$  where  $0 \leq t \leq r$ , define the correspondence

$$E_{i_1 j_1} \cdots E_{i_t j_t} \mapsto h^{r-t} (h \otimes E_{i_1 j_1}) \cdots (h \otimes E_{i_t j_t}).$$

Here the right side is viewed as an element of  $\text{End}_{\mathbf{k}}(M)$  in accordance with Proposition 21. Then by construction the element corresponding to  $w_k$  maps  $v$  to  $h^r f_0 \otimes u_k$ . Here  $f_0 := f_{k_0}$  and  $r$  depends on  $k$ . Letting  $N$  be the maximum of the  $r$ -values we conclude that  $h^N f_0 \otimes u_k \in M$  for all  $k \in \Gamma$ , which means that  $h^N f_0(A \otimes U) \subset M$  and  $h^N f_0 \in I$ .

We now aim to apply Hilbert's Nullstellensatz to the function  $h$ . Fix  $p \in N(h)$ . We need to show that there exists  $f \in I$  with  $f(p) \neq 0$ . We had already found  $h^N f_0 \in I$  so if  $f_0(p) \neq 0$  we are done. Otherwise, let  $K$  be a positive integer such that  $h^K B_i(U) \subset A \otimes U$  for all  $i$  and consider the element  $h \frac{\partial}{\partial t_i} (h^{N+K} f_0 \otimes u_k) \in M$ . This expands as

$$(N+K) f_0 h^{N+K} \frac{\partial h}{\partial t_i} \otimes u_k + h^{N+K+1} \frac{\partial f_0}{\partial t_i} \otimes u_k + h^{N+K+1} f_0 B_i(u_k) + h^{N+K} f_0 \sum_{q=1}^s \frac{\partial h}{\partial t_q} \otimes E_{qi} \cdot u_k.$$

Now the first, third, and fourth terms lie in

$$h^N f_0(A \otimes U) \subset M,$$

so we also get  $h^{N+K+1} \frac{\partial f_0}{\partial t_i} \otimes u_k \in M$  for all  $i$ . This shows that we may replace  $f_0$  by  $\frac{\partial f_0}{\partial t_i}$  in the argument.

There is some product  $d$  of derivations with  $d(f_0)(p) \neq 0$ . So acting repeatedly with vector fields of form  $h \frac{\partial}{\partial t_i}$  as above we eventually obtain  $h^S d(f_0) \in I$  for some large enough  $S$ , and  $h^S d(f_0)$  is non-zero at  $p$ . Thus for every point  $p \in N(h)$  we have found a function in  $I$  which is non-zero at  $p$ . Thus we have shown the contrapositive of the following statement:  $h(p) = 0$  whenever  $p$  is a common zero for  $I$ . By Hilbert's Nullstellensatz this implies that  $h^N \in I$  for some  $N$ , which in turn means that  $M$  is dense. ■

**COROLLARY 24:** *Let  $A_{(h)} \otimes U$  be an  $A\mathcal{V}$ -module as in Lemma 16, where  $U$  is a simple  $\mathfrak{gl}_s$ -module. Then there exists at most one simple  $A\mathcal{V}$ -submodule of  $A_{(h)} \otimes U$ .*

*Proof.* Let  $M$  and  $M'$  be simple submodules in  $A_{(h)} \otimes U$ . By Proposition 23 both modules are dense, so they both contain  $h^N A \otimes U$  for sufficiently large  $N$ . Thus  $M \cap M'$  is a non-zero submodule of both  $M$  and  $M'$  so by simplicity we must have  $M = M'$ . ■

**THEOREM 25:** *Let  $X$  be a smooth irreducible affine algebraic variety and let  $M$  be a gauge module which corresponds to a simple finite-dimensional  $\mathfrak{gl}_s$ -module  $U$ . Then  $M$  is a simple  $A\mathcal{V}$ -module.*

*Proof.* Let  $M'$  be a non-zero submodule of  $M$  and define

$$I = \{f \in A \mid fM \subset M'\}.$$

Then  $I$  is an ideal and it does not depend on the chart we use. Let  $\{h_i\}$  be the standard minors giving our atlas for  $X$ . Proposition 23 implies that there exist natural numbers  $\{k_i\}$  such that  $h_i^{k_i} \in I$  for all  $i$ . But since  $X = \bigcup_i N(h_i)$ , for each  $p \in X$  we have  $h_i(p) \neq 0$  for some index  $i$ . But then the set of common zeros is empty, and Hilbert's weak Nullstellensatz gives  $1 \in I$  [25]. In view of the definition of  $I$  this says that  $M = M'$ . ■

Theorem 8 and Theorem 25 imply our Main Theorem.

### Pairing between gauge modules and Rudakov modules

Let  $M$  be an  $A\mathcal{V}$ -module which is finitely generated over  $A$ , and let  $p$  be a non-singular point of  $X$ . Define  $U := M/\mathfrak{m}_p M$ .

**LEMMA 26:** *The space  $U$  is an  $A\#\mathcal{U}(\mathcal{V}_+)$ -module.*

*Proof.* We first verify that  $\mathfrak{m}_p M$  is a  $\mathcal{V}_+$ -submodule of  $M$ . Let  $\mu \in \mathcal{V}_+$ ,  $f \in \mathfrak{m}_p$ , and  $m \in M$ . Since  $M$  is an  $A\mathcal{V}$ -module we have

$$\mu \cdot (f \cdot m) = \mu(f) \cdot m + f \cdot (\mu \cdot m).$$

Here  $\mu(f) \in \mathfrak{m}_p$  by the definition of  $\mathcal{V}_+$ , so the right side is clearly in  $\mathfrak{m}_p M$ . But  $\mathfrak{m}_p M$  is also an  $A$ -submodule of  $M$ . Thus  $\mathfrak{m}_p M$  is an  $A\#\mathcal{U}(\mathcal{V}_+)$ -submodule of  $M$ , and so is the quotient  $U = M/\mathfrak{m}_p M$ . ■

Note that  $U$  is an evaluation module over  $A$ : we have  $f \cdot u = f(p)u$ .

**LEMMA 27:** *The module  $U$  is finite-dimensional.*

*Proof.* Let  $u_1, \dots, u_k$  generate  $M$  over  $A$ . Then any  $m \in M$  can be expressed as  $m = f_1 u_1 + \dots + f_k u_k$  for some  $f_i \in A$ . But then  $\bar{m} = f_1(p)u_1 + \dots + f_k(p)u_k$  in the quotient  $U$ , which shows that the images of the  $u_i$  span  $U$ . ■

Let  $U^* = \text{Hom}_{\mathbf{k}}(U, \mathbf{k})$  be the dual space of  $U$ . This is an  $A\mathcal{V}_+$ -module with the standard dual actions of  $A$  and of  $\mathcal{V}_+$ .

Write  $\langle -, - \rangle$  for the natural pairing  $U \times U^* \rightarrow \mathbf{k}$  where  $\langle u, \varphi \rangle = \varphi(u)$ . This pairing satisfies the following compatibility conditions for the actions of  $f \in A$  and of  $\eta \in \mathcal{V}_+$ :

$$\begin{aligned}\langle u, f \cdot \varphi \rangle &= \langle f \cdot u, \varphi \rangle = f(p) \langle u, \varphi \rangle, \\ \langle u, \eta \cdot \varphi \rangle &= -\langle \eta \cdot u, \varphi \rangle.\end{aligned}$$

Define a map  $\tau: A\#\mathcal{U}(\mathcal{V}_+) \rightarrow A\#\mathcal{U}(\mathcal{V}_+)$  by requiring  $\tau|_A = \text{id}$  and  $\tau|_{\mathcal{V}_+} = -\text{id}$ . Then  $\tau$  extends uniquely to an anti-involution of  $A\#\mathcal{U}(\mathcal{V}_+)$ . Then for  $w \in A\#\mathcal{U}(\mathcal{V}_+)$  we have

$$\langle w \cdot u, \varphi \rangle = \langle u, \tau(w) \cdot \varphi \rangle.$$

Now consider the canonical projection  $\pi: M \rightarrow U$  of  $A\mathcal{V}_+$  modules. This gives rise to an  $A\mathcal{V}_+$ -morphism of the duals:  $\pi^*: U^* \rightarrow M^*$ . Consider the Rudakov module corresponding to the  $A\mathcal{V}_+$ -module  $U^*$ :

$$R_p(U^*) = A\#\mathcal{U}(\mathcal{V}) \otimes_{A\#\mathcal{U}(\mathcal{V}_+)} U^*.$$

**PROPOSITION 28:** *The canonical  $A\mathcal{V}_+$ -homomorphism  $\pi^*: U^* \rightarrow M^*$  extends uniquely to an  $A\mathcal{V}$ -homomorphism  $\bar{\pi}^*: R_p(U^*) \rightarrow M^*$ .*

*Proof.* This follows by the adjunction between induction and restriction:

$$\begin{aligned}\text{Hom}_{A\mathcal{V}_+}(U^*, M^*) &\simeq \text{Hom}_{A\mathcal{V}_+}(U^*, \text{Res}_{A\mathcal{V}_+}^{A\mathcal{V}} M^*) \\ &\simeq \text{Hom}_{A\mathcal{V}}(\text{Ind}_{A\mathcal{V}_+}^{A\mathcal{V}} U^*, M^*) \simeq \text{Hom}_{A\mathcal{V}}(R(U^*), M^*).\end{aligned}\blacksquare$$

We summarize the results of the present section.

**THEOREM 29:** *Let  $X$  be an algebraic variety and let  $p$  be a non-singular point on  $X$ . Let  $M$  be an  $A\mathcal{V}_X$ -module which is finitely generated over  $A$ . Define  $U := M/\mathfrak{m}_p M$  and let*

$$R_p(U^*) = A\#\mathcal{U}(\mathcal{V}) \otimes_{A\#\mathcal{U}(\mathcal{V}_+)} U^*$$

*be the corresponding Rudakov module. Then there is a natural pairing between the modules  $M$  and  $R_p(U^*)$  given by*

$$\langle m, r \rangle = \bar{\pi}^*(r)(m),$$

*where  $\bar{\pi}^*$  is the canonical extension of the morphism  $\pi^*: U^* \rightarrow M^*$  to  $R_p(U^*)$ . This pairing satisfies*

$$\langle f \cdot m, r \rangle = \langle m, f \cdot r \rangle \quad \text{and} \quad \langle \eta \cdot m, r \rangle = -\langle m, \eta \cdot r \rangle$$

for all  $f \in A$ ,  $\eta \in \mathcal{V}$ ,  $m \in M$ , and  $r \in R_p(U^*)$ . Equivalently, we have

$$\langle w \cdot m, r \rangle = \langle m, \tau(w) \cdot r \rangle$$

for all  $w \in A \# \mathcal{U}(\mathcal{V})$ , where  $\tau$  is the natural anti-involution on  $A \# \mathcal{U}(\mathcal{V})$ .

## References

- [1] A. A. Belavin, A. M. Polyakov and A. B. Zamolodchikov, *Infinite conformal symmetry in two-dimensional quantum field theory*, Nuclear Physics. B **241** (1984), 333–380.
- [2] Y. Billig, *A category of modules for the full toroidal Lie algebra*, International Mathematics Research Notices (2006), Article no. 68395.
- [3] Y. Billig, *Jet modules*, Canadian Journal of Mathematics **59** (2007), 712–729.
- [4] Y. Billig and V. Futorny, *Representations of Lie algebra of vector fields on a torus and chiral de Rham complex*, Transactions of the American Mathematical Society **366** (2014), 4697–4731.
- [5] Y. Billig and V. Futorny, *Classification of irreducible representations of Lie algebra of vector fields on a torus*, Journal für die Reine und Angewandte Mathematik **2016** (2016), 199–216.
- [6] Y. Billig and V. Futorny, *Lie algebras of vector fields on smooth affine varieties*, Communications in Algebra **46** (2018), 3413–3429.
- [7] Y. Billig and J. Nilsson, *Representations of the Lie algebra of vector fields on a sphere*, Journal of Pure and Applied Algebra **223** (2019), 3581–3593.
- [8] E. Cartan, *Sur la structure des groupes des groupes de transformations finis et continus*, Thesis, Université de Paris, 1894.
- [9] E. Cartan, *Les groupes de transformations continus, infinis, simples*, Annales Scientifiques de l’École Normale Supérieure **26** (1909), 93–161.
- [10] A. Cavaness and D. Grantcharov, *Bounded weight modules of the Lie algebra of vector fields on  $\mathbb{C}^2$* , Journal of Algebra and its Applications **16** (2017), Article no. 1750236.
- [11] S. Eswara Rao, *Irreducible representations of the Lie-algebra of the diffeomorphisms of a  $d$ -dimensional torus*, Journal of Algebra **182** (1996), 401–421.
- [12] S. Eswara Rao, *Partial classification of modules for Lie algebra of diffeomorphisms of  $d$ -dimensional torus*, Journal of Mathematical Physics **45** (2004), 3322–3333.
- [13] D. Jordan, *On the ideals of a Lie algebra of derivations*, Journal of the London Mathematical Society **33** (1986), 33–39.
- [14] D. Jordan, *On the simplicity of Lie algebras of derivations of commutative algebras*, Journal of Algebra, **228** (2000), 580–585.
- [15] W. Killing, *Die Zusammensetzung der stetigen endlichen Transformationsgruppen*, Mathematische Annalen **33** (1889), 1–48.
- [16] I. M. Krichever and S. P. Novikov, *Algebras of Virasoro type, Riemann surfaces and structures of the theory of solitons*, Functional Analysis and its Applications **21** (1987), 126–142.
- [17] T. A. Larsson, *Conformal fields: A class of representations of Vect( $N$ )*, International Journal of Modern Physics. A **7** (1992), 6493–6508.

- [18] G. Liu, R. Lu and K. Zhao, *Irreducible Witt modules from Weyl modules and  $\mathfrak{gl}_n$ -modules*, Journal of Algebra **511** (2018), 164–181.
- [19] O. Mathieu, *Classification of Harish-Chandra modules over the Virasoro algebra*, Inventiones Mathematicae **107** (1992), 225–234.
- [20] V. Mazorchuk and K. Zhao, *Supports of weight modules over Witt algebras*, Proceedings of the Royal Society of Edinburgh **141** (2011), 155–170.
- [21] S. Montgomery, *Hopf Algebras and their Actions on Rings*, CBMS Regional Conference Series in Mathematics, Vol. 82, American Mathematical Society, Providence, RI, 1993.
- [22] I. Penkov and V. Serganova, *Weight representations of the polynomial Cartan type Lie algebras  $W_n$  and  $S_n$* , Mathematical Research Letters **6** (1999), 397–416.
- [23] A. N. Rudakov, *Irreducible representations of infinite-dimensional Lie algebras of Cartan type*, Izvestiya Akademii Nauk SSSR. Seriya Matematicheskaya **8** (1974), 836–866.
- [24] M. Schlichenmaier, *From the Virasoro algebra to Krichever–Novikov type algebras and beyond*, in *Harmonic and Complex Analysis and its Applications*, Trends in Mathematics, Birkhäuser/Springer, Cham, 2014, pp. 325–358.
- [25] I. R. Shafarevich, *Basic Algebraic Geometry. Vol. 1*, Springer, Heidelberg, 2013.
- [26] G. Shen, *Graded modules of graded Lie algebras of Cartan type. I. Mixed products of modules*, Scientia Sinica. Series A **29** (1986), 570–581.
- [27] T. Siebert, *Lie algebras of derivations and affine algebraic geometry over fields of characteristic 0*, Mathematische Annalen **305** (1996), 271–286.
- [28] A. Tsuchiya, K. Ueno and Y. Yamada, *Conformal field theory on universal family of stable curves with gauge symmetries*, in *Integrable Systems in Quantum Field Theory and Statistical Mechanics*, Advanced Studies in Pure Mathematics, Vol. 19, Academic Press, Boston, MA, 1989, pp. 459–566.