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# Degenerate Minimal Surfaces in $\mathbb{R}^4$

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## § 0 - Introduction

Recently, Hoffman and Osserman [4] have studied degenerate minimal surfaces in  $\mathbb{R}^4$  in great details. In this paper we continue the study from a different point of view. We first analyse the geometry of the complex quadric  $Q_2$  in  $CP^3$  by looking at its intersections with hyperplanes in  $CP^3$ , as studied in [4], but we emphasize on their intrinsic aspects and their relations with the euclidean geometry in  $\mathbb{R}^4$  since each point in  $Q_2$  represents an oriented plane in  $\mathbb{R}^4$ . Most important of all, we will show that there are natural ways to assign normal directions to each intersection so that when we study degenerate minimal surfaces in  $\mathbb{R}^4$  there are natural normal vector fields to facilitate understanding the second fundamental form. And we will apply the study, in particular, to the stability of such minimal surfaces.

## § 1 - Preliminary

The quadric in  $CP^3$  is defined to be

$$(1.1) \quad Q_2 = \{z \in CP^3 / z_1^2 + z_2^2 + z_3^2 + z_4^2 = 0\}$$

Each point of  $Q_2$  can be viewed naturally as an oriented plane in  $\mathbb{R}^4$ , generated by its real and imaginary parts:

$$(1.2) \quad X = (x_1, x_2, x_3, x_4), \quad Y = (y_1, y_2, y_3, y_4)$$

where  $x_j + i y_j = z_j, \quad 1 \leq j \leq 4$

To each orientable minimal surface in  $\mathbb{R}^4$  given by the immersion

$$(1.3) \quad x : M^2 \rightarrow \mathbb{R}^4,$$

the generalized Gauss map

$$(1.4) \quad [\phi] : M^2 \rightarrow Q_2$$

is defined by

$$(1.5) \quad \phi = \frac{\partial x}{\partial \xi} - \frac{\partial x}{\partial \eta},$$

where  $\zeta = \xi + i\eta$  is the conformal structure on  $M$  defined by isothermal parameters  $(\xi, \eta)$ ;

$x$  is said to be degenerate if its Gauss image lies in some hyperplane in  $\mathbb{C}P^3$ , and, is said to be  $h$ -degenerate if  $h$  is the largest integer such that the Gaussian image lies in a projective subspace of codimension  $h$ . Therefore there are only three kinds of degeneracy : 1-degenerate, 2-degenerate and 3-degenerate. It's known [4] that 3-degenerate minimal surfaces in  $\mathbb{R}^4$  are just planes. We will, therefore, only concentrate on 1-degenerate and 2-degenerate minimal surfaces in  $\mathbb{R}^4$ .

Finally, for a normal variation

$$(1.6) \quad F : M \times (-\epsilon, \epsilon) \rightarrow \mathbb{R}^4$$

with compact support, the formula for the second variation is given [6] by

$$(1.7) \quad I(v, v) = \int_M \langle -\Delta v - \tilde{A}(v), v \rangle dM$$

where  $\tilde{v}$  is the variational vector field. If  $V = hn$  for some unitary normal vector field  $n$  and some smooth function  $h$ , then (1.7) can be rewritten as

$$(1.8) \quad I(V, V) = \int_M (-h\Delta h - h^2 \langle A^n, A^n \rangle + h^2 \langle \nabla n, \nabla n \rangle) dM$$

A domain  $D$  of  $M$  is said to be stable if for all non-trivial normal variations with compact support in  $D$ ,  $I(V, V)$  is always positive.

## § 2 - The geometry of the quadric $Q_2$

1) It's known [4] that the intersection of  $Q_2$  with a hyperplane  $H$  in  $CP^3$  is congruent in  $Q_2$  to the one by assuming  $H$  be determined by

$$(2.1) \quad CZ_3 - Z_4 = 0$$

with

$$(2.2) \quad C = it, \quad 0 \leq t \leq 1$$

For  $t = 1$ ,  $S = Q_2 \cap H$  is the union of two projective lines:

$$(2.3) \quad L_1 : Z_1 - iZ_2 = 0, \quad iZ_3 - Z_4 = 0$$

$$L_2 : Z_1 + iZ_2 = 0, \quad iZ_3 - Z_4 = 0$$

with only one common point:  $[0, 0, 1, i]$ , and  $S$  has constant curvature  $K = 2$ .

For  $0 \leq t \leq 1$ ,  $S$  is isometric to the quadric  $\tilde{Q}_1$ :

$$(2.4) \quad \tilde{z}_1^2 + \tilde{z}_2^2 + K\tilde{z}_3^2 = 0$$

in  $\mathbb{C}P^3$ , where

$$(2.5) \quad k = \frac{1-t^2}{1+t^2}$$

Now set

$$(2.6) \quad z_1 = \tilde{z}_1, \quad z_2 = \tilde{z}_2, \quad z_3 = \sqrt{k} \tilde{z}_3$$

which identified  $\tilde{Q}_1$  with  $Q_1 = \{z \in \mathbb{C}P^2 / z_1^2 + z_2^2 + z_3^2 = 0\}$ .

Through the identification

$$(2.7) \quad \zeta \in \mathbb{C} - i0 \quad \longleftrightarrow \quad \left[ \frac{1}{2} \left( \zeta - \frac{1}{\zeta} \right), \frac{-i}{2} \left( \zeta + \frac{1}{\zeta} \right), 1 \right]$$

$$\zeta = 0 \quad \longleftrightarrow \quad [1, i, 0]$$

$$\zeta = \infty \quad \longleftrightarrow \quad [1, -i, 0]$$

between  $\hat{C}$  and  $Q_1$ , we see that the Fubini-Study metric on  $\mathbb{C}P^2$

$$(2.8) \quad ds^2 = 2 \frac{|\tilde{z} \wedge d\tilde{z}|^2}{|\tilde{z}|^4}$$

induces a metric in  $\mathbb{C}$  given by

$$(2.9) \quad ds^2 = \frac{4}{k} \frac{|\zeta|^4 + 2k|\zeta|^2 + 1}{\left( |\zeta|^4 + \frac{2}{k} |\zeta|^2 + 1 \right)^2} |d\zeta|^2 \equiv \lambda^2 |d\zeta|^2$$

Using the formula for the Gaussian curvature

$$(2.10) \quad K = - \frac{2 \partial \bar{\partial} \log \lambda^2}{\lambda^2}$$

where  $\partial = \frac{\partial}{\partial \zeta}$ ,  $\bar{\partial} = \frac{\partial}{\partial \bar{\zeta}}$ , we get

$$(2.11) \quad K = 2 - k^2 \frac{\left( |\zeta|^4 + \frac{2}{k} |\zeta|^2 + 1 \right)^3}{\left( |\zeta|^4 + 2k|\zeta|^2 + 1 \right)^3}$$

From (2.11) and the fact that

$$(2.12) \quad |\tilde{z}_1|^2 + |\tilde{z}_2|^2 + |\tilde{z}_3|^2 = \frac{1}{2|\zeta|^2} (|\zeta|^4 + \frac{2}{k} |\zeta|^2 + 1)$$

$$|\tilde{z}_1|^2 + |\tilde{z}_2|^2 + k^2 |\tilde{z}_3|^2 = \frac{1}{2|\zeta|^2} (|\zeta|^4 + 2k |\zeta|^2 + 1)$$

for  $\zeta \neq 0$ , we therefore obtain the Ness' formula [5, p60] for the Gaussian curvature on  $\tilde{Q}_1$ :

$$(2.13) \quad K = 2 - k^2 \frac{(|\tilde{z}_1|^2 + |\tilde{z}_2|^2 + |\tilde{z}_3|^2)^3}{(|\tilde{z}_1|^2 + |\tilde{z}_2|^2 + k^2 |\tilde{z}_3|^2)^3}$$

To determine the extremum of  $K$ , note that  $K$  depends only on  $r = |\zeta|^2$ . Studying the function

$$(2.14) \quad f(r) = \frac{r^2 + \frac{2}{k} r + 1}{r^2 + 2kr + 1}, \quad r \geq 0,$$

we find that  $K$  achieves its maximum at  $|\zeta| = 1$  and its minimum at  $\zeta = 0$  or  $\zeta = \infty$ . And we obtain the result of Hoffman-Osserman [4]

$$(2.15) \quad \begin{aligned} \max K &= 2 - k^2 \\ \min K &= 2 - \frac{1}{k} \end{aligned}$$

Furthermore, from (2.9) we see that

$$ds^2 \Big|_{|\zeta|=r} = ds^2 \Big|_{|\zeta|=\frac{1}{r}} \quad \text{and therefore, } K(\zeta) = K\left(\frac{1}{\zeta}\right).$$

Calculating the area for  $D = \{\zeta \in \hat{C} / |\zeta| \leq 1\}$  we find

$$(2.16) \quad A(D) = 2\pi$$

Hence  $S$  can be viewed, intrinsically, as in

Figure 1

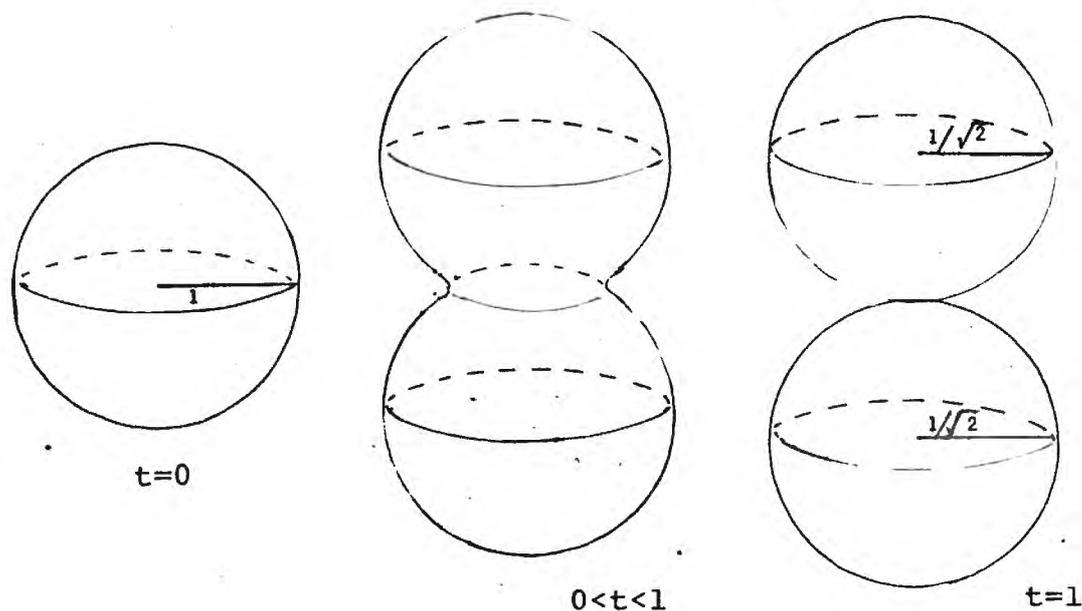


FIGURE 1

2) Since each point in  $Q_2$  represents an oriented plane in  $\mathbb{R}^4$  and isometries on  $Q_2$  are induced by isometries on  $\mathbb{R}^4$ ,  $O(4)$ , we now study  $S$  in terms of the 4-dimensional euclidean geometry.

For  $t = 1$ ,  $S$  decomposes in two complex projective lines. We take one of them, say,

$$(2.17) \quad L: \quad Z_2 = i Z_1, \quad Z_4 = i Z_3$$

write

$$(2.18) \quad Z_1 = \alpha + i \beta, \quad Z_3 = \gamma + i \delta$$

Then the homogeneous vector

$$(2.19) \quad [Z_1, Z_2, Z_3, Z_4] = X + i Y$$

satisfies

$$(2.20) \quad X = (\alpha, -\beta, \gamma, -\delta), \quad Y = (\beta, \alpha, \delta, \gamma)$$

Set

$$(2.21) \quad V = (\gamma, \delta, -\alpha, -\beta), \quad W = (\delta, -\gamma, -\beta, \alpha)$$

natural orthogonal complements to  $X, Y$  in  $\mathbb{R}^4$ . Then

$$(2.22) \quad V + iW = [Z_3, -iZ_3, -Z_1, iZ_1]$$

represents the oriented plane normal to (2.19) and describes a complex projective line in  $Q_2$ :

$$(2.23) \quad \tilde{L}: \tilde{Z}_2 = -i\tilde{Z}_1, \quad \tilde{Z}_4 = -i\tilde{Z}_3$$

We can conclude now that

Proposition 2.1 - For each complex projective line in  $Q_2$ , there is a natural correspondence to another complex projective line in  $Q_2$  such that any two corresponding planes are mutually orthogonal in  $\mathbb{R}^4$ .

For  $0 \leq t < 1$ , we will show that there exist two natural orthogonal normal fields defined on  $S$ . We start with some algebraic considerations.

Let

$$(2.24) \quad Z = (Z_1, Z_2, Z_3, Z_4) \in C^4 - \{0\}$$

Write

$$(2.25) \quad Z = X + iY$$

with

$$(2.26) \quad X = (x_1, x_2, x_3, x_4), \quad Y = (y_1, y_2, y_3, y_4)$$

where 
$$z_j = x_j + i y_j, \quad 1 \leq j \leq 4$$

Define

$$(2.27) \quad N_1 = \text{Im} (z_2 z_3, z_3 z_1, z_1 z_2, 0)$$

$$N_2 = \text{Im} (z_2 z_4, z_4 z_1, 0, z_1 z_2)$$

It's trivial to see that

Lemma 2.2 - 
$$\text{Im} (z_1 z_2) = 0 \iff \det \begin{pmatrix} x_1 & x_2 \\ y_1 & y_2 \end{pmatrix} = 0$$

Let  $\pi$  be the canonical projection from  $\mathbb{R}^4$  to the  $x_1 x_2$  - plane. Then we have

Lemma 2.3 - 
$$\text{Im} (z_1 z_2) = 0 \iff \pi(X) \text{ e } \pi(Y) \text{ are linearly dependent.}$$

Since

$$(2.28) \quad N_1 = (x_2 y_3 - x_3 y_2, x_3 y_1 - x_1 y_3, x_1 y_2 - x_2 y_1, 0)$$

$$N_2 = (x_2 y_4 - x_4 y_2, x_4 y_1 - x_1 y_4, 0, x_1 y_2 - x_2 y_1)$$

it can be proved that if  $x_1 y_2 - x_2 y_1 = 0$  then  $N_1$  and  $N_2$  are linearly dependent. Together with Lemmas 2.2, 2.3 we obtain

Lemma 2.4 - 
$$N_1 \text{ and } N_2 \text{ are linearly dependent if and only if } \pi(X) \text{ and } \pi(Y) \text{ are linearly dependent.}$$

Furthermore, by straightforward calculation, we have

Lemma 2.5 - 
$$\langle N_j, X \rangle = 0 = \langle N_j, Y \rangle \text{ for } j = 1, 2$$

Now in case

$$(2.29) \quad z_1^2 + z_2^2 + z_3^2 + z_4^2 = 0, \quad z_4 = it z_3$$

for  $0 \leq t < 1$ , we have

$$(2.30) \quad z_1^2 + z_2^2 + (\sqrt{1-t^2} z_3)^2 = 0$$

and

$$(2.31) \quad (x_1, x_2, \sqrt{1-t^2} x_3), \quad (y_1, y_2, \sqrt{1-t^2} y_3)$$

are orthogonal and possess the same positive norm.

$$\text{Since } \text{Im}(\bar{z}_2 \sqrt{1-t^2} z_3, \sqrt{1-t^2} \bar{z}_3 z_2, \bar{z}_1 z_2) \neq 0,$$

we have

Lemma 2.6 -  $N_1$  will never vanish if (2.29) holds.

And, in this case, with

$$(2.32) \quad x_4 = -t y_3, \quad y_4 = t x_3$$

and

$$(2.33) \quad x_1^2 + x_2^2 + x_3^2 + t^2 y_3^2 = y_1^2 + y_2^2 + y_3^2 + t^2 x_3^2$$

$$x_1 y_1 + x_2 y_2 + x_3 y_3 - t^2 x_3 y_3 = 0$$

we have

Lemma 2.7 -  $\langle N_1, N_2 \rangle = 0$  if (2.29) holds.

Combining these results and the fact that the

directions of  $N_1$  and  $N_2$  are independent of the choice of the homogeneous coordinates, we conclude that

Proposition 2.8 - For  $0 \leq t < 1$ , there are two natural unitary normal vector fields,  $n_1, n_2$ , defined on  $S$ , such that

- a)  $n_1 \perp n_2$
- b)  $n_1 \parallel N_1$  and
- c)  $n_2 \parallel N_2$  when  $\text{Im}(\bar{Z}_1 Z_2) \neq 0$

In order to get a more precise and useful description of the normal fields we set

$$(2.34) \quad w = \frac{Z_3 - i Z_4}{Z_1 - i Z_2} = (1+t) \frac{Z_3}{Z_1 - i Z_2}$$

$$\tilde{w} = \frac{Z_3 + i Z_4}{Z_1 - i Z_2} = (1-t) \frac{Z_3}{Z_1 - i Z_2}$$

Then  $w = \frac{1+t}{1-t} \tilde{w}$  and it can be easily seen that

$$(2.35) \quad (Z_1, Z_2, Z_3, Z_4) = (Z_1 - i Z_2) \left( \frac{1}{2} \left( 1 - \frac{1-t}{1+t} w^2 \right), \frac{i}{2} \left( 1 + \frac{1-t}{1+t} w^2 \right), \frac{1}{1+t} w, \frac{it}{1+t} w \right)$$

and, at  $Z_1 - i Z_2 \neq 0$ ,  $n_1$  and  $n_2$  are parallel to

$$(2.36) \quad \tilde{n}_1 = (2 \text{Re } w, 2 \text{Im } w, (1-t) |w|^2 - (1+t), 0)$$

$$\tilde{n}_2 = (-2t \text{Im } w, 2t \text{Re } w, 0, (1-t) |w|^2 + (1+t))$$

respectively, which satisfy obviously  $\langle \tilde{n}_1, \tilde{n}_2 \rangle = 0$  and

$$(2.37) \quad |\tilde{n}_1| = |\tilde{n}_2| = (1-t)^2 |w|^4 + 2(1+t)^2 |w|^2 + (1+t)^2$$

Remark - The directions of  $\tilde{n}_1$  and  $\tilde{n}_2$  extend naturally over  $Z_1 - i Z_2 = 0$  to the directions of  $(0, 0, 1, 0)$  and  $(0, 0, 0, 1)$ ,

respectively, since  $w$  extends naturally to  $\infty$  at  $Z_1=iZ_2$ .

### § 3 - 1-degenerate minimal surfaces in $\mathbb{R}^4$

Let  $x: M^2 \rightarrow \mathbb{R}^4$  be an 1-degenerate minimal surface. Without loss of generality (see [4]), we may assume its generalized Gauss map satisfies:

$$(3.1) \quad \phi_4 = it \phi_3$$

for some  $0 \leq t < 1$

Set

$$(3.2) \quad g = \frac{\phi_3 - i\phi_4}{\phi_1 - i\phi_2} = (1+t) \frac{\phi_3}{\phi_1 - i\phi_2}$$

which is a meromorphic function on  $M$ . Comparing (2.35),  $\phi$  can be written as

$$(3.3) \quad \phi = \frac{\phi_1 - i\phi_2}{2} \left( 1 - \frac{1-t}{1+t} g^2, i \left( 1 + \frac{1-t}{1+t} g^2 \right), \frac{2}{1+t} g, \frac{2it}{1+t} g \right)$$

Set

$$(3.4) \quad f(\zeta) d\zeta = (\phi_1 - i\phi_2) d\zeta$$

which is a global holomorphic differential on  $M$ . Then the induced metric

$$(3.5) \quad ds^2 = \lambda^2 |d\zeta|^2$$

is given by

$$(3.6) \quad \lambda^2 = \frac{1}{2} |\phi|^2 \equiv \frac{1}{2} \sum_{j=1}^4 |\phi_j|^2 = \frac{|f|^2}{4} \left\{ 1 + \frac{2(1+t^2)}{(1+t)^2} |g|^2 + \left( \frac{1-t}{1+t} \right)^2 |g|^4 \right\}$$

And the Gaussian curvature  $K$  given by the formula (see [3])

$$(3.7) \quad K = -4 \frac{|\phi \wedge \phi'|^2}{|\phi|^6}$$

can be computed to be

$$(3.8) \quad K = -\frac{16|g'|^2}{|f|^2} (1+t)^4 \frac{(1+t)^2 + 2(1-t)^2|g|^2 + \frac{(1-t)^2(1+t^2)}{(1+t)^2} |g|^4}{\{(1+t)^2 + 2(1+t^2)|g|^2 + (1-t)^2|g|^4\}^3}$$

From (2.36), (2.37) and (3.6), setting  $g=u+iv$ , we get that

$$(3.9) \quad \begin{aligned} N_1 &= (-2u, -2v, -(1-t)|g|^2 + (1+t), 0) \\ N_2 &= (-2tv, 2tu, 0, (1-t)|g|^2 + (1+t)) \end{aligned}$$

are two normal vector fields defined off the isolated set:  $\phi_1 - i\phi_2 = 0$ , with

$$(3.10) \quad \begin{aligned} N_1 &\perp N_2 \\ |N_1| &= |N_2| = (1-t)^2|g|^4 + 2(1+t^2)|g|^2 + (1+t)^2 = \frac{4\lambda^2(1+t)^2}{|f|^2} \end{aligned}$$

Furthermore,

$$(3.11) \quad n_1 = \frac{N_1}{|N_1|}, \quad n_2 = \frac{N_2}{|N_2|}$$

are two mutually orthogonal unitary normal vector fields which extend over all  $M$ .

To study the induced second fundamental form we set

$$(3.12) \quad e_1 = \frac{1}{\lambda} \frac{\partial u}{\partial \xi}, \quad e_2 = \frac{1}{\lambda} \frac{\partial u}{\partial \eta}$$

which form a local tangent frame for  $x$ . Note that

$$\begin{aligned}
 \left(\frac{\partial N_1}{\partial \xi}\right)^t &= \left\langle \frac{\partial N_1}{\partial \xi}, e_1 \right\rangle e_1 + \left\langle \frac{\partial N_1}{\partial \xi}, e_2 \right\rangle e_2 = \\
 &= \frac{1}{\lambda} \left\{ \left(1 + \frac{1-t}{1+t} |g|^2\right) (u_\xi \text{ Ref} + u_\xi \text{ Imf}) e_1 + \left(1 + \frac{1-t}{1+t} |g|^2\right) (-u_\xi \text{ Imf} - v_\xi \text{ Ref}) e_2 \right\} \\
 \left(\frac{\partial N_2}{\partial \xi}\right)^t &= \frac{1}{\lambda} \left\{ -t \left(1 - \frac{1-t}{1+t} |g|^2\right) (v_\xi \text{ Ref} + u_\xi \text{ Imf}) e_1 + t \left(1 - \frac{1-t}{1+t} |g|^2\right) (v_\xi \text{ Imf} - u_\xi \text{ Ref}) e_2 \right\} \\
 (3.13) \quad \left(\frac{\partial N_1}{\partial \eta}\right)^t &= \frac{1}{\lambda} \left\{ -\left(1 + \frac{1-t}{1+t} |g|^2\right) (v_\xi \text{ Ref} + u_\xi \text{ Imf}) e_1 + \left(1 + \frac{1-t}{1+t} |g|^2\right) (v_\xi \text{ Imf} - u_\xi \text{ Ref}) e_2 \right\} \\
 \left(\frac{\partial N_2}{\partial \eta}\right)^t &= \frac{1}{\lambda} \left\{ t \left(1 - \frac{1-t}{1+t} |g|^2\right) (-u_\xi \text{ Ref} + v_\xi \text{ Imf}) e_1 + t \left(1 - \frac{1-t}{1+t} |g|^2\right) (u_\xi \text{ Imf} + v_\xi \text{ Ref}) e_2 \right\}
 \end{aligned}$$

Therefore we obtain

$$\begin{aligned}
 \langle A^{n_1}, A^{n_1} \rangle &= \langle A^{n_1} e_1, A^{n_1} e_1 \rangle + \langle A^{n_1} e_2, A^{n_1} e_2 \rangle = \\
 &= \frac{1}{\lambda^2 |N_1|^2} \left\{ \left\langle \left(\frac{\partial N_1}{\partial \xi}\right)^t, \left(\frac{\partial N_1}{\partial \xi}\right)^t \right\rangle + \left\langle \left(\frac{\partial N_1}{\partial \eta}\right)^t, \left(\frac{\partial N_1}{\partial \eta}\right)^t \right\rangle \right\} = \\
 (3.14) \quad &= \frac{|f|^4 |g'|^2}{2 \lambda^6 (1+t)^2} \left(1 + \frac{1-t}{1+t} |g|^2\right)^2 \\
 \langle A^{n_2}, A^{n_2} \rangle &= \frac{t^2 |f|^4 |g'|^2}{2 \lambda^6 (1+t)^2} \left(1 - \frac{1-t}{1+t} |g|^2\right)^2
 \end{aligned}$$

$$\langle A^{n_1}, A^{n_2} \rangle = \langle A^{n_1} e_1, A^{n_2} e_1 \rangle + \langle A^{n_1} e_2, A^{n_2} e_2 \rangle = 0$$

$$\langle A, A \rangle = \langle A^{n_1}, A^{n_1} \rangle + \langle A^{n_2}, A^{n_2} \rangle = \frac{|f|^4 |g'|^2}{2\lambda^6 (1+t)^2} \left\{ \left( 1 + \frac{1-t}{1+t} |g|^2 \right)^2 + t^2 \left( 1 - \frac{1-t}{1+t} |g|^2 \right)^2 \right\}$$

From (3.14) we see immediately  $\langle A^{n_1}, A^{n_1} \rangle - \langle A^{n_2}, A^{n_2} \rangle \geq 0$  and for any unitary normal vector  $n = n_1 \cos \alpha + n_2 \sin \alpha$ ,  
 $\langle A^n, A^n \rangle = \cos^2 \alpha \langle A^{n_1}, A^{n_1} \rangle + \sin^2 \alpha \langle A^{n_2}, A^{n_2} \rangle$

Therefore we have

Proposition 3.1 - The two natural unitary normal vector fields  $n_1, n_2$  defined in (3.11) satisfy

$$(3.15) \quad \langle A^{n_2}, A^{n_2} \rangle \leq \langle A^n, A^n \rangle \leq \langle A^{n_1}, A^{n_1} \rangle$$

for any unitary vector field  $n$  normal to  $x$ .

And since  $\langle A, A \rangle = -\frac{1}{2} K$  we have

Proposition 3.2 - The two orthogonal unitary normal vector fields

$$(3.16) \quad V = \frac{\sqrt{2}}{2} (n_1 + n_2), \quad W = \frac{\sqrt{2}}{2} (n_1 - n_2)$$

satisfy

$$(3.17) \quad \langle A^V, A^V \rangle = \langle A^W, A^W \rangle = -K$$

Next, considering the normal connection  $\nabla$  and the fact  $\langle \nabla_{e_j} n_1, n_2 \rangle = -\langle \nabla_{e_j} n_2, n_1 \rangle$  for  $j = 1, 2$ , we can easily prove that

Proposition 3.3 - For any unitary normal vector field  $n$ ,  $\langle \nabla n, \nabla n \rangle$  is a well-defined function, independent of the direction of  $n$ .

PROOF - It's sufficient to consider the function

$$F(\alpha) = \langle \nabla(\ell \cos \alpha + n \sin \alpha), \nabla(\ell \cos \alpha + m \sin \alpha) \rangle$$

where  $\{\ell, m\}$  is any normal frame, and show that

$$F'(\alpha) = 0$$

q.e.d.

Now we treat the problem of stability. First we find that the stability of a domain depends only on the normal direction  $n_1$ .

Theorem 3.4 - Let  $D$  be a domain of an 1-degenerate minimal surface in  $\mathbb{R}^4$ . Then  $D$  is stable if and only if  $I(V, V) > 0$ ,  $\forall V = hn_1$  where  $h$  is a non-zero differentiable function with compact support in  $D$ .

PROOF - The necessity of the hypothesis is obvious by definition. On the other hand, if  $D$  is unstable then it's known [7] that there exist a compact sub-domain  $D'$  of  $D$  and a Jacobi field  $\tilde{V}$  defined on  $D'$  which vanishes on  $\partial D'$ . Since the zeros of  $\tilde{V}$  are analytic curves or isolated points, if we set  $h = |\tilde{V}|$ ,  $n = \frac{\tilde{V}}{|\tilde{V}|}$  then from Prop. 3.1 and 3.3, we have

$$\begin{aligned} 0 = I(\tilde{V}, \tilde{V}) &= \int_M -h\Delta h - h^2 \langle A^n, A^n \rangle + h^2 \langle \Delta n, \Delta n \rangle \\ &\geq \int_M -h\Delta h - h^2 \langle A^{n_1}, A^{n_1} \rangle + h^2 \langle \Delta n_1, \Delta n_1 \rangle = I(V, V) \end{aligned}$$

with  $V = hn_1$ . This leads to a contradiction.

q.e.d.

On the other hand, if we look at the problem

of stability intrinsically, following the arguments of Barbosa - do Carmo [1,2], we get

Theorem 3.5 - Let  $D$  be a simply connected domain of an 1-degenerate minimal surface in  $\mathbb{R}^4$ . If the area of the generalized Gauss map on  $\bar{D}$  is less than  $\frac{4\pi}{3-k^2}$ , where  $k$  is given by (2.5), then  $D$  is stable.

PROOF - Since the generalized Gauss map is a branching covering on its image and it's known [3] that  $d\hat{s}^2 = -k ds^2$  in the induced Fubini - Study metric on the image whose Gaussian curvature

$$\hat{K} \leq 2-k^2,$$

by (2.15). From (3.4) and (3.10) in [2], we compute that if the Gauss image has area less than  $\frac{4\pi}{3-k^2}$ , then the first eigenvalue  $\lambda_1$  with respect to  $d\hat{s}^2$  on  $D$  is greater than 2. The rest of the proof then follows the same argument in [1].

q.e.d.

#### Remarks

1 - For  $t = 0$ , we have  $k = 1$ . This gives the same result in [1], which is sharp. It would be interesting to know whether our result is sharp for arbitrary general  $t$ . If it were true, then the result obtained by Barbosa - do Carmo [2] for minimal surfaces in  $\mathbb{R}^4$  would therefore be sharp also.

2 - From our discussions in this section, we see clearly that 1-degenerate minimal surfaces in  $\mathbb{R}^4$  possess many properties similar to those in  $\mathbb{R}^3$ , as observed first by Hoffman-Osserman [4].

§ 4 - 2-degenerate minimal surfaces in  $\mathbb{R}^4$

It's known [4] that any 2-degenerate minimal surface in  $\mathbb{R}^4$  is a regular complex analytic curve lying in  $C^2 = \mathbb{R}^4$ , with respect to some orthogonal complex structure on  $\mathbb{R}^4$ . Now let

$$(4.1) \quad \psi = (f, g) : M^2 \rightarrow C^2 = \mathbb{R}^4$$

be a regular holomorphic curve, where  $M$  is a Riemann surface and  $C^2 = \mathbb{R}^2 \oplus i\mathbb{R}^2$  is the canonical identification to  $\mathbb{R}^4$ . Then the real coordinates of  $\psi$  are given by

$$(4.2) \quad x = \text{Re} (f, -if, g, -ig)$$

And with respect to a local complex parameter  $\zeta = u + iv$ , the generalized Gauss map is given by

$$(4.3) \quad \phi(\zeta) = (f'(\zeta), -if'(\zeta), g'(\zeta), -ig'(\zeta))$$

Writing

$$(4.4) \quad f'(\zeta) = \alpha + i\beta, \quad g'(\zeta) = \gamma + i\delta$$

in real and imaginary parts, then  $\phi = X + iY$  with

$$(4.5) \quad X = (\alpha, \beta, \gamma, \delta), \quad Y = (\beta, -\alpha, \delta, -\gamma)$$

which satisfy

$$(4.6) \quad \langle X, Y \rangle = 0, \quad |X|^2 = |Y|^2 = |f'|^2 + |g'|^2 = \lambda^2$$

It's easy to see that

$$(4.7) \quad N_1 = (-\delta, -\gamma, \beta, \alpha), \quad N_2 = (\gamma, -\delta, -\alpha, \beta)$$

are normal to  $x$  and are mutually orthogonal. Then

$$(4.8) \quad e_1 = \frac{1}{\lambda} X, \quad e_2 = \frac{1}{\lambda} Y$$

$$n_1 = \frac{1}{\lambda} N_1, \quad n_2 = \frac{1}{\lambda} N_2$$

form a local adapted frame for  $x$ . To calculate the second fundamental form, using the Cauchy-Riemann equations

$$(4.9) \quad \alpha_u = \beta_v, \quad \alpha_v = -\beta_u$$

$$\gamma_u = \delta_v, \quad \gamma_v = -\delta_u$$

we get

$$(4.10) \quad A^{n_1} e_1 = -\frac{1}{\lambda^3} \left( -\alpha\delta_u - \beta\gamma_u + \gamma\beta_u + \delta\alpha_u \right) e_1 - \frac{1}{\lambda^3} \left( \beta\delta_u - \alpha\gamma_u - \delta\beta_u + \alpha_u \right) e_2$$

$$A^{n_1} e_2 = -\frac{1}{\lambda^3} \left( -\alpha\gamma_u + \beta\delta_u + \gamma\alpha_u - \delta\beta_u \right) e_1 - \frac{1}{\lambda^3} \left( \beta\gamma_u + \alpha\delta_u - \delta\alpha_u - \gamma\beta_u \right) e_2$$

$$A^{n_2} e_1 = -\frac{1}{\lambda^3} \left( \alpha\gamma_u - \beta\delta_u - \gamma\alpha_u + \delta\beta_u \right) e_1 - \frac{1}{\lambda^3} \left( -\beta\gamma_u - \alpha\delta_u + \delta\alpha_u + \gamma\beta_u \right) e_2$$

$$A^{n_2} e_2 = -\frac{1}{\lambda^3} \left( -\alpha\delta_u - \beta\gamma_u + \gamma\beta_u + \alpha_u \right) e_1 - \frac{1}{\lambda^3} \left( \beta\delta_u - \alpha\gamma_u - \delta\beta_u + \gamma\alpha_u \right) e_2$$

and

$$(4.11) \quad \langle A^{n_1}, A^{n_1} \rangle = \langle A^{n_2}, A^{n_2} \rangle = \frac{2}{\lambda^6} \left( |f'|^2 |g''|^2 + |g'|^2 |f''|^2 - \bar{f}' f'' g' \bar{g}'' - f' \bar{f}'' g'' \bar{g}' \right)$$

$$= \frac{2}{\lambda^6} \left| (f', g') \wedge (f'', g'') \right|^2$$

$$\langle A^{n_1}, A^{n_2} \rangle = 0$$

Therefore, we have the Gaussian curvature

$$(4.12) \quad K = - \langle A^n, A^n \rangle$$

for any unitary normal vector  $n$ . Summing up, we have

Theorem 4.1 - Let  $x : M^2 \rightarrow \mathbb{R}^4$  be a 2-degenerate minimal surface in  $\mathbb{R}^4$ . Then, with respect to any orthonormal normal vectors,  $v, w$ , the second fundamental form satisfies

$$(4.13) \quad \langle A^v, A^v \rangle = \langle A^w, A^w \rangle = -K$$

$$\langle A^v, A^w \rangle = 0$$

where  $K$  is the Gaussian curvature of the surface.

#### Remarks

1 - From (4.7) we see that  $\psi = (f, g)$  is orthogonal and isometric to  $\tilde{\psi} = (\bar{g}, -\bar{f})$  as 2-degenerate minimal surfaces in  $\mathbb{R}^4$ . We thus conclude that  $|K| = |K^\perp|$ , where  $K^\perp$  is the normal curvature of  $x$ . In fact, this is a characteristic property for holomorphic curves in  $C^2$ .

2 - The property (4.13) is, in fact, also characteristic. We will discuss them elsewhere.

3 - If  $M$  is simply connected then, using a fixed uniform parameter, we can construct global unitary normal vector fields.

4 - By Wirtinger's inequality [8], we know that any 2-degenerate minimal surface in  $\mathbb{R}^4$  is stable. From our discussions in (1.8) Prop. 3.3 and (4.12), we see that for any unitary normal vector field  $n$  and any normal variational vector field  $V$ ,

$$I(V, V) = I(hu, hu)$$

with  $h = |V|$ . This probably explain, from the variational viewpoint, why holomorphic curves in  $C^2 = \mathbb{R}^4$  are always stable.

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