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Authors: Marucci, Rosangela Cristina, Freitas, Larah Martins, Santos-Rasera, Joyce Ribeiro, Alves, DeJane Santos, Carvalho, Geraldo Andrade, et al.

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Are cerium oxide nanoparticles transferred from plants to the aphid *Myzus persicae* (Hemiptera: Aphididae)?

Rosangela Cristina Marucci^{1,*}, Larah Martins Freitas¹,
Joyce Ribeiro Santos-Rasera², Deiane Santos Alves³,
Geraldo Andrade Carvalho¹, and Hudson Wallace Pereira de Carvalho^{2,*}

Abstract

In the last 20 yr, the production of nanoparticles has increased, although their effects on organisms and the environment are not well understood. This research evaluated the transfer of cerium oxide (nano-CeO₂) nanoparticles in a terrestrial trophic chain formed by the producer *Nicandra physaloides* (L.) Gaertn. (Solanaceae) and a primary consumer, green peach aphid, *Myzus persicae* (Sulzer) (Hemiptera: Aphididae), a generalist insect pest. *Nicandra physaloides* plants were treated by foliar spraying with nano-CeO₂ (25 nm) aqueous suspensions (1, 10, 100, and 1,000 mg Ce L⁻¹) and fed to the green peach aphid (*M. persicae*). The survival and fecundity of insects were evaluated. Microprobe X-ray fluorescence spectroscopy was used to verify the presence of Ce in plants and insects. It was possible to verify Ce in the oral cavity and digestive system of aphids fed on leaves previously treated with nano-CeO₂ (1,000 mg CeL⁻¹). Despite the transfer of Ce in this terrestrial trophic chain, the nanoparticles did not reduce survival and fecundity of aphids.

Key Words: nanotechnology; Solanaceae; insect; trophic transfer; X-ray fluorescence spectroscopy

Resumo

Nas últimas décadas, a produção de nanopartículas tem aumentado; entretanto, seus efeitos em organismos e no meio ambiente ainda não são bem compreendidos. A transferência de nanopartículas de óxido de cério (Nano-CeO₂) em uma cadeia trófica terrestre, formada pelo produtor *Nicandra physaloides* (L.) Gaertn. (Solanaceae) e pelo consumidor primário, inseto-praga generalista, *Myzus persicae* (Sulz.) (Hemiptera, Aphididae), foi avaliada nesse trabalho. Plantas de *N. physaloides* foram submetidas a tratamento via pulverização foliar com suspensão aquosa de nano-CeO₂, 25 nm (1, 10, 100, e 1.000 mg Ce L⁻¹) e empregadas para alimentação do pulgão verde (*M. persicae*). Empregando-se microanálise por espectroscopia de fluorescência de raios-X foi possível constatar a presença de Ce nas plantas e insetos. Assim, o Ce foi observado na cavidade oral e sistema digestivo dos pulgões que se alimentaram das folhas previamente tratadas com nano-CeO₂ (1.000 mg CeL⁻¹). Apesar da transferência de Ce nessa cadeia trófica, não foi constatada redução na sobrevivência e fecundidade dos afídeos.

Palavras Chave: nanotecnologia; Solanaceae; inseto; transferência trófica; espectroscopia por fluorescência de raios-X

In the last 20 years, there has been great development and use of nanotechnology in the electronics, automotive, energy, medicine, and agricultural sectors (Paschoalino et al. 2010; Vance et al. 2015). Several academic studies suggest that nanoCuO, nanoZnO, nanoCeO₂, and nano TiO₂ can be used in fertilization by seed treatment (Duran et al. 2017), or broadcast on foliage and soil (Raliya et al. 2018). Additionally, they also may be applied as pesticides (Khot et al. 2012).

Several efforts have been made to assess the fate of nanomaterials during and after the life cycle of the products. One of the difficulties in assessing the impacts of released nanoparticles regards its transformation along the lifecycle. During production, the materials are kept in a controlled environment, but once incorporated they can undergo reactions such as abrasion, combustion, etching, and photochemical change. Therefore, the pristine nanomaterials can yield different ones (Mitrano et al. 2015).

Although the models may diverge in points such as the concentration and chemical nature of released nanomaterials, they agree that the nanoparticles eventually might be found in the environment (Dwivedi et al. 2015; Ju-Nam & Lead 2016; Campolo et al. 2017). This means that they can be airborne, reach water in-streams, or be adsorbed to soil colloids, and therefore interact with plants and animals.

Studies using pristine nanomaterials, such as the one presented in this paper, are important from a mechanistic point of view, and they represent the first step towards more complex investigations.

Once in the environment, nanomaterials can be absorbed, accumulated, or transformed by living organisms such as bacteria, plants, and animals (Maurer-Jones et al. 2013). Because new physical-chemical properties occur at nanoscale (Talapin & Shevchenko 2016; Xu et al. 2018), the way in which nanomaterials affect these living organisms might be considerably different than their bulk counterparts. There-

¹Universidade Federal de Lavras, Department of Entomology, Lavras, 37200-000, Minas Gerais, Brazil; E-mail: rosangelac.marucci@ufla.br (R. C. M.); larahmf@hotmail.com (L. M. F.); gacarval@ufla.br (G. A. C.)

²Universidade de São Paulo, Centre for Nuclear Energy in Agriculture, Piracicaba, 13400-970, São Paulo, Brazil; E-mail: joyceribeiro123@gmail.com (J. R. S. R.); hudson@cena.usp.br (H. W. P. C.)

³Universidade Tecnológica Federal do Paraná, COBIO, Santa Helena, 85892-000, Goiás, Paraná, Brazil; E-mail: dejanealves@utfpr.edu.br (D. S. A.)

*Corresponding authors; E-mail: rosangelac.marucci@ufla.br; hudson@cena.usp.br

fore, specific studies aimed at investigating the effects of nanomaterials on living organisms are necessary to ensure their sustainable usage.

Together with nano ZnO, CuO, and Ag, CeO₂ is one the most-investigated nanomaterials. Due to its low redox potential and scratch resistance, it is used largely in catalysis (Montini et al. 2016) and as an abrasive (Dan et al. 2014). The application of metal oxide nanoparticles in agriculture is still at the research level. The results show that depending on the dose and nanoparticle physical-chemical features, the effects can be beneficial. Studies report increased seed germination rate (Duran et al. 2017), seedling development (Duran et al. 2018), biomass production (Raliya & Tarafdar 2013), and grain yield (Kottegoda et al. 2017).

On the other hand, previous investigations have shown that CeO₂ can be toxic to plants (Priester et al. 2012). Because nano-CeO₂ can be absorbed by roots and transported to plant shoots (Gomez-Garay et al. 2014) and grains (Hernandez-Viezcás et al. 2013), they potentially can be transferred to animals through herbivory.

Although the interaction between nano-CeO₂ and plants has been investigated, there is little information on effects of nano-CeO₂ on insects. Hawthorne et al. (2014) found Ce transfer from zucchini, *Cucurbita pepo* L. (Cucurbitaceae), plants to house crickets, *Acheta domestica* L. (Orthoptera: Gryllidae), and further transfer from these crickets to spiders (Lycosidae). Majumdar et al. (2016) recently showed that Ce accumulated in the leaves of *Phaseolus vulgaris* L. (Fabaceae) can be transferred to Mexican bean beetle, *Epilachna varivestis* Mulsant (Coleoptera: Coccinellidae). Both studies indicated that most of the Ce ingested by the insects was excreted (about 98% of Ce consumed by the beetle); however, nearly 5-fold biomagnification was observed when the Mexican bean beetles were preyed upon by spined soldier bugs, *Podisus maculiventris* (Say) (Heteroptera: Pentatomidae).

The questions remain: (i) can CeO₂ nanoparticles be transferred from plants to piercing-sucking insects? and (ii) is there any toxic or behavioral effect to insects that feed on plants exposed to nano-CeO₂?

We used green peach aphid, *Myzus persicae* (Sulz.) (Hemiptera: Aphididae), as a model system in this work because of ease of rearing and handling. Additionally, it is a polyphagous species that infests hundreds of species from 40 plant families (Blackman & Eastop 2007), is an effective vector of phytopathogens, and has worldwide distribution (Blackman & Eastop 2000; Malais & Ravensberg 2003). In addition, this sucking insect feeds on the sap of the plants, which makes it a possible target for exposure to contaminated plants, and an indicator of the transfer of nanoparticles.

Nicandra physaloides (L.) Pers. (Solanaceae) was selected as a model plant because it is widely distributed, fast growing, produces sufficient aerial biomass to feed primary consumers, and is fed upon by green peach aphid. The primary objective of this study was to investigate the possible transfer of nano-CeO₂ across 2 trophic levels in a terrestrial food chain, using *N. physaloides* plants as a producer, and green peach aphid, *M. persicae*, as a representative piercing-sucking herbivore.

Materials and Methods

NANOPARTICLE CHARACTERISTICS AND SUSPENSION PREPARATION

The nano-CeO₂ was purchased from MK Nano (Toronto, Ontario, Canada) in powder form. The supplier indicated that particles are 25 nm wide and 99.9% pure. The particles were dispersed in deionized water with a probe sonic dismembrator (Model 705, Fisher Scientific, Pittsburgh, Pennsylvania, USA) at 95 watts, 50 Joules amplitude for 2

cycles of 3 min each, and interval of 30 s each cycle, yielding a stock dispersion at 1,000 mg Ce L⁻¹. Transmission electron microscopy images for CeO₂ were acquired to determined particle size and shape. A CeO₂ aqueous dispersion was prepared in deionized water at 1,000 mg Ce L⁻¹. The images were recorded using a JEM-1011 transmission electron microscope (Carl Zeiss AG, Oberkochen, Germany) operating at 60 Kv with the scales of the electromicrographs printed directly.

DYNAMIC LIGHT SCATTERING

The particle size in the aqueous dispersion was determined by dynamic light scattering. The measurements were performed using a Zetasizer Nano (Malvern Instruments, Malvern, Worcestershire, United Kingdom). In addition to the stock dispersion, we also measured dispersions at 100, 10, and 1 mg Ce L⁻¹.

PLANTS

Nicandra physaloides seeds were obtained from Universidade Federal de Lavras, Lavras, Minas Gerais State, Brazil. They were sown in 3 L pots containing a mixture of field collected soil (3 parts red Latosol soil plus 1 part cattle manure). The plants were maintained in a greenhouse and watered daily. They were used in the bioassays after 35 d, when the plants were about 50 cm in height.

INSECTS

Green peach aphids, *M. persicae*, were obtained from a colony at the Entomology Department of Universidade Federal de Lavras, Lavras, Minas Gerais State, Brazil. The insects were reared on leaves of sweet pepper, *Capsicum annum* L. (Solanaceae), placed under a layer of 1% agar-water in Petri dishes (15 cm diam), and kept at 22 ± 1 °C, 70 ± 10% RH, and 12:12 h (L:D) photoperiod. The sweet pepper leaves were changed 3 times per wk. To obtain insects of the same age, 5 adult females were transferred to each Petri dish, where they remained for 48 h. Females were removed, and first and second instars (48-h-old) of the same generation were used in the bioassays.

TROPHIC TRANSFER BIOASSAY

The foliage of *Nicandra physaloides* plants was sprayed with the treatments using hand sprayers. Nano-CeO₂ aqueous suspensions (1, 10, 100, and 1,000 mg Ce L⁻¹) were prepared by sonication as described above. We used distilled water in the dilutions of the suspensions, and in the negative control to simulate an exposure via irrigation water. The volume of spray applied per plant was approximately 5 mL, sufficient volume not to cause discharge (run-off). Five plants were used per treatment.

Four h after the plants were sprayed, first and second instar aphids were transferred to the plants. The insects were confined in acrylic cages (27 mm diam × 10 mm height) fixed on young upper leaves. Ten nymphs were transferred to each plant, 5 on each of 2 leaves.

The bioassay was maintained in a growth chamber (Fitotron - Eletrolab®, Piracicaba, São Paulo, Brazil) with 14:10 h (L:D) photoperiod, 24 ± 2 °C day and night temperature, and 60 ± 10% RH. The pots were watered daily, and aphid survival was evaluated daily. The total number of nymphs per female was counted from d 3 to 10 after the beginning of the bioassays. The number of nymphs was determined by dividing the number of nymphs in each cage by the number of females that survived in the respective cage.

All nymphs were removed from the cages daily and transferred to Petri dishes (15 cm diam) containing the leaves of *N. physaloides* taken from the same plant that fed the adult females. The leaves were placed

under a layer of 1% agar-water. After 3 to 4 d, the nymphs of this generation reached adulthood.

The aphids from each replicate that remained until the end of the test were stored in microcentrifuge tubes and frozen. The leaves of *N. physaloides* plants were pressed and dried until they were scanned by microprobe X-ray fluorescence spectroscopy.

CERIUM DETECTION

The spatial distribution of Ce was determined using micro-probe X-ray fluorescence spectroscopy (μ -XRF, EDAX equipment, Orbis PC, Mahwah, New Jersey, USA). Leaf samples and aphids were put on a sample holder consisting of a cuvette covered with 7.5 μ m polyamide film. X-rays were generated by a rhodium anode operating at 30 kV and 700 μ A. All maps were recorded using a 32 \times 25 matrix of pixels. For the aphids, the beam size was focused on the sample yielding a spot size of 30 μ m and 250 μ m thick. An aluminum primary filter was used to improve the signal to noise ratio. The dwell time for grouped aphids was 10 s and for a single individual 6 s.

For the leaf maps, the aluminum filter was not used; they were recorded with dwell times of 2 s and 3 s for large (1 mm beam) and small (30 μ m beam) areas, respectively. The calculation of instrumental threshold for maps, which is equivalent to the limit of detection, is presented elsewhere (Rodrigues et al. 2018). In this study, only the count rates values above 10 σ of the mean background were considered signals.

STATISTICAL ANALYSIS

The survival data of the insects were submitted to survival analysis with the Weibull model, with the Survival package (Therneau 2018) in software R® (R Development Core Team 2018). In addition, the Kolmogorov-Smirnov adhesion test was performed, in order to verify the fit of the data to the model. The data referring to the number of nymphs were analyzed by non-parametric Kruskal-Wallis analysis by the Pgirmess package (Giraudoux 2018) in the software R® (R Development Core Team 2018).

Results

BIOLOGICAL EFFECTS IN APHIDS

Nano-CeO₂ did not reduce the survival of *M. persicae* in our experiments. The mean survival ranged between 93.9 and 98.0% ($\chi^2 = 1.18$; df = 4; $P = 0.88$) (Table 1) for all nano-CeO₂ concentrations, and in the negative control. The adherence test of Kolmogorov-Smirnov indicated that data fit to the model ($D = 0.083$; $P = 0.3752$). This finding shows

that the application of different concentrations of nano-CeO₂ in the *N. physaloides* plants did not cause mortality to the aphid.

The treatments did not affect the fecundity of aphids; no difference in the total number of nymphs produced by each female was observed ($\chi^2 = 5.6292$; df = 4; $P = 0.2286$). The mean number of nymphs ranged from 26 to 43 between the third and tenth d of evaluation (Fig. 1).

CHARACTERIZATION OF THE SUSPENSIONS AND CHEMICAL MAPPING OF APHIDS AND PLANTS

Dynamic light scattering was used to evaluate the hydrodynamic radius of the suspended nanoparticles (Table 2). Regardless of the putative individual particle size of 25 nm, in aqueous dispersion the nanoparticles were aggregated. The diam of aggregates varied from 110 \pm 34 nm up to 266 \pm 56 nm. The aggregation occurs due to Van der Waals attractive forces, and this behavior was verified previously by other researchers (Pusey 2002). Due to the low solubility of CeO₂ nanoparticles, the aggregate size is an important parameter because it eventually defines the porous tissue barriers through which aggregates can pass, and therefore which organs of the aphid can be reached (Brunner et al. 2006).

The transmission electron microscopy images shown in Fig. 2 indicate that the CeO₂ nanoparticles are spherical in shape. The histogram shows the frequency distribution of CeO₂ nanoparticle size. The average of size is 23 \pm 7 nm, which confirms the manufacturer's information (MK Nano, Toronto, Ontario, Canada; 25 nm).

Figure 3 overlays a picture of a *N. physaloides* leaf and the corresponding chemical image, uncovering the spatial distribution of Ce in samples that received foliar application of nano-CeO₂ (1,000 mg Ce L⁻¹). Figure 3(a) shows a large area map (about 414 mm²) which corresponds to about 40% of the leaf area. Figure 3(b) depicts a map of a smaller area (1.68 mm²), but because the number of pixels were the same, it yielded higher lateral resolution when zooming in to a hot-spot of Ce on the leaf. The count scale shown in the figures is directly proportional to the Ce concentration; nonetheless, they cannot be directly compared because the data shown in Figure 3(a) was recorded with an X-ray spot of 1 mm, whereas that in Figure 3(b) was taken at 30 μ m. Figure 3(a) shows that despite the Ce dispersion obtained by application by a sprayer, the spatial distribution of Ce at the leaf was not homogeneous. On the contrary, it seems that during drying the Ce tended to accumulate in certain regions. A similar effect called "coffee stain" is observed frequently while trying to obtain homogeneous thin films of nanoparticles by drop casting (Majumder et al. 2012), because evaporation velocity is higher at the borders of the droplets (line of contact with the surface) than at the center. Because no surfactants were employed to disperse the particles, the surface tension may have aggregated the droplets, leading to the hot-spots shown in Figure 3(a).

Table 1. Lethal mean time (TL₅₀)*, survival (%), and values of α^{**} and β^{***} for Weibull distribution of *Myzus persicae* that were fed with *Nicandra physaloides* plants sprayed with different concentrations of nano-CeO₂.

Concentration (mg L ⁻¹)	TL ₅₀ *	% survival ^{ns}	α^{**}	β^{***}
0	> 240 h	93.95	2230.48	1.24
1	> 240 h	95.97	3118.25	1.24
10	> 240 h	95.96	3112.98	1.24
100	> 240 h	98.00	5521.81	1.24
1,000	> 240 h	95.02	2620.09	1.24

* = Lethal mean time (TL₅₀).

** = parameter of scale.

*** = parameter of shape.

ns = not significant at the significance level of 5% for the Weibull distribution, where $S(t) = \exp(-(time/\delta)^{\alpha})$, δ = shape parameter; α = scale parameter.

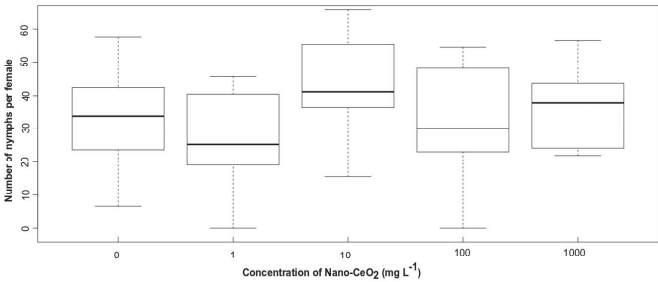


Fig. 1. Total number of *Myzus persicae* nymphs produced by females exposed to *Nicandra physaloides* leaves submitted to treatments of foliar spraying of nano-CeO₂ at different concentrations.

The limits of detection for Ce used in Figure 3(a) was 804 mg Ce kg⁻¹ of fresh tissue. If any traces of Ce below this concentration were spread on the leaf, it would not be possible to detect them. Figure 3(b) shows that near a large Ce hot-spot, we can observe smaller and less concentrated droplets of Ce. The red spots in this chemical image also show the border effect caused by non-homogeneous drying. In this case, the limit of detection was 158 mg Ce kg⁻¹ of fresh tissue. This improvement of the limit of detection resulted from the higher acquisition time and lower spectral (or instrumental) background provided by the polycapillary optics.

Ce was detected only in aphids that were fed with *N. physaloides* leaves sprayed with nano-CeO₂ (1,000 mg Ce L⁻¹). Figure 4(a) shows 4 aphids and corresponding chemical images showing the spatial distribution of Ce. It is possible to observe the Ce X-ray fluorescence signal coming from the regions corresponding to the oral cavity and digestive system of aphids. The detection threshold in terms of counts per s was 42 counts per s for the map shown in Figure 4(a). There was only 1 point in which Ce was above this value, and the corresponding spectrum shown in Figure 4(b) confirms the presence of Ce.

Figure 4(c, d) present similar results, and confirm that Ce was transferred to the aphids. In this case, the detection threshold was 53 counts per s. The difference in threshold between the maps shown in Figure 4(a) and 4(c) resulted from the different dwell time employed in each map.

The low number of counts indicates that there was little Ce in the aphids. In the other concentrations tested (1, 10, and 100 mg Ce L⁻¹), it was not possible to detect Ce in either plants or insects.

Discussion

We confirmed the hypothesis that nano-CeO₂ sprayed on the leaves of *N. physaloides* can be transferred to *M. persicae*. The presence of the Ce X-ray fluorescence spectroscopy signal in the abdomen of *M. persicae* and its absence in the legs suggested that nano-CeO₂ may have been absorbed by the insect rather than being retained on its body surface. This is the first report of the trophic

Table 2. Dynamic light scattering determined hydrodynamic diam of suspended CeO₂ nanoparticles. Although the particles size is 25 nm, when dispersed in water they formed aggregates.

Concentration of CeO ₂ (mg L ⁻¹)	Aggregate diam (nm ± SE)
1	220 ± 67
10	110 ± 40
100	110 ± 34
1,000	266 ± 56

transfer of nano-CeO₂ to a piercing-sucking insect, namely *M. persicae*.

Even though chemical images show the presence of Ce on the leaves, we cannot state whether the nano-CeO₂ was present on the surface or within the leaves. Several studies investigated the foliar uptake of nanoparticles, and neither the mechanisms behind the phenomenon nor size exclusion limits are clear yet (Larue et al. 2012; Raliya et al. 2016; Xiong et al. 2017).

Because *M. persicae* is a piercing-sucking insect that feeds on the phloem sap, at first glance one might assume that the source of nano-CeO₂ was within the leaf. However, we cannot reject the possibility that the insect absorbed the nano-CeO₂ that was on the leaf surface during successive feeding probes (Etcheberria et al. 2016). Prior to establishing a fixed feeding site, the aphid may make successive probing insertions of its stylets into the phloem vessels, a process marked by walking and selection of other probing sites (Tjallingii & Prado 2001). Thus, before the selection of the plant itself occurs, the aphids carry out many short probes at various points in the plant. During this process, it is possible that the stylets may have contacted the surface of the plant several times, which may have allowed the ingestion of the nano-CeO₂ deposited on the surface of the leaves of *N. physaloides*.

Even though Ce was found only at the region of the alimentary tract, one cannot reject the possibility of nano-CeO₂ clusters below the instrumental limit of detection that may accumulate in other parts of the body of *M. persicae*. In this case, nano-CeO₂ either could be dissolved in the alimentary canal, or entire particles could cross the gut wall. Due to the gut pH, from 5.5 to 8.5 depending on the site (Cristofaletti et al. 2003), it is rather unlikely to dissolve at gut pH. For cerium oxide to dissolve, low pH and high temperatures normally are required (Virost et al. 2012; Um & Hirato 2013).

A second possible Ce pathway is based on previous studies involving the transport of particles through the gut wall to the hemocoel, which commonly occurs with viruses (Brault et al. 2007; Tamborindeguy et al. 2010). Although most of the aphid-transmitted plant viruses are 20 to 25 nm wide (Sicard et al. 2015; Boissinot et al. 2017), which is about one-tenth the diam of nano-CeO₂ clusters, this transport is performed by transcytosis (endocytosis/exocytosis) (Seddas et al. 2004), and involves specific receptors. In this respect, nano-CeO₂ could cause histological changes in the tissues of *M. persicae*, similar to what was reported in a study performed with the worm *Eisenia fetida* (Savigny) (Annelida: Lumbricidae) (Lahive et al. 2014), resulting in absorption and transport.

Another mechanism by which nanoparticles may affect insects involves their interaction with symbiont microorganisms (Goggin 2007), which play a key role in aphid fitness, because they provide nutrients that occur in low amounts in the plant phloem. These microorganisms are commonly found in the hemocoel, in specialized cells called bacteriocytes or mycetocytes, or even in the gut lumen (Michalik et al. 2014; Luna-Ramirez et al. 2017). Thus, one can consider the possibility that microorganisms can transform nano-CeO₂ (Barton et al. 2015), or that the nanomaterial is toxic to these microorganisms, resulting in changes in the behavior of insects (Machado-Assef & Alvarez 2018).

Although no toxic effects of nano-CeO₂ were observed in *M. persicae*, it is possible that the trophic transfer of nanoparticles causes damage to organisms of higher trophic levels through biomagnification. This was not evaluated in the present study, but this topic will be addressed in further investigations. Another issue of concern is the potential effects of nano-CeO₂ on the offspring of *M. persicae*, especially if exposed repeatedly over generations.

Gold nanoparticles previously have accumulated in the leaves of tobacco, *Nicotiana tabacum* L. (Solanaceae) (Judy et al. 2011), and

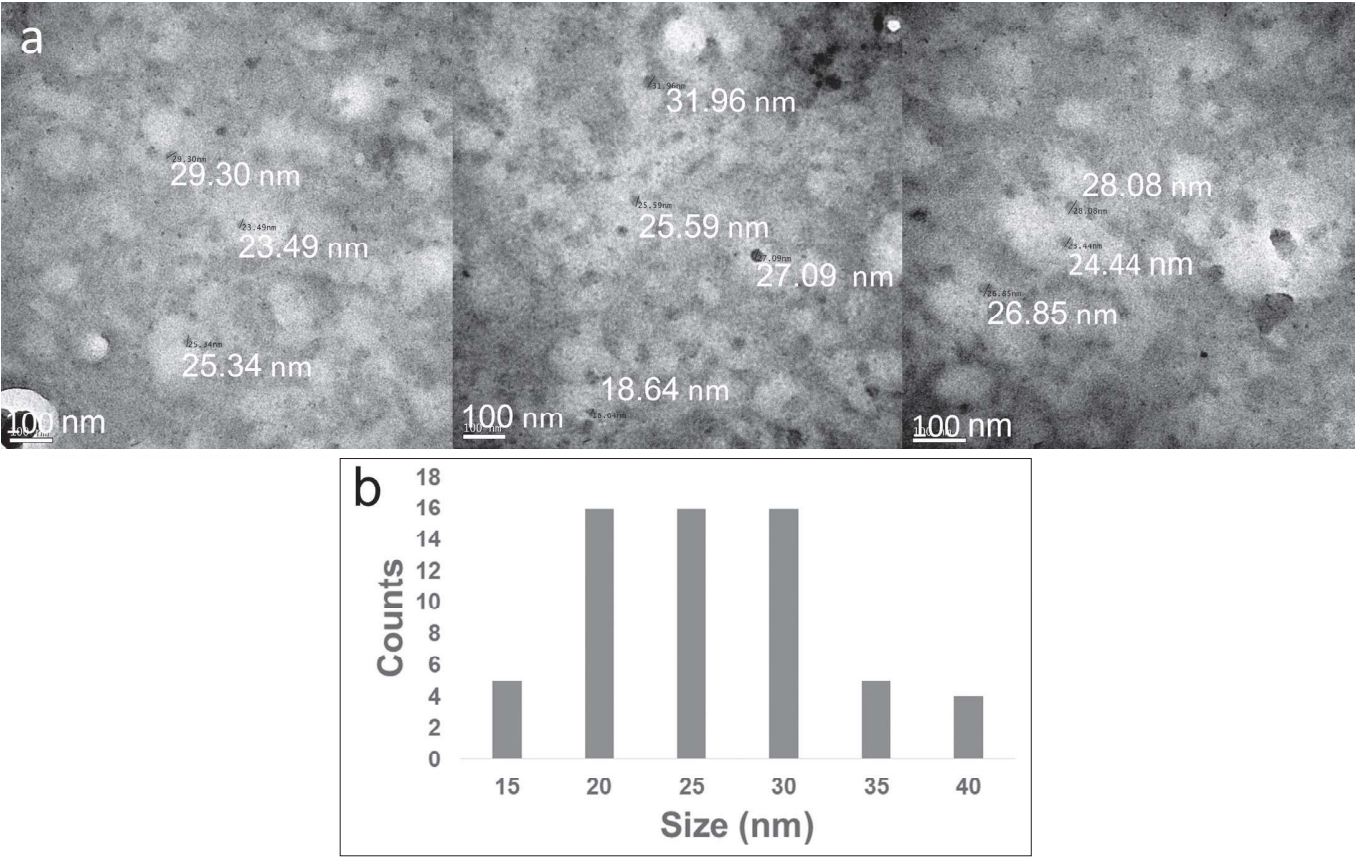


Fig. 2. (a) Transmission electron micrographs of the CeO₂ nanoparticles, and (b) histogram revealing the particle size distribution.

tomato, *Solanum lycopersicum* L. (Solanaceae) (Judy et al. 2012), and have been shown to accumulate in the hornworm *Manduca sexta* L. (Lepidoptera: Sphingidae). Similar to the findings reported in this study with *M. persicae*, the authors concluded that *M. sexta* was not affected by the ingestion of plant tissues contaminated with nano-Au.

These results demonstrate the importance of research on nanomaterials in biological systems, because the indirect effects frequently are manifested in subsequent generations, resulting in disrupted development or mortality. The translocation of nanoparticles in plants, and the transfer and accumulation in organisms of the trophic chain, warrant further investigation.

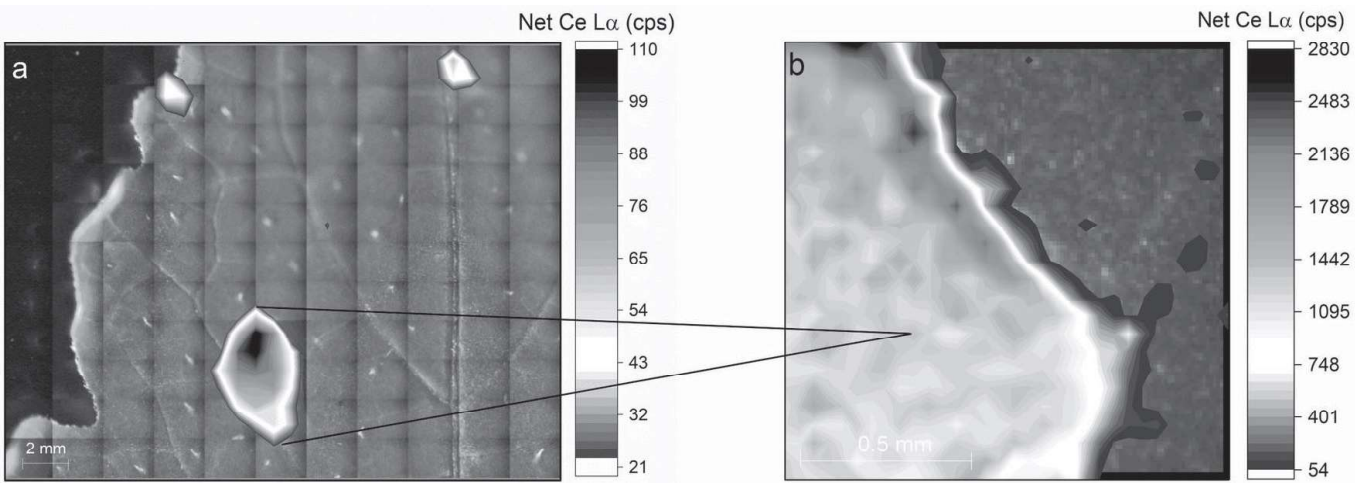


Fig. 3. X-ray fluorescence chemical images unraveling the Ce spatial distribution at *Nicandra physaloides* leaf, (a) low magnification (10x) map covering nearly a quarter of the leaf surface, and (b) high magnification (70x) map showing a hot-spot of Ce at the leaf.

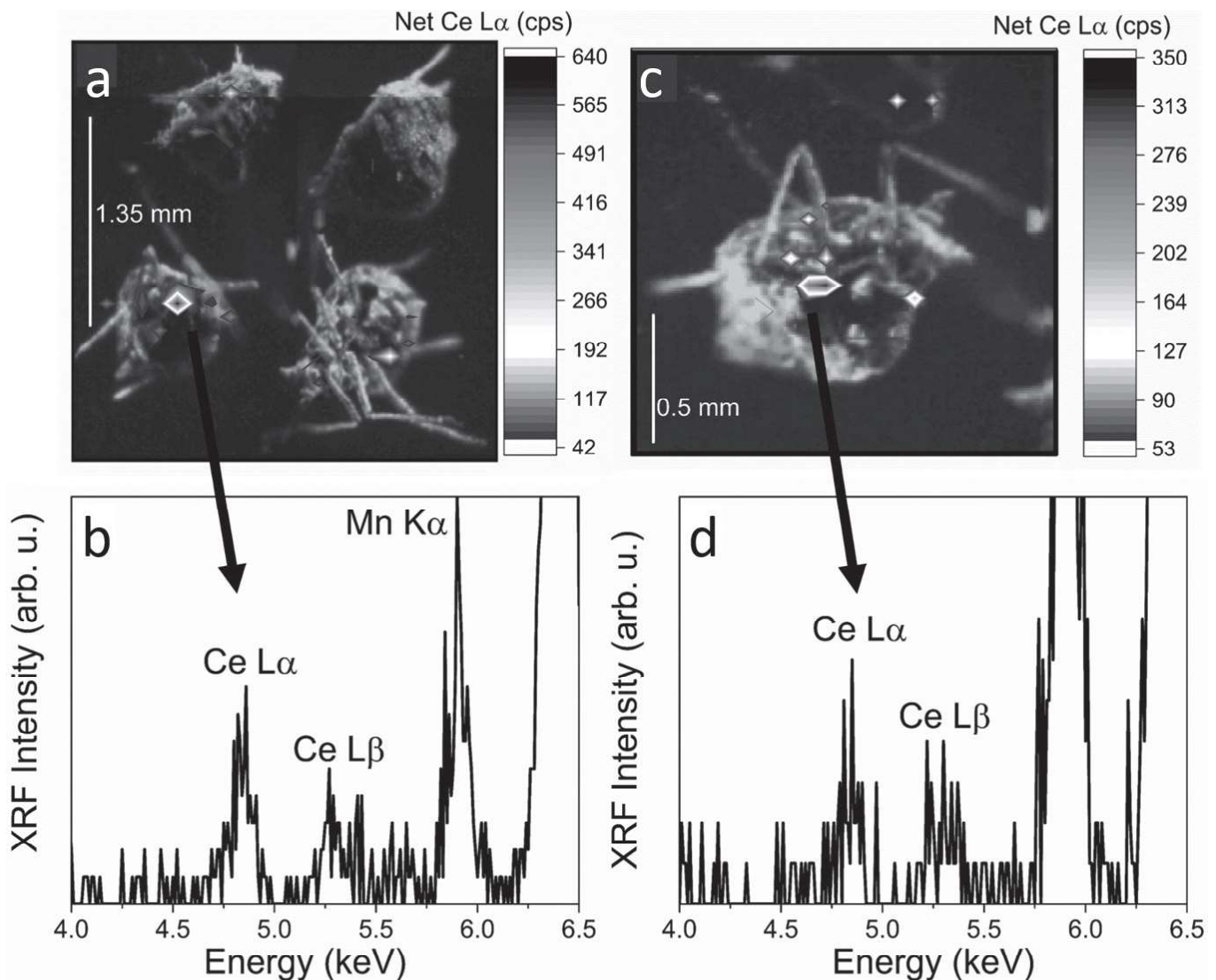


Fig. 4. Spatial distribution of Ce assimilated by *Myzus persicae* maintained in plants of *Nicandra physaloides* treated with nano-Ce ($1,000 \text{ mg Ce L}^{-1}$): (a) presents a group of individuals; (b) shows the XRF spectrum with Ce L α and L β lines corresponding to the hot-spot; (c) shows the map for 1 individual; (d) the corresponding XRF spectrum.

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