

RT-MAP-8107

EMBEDDING OF TREES

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A sequence  $P=(v_1, v_2, \dots, v_n)$  of distinct vertices of  $VG$  is called a *path* if  $v_i v_{i+1} \in EG$  for all  $1 \leq i \leq n-1$ . The *length* of this path is  $n-1$ . If, furthermore,  $v_n v_1 \in EG$  then we have a *cycle* of length  $n$  which will be denoted by  $[v_1, v_2, \dots, v_n]$ . A cycle of length  $|VG|$  is called *hamiltonian*.

A vertex  $v$  of a graph  $G$  is called a *cut-vertex* of  $G$  if the number of components of the graph obtained from  $G$  by removing the vertex  $v$ , is greater than the number of components of  $G$ .

An *isomorphism* between two graphs  $G$  and  $G'$  is a one-to-one mapping  $\phi: VG \rightarrow VG'$  such that  $xy \in EG$  if and only if  $\phi(x)\phi(y) \in EG'$ . If  $\phi$  is an isomorphism between  $G$  and a subgraph of  $G'$  then we say that  $\phi$  is an *embedding* of  $G$  in  $G'$ . In this case  $G$  is said to be *embeddable* in  $G'$ .

The following result, which can be easily proved, will be used in the next two sections.

LEMMA 1 - If  $G$  is a graph with  $|EG| > |VG|(k-1)/2$  then  $G$  has a vertex  $v$  such that  $d(v) \geq k$ .

## SECTION 1

In this section we prove the validity of the conjecture by Erdős and Sós [1] when  $k = |VG| - 1$ .

The following definition and lemma will be used in the next theorem.

Given a subgraph  $T'$  of a tree  $T$ , we say that  $T'$  is a

pending tree of  $T$  if  $|VT'| = 3$ ,  $|ET'| = 2$  and there is a vertex  $v \in VT'$  such that any edge in  $ET \setminus ET'$  incident to  $VT'$  is incident to  $v$ . In some cases we use the notation  $v$ -pending tree.

LEMMA 2 - If  $T$  is a tree with at least 2 edges then  $T$  has a pending tree.

PROOF - Let  $P = (v_1, v_2, \dots, v_n)$  be a path of maximum length in  $T$ . Clearly,  $n \geq 3$ . If  $d(v_{n-1}) = 2$  then

$$T' = (\{v_{n-2}, v_{n-1}, v_n\}, \{v_{n-2}v_{n-1}, v_{n-1}v_n\})$$

is a pending tree of  $T$ . Otherwise, there is a vertex  $x \in VT, x \neq v_i$  ( $1 \leq i \leq n$ ) such that  $v_{n-1}x \in ET$ .

Observe that  $d(x) = 1$ , otherwise  $T$  would have a path of length greater than  $n-1$ , a contradiction. In this case,

$$T' = (\{v_{n-1}, x, v_n\}, \{v_{n-1}x, v_{n-1}v_n\})$$

is a pending tree of  $T$ . ■

THEOREM 1 - Let  $G$  be a graph with  $|VG| = k+1$  and  $T$  a tree with  $k$  edges. If  $|EG| > |VG|(k-1)/2$  then  $T$  is embeddable in  $G$ .

PROOF - By induction on  $k$ .

If  $G$  is a complete graph the result is obvious. Suppose therefore that  $G$  is not complete.

It is easy to see that the theorem is true for  $k \leq 2$ .

By the induction hypothesis, given a tree  $\hat{T}$  with  $j$  edges,  $j < k$ , and a graph  $\hat{G}$  such that

$$|V\hat{G}| = j+1 \quad \text{and} \quad |E\hat{G}| > |V\hat{G}|(j-1)/2,$$

$\bar{T}$  is embeddable in  $\hat{G}$ .

Let us define a graph  $G'$  and a tree  $T'$ , from  $G$  and  $T$ , respectively.

(a) Definition of  $G'$ :

Since  $G$  is not complete, there is a vertex  $x \in VG$  such that  $d(x) < k$ .

By lemma 1, there is a vertex  $y \in VG$  such that  $d(y) \geq k$ . As  $d(v) \leq k$  for all  $v \in VG$ , it follows that  $d(y) = k$ .

Let  $G'$  be the graph obtained from  $G$  by removing the vertices  $x$  and  $y$ .

Observe that:

- i)  $|VG'| = k-1$
- ii)  $|EG'| = |EG| - d(x) - d(y) + 1 \geq |EG| - 2k + 2 > (k-1)(k-3)/2$   
 $= |VG'| (k-3)/2$

(b) Definition of  $T'$ :

By lemma 2,  $T$  has a  $v$ -pending tree  $\bar{T}$ .

Let  $T'$  be the tree obtained from  $T$  by removing the vertices of  $V\bar{T} \setminus \{v\}$ .

Observe that:

- i)  $|VT'| = k-1$
- ii)  $|ET'| = k-2$

By the induction hypothesis, there is an embedding  $\phi'$  of  $T'$  in  $G'$ .

Let us define now an embedding  $\phi$  of  $T$  in  $G$ .

First consider the case where  $\bar{T} = (\{v,u,w\}, \{vu, uw\})$ .

Let  $\phi: VT \rightarrow VG$  such that

$$\phi(z) = \begin{cases} y & \text{if } z=u \\ x & \text{if } z=w \\ \phi'(z), & \text{otherwise.} \end{cases}$$

Since  $\phi'$  is an embedding of  $T'$  in  $G'$  and the edges  $xy$  and  $\phi(v)y \in EG \setminus EG'$ , it follows that  $\phi$  is an embedding of  $T$  in  $G$ .

Consider now the case where  $\bar{T} = (\{v,u,w\}, \{vu, vw\})$ .

Let  $\phi: VT \rightarrow VG$  such that:

$$\phi(z) = \begin{cases} y & \text{if } z = v \\ x & \text{if } z = w \\ \phi'(v) & \text{if } z = u \\ \phi'(z) & \text{otherwise.} \end{cases}$$

As  $d(y)=k$ , the restriction of  $\phi$  to  $VT'$  is an embedding of  $T'$  in the subgraph obtained from  $G$  by removing the vertices  $x$  and  $\phi'(v)$ .

Since the edges  $yx$  and  $y\phi'(v) \in EG \setminus EG'$ , it follows that  $\phi$  is an embedding of  $T$  in  $G$ . ■

## SECTION 2

In this section we prove that under the assumptions of Erdős and Sós' conjecture,  $G$  contains any tree with  $k$  edges that has a path of length greater than  $k-2$ .

First, we present two results due to G.A. Dirac [2], which will be used in the proof of the next proposition.

LEMMA 3 - Let  $G$  be a graph. IF  $d(v) \geq k \geq 2$  for all  $v \in VG$ , and if  $|VG| \leq 2k$ , then  $G$  has a hamiltonian cycle.

LEMMA 4 - Let  $G$  be a graph with no cut-vertex. If  $d(v) \geq k \geq 2$  for all  $v \in VG$ , and if  $|VG| \geq 2k$  then  $G$  contains a cycle of length at least  $2k$ .

The proposition below is a particular case of a result due to P. Erdős & T. Gallai [3].

PROPOSITION - Let  $G=(VG,EG)$  be a connected graph containing no cycle of length greater than  $k-1$ ,  $k \geq 3$ . Then  $|EG| \leq (|VG|-1)(k-1)/2$ .

PROOF - By induction on  $|VG|$ . If  $|VG|=1$  the result is obvious.

If  $1 < |VG| < k$  then  $|EG| \leq (|VG|-1)|VG|/2 = (|VG|-1)(k-1)/2$ .

Suppose  $|VG| \geq k$ . We will consider two cases:

1)  $G$  has a cut-vertex.

Let  $G'_1, G'_2, \dots, G'_p$  ( $p \geq 2$ ) be the components obtained from  $G$  by removing a cut-vertex  $v$ . Let  $G_i$ ,  $1 \leq i \leq p$ , be graphs

such that:

$$VG_i = VG'_i \cup \{v\}$$

$$EG_i = EG'_i \cup \{wv \in EG \text{ such that } w \in VG'_i\}$$

Let  $|VG_i| = n_i$ ,  $1 \leq i \leq p$ . Then  $n_1 + n_2 + \dots + n_{p-1} = |VG|$  and

$n_i < |VG|$ ,  $1 \leq i \leq p$ . Since each  $G_i$  does not contain cycles of length greater than  $k-1$ , by the induction hypothesis:

$$\begin{aligned} |EG| &= |EG_1| + |EG_2| + \dots + |EG_p| \leq \\ &\leq ((n_1-1) + (n_2-1) + \dots + (n_p-1))(k-1)/2 = (|VG|-1)(k-1)/2. \end{aligned}$$

ii)  $G$  has no cut-vertex.

We show that  $G$  has a vertex  $v$  such that  $d(v) \leq (k-1)/2$ .

Suppose that such a vertex does not exist. We have the cases:

1)  $k = 2j$

In this case  $d(x) \geq j$  for all  $x \in VG$ .

Since  $|VG| \geq 2j$ , applying lemma 4, we conclude that  $G$  has a cycle of length at least  $k$ , a contradiction.

2)  $k = 2j+1$ .

In this case  $d(x) \geq j+1$  for all  $x \in VG$ .

Since  $|VG| \geq 2j+1$  either  $|VG| = 2j+1$  or  $|VG| \geq 2j+2$ . In both cases we obtain a contradiction, using lemma 3 and lemma 4, respectively.

Let  $G_1$  be the graph obtained from  $G$  by removing the vertex  $v$ .

Since  $G_1$  does not contain cycles of length greater than  $k-1$  by the induction hypothesis,  $|EG_1| \leq (|VG|-2)(k-1)/2$ . Thus

$$|EG| = d(v) + |EG_1| \leq (k-1)/2 + (|VG|-2)(k-1)/2 = (|VG|-1)(k-1)/2.$$

The proof of the proposition is concluded. ■

**COROLLARY** - Given a connected graph  $G$ , if  $|EG| > |VG|(k-1)/2$ ,  $k \geq 3$ , then  $G$  contains a cycle of length at least  $k$ .

**THEOREM 2-** Let  $G$  be a graph such that  $|EG| > |VG| (k-1)/2$ . Then  $G$  contains any tree with  $k$  edges that has a path of length greater than  $k-2$ .

**PROOF -** By contradiction.

Let  $G'$  be a graph with the smallest number of vertices such that  $|EG'| > |VG'| (k-1)/2$  and  $G'$  does not satisfy the theorem.

Suppose  $G'$  is not connected. Let  $G'_1, G'_2, \dots, G'_p, p \geq 2$ , be the components of  $G'$ . If  $|EG'_i| \leq |VG'_i| (k-1)/2$  for all  $1 \leq i \leq p$ , then

$|EG'| \leq |VG'| (k-1)/2$ . Therefore for some  $i, 1 \leq i \leq p, |EG'_i| > |VG'_i| (k-1)/2$ .

But this contradicts the choice of  $G'$ . Thus  $G'$  is connected.

If  $0 \leq k < 3$  the theorem is trivially true. Suppose therefore that  $k \geq 3$ . By the corollary,  $G'$  contains a cycle  $C = [v_1, v_2, \dots, v_n]$  such that  $n \geq k$ .

*Case 1- C is not hamiltonian.*

Since  $G'$  is connected, there is a vertex  $w \in VG'$  not in  $C$ ,  $w$  adjacent to a vertex of  $C$ . In this case, it is immediate that  $G'$  contains any tree with  $k$  edges that has a path of length greater than  $k-2$ . Contradiction.

*Case 2- C is hamiltonian.*

By lemma 1,  $C$  contains a vertex  $v$  such that  $d(v) \geq k$ . But then  $n \geq k+1$ .

Let  $T$  be a tree with  $k$  edges that has a path of length greater than  $k-2$ .

If  $T$  is a path, clearly  $T$  is embeddable in  $G'$ , a contradiction.

If  $T$  is not a path then  $T$  has a path  $P = (x_1, x_2, \dots, x_k)$  which contains a vertex  $x_i, 1 < i < k$ , such that  $d(x_i) = 3$ .

It is easy to find an embedding  $\phi'$  of  $P$  in  $C$  such that  $\phi'(x_i) = v$ .

Since  $d(v) \geq k$ , there is a vertex  $w$  in  $C$  which does not belong to the image of  $\phi'$  and such that  $wv \in EG'$ .

Therefore, from  $\phi'$  we can obtain an embedding  $\phi$  of  $T$  in  $G'$ , a contradiction.

~~REMARK~~ - It is easy to verify that the conjecture is true for  $k \leq 5$ .

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