

Quantification of phytoplankton bloom dynamics by citizen scientists in urban and peri-urban environments

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Abstract Freshwater ecosystems are severely threatened by urban development and agricultural intensification. Increased occurrence of algal blooms is a main issue, and the identification of local dynamics and drivers is hampered by a lack of field data. In this study, data from 13 cities (250 water bodies) were used to examine the capacity of trained community members to assess elevated phytoplankton densities in urban and peri-urban freshwater ecosystems. Coincident nutrient concentrations and land use observations were used to examine possible drivers of algal blooms. Measurements made by participants showed a good relationship to standard laboratory measurements of phytoplankton density, in particular in pond and lake ecosystems. Links between high phytoplankton density and nutrients (mainly phosphate) were observed. Microscale observations of pollution sources and catchment scale estimates of land cover both influenced the occurrence of algal blooms. The acquisition of environmental data by

committed and trained community members represents a major opportunity to support agency monitoring programmes and to complement field campaigns in the study of catchment dynamics.

Keywords Phytoplankton · Algal bloom monitoring · Urban ecosystems · Citizen science

Introduction

Freshwater systems are highly threatened by a range of anthropogenic activities including intensive agriculture, urbanisation, industrialisation and land cover change (Meybeck 2003). These pressures affect all ecosystem services provided by these systems, including water supply for human consumption, food production and industry, fishing, flood protection and recreational activities (Vorosmarty et al. 2005; Waltham et al. 2014). Vorosmarty et al. (2010) found that almost 80 % of the world's population live in areas with high risk for human water security and biodiversity.

The growing human population combined with the increasing tendency to live in cities has led to an increase in the number of streams flowing through urbanised areas (Meyer et al. 2005). The effects of urbanisation on water bodies have been described as “urban stream syndrome” and include alterations in geomorphology and hydrology, decrease in biodiversity, dominance of toxic, tolerant and invasive species and increase in the concentrations of organic compounds, nutrients and algal biomass (Meyer et al. 2005; Walsh

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et al. 2005; Millington et al. 2015; Halstead et al. 2014). Artificial eutrophication constitutes one of the main threats to aquatic ecosystems worldwide, especially in urban catchments (Smith et al. 1999; Taylor et al. 2004; Carpenter 2005).

Excessive nutrient loads from point and non-point sources cause shifts in the frequency and duration of phytoplankton growth and development, harmful algal blooms and the formation of hypoxic and/or anoxic conditions (Conley et al. 2009; MacLeod et al. 2011; Huang et al. 2014). Anthropogenic activities, such as fertiliser and detergent use, land use change, industrial sewerage and leaking septic systems play a key role in increasing these loads (Sylvan et al. 2007; Howarth 2008; Nyenje et al. 2010). Which nutrient plays the limiting role to algal blooms, usually nitrogen (N) or phosphorus (P), is a controversial subject (Conley et al. 2009; Huszar et al. 2006), and seasonal and spatial variations occur (Malone et al. 1996). Most commonly, P is considered to be the main limiting nutrient (Schindler et al. 2008; Conley et al. 2009), but N limitation (Ryther and Dunstan 1971; Elser et al. 1990; James et al. 2003) or NP co-limitation (Cunha and Calijuri 2011; Lee et al. 2015) also occurs. In addition, the effect of light availability also has to be considered (Cunha et al. 2012).

Studies to identify the temporal and spatial dynamics of algal blooms and the impacts of urbanisation on water bodies have been carried out at different spatial scales (e.g. Booth et al. 2004; Hatt et al. 2004; Roy et al. 2005; Lee 2000). Large-scale studies are hindered by the lack of field data to determine the frequency and duration of the algal blooms as well as the identification of local micro-scale conditions of land use.

Citizen science, also known as civic or community science (Kruger and Shannon 2000; Carr 2004), refers to the involvement of citizens on research, mainly with data collection (e.g. Canfield et al. 2002; Nicholson et al. 2002; Turner and Richter 2011; Donnelly et al. 2014), although level of engagement and tasks vary and might include result interpretation and analyses (e.g. Cardamone et al. 2009; Conrad and Hilchey 2011; Khatib et al. 2011; Macknick and Enders 2012; Lee et al. 2014). Citizen science projects have increased in importance and scope over the last decade (Miller-Rushing et al. 2012; Sauermann and Franzoni 2015) as a cost-effective alternative for acquiring high-resolution data and information. The most known case is the role of volunteers in the ornithological field which can be

traced back to the eighteenth century (Greenwood 2007), but there are also same examples in aquatic science (e.g. Lowry and Fienen 2013; Buytaert et al. 2014; Lottig et al. 2014).

In this study, data from 250 urban and peri-urban water bodies were used to examine the capacity of citizen scientists to assess high phytoplankton densities. Quantitative measurements and qualitative observations were analysed together with laboratory-based measurements of phytoplankton density to (1) determine if elevated phytoplankton density can be detected by citizens and (2) study possible drivers of eutrophication and algal blooms in urban catchments. To our knowledge, this study represents the first analysis of the community-based monitoring of algal bloom dynamics and their drivers.

Methods

This study has been carried out at two different scales: global, using data from 13 cities of the FreshWater Watch (FWW) database, and local, with more detailed measurements from three cities (São Paulo and Curitiba in Brazil and Hong Kong in China), where simultaneous samples of phytoplankton for laboratory analysis were also obtained.

Data acquisition

The present study used data obtained from trained citizen scientists who actively participated in the FWW. The FWW database includes more than measurements from 1,650 streams, rivers, lakes and ponds from 25 urban and peri-urban areas across the globe. Data were obtained following a consistent methodology and were quality controlled using side by side measurements, laboratory measurements and scientist/non-scientist comparisons. Data were uploaded directly online by participants, quality checked and in some cases corrected by the same citizen scientists after notification of inconsistent data inputs. All participants went through identical training sessions in which sampling, measurements, data acquisition, data upload and analysis were addressed using classroom and field exercises. Following training, multi-language online support was provided to maintain understanding, feedback and engagement.

Thirteen cities were chosen to be included in the study, from which only sites with at least three measurements were considered in the analysis. The cities were selected for a balanced geographical distribution: Boston, Buffalo and Chicago (USA), Buenos Aires (Argentina), Curitiba, São Paulo and Rio de Janeiro (Brazil), Delhi (India), Guangzhou and Hong Kong (China), Mexico D.F. (Mexico), Jakarta (Indonesia) and Vancouver (Canada). There were a total of 2,048 measurements (1,390 lotic and 658 lentic). Data were collected between April 2013 and September 2014 by teams of trained citizen scientists. For every sample, 16 qualitative and quantitative variables were recorded (see [supplementary information](#)). In the present evaluation, algae presence, turbidity, water colour, the presence of pollution sources as well as the concentrations of phosphate and nitrate were analysed.

Algae presence was recorded as a qualitative variable; volunteers chose from one of the following options by a drop-down menu with photographic support: no algae, evenly dispersed algae, floating mats, attached algae or blue-green scum.

Turbidity was determined using calibrated Secchi tubes (14–240 NTU) (Tyler 1968; Preisendorfer 1986; Wernand 2010). Secchi depth has been successfully used in citizen science programmes (Lathrop et al. 1996; Bruhn and Soranno 2005; Lottig et al. 2014) and has provided a high accuracy when compared to measurements taken by professional scientists (Obrecht et al. 1998; Canfield et al. 2002).

Water colour measurements were obtained as categorical data, recorded as the colour perceived by the citizen scientists using a drop-down menu which included the following selections: colourless, yellow, brown, green or other (specifying which colour). Water colour has been used as an index to assess water quality for more than a century (Mortimer 1958) to estimate changes in dissolved organic matter (Cuthbert and Del Giorgio 1992). The use of upwelling radiance to estimate algal biomass and the concentrations of photosynthetic pigments is the basis for ocean colour remote sensing (e.g. Gitelson et al. 1993; Olmanson et al. 2013; Duan et al. 2014a). Visual measurements using a colour scale (eg. Forel-Ule scale) have been used in citizen science-related studies (Novoa et al. 2014).

To determine the major drivers of eutrophication and algal blooms, the relationships between phytoplankton and nutrients (N-NO_3 , P-PO_4), land use and pollution sources were analysed.

Nitrate concentrations were estimated colourimetrically using *N*-(1-naphthyl)-ethylenediamine (Adelaju 2013) in seven specific ranges from 0.2 to 10 mg/L N-NO_3 (Kyoritsu Chemical, Tokyo, Japan). Phosphate concentrations were estimated colourimetrically using inosine enzymatic reactions in seven specific ranges from 0.02 to 1.0 mg/L P-PO_4 (Strickland and Parsons 1968).

Pollution sources were recorded in number and type using a drop-down menu, choosing from industrial discharge, residential discharge, urban/road discharge and other (specifying type and location).

All datasets included geographical coordinates and sampling time obtained using the FWW smartphone application or online tools. Selection of water body type (stream, river, pond, lake or other) was also made using common local water body name.

For comparative purposes, professionally obtained measurements of phytoplankton density were also undertaken in rivers and streams (all lotic systems) in São Paulo, Curitiba and Hong Kong. In Brazil, water samples ($n=56$) were collected in July and October 2013 and February 2014, preserved with Lugol's iodine solution and analysed in the Laboratory BIOTACE at the University of São Paulo. The organisms were counted through sedimentation chambers (Utermöhl 1958) in an inverted microscope (Olympus CK2®), with their densities expressed as organisms per milliliter (Eaton et al. 2005). In Hong Kong, water samples ($n=132$) were collected between May 2014 and December 2014 and also fixed with Lugol's solution. The sample was concentrated by natural sedimentation, and density was determined by directly counting under a light microscope using a Sedgwick-Rafter counting cell.

Global land cover data from the Food and Agriculture Organization (FAO) Global Land Cover SHARE (GLC-SHARE) database (Latham et al. 2014) and global watershed boundaries from HydroBASINS (Lehner and Grill 2013) were used to calculate the percentage of surface covered by each land cover class for every sampling site's watershed. The FAO GLC-SHARE includes land cover classes of artificial surfaces, cropland, grassland, tree-covered area, shrub-covered area, herbaceous vegetation, mangroves, sparse vegetation, bare soil, water bodies and snow and glaciers. The HydroBASINS's watershed boundaries were developed on behalf of the World Wildlife Fund (Lehner and Grill 2013) and have already been used in several freshwater ecological studies (Carrizo et al. 2013; Markovic et al. 2014; Grill et al. 2015).

Data analysis

Water quality data did not meet the requirements for parametric statistics; therefore, all tests used in this study are non-parametric and were made with IBM SPSS Statistics 21.

All measurements were divided in two groups, according to the presence or absence of algae. It was considered that algae were present when algal characteristics of evenly dispersed, floating mats or blue-green scum were recorded and absent when no algae or attached algae were recorded (since most sampled water bodies presented aquatic vegetation on the bottom). These two groups were compared in terms of water colour, turbidity, phosphate and nitrate concentrations, presence of pollution sources and land use through Mann–Whitney tests. Additionally, Spearman's rank was calculated between algae presence and all variables. This analysis was carried out for all samples together and separately for rivers/streams and ponds/lakes.

For water colour, a number was assigned to each class to perform the analysis: 0 colourless, 1 yellow, 2 brown, 3 green and 4 other (since most “other” records referred to purple, grey or black). The number and type of local pollution sources were recorded on site and assigned a specific number (0 none, 1 urban/road discharge, 2 residential discharge and 3 industrial discharge). When more than one type of source was present, their correspondent values were summed. For the land cover analysis, the FAO land cover classes were combined in three main classes: artificial surface, crop land and vegetation (composed by shrubs, trees, sparse vegetation, herbaceous vegetation and grassland). The

percentage of watershed surface covered by each of these classes was calculated using ArcGIS 10.2. Watershed delimitation was based on a Pfafstetter classification (Lehner and Grill 2013) and a level 8 was used (Markovic et al. 2014).

Phytoplankton samples from two Brazilian cities (São Paulo, Curitiba) and Hong Kong were divided in two groups, lower and higher phytoplankton density, according to the median values for each dataset as a reference, 14,593 org/mL and 420 org/mL, respectively. This division was not used to compare datasets from the study cities or to classify bloom and non-bloom conditions which require common data (phycocyanin or chlorophyll-*a* concentrations) that was not obtained. The dominant phytoplankton biomass was cyanobacteria in Curitiba and São Paulo, where study sites were higher order streams. Bacillariophyceae and Chlorophyceae dominated phytoplankton biomass in Hong Kong, where the study sites were lower order streams with lower residence time and shorter growing period.

Results

Observations of algal presence

Correlations with turbidity showed a strong relationship with phytoplankton density, 0.474 ($p < 0.001$) and 0.546 ($p < 0.001$) in São Paulo/Curitiba and Hong Kong, respectively. The low and high phytoplankton density categories had significantly different turbidity (Mann–Whitney, $p = 0.012$ for São Paulo/Curitiba and $p < 0.001$ for Hong Kong) (Figs. 1 and 2). Significant but

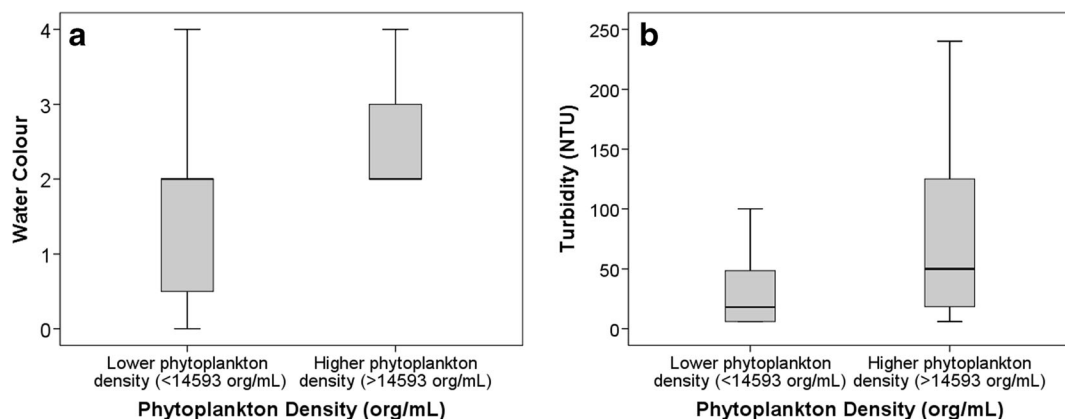


Fig. 1 **a** Phytoplankton density (org/mL) versus water colour (water colour: 0 clear, 1 yellow, 2 brown, 3 green, 4 other) for samples from São Paulo and Curitiba ($n=56$). **b** Phytoplankton density (org/mL) versus turbidity (NTU) for samples from São Paulo and Curitiba ($n=56$)

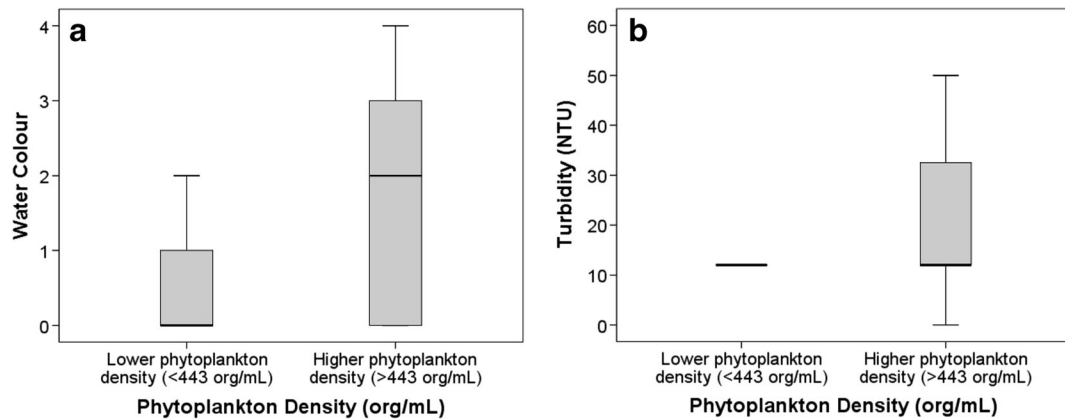


Fig. 2 **a** Phytoplankton density (org/mL) versus water colour (water colour: 0 clear, 1 yellow, 2 brown, 3 green, 4 other) for samples from Hong Kong ($n=132$). **b** Phytoplankton density (org/mL) versus turbidity (NTU) for samples from Hong Kong ($n=133$)

lower relationships with water colour were observed ($p=0.023$ for São Paulo and Curitiba and $p<0.001$ for Hong Kong).

Citizen scientists' observations of algae presence were found to correspond to a significant difference in water colour, with a higher possibility of positive algae presence being associated with a green water colour, compared to water bodies without observed algae presence ($p<0.001$). Turbidity was higher in water bodies with observed algae presence ($p<0.001$) (Fig. 3). Spearman's rank was 0.245 for turbidity and 0.224 for water colour.

There was a higher correlation of algae presence with turbidity and water colour in lentic water bodies (Table 1) with respect to lotic water bodies. Turbidity showed stronger relationships with algal presence than water colour for both lotic and lentic sites.

Relationship between phytoplankton and nutrients

The comparison between citizen scientist-acquired measurements of nutrients and their simultaneous observations of algae presence showed clear differences between $N-NO_3$ and $P-PO_4$. Phosphate concentrations were significantly higher when algae presence was observed ($p<0.001$) for pooled data from lotic and lentic water bodies (Fig. 4). No significant relationship was found between algae presence and nitrate concentration (Mann–Whitney $p=0.096$, Spearman's $\rho=0.037$). The analysis for lentic water bodies showed significant relationships between algae presence and both phosphate and nitrate concentrations (Table 2). Lotic water bodies showed a relationship between algae presence and phosphate concentration only (Table 2). This relationship was consistent with measurements of phytoplankton

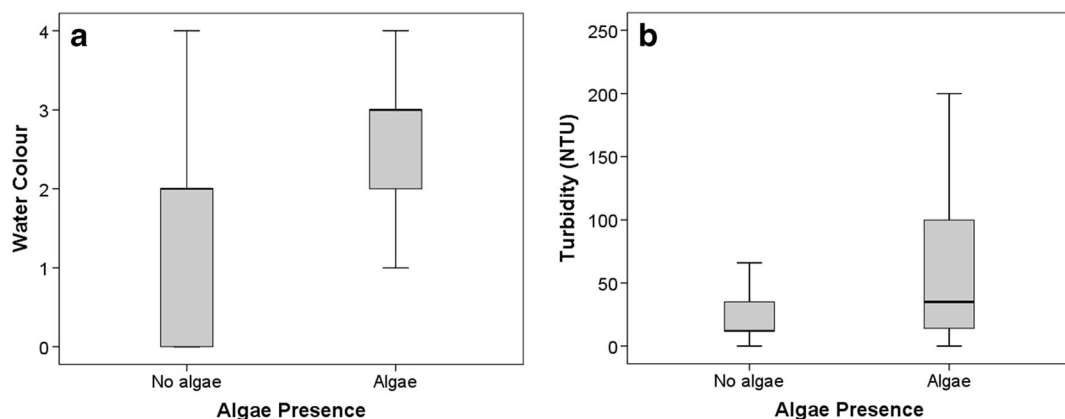


Fig. 3 **a** Water colour versus algae presence for all sites ($n=2,048$). Water colour: 0 clear, 1 yellow, 2 brown, 3 green, 4 other. **b** Turbidity versus algae presence for all sites ($n=2,048$)

Table 1 Summary of algae presence relationships with water colour and turbidity for all study ecosystems, lotic and lentic sites

	Water colour	Turbidity
All data	$p<0.001$ $\rho=0.224$ ($p<0.001$)	$p<0.001$ $\rho=0.245$ ($p<0.001$)
Lotic	$p<0.001$ $\rho=0.115$ ($p<0.001$)	$p<0.001$ $\rho=0.134$ ($p<0.001$)
Lentic	$p<0.001$ $\rho=0.295$ ($p<0.001$)	$p<0.001$ $\rho=0.364$ ($p<0.001$)

First row of each cell corresponds to the Mann–Whitney test's p value and the second one to the Spearman's rank (ρ)

Table 2 Summary of relationships between algae presence and phosphate and nitrate concentrations

	Phosphate	Nitrate
All data	$p<0.001$ $\rho=0.144$ ($p<0.001$)	$p=0.096$ $\rho=0.037$ ($p=0.096$)
Lotic	$p=0.041$ $\rho=0.055$ ($p=0.041$)	$p=0.424$ $\rho=0.021$ ($p=0.424$)
Lentic	$p<0.001$ $\rho=0.203$ ($p<0.001$)	$p<0.001$ $\rho=0.162$ ($p<0.001$)

First row of each cell corresponds to the Mann–Whitney test's p value and the second one to the Spearman's rank (ρ)

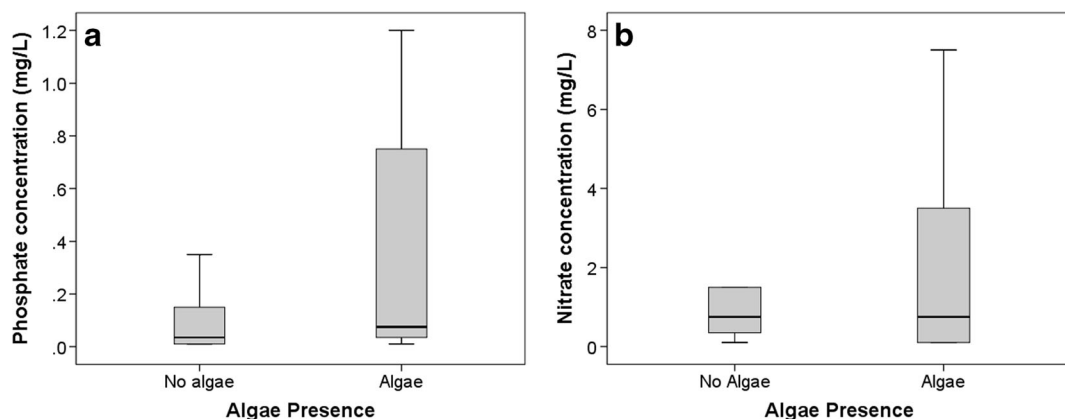
density and phosphate concentrations in São Paulo/ Curitiba and Hong Kong, where significant relationships were found between phytoplankton density and phosphate ($p<0.001$ and $p=0.001$, respectively) with Spearman of 0.548 and 0.341. Correlations between phytoplankton density and nitrate concentrations were not significant ($p>0.05$).

Relationships between phytoplankton, global and local land use data

Significant relationships were found between algae presence and all three land cover classes (Mann–Whitney, $p<0.001$) (Fig. 5). Increased cropland and artificial surface and decreased vegetated surface characterised the sites where algal presence was highest. Artificial surface cover led to a significant difference in algae presence. When only lakes and ponds were

considered, a significant relationship was found between algae presence and vegetated surfaces (Mann–Whitney $p<0.001$, Spearman's $\rho=0.237$). Cropland coverage in lotic water bodies was significantly different for water bodies with and without algae presence (Table 3).

The number of observed local pollution sources was related to significant differences between the algae and non-algae groups ($p=0.012$) when all water body types were considered. Lentic sites showed a stronger correlation between the citizen-observed local sources ($p=0.009$), although Spearman correlations were quite low ($\rho=0.055$ and $\rho=0.070$, respectively). When analysing the relationship between pollution sources and phytoplankton density in Brazil and Hong Kong, a significant difference was found between the groups with higher and lower phytoplankton densities (Fig. 6, $p=0.045$ and $p=0.007$, respectively) with

**Fig. 4** **a** Algae presence versus phosphate concentration (mg/L) for all sites ($n=2,048$). **b** Algae presence versus nitrate concentration (mg/L) for all sites ($n=2,048$)

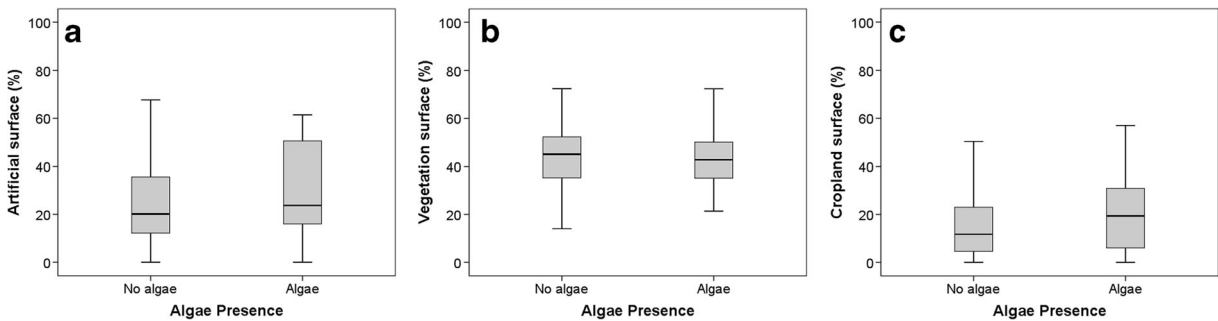


Fig. 5 **a** Algae presence versus percentage of watershed surface covered by artificial structures for all sites. **b** Algae presence versus percentage of watershed surface covered by vegetation for

all sites. **c** Algae presence versus percentage of watershed surface covered by cropland for all sites

a Spearman correlation of $\rho=0.297$ ($p=0.028$) and $\rho=0.261$ ($p=0.003$), respectively.

Discussion

Observations of algae presence and laboratory measurements of phytoplankton density were well correlated to quantitative (turbidity) and qualitative (water colour) measurements, suggesting that trained community members can make qualitative estimates of increased phytoplankton density. Of the three indicators of algae presence, turbidity provided the best accuracy. This was verified in the global dataset of lotic and lentic water bodies, as well as the pooled dataset of both water body types. As a quantitative measurement, correlations for turbidity were higher for phytoplankton densities in São Paulo, Curitiba and Hong Kong than to algae presence in all sites.

Table 3 Summary of watershed land cover and algae presence relationships, for all study ecosystems, lotic and lentic sites

	Artificial surface	Vegetated surface	Cropland
All data	$p<0.001$ $\rho=0.096$ ($p<0.001$)	$p<0.001$ $\rho=0.096$ ($p<0.001$)	$p<0.001$ $\rho=0.101$ ($p<0.001$)
Lotic	$p=0.001$ $\rho=0.087$ ($p=0.001$)	$p=0.928$ $\rho=0.002$ ($p=0.928$)	$p=0.016$ $\rho=0.065$ ($p=0.016$)
Lentic	$p<0.001$ $\rho=0.188$ ($p<0.001$)	$p<0.001$ $\rho=0.237$ ($p<0.001$)	$p=0.949$ $\rho=0.003$ ($p=0.945$)

First row of each cell corresponds to the Mann–Whitney test's p value and the second one to the Spearman's rank (ρ)

By separating the global dataset into lentic and lotic water bodies, information on turbidity and colour showed different levels of significance. This is a natural consequence of the structural, hydrological and functional differences between these kinds of ecosystems. The lower correlation in lotic water bodies was most likely due to the additional presence of resuspended particulate matter in stream and river environments (Prestigiacomo et al. 2007). On the other hand, lower turbulence, increased sedimentation of inorganic particles and increased light availability favour the dominance of phytoplankton biomass in turbidity measurements and estimates of water colour in ponds and lakes (Duan et al. 2014b). Higher phytoplankton density in lentic ecosystems was evidenced by all three indicators of algae presence.

Another factor is the increased difficulty of estimating water colour in moving waters because of the more complex surface texture and reflectance, which is strongly influenced by local flow conditions (Carbonneau and Piégay 2012). It should be noted that imprecisions associated to visual observations are inevitable (e.g. Williams et al. 2006; Cooper et al. 2007), in particular when two qualitative variables that are visual manifestations of the same phenomenon are recorded (phytoplankton-rich waters being assigned to a green water colour).

For the pooled lentic and lotic data, significant relationships were found between phosphate concentrations and both algae presence and phytoplankton density, whilst no significant relationship was found with nitrate concentrations. This correlation with phosphate was higher for phytoplankton density data, which could be associated by the improved performance of Spearman's rank for continuous variables. With respect to differences

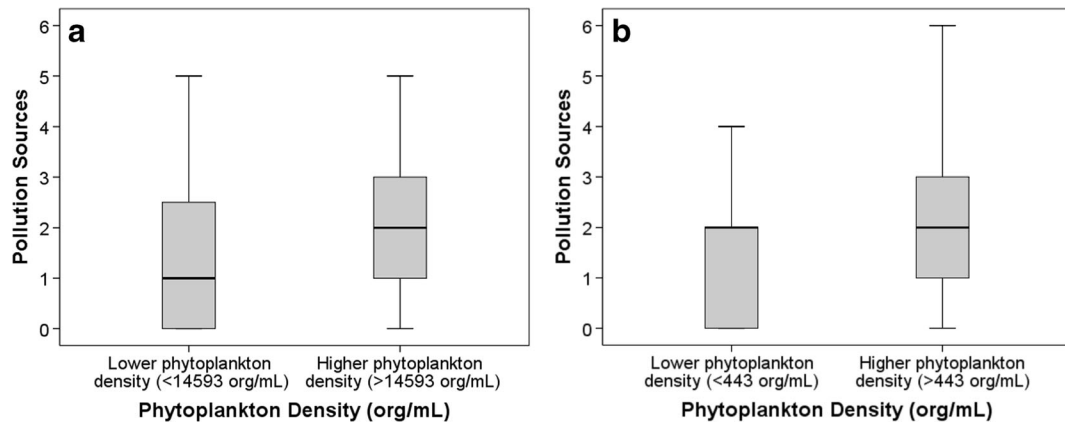


Fig. 6 **a** Phytoplankton density (org/mL) versus pollution sources for São Paulo and Curitiba ($n=56$). **b** Phytoplankton density (org/mL) versus pollution sources for Hong Kong ($n=132$). Pollution

sources: 0 none, 1 urban/road discharge, 2 residential discharge, 3 industrial discharge

in water bodies, phosphate showed a stronger correlation with algae presence in lentic with respect to lotic systems. Additionally, lentic systems presented a significant but lower correlation between algae presence and nitrate concentration. In lotic water bodies, elevated vertical mixing, lower water residence time and higher ratios of bankside vegetation to open water area create conditions where light limitation may control phytoplankton densities, in particular in the smaller streams which dominated the present study. The relative importance of nutrient or light limitation is expected to vary seasonally and spatially with respect to changes in phytoplankton community, nutrient loads and condition of stratification as well as light conditions (Conley et al. 2009; Loiselle et al. 2008; Yue et al. 2014).

The presence of algae was affected differently by local pollution sources and land use. Lentic sites presented a significant relationship with local pollution sources (low correlation), whilst no relationship was found for lotic ecosystems. Ponds and small lakes are expected to be more sensitive to local sources of pollution as residence time is higher and mixing is lower. The results from the streams examined in São Paulo and Curitiba and Hong Kong showed a positive relationship between local pollution sources and phytoplankton density, in particular in the Brazilian streams, where residence time was lower.

The effects of land cover on algae presence occurrence suggested that sites with greater percentages of cropland and artificial surface favoured higher phytoplankton density. For lentic ecosystems, correlations between algae presence and vegetated and artificial

surfaces were relatively high (0.237 and 0.188, respectively). Lotic ecosystems showed a significant positive relationship with cropland and artificial surface percentages, although correlations were low (0.065 and 0.087). These relationships were limited by the low resolution of the land use information used, suggesting the need of complementary high-resolution (local) land use data.

Conclusions

Trained citizen scientists made effective observations of algae presence across a wide range of environments and ecosystems. This information could improve detection of changes in phytoplankton dynamics in urban/peri-urban water bodies as well as provide complementary data for statutory agency monitoring (e.g. early warning). Of the information acquired, turbidity was found to provide the best indication of elevated phytoplankton densities with respect to observations of water colour. The accuracy of citizen acquired data was best for lentic systems where biogenic turbidity probably dominated.

Citizen-acquired information on pollution sources also provided useful information for predicting algal blooms. Likewise, low-resolution land use information showed links between local catchment conditions and the occurrence of high phytoplankton biomass. Combining both levels of information might be appropriate for water management and artificial eutrophication control.

Microalgae observations and measurements followed expected differences between lotic and lentic ecosystems,

in relation to light availability, biogeochemistry and hydrology. Lentic ecosystems had the highest frequency of algae presence and were the most sensitive to nutrient concentrations. In particular, phosphate concentrations covaried with phytoplankton biomass in both lentic and lotic environments.

In the present study, more than 2,000 datasets were obtained by citizen scientists, an equivalent of thousands of hours of effort that scientists were not required to make to obtain this information. These data can be used as complementary information to field campaigns in the development water quality models or their validation. The identification of harmful species and possible toxin production could provide an early warning to statutory agencies in urban/peri-urban areas. The growing interest and willingness of committed citizen scientists to undertake these activities represent a major opportunity to improve our understanding and management of these important ecosystems.

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