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A composition-informed search for large-scale anisotropy with the Pierre Auger Observatory

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Abstract

The large-scale dipolar structure in the arrival directions of ultra-high-energy cosmic rays with energies above 8 EeV observed by the Pierre Auger Collaboration is a well-established anisotropy measurement. This anisotropy is understood to be of extragalactic origin, as the maximum of the dipolar component is located $\sim 115^\circ$ away from the Galactic Center. Cosmic rays interact with background radiation and magnetized regions on their path from their sources to Earth. These interactions, which depend on the cosmic-ray energy, charge and mass composition, give rise to different horizons and deflections that are expected to lead to different anisotropies in the arrival directions of cosmic rays at Earth. The Auger Collaboration has determined that the mass composition of cosmic rays at ultra-high energies is mixed, becoming increasingly heavier as a function of energy. Thus, different dipole amplitudes are expected to be measured at a given energy when separating the data into composition-distinct subsets of lighter and heavier elements.

In this contribution, we investigate the composition signature on the large-scale anisotropy taking advantage of composition estimators obtained from the data gathered with the surface detector. A way of probing for composition signatures in anisotropy patterns is then to divide the data into subsets of "lighter" and "heavier" elements per energy bin. In a simulation library, we evaluate the possibility of measuring a separation in total dipole amplitude between two such populations of the measured dataset under a source-agnostic model. We present the results using two different composition estimators, one based on air-shower universality and one inferred with deep learning.

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A composition-informed search for large-scale anisotropy with the Pierre Auger Observatory

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The large-scale dipolar structure in the arrival directions of ultra-high-energy cosmic rays with energies above 8 EeV observed by the Pierre Auger Collaboration is a well-established anisotropy measurement. This anisotropy is understood to be of extragalactic origin, as the maximum of the dipolar component is located $\sim 115^\circ$ away from the Galactic Center. Cosmic rays interact with background radiation and magnetized regions on their path from their sources to Earth. These interactions, which depend on the cosmic-ray energy, charge and mass composition, give rise to different horizons and deflections that are expected to lead to different anisotropies in the arrival directions of cosmic rays at Earth. The Auger Collaboration has determined that the mass composition of cosmic rays at ultra-high energies is mixed, becoming increasingly heavier as a function of energy. Thus, different dipole amplitudes are expected to be measured at a given energy when separating the data into composition-distinct subsets of lighter and heavier elements. In this contribution, we investigate the composition signature on the large-scale anisotropy taking advantage of composition estimators obtained from the data gathered with the surface detector. A way of probing for composition signatures in anisotropy patterns is then to divide the data into subsets of “lighter” and “heavier” elements per energy bin. In a simulation library, we evaluate the possibility of measuring a separation in total dipole amplitude between two such populations of the measured dataset under a source-agnostic model. We present the results using two different composition estimators, one based on air-shower universality and one inferred with deep learning.

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1. Introduction

The observation of the modulation in right ascension in the arrival directions of ultra-high-energy cosmic rays (UHECRs) with energies above 8 EeV [1], enabled by the high-quality data from the Pierre Auger Observatory [2], is a milestone in cosmic ray physics. Its significance with the complete Phase 1 data set is 6.8σ [3] and its direction, $\sim 115^\circ$ from the Galactic center, is indicative of an extragalactic origin for cosmic rays above this energy threshold.

Additionally, an approximately linear increase of the dipole amplitude with energy has been detected [4]. This trend is expected to be a consequence of cosmic rays interactions with background radiation and magnetized regions on their path from their sources to Earth. First, cosmic rays suffer energy losses when interacting with background radiation, leading to a decrease of the horizon of cosmic-ray sources and an increase of the dipole amplitude due to the growing relative contribution of nearby sources, whose distribution is more inhomogeneous. Second, the increase of the UHECR magnetic mean rigidity, from ≈ 4 EV to ≈ 8 EV between 10 EeV and 100 EeV, also results in a growth of the dipole amplitude with energy. These effects result in varying horizons and deflections depending on the composition and energy of the cosmic rays, which in turn produce different dipolar amplitudes¹. In this work, we study the possibility of detecting a difference on the dipolar amplitudes for distinct composition-selected subsets.

The results from the Pierre Auger Observatory from the measurements of the depth of the shower maximum with the fluorescence detector indicate that the composition of UHECRs is mixed and that it becomes heavier with increasing energy [6, 7]. In this work, we take advantage of composition estimators derived on an event-by-event basis using the surface detector of the Pierre Auger Observatory. In a Universality-based approach, the depth of the shower maximum, $X_{\max}^{\text{Univ}}$, and the number of muons, R_{μ}^{Univ} , are reconstructed using a model grounded in air-shower universality [8]. These observables are then used to infer a proxy of the logarithm of the atomic mass, $\ln A^{\text{Univ}}$, through a parameterization obtained from simulations [9], based on the EPOS-LHC hadronic interaction model [10], with the estimate being primarily driven by $X_{\max}^{\text{Univ}}$. Another mass estimator we consider is the depth of the shower maximum, $X_{\max}^{\text{DNN}}$, reconstructed using deep neural networks (DNN) [11]. While these estimators are not direct measurements, as the depth of the shower maximum is with the fluorescence detector, they have a factor ≈ 10 much larger statistics with respect to the data set from the fluorescence detector. The resolutions in $X_{\max}$ are $\approx 40 \text{ g cm}^{-2}$ and 25 g cm^{-2} , for Universality-based and DNN-based reconstructions, respectively. For comparison, the resolution of $X_{\max}^{\text{FD}}$ measured with the fluorescence detector is $\approx 15 \text{ g cm}^{-2}$ [12].

The size of the data set assumed in this work corresponds to that of Phase 1 of the Pierre Auger Observatory, as in [3], but only includes events with zenith angle smaller than 60° (rather than 80° as in [3]), given that the mass estimators considered here can only be reconstructed below this zenith threshold. The corresponding total exposure is $92,000 \text{ km}^2 \text{ sr yr}$.

¹In the alternative scenario that there is a dominant source at the highest energies, diffusion in the extragalactic magnetic field leads to a dipole that increases with rigidity, and thus also a total dipole increasing with energy for the measured composition.

2. Model for a rigidity-dependent dipole

For energies above 4 EeV, the amplitude of the dipole d is observed to increase with energy. As show in Fig. 1, this behavior can be described with a power law, $d(E) = d_{10} \times (\frac{E}{10 \text{ EeV}})^\beta$, with the following parameters: $d_{10} = 0.049 \pm 0.009$ and $\beta = 0.97 \pm 0.21$ [3]. Building on this result, for this work, we model the dependence of the dipole amplitude d with charge eZ and energy E , i.e. rigidity E/Ze , as [13]

$$d(E, Z) = d_R \left(\frac{E/\text{EeV}}{Ze} \right)^{\beta_R} \quad (1)$$

where d_R and β_R are parameters that are derived using a simulation library, reproducing the measured dipole amplitude, $d(E)$. This behavior could arise either from models with a locally dominant source whose events diffuse through extragalactic magnetic fields, or from models where numerous inhomogeneously distributed sources contribute to the flux. We consider an upper limit of $d_{\text{max}} = 1$, corresponding to the scenario in which many sources contribute to the dipolar anisotropy. Moreover, to avoid making assumptions on the specific sources, we assume that the dipole direction is the same for all nuclei.

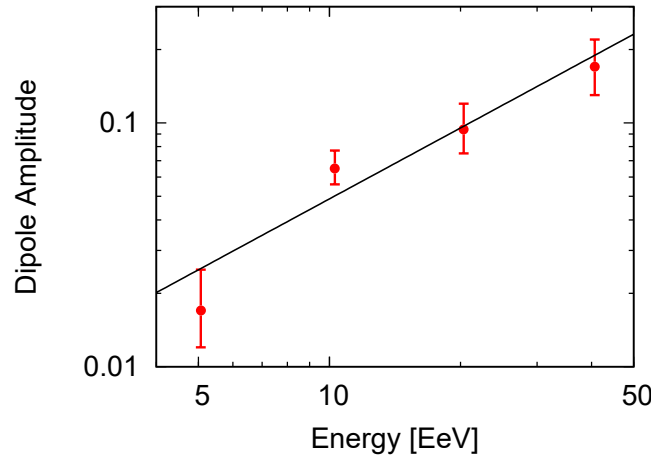


Figure 1: Evolution of the dipole amplitude with energy, for the energy bins: (4-8, 8-16, 16-32, ≥ 32) EeV [3].

The simulation library we use accounts for the detector response of both mass estimators, $\ln A^{\text{Univ}}$ and $X_{\text{max}}^{\text{DNN}}$. The simulated energy spectrum follows the one detected with the Auger Observatory. The composition model used in this work, shown in Fig. 2, reproduces the mass composition fractions obtained in [14], where a fit of the $X_{\text{max}}^{\text{FD}}$ distributions is done, considering the EPOS-LHC hadronic interaction model, for a combination of four elemental groups: proton, helium, nitrogen and iron. In this work, the energy-dependent fraction of each nuclear species is described by a Gaussian curve, fitted to the composition fractions obtained from data. The peak energy of each Gaussian is set proportionally to the atomic mass number A of each species, relative to the energy peak determined for protons². This approach allows the extrapolation of the

²There is a second maximum for nitrogen at an energy of $\log(E/\text{eV}) \approx 17.7$, which is not relevant in this analysis.

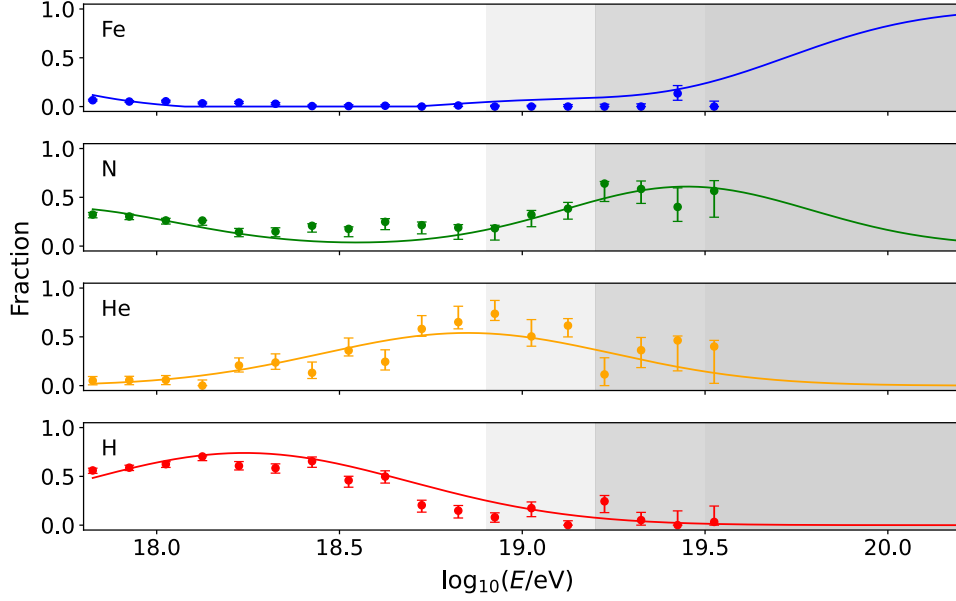


Figure 2: Results of the fractional mass composition of the UHECR derived from $X_{\text{max}}^{\text{FD}}$ distributions using EPOS-LHC predictions for proton (red), helium (orange), nitrogen (green), and iron (blue), from [14]. The continuous lines are the model predictions assuming Gaussian functions with the energy of the position of the maximum ordered proportionally to A . The gray bands are included to indicate the energy bins considered in this work: (8-16, 16-32, ≥ 32) EeV.

composition predictions to higher energies than those available with the statistics of the data set from the fluorescence detector.

Using this model, the best fit to data is $d_R = 0.0018$ and $\beta_R = 2.1$, as shown in the top panel of Fig. 3 [13]. We also display in the bottom panel of Fig. 3, the total dipole amplitude along with the contributions from each component, computed according to Eq. (1) using the best fit parameters. We did not fit the (4–8) EeV bin given that the dipole amplitude in that energy bin is not significant, with an isotropic probability of 14% [3].

3. Discovery potential

The “lighter” and “heavier” subsets are defined by maximizing the dipole amplitude difference between the two populations, for each energy bin given that the mass composition changes with energy. This difference is computed with the standardized mean difference (SMD),

$$\text{SMD} = \frac{|d_{\text{light}} - d_{\text{heavy}}|}{\sqrt{\sigma_{\text{light}}^2 + \sigma_{\text{heavy}}^2}} \quad (2)$$

with σ_{light} , σ_{heavy} the statistical uncertainty of the dipolar amplitudes of each population, d_{light} , d_{heavy} , computed as $\sigma = \sqrt{2/N}$, with N the total number of events for each population in the corresponding energy bin.

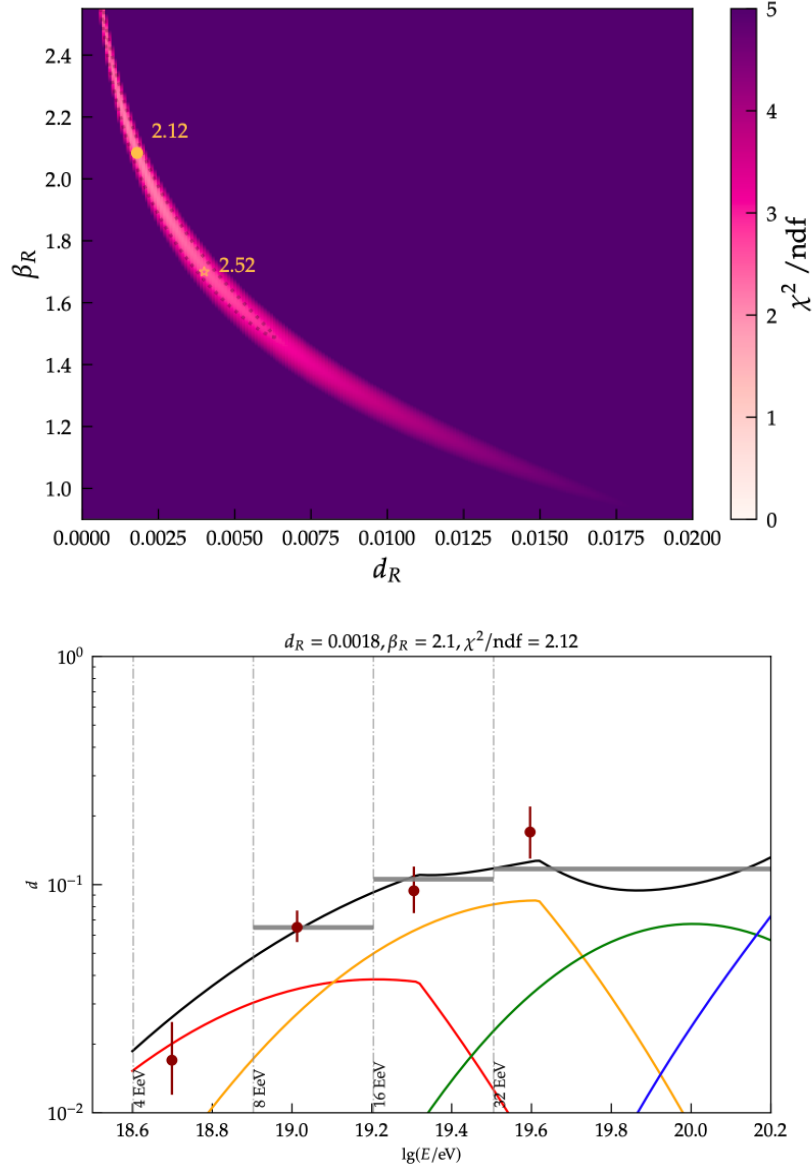


Figure 3: Top panel: map of the χ^2/ndf values obtained for the possible values of the parameters d_R and β_R . The best fit to data is obtained for $d_R = 0.0018$ and $\beta_R = 2.1$, with a $\chi^2/\text{ndf} = 2.12$. Bottom panel: total dipole amplitude (black) and dipole amplitude predicted for each component following Eq. (1), for proton (red), helium (orange), nitrogen (green), and iron (blue). The gray bars are used to indicate the total dipole amplitude in the energy bins: (8-16, 16-32, ≥ 32) EeV. The dipole amplitudes obtained in data are shown with brown circles.

The “light” and “heavy” populations are defined for each energy bin based on the tails of the distribution of each mass estimator, with a subset of intermediate events excluded from either category. For the mass estimator based on air-shower universality, the “light” population is defined as all the events with $\ln A^{\text{Univ}}$ below the $\ln A_{\text{light}}^{\text{Univ}}$ threshold, while the “heavy” population consists of the events with $\ln A^{\text{Univ}} > \ln A_{\text{heavy}}^{\text{Univ}}$. Similarly, for the mass estimator derived with deep

learning, we define the classification threshold based on $X_{\max}^{19,\text{DNN}}$, which represents the reconstructed depth of the shower maximum at 10^{19} eV corrected for energy dependence as $X_{\max}^{19,\text{DNN}} = X_{\max}^{\text{DNN}} - 58 \text{ g cm}^{-2} \log(E/10^{19} \text{ eV})$. Accordingly, the “light” and “heavy” populations are those events with $X_{\max}^{19,\text{DNN}}$ above $X_{\max,\text{light}}^{19,\text{DNN}}$ and below $X_{\max,\text{heavy}}^{19,\text{DNN}}$, respectively.

Given that the composition fractions for the different elements vary with energy, the thresholds are defined separately for each energy bin. Thus, what is considered to be “light” or “heavy” is relative to the mass composition distribution within that energy bin, with thresholds optimized to maximize the dipole amplitude difference. For example, in the hypothetical case where there were an energy bin containing only nitrogen and iron, the “light” population would correspond to nitrogen, and the “heavy” population to iron.

The optimal thresholds that maximize the dipole amplitude difference between the “light” and “heavy” populations for the two mass estimators considered, for the energy bins of (8-16, 16-32, ≥ 32) EeV, are summarized in Table 1. The fraction of events — relative to the total number of events in each energy bin, N_{tot} — that belong to each population are also reported. The optimal thresholds for $\ln A^{\text{Univ}}$ increase with energy, as expected from the composition model assumed, based on the results on the mass composition fractions. Analogously, the optimal thresholds $X_{\max,\text{th}}^{19,\text{DNN}}$ decrease with energy. As a reminder, $\ln A^{\text{Univ}}$ serves as a proxy for the physical $\ln A$, which is why $\ln A^{\text{Univ}}$ can adopt negative values.

In Fig. 4 we show the expected dipole amplitudes as a function of energy for the “light” and “heavy” populations, defined using the thresholds presented in Table 1. A clear separation is observed for both mass estimators. The SMD values obtained for the Universality and DNN-based mass estimators, for each energy bin, are included in Table 1. From the SMD values we conclude that, for the same total number of events, the DNN-based mass estimator, which has a better $X_{\max}$ resolution than the Universality-based one, is expected to lead to less mixing between the different mass elements and allows for a larger dipole difference between “light” and “heavy” populations.

One should note that, this model is source-agnostic given that the same dipole direction was assumed for both populations. Allowing for different directions could further enhance the vector separation between them.

Obtaining these cuts for the “light” and “heavy” populations using simulations that follow the Auger mass composition and spectrum allows us to apply them in data without an additional penalization to the significance of the results. Such penalization would be necessary if the cut parameters were instead optimized directly on the data.

It is important to note that when applying this analysis to data, spurious modulations in the mass estimators are expected due to the influence of atmospheric variations and the geomagnetic field on air-shower development. These modulations should be corrected, similar to the corrections applied to the event energy for weather and geomagnetic effects.

4. Conclusions and outlook

We investigated the rigidity dependence of the dipole amplitude for the three energy bins above 8 EeV by dividing the events into composition-distinct subsets — “light” and “heavy” — based on a composition model that reproduces measurements with the Auger Observatory and extrapolates them to higher energies. Using simulations, we assessed the feasibility of detecting a separation

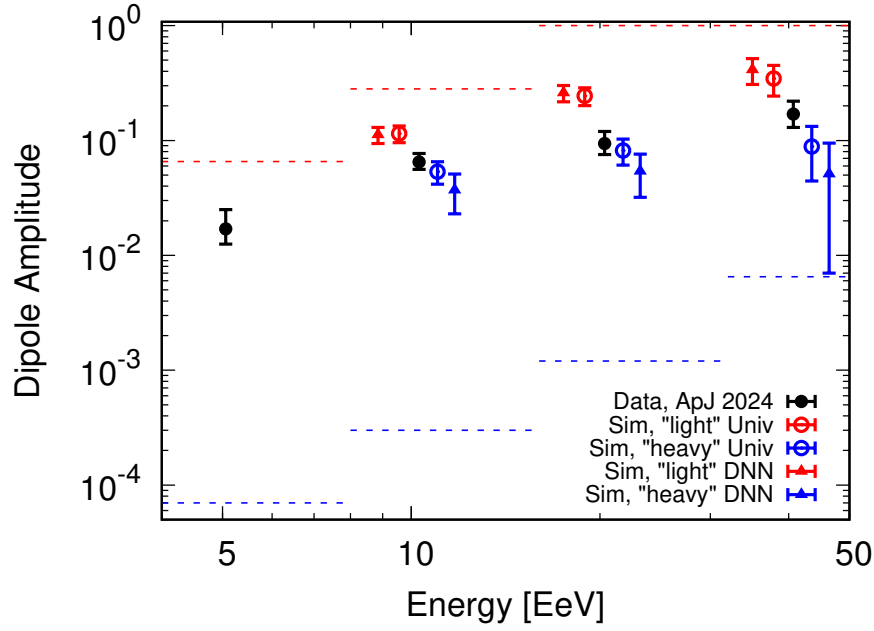


Figure 4: Expected dipole amplitude as a function of energy for the “light” (red) and “heavy” (blue) populations using mass estimators reconstructed with air-shower universality (Univ, empty circles) and deep learning (DNN, filled triangles). The black circles are the dipole amplitudes from data and the red (blue) dashed lines are the predicted amplitudes for proton (iron) with the model assumed in this work.

E [EeV]	N_{tot}	Population	Universality			DNN		
			$\ln A_{\text{th}}^{\text{Univ}}$	fraction [%]	SMD	$X_{\text{max,th}}^{19,\text{DNN}}$ [g cm ⁻²]	fraction [%]	SMD
[8-16]	28612	“light”	-0.6	19.5	2.7	793	21.9	3.3
		“heavy”	2.0	50.0		741	36.8	
[16-32]	8024	“light”	-0.2	13.4	3.4	790	14.6	4.3
		“heavy”	2.2	57.0		740	48.4	
>32	2136	“light”	0.0	8.7	2.3	781	9.1	3.2
		“heavy”	3.4	46.3		722	47.7	

Table 1: Optimal thresholds, $\ln A_{\text{th}}^{\text{Univ}}$ and $X_{\text{max,th}}^{19,\text{DNN}}$, and fractions of events for the “light” and “heavy” populations, for each energy bin, using mass estimators reconstructed with Universality and DNN, respectively. The total number of events, N_{tot} , considered in this work, for each energy bin and the SMD values obtained are also included.

in the total dipole amplitude between these two populations in each energy bin, employing two different mass estimators derived from the surface detector data. The results indicate a positive prospect for such a detection. When applying this model to data, it is necessary to correct for spurious modulations in X_{max} caused by weather and geomagnetic effects, similar to the energy corrections performed in standard large-scale anisotropy analyses. The implementation of this analysis on data is currently in progress.

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