



# A Theory of Quantum (Statistical) Measurement

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## Abstract

We propose a theory of quantum (statistical) measurement which is close, in spirit, to Hepp's theory, which is centered on the concepts of decoherence and macroscopic (classical) observables, and apply it to a model of the Stern-Gerlach experiment. The number  $N$  of degrees of freedom of the measuring apparatus is such that  $N \rightarrow \infty$ , justifying the adjective "statistical", but, in addition, and in contrast to Hepp's approach, we make a three-fold assumption: the measurement is not instantaneous, it lasts a finite amount of time and is, up to arbitrary accuracy, performed in a finite region of space, in agreement with the additional axioms proposed by Basdevant and Dalibard. It is then shown how von Neumann's "collapse postulate" may be avoided by a mathematically precise formulation of an argument of Gottfried, and, at the same time, Heisenberg's "destruction of knowledge" paradox is eliminated. The fact that no irreversibility is attached to the process of measurement is shown to follow from the author's theory of irreversibility, formulated in terms of the mean entropy, due to the latter's property of affinity.

**Keywords** Quantum measurement · Collapse · Decoherence · Disjoint states · Macroscopic observables · Irreversibility · Heisenberg paradox

## 1 Introduction and Summary

In a recent very stimulating paper, Doplicher [1] describes qualitatively a "possible picture of the measurement process in quantum mechanics, which takes into account the finite and nonzero time duration  $T$  of the interaction between the observed system and the microscopic part of the measurement apparatus". In this paper we do not distinguish, as he does, two parts of the measurement apparatus, which, for us, will be a "macroscopic pointer", modelled by a quantum system with number of degrees of freedom  $N = \infty$ , as suggested by Hepp [2], but the time-duration  $T$  of the measurement will be assumed to satisfy the conditions:

- a.)  $0 < T$ ;
- b.) the measurement takes place in a region of finite spatial extension

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In their quantum mechanics textbook for the École Polytechnique, Basdevant and Dalibard [3] remark, in connection with their analysis of the Stern-Gerlach (SG) experiment [4], that a.) and b.) are “two fundamental aspects which are absent from the classical formulation of the principles of quantum mechanics”.

In his concluding remarks in Sect. 3, Doplicher observes that “the conventional picture of the measurement process in quantum mechanics” requires that, as  $N \rightarrow \infty$ , the time duration of the measurement tends to zero and that the measurement apparatus occupies a volume  $V$  such that  $V \rightarrow \infty$ , referring in this context to the important work of Araki and Yanase [5]. The latter authors also show, however, for a simple case, that an approximate measurement of an operator such as spin is possible to any desired accuracy. A similar result follows, in our approach, which relies in the framework introduced by Haag and Kastler [6], by restriction to a class of observables which are “arbitrarily close to their restriction to finite  $N$ ” (corresponding to finite volume, assuming finite density, as required in the thermodynamic limit) - see Assumption A in Sect. 2. In this sense, b.) above will follow, as in the case examined by Araki and Yanase, to arbitrary accuracy.

Concerning, however, the requirement that the time duration tend to zero, the situation is completely different, at least in a nonrelativistic context (in the relativistic field context, the same should follow for entirely different reasons, see the conclusion). Our forthcoming Theorem 3.4 strongly requires assumption a.), i.e., that the measurement not be instantaneous, and, in the concrete SG model of Sect. 4, it may be explicitly seen that if  $T(N) \rightarrow 0$  at a certain rate (see (82) of Remark 4.1), the off-diagonal elements of the density matrix do not vanish as  $N \rightarrow \infty$ . We explain why we are not forced to require that the time of measurement be instantaneous in Remark 4.2: it has to do with the forthcoming notion of macroscopic or classical observables. In Sect. 5 we shall also see that preparation of the system and measurement are dual, inseparable processes, and in the hypothesis of their both being instantaneous, a “time-arrow” may not exist a priori, which is an essential condition for a precise formulation of the author’s condition of irreversibility [7].

Doplicher’s choice of conventional picture of the measurement process, the article [9], in his view “quite satisfactory”, has, in our opinion (as well as Hepp’s, see ([2], p. 243)), one major disadvantage: it employs, in a crucial sense, the “ergodic average”, which is not supported by any physical principle.

We now briefly describe our framework, following, in part, [1]. In von Neumann’s general picture [10], we have a system  $S$ , whose general observable  $A = \sum_j \lambda_j E_j$  has finite spectrum  $\lambda_j$ ,  $j = 1, \dots, n$ , and self-adjoint spectral projections  $E_j$ . The Hilbert space of the state vectors of the composite system, consisting of  $S$  and the measurement apparatus  $A_N$ , which we assume to consist of a quantum system with  $N$  degrees of freedom, is given by the tensor product  $\mathcal{H}_S \otimes \mathcal{H}_{A_N}$  of the corresponding Hilbert spaces. The total Hamiltonian is

$$H_N = H_S \otimes \mathbf{1} + \mathbf{1} \otimes H_{A_N} + V_N \quad (1)$$

For simplicity, we restrict further the number of eigenvalues of the observable  $A$  to two,  $\lambda_+$  and  $\lambda_-$ , with  $\lambda_+ > \lambda_-$  (as will be the case in the SG experiment of Sect. 4). There exists a quantity  $t_D$ , called *decoherence time* (or relaxation time), which may be explicitly computed in the SG model, defined as the minimum time interval  $t_D$  such as a measurement of  $A_N$ , i.e., such that  $\lambda_+$  and  $\lambda_-$  may be experimentally distinguished, is possible. We assume that

$$0 < t_D \text{ and } t_D \text{ is independent of } N \quad (2)$$

Our requirement on  $T$ , compatible with assumption a.), may be stated as

$$0 < t_D \leq T < \infty \text{ with } t_D \text{ and } T \text{ independent of } N \quad (3)$$

In an important paper, Narnhofer and Thirring [11] examined the intriguing question why the only states found in Nature are such that they assume definite values on classical observables, but never mixtures of them. This problem has been lively discussed since Schrödinger introduced his cat [12]. As simple examples of classical (or macroscopic) observables, they propose the mean magnetization of a magnet

$$\vec{m} = \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{i=-N}^N \vec{\sigma}_i \quad (4)$$

or the center of mass velocity of a system of particles

$$\vec{v} = \lim_{N \rightarrow \infty} \frac{\sum_{i=-N}^N m_i \vec{v}_i}{\sum_{i=-N}^N m_i} \quad (5)$$

of a large object. We shall use both in this paper, but replace (5) by the center of mass coordinate of a particle system

$$\vec{x}_{C.M.} = \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{i=-N}^N \vec{x}_i \quad (6)$$

(of a group of equal atoms).

We remark that (4) - (6) are precise definitions of macroscopic or classical observables when one specifies the appropriate representation, as we do in Sect. 2. It is in this connection that the limit  $N \rightarrow \infty$  plays a crucial role in the present framework and, in this respect, quite analogously to Hepp's [2].

In order to explain the problems, we adopt Bell's suggestion ([13], p.36) of taking the apparatus  $A_N$  out of the "rest of the world"  $R$ , and treat it together with  $S$  as part of the enlarged quantum system  $S'_N$ :  $R = A_N + R'$ ;  $S + A_N = S'_N$ ;  $W = S'_N + R'$ : "the original axioms about 'measurement' are then applied not at the  $S/A_N$  interface, but at the  $A_N/R'$  interface". Neglecting the interaction of  $A_N$  with  $R'$ , the joint system  $S'_N$  is found to end, by the Schrödinger equation associated to  $H_N$  in (1), after the "measurement on  $S$  by  $A_N$ " (i.e., after a fixed time  $T$  satisfying (3)) in a state

$$\Psi_N(T) = \sum_n c_n \Psi_{n,N}(T) \quad (7)$$

where the states  $\Psi_{\pm,N}(T)$  correspond to two definite (apparatus) pointer positions. The corresponding density matrix is

$$\rho_N(T) = \sum_{n,m} c_n \bar{c}_m \Psi_{n,N}(T) \overline{\Psi_{m,N}(T)} \quad (8)$$

where the bar denotes complex conjugation. Bell reports that in his textbook analysis of the measurement problem, Kurt Gottfried ([14], pp. 186-188) insists that, being  $A_N$  a macroscopic system (and thus also  $S'_N$ ),

$$\text{tr}(A\hat{\rho}) = \text{tr}(A\rho) \text{ "for all observables } A \text{ known to occur in Nature"} \quad (9)$$

where

$$\hat{\rho}_N(T) = \sum_n |c_n|^2 \Psi_{n,N}(T) \overline{\Psi_{n,N}(T)} \quad (10)$$

(in our notation) - “dropping interference terms involving pairs of macroscopically different states”. We shall refer to the replacement of  $\rho_N(T)$  by  $\hat{\rho}_N(T)$  as the “von Neumann collapse of the density matrix”. The associated “loss of relative phases” leads to what we shall refer to as *Heisenberg paradox* [15]: “Every experiment destroys some of the knowledge of the system which was obtained by previous experiments”. We shall see that, while a reduction of type (9), (10) does not occur for finite  $N$ , it may indeed occur in the limit  $N \rightarrow \infty$ : this is the content of Corollary 3.5, which makes the last sentence in (9) precise, i.e., specifies the (physically sensible) class of observables  $A$ . This enables elimination of one of Bell’s objections in [16] to Hepp’s conceptual framework: the observable which “undoes the measurement” proposed by him does not exist in the specified framework, see [17]. On the contrary, his second objection in [16], that the infinite-time limit in the only example of automorphic evolution considered by Hepp, the Coleman model, is not physically sensible, is sound. Indeed, this model does *not* satisfy (3), because

$$t_D = t_D(N) = N + \text{constant}$$

where  $N$  denotes the number of sites in the model’s (spin) chain ([18], [19], [17]): thus  $t_D(N) \rightarrow \infty$  as  $N \rightarrow \infty$ . It thus turns out that Bell’s criticism applies to the model, rather than to the whole conceptual framework introduced by Hepp and, indeed, Narnhofer and Thirring provide a physically reasonable model example in which the infinite time limit can be controlled and agrees with some of Hepp’s conclusions ([11], see their Remark 1). This example is, however, not very illuminating from the point of view of measurement theory, having being designed to describe certain interactions with the environment which render a mixed state pure in the infinite time limit, while we are interested in the opposite effect, that a pure state becomes mixed under evolution. For this reason, we analyse in Sect. 4 a model of the SG experiment, which well illustrates Theorem 3.4 and is a generalization to an infinite number of degrees of freedom of the model proposed in [20], together with the prescription of initial state and experimental setting in [21], see also [3].

The states in the assumption of theorem 3.4 depend on the parameter  $T$ , which is only supposed to satisfy (3). Concerning this point, the idea should be mentioned ([13], p.37, bottom) that “systems such as  $S'_N$  have *intrinsic* properties - independently of and before observation”. For instance, the “jump” associated to the collapse is supposed to occur at some not well specified time ([16], p. 98). However, both the Landau–Lifshitz–Bohr–Haag picture of measurement as an interaction between system  $S'_N$  and environment  $R'$  which occurs apart from and independently of any observer ([22], [23]), as well as the fact, emphasized by Peierls [24] that the observer does not have to be contemporaneous with the event, allowing, for example, from present evidence, to draw conclusions about the early Universe (the classical example being the cosmic microwave background), strongly suggest that the quantities to be measured do not depend on  $T$ . Ideally, we expect that the states in Theorem 3.4 satisfy the assumptions of the theorem *for all*  $T$  satisfying (3), and, moreover, that the actually measured quantities *independ* of  $T$ . It is rewarding that the example treated in Sect. 4 fulfills both of these expectations (see Remark 4.2).

In Sect. 5 we briefly review the definition of irreversibility in ([7], [8]) in terms of the mean entropy [25], and prove that it is conserved on the average under “collapse”, as a consequence of the property of affinity [25]. This result contrasts with Lemma 3 of [17], where the quantum Boltzmann entropy of a finite system is shown to decrease under collapse, thus contradicting the second law (on the average), and requiring that the incidence of interactions with the environment be rare in order to assure the global validity of the second law (see the last remarks in [17]). As a consequence of theorem 5.1, van Kampen’s conjecture ([26], mentioned in [13]) that the entropy of the Universe remains zero throughout the process

of measurement is confirmed *in the sense of the mean entropy*, and thus the “irreversibility paradox” suggested by Landau and Lifschitz [22] and Gottfried [14] does not take place for infinite quantum spin systems, adopting the mean entropy as indicator. An illustration of Theorem 5.1 in the theory of measurement is provided by the effective quantum spin model of the SG experiment in Sect. 4.2.

Section 6 is reserved to a conclusion, with a brief discussion of open problems.

The present paper owes very much to the theory of quantum statistical mechanics of infinite systems, as described in [27], with a pedagogical textbook exposition in the classic book by Sewell [28]. The basic Theorem 3.4 amalgamates results in the papers of Roberts and Roepstorff [29] and Hepp [2]. The groundbreaking framework of the paper of Haag and Kastler [6], nicely reviewed by Wightman [30] plays a central role in the proposed framework.

Concerning references, a good bibliography on several aspects of the quantum theory of measurement up to 2003 is to be found in [20], pp. 575 and 576. Several other recent references, including book references, may be found in [1]. From the point of view of mathematical physics, a very recent reference is [31]: there, it is argued that the Schrödinger equation does not yield a correct description of the quantum mechanical time evolution of states of isolated physical systems featuring events; it also cites several recent references, to which we refer. In a different framework, that of thermal open systems, a recent reference is [32], see also references given there.

In the introduction and elsewhere, we sometimes state “we assume...”: in order to clarify what is really assumed, we have collected *all* the assumptions in Assumption A in Sect. 2.

## 2 General Setting

### 2.1 Generalities: States of Infinite Systems

We very briefly summarize here some concepts of crucial importance in this paper, but, for any detail, we refer to the references ([28], [27], [33]). we shall use quantum spin systems as a prototype, such as the generalized Heisenberg Hamiltonian

$$H_{\Lambda} = -2 \sum_{x,y \in \Lambda} [J_1(x-y)(S_x^1 S_y^1 + S_x^2 S_y^2) + J_2(x-y)S_x^3 S_y^3] \quad (11)$$

where

$$\sum_{x \in \mathbf{Z}^v} |J_i(x)| < \infty \text{ and } J_i(0) = 0 \text{ for } i = 1, 2 \quad (12)$$

Above,  $\vec{S}_x \equiv (S_x^1, S_x^2, S_x^3)$ , where  $S_x^i = 1/2\sigma_x^i$ ,  $i = 1, 2, 3$  and  $\sigma_x^i$ ,  $i = 1, 2, 3$  are the Pauli matrices at the site  $x$ . Above,  $H_{\Lambda}$  acts on the Hilbert space  $\mathcal{H}_{\Lambda} = \otimes_{x \in \Lambda} \mathbf{C}_x^2$ , and  $\vec{S}_x$  is short for  $\mathbf{1} \otimes \cdots \otimes \vec{S}_x \otimes \cdots \otimes \mathbf{1}$ . The algebra associated to a finite region  $\Lambda \subset \mathbf{Z}^v$  is

$$\mathcal{A}(\Lambda) = B(\mathcal{H}_{\Lambda}) \quad (13)$$

and two of its properties are crucial:

- a) (causality)  $[\mathcal{A}(B), \mathcal{A}(C)] = 0$  if  $B \cap C = \emptyset$ ;
- b) (isotony)  $B \subset C \Rightarrow \mathcal{A}(B) \subset \mathcal{A}(C)$ .

$$\mathcal{A}_L = \cup_B \mathcal{A}(B) \quad (14)$$

where  $B$  ranges over the finite parts of  $\mathbf{Z}^v$ , is called the *local* algebra; its closure with respect to the norm

$$\mathcal{A} \equiv \overline{\mathcal{A}_L} \quad (15)$$

is the *quasilocal* algebra: it consists of observables which are, to arbitrary accuracy, approximated by observables attached to a *finite* region. The bar in (15) denotes the  $C^*$ -inductive limit ([34], Prop.11.4.1). The norm is defined by  $A \in B(\mathcal{H}_\Lambda) \rightarrow \|A\| = \sup_{\|\Psi\| \leq 1} \|A\Psi\|$ ,  $\Psi \in \mathcal{H}_\Lambda$ . An *automorphism* one-to one mapping of  $\mathcal{A}$  into  $\mathcal{A}$  which preserves the algebraic structure:  $A \rightarrow \tau_x(A)$  denotes the space-translation automorphism.

A *state*  $\omega_\Lambda$  on  $\mathcal{A}(\Lambda)$  is a positive, normalized linear functional on  $\mathcal{A}(\Lambda)$ :  $\omega_\Lambda(A) = \text{Tr}_{\mathcal{H}_\Lambda}(\rho_\Lambda A)$  for  $A \in \mathcal{A}(\Lambda)$  (positive means  $\omega_\Lambda(A^\dagger A) \geq 0$ , normalized  $\omega_\Lambda(\mathbf{1}) = 1$ .)

For quantum spin systems, the index  $N$  will be identified as

$$N = |\Lambda| = V \quad (16)$$

with the understanding that  $N \nearrow \infty$  means, for simplicity, the limit along a sequence of parallelepipeds of sides  $a_i$ ,  $i = 1, \dots, v$ , with  $a_i \rightarrow \infty$  for each  $i \in [1, v]$ ; more general limits, such as the van Hove limit ([27], p. 287) could be adopted.

The notion of state generalizes to systems with infinite number of degrees of freedom  $\omega(A) = \lim_{\Lambda \nearrow \infty} \omega_\Lambda(A)$ , at first for  $A \in \mathcal{A}_L$  and then to  $\mathcal{A}$ .

Each state  $\omega$  defines a representation  $\Pi_\omega$  of  $\mathcal{A}$  as bounded operators on a Hilbert space  $\mathcal{H}_\omega$  with cyclic vector  $\Omega_\omega$  (i.e.,  $\Pi_\omega(\mathcal{A})\Omega_\omega$  is dense in  $\mathcal{H}_\omega$ ), such that  $\omega(A) = (\Omega_\omega, \Pi_\omega(A)\Omega_\omega)$  (the GNS construction). The strong closure of  $\Pi_\omega(\mathcal{A})$  is a von Neumann algebra, with commutant  $\Pi_\omega(\mathcal{A})'$ , which is the set of bounded operators on  $\mathcal{H}_\omega$  which commute with all  $\Pi_\omega(A)$ , and the center is defined by  $Z_\omega = \Pi_\omega(\mathcal{A}) \cap \Pi_\omega(\mathcal{A})'$ .

The set of states over the algebra  $\mathcal{A}$  will be denoted by  $E_\mathcal{A}$ .

Considering quantum spin systems on  $\mathbf{Z}^v$ , we shall consider only space-translation-invariant states, i.e., such that

$$\omega \circ \tau_x = \omega \text{ for all } x \in \mathbf{Z}^v \quad (17)$$

An extremal invariant or ergodic state is a state which cannot be written as a proper convex combination of two distinct states  $\omega_1$  and  $\omega_2$ , i.e., the following does *not* hold:

$$\omega = \alpha\omega_1 + (1 - \alpha)\omega_2 \text{ with } 0 < \alpha < 1 \quad (18)$$

If the above formula is true, it is natural to regard  $\omega$  as a mixture of two pure “phases”  $\omega_1$  and  $\omega_2$ , with proportions  $\alpha$  and  $1 - \alpha$ , respectively ([35], Theorem 2.3.15).

A *factor* or *primary* state is defined by the condition that the center

$$Z_\omega = \{\lambda \mathbf{1}\} \quad (19)$$

with  $\lambda \in \mathbb{C}$ .

For quantum spin systems the center  $Z_{\omega_\beta}$  coincides ([35], Example 4.2.11) with the so called algebra at infinity  $\zeta_\omega^\perp$ , which corresponds to operations which can be made outside any bounded set. As a typical example of an observable in  $\zeta_\omega^\perp$ , let  $\omega$  be any translation invariant state. Then the space average of  $A$

$$\eta_\omega(A) \equiv s - \lim_{\Lambda \nearrow \infty} \frac{1}{|\Lambda|} \sum_{x \in \Lambda} \Pi_\omega(\tau_x(A)) \quad (20)$$

exists, and, if  $\omega$  is ergodic, then

$$\eta_\omega(A) = \omega(A)\mathbf{1} \quad (21)$$

([36]), which corresponds to “freezing” the observables at infinity to their expectation values. The following definition is abstracted from [2], before his Lemma 1.

**Definition 2.1** Two states  $\omega_1$  and  $\omega_2$  are *disjoint* if no subrepresentation of  $\Pi_{\omega_1}$  is unitarily equivalent to any subrepresentation of  $\Pi_{\omega_2}$ . Two states which induce disjoint representations are said to be disjoint; if they are not disjoint, they are called *coherent*.

For finite-dimensional matrix algebras (with trivial center) all representations are coherent, and factor representations as well.

We have ([2], Lemma 6): Let  $\omega_1$  and  $\omega_2$  be extremal invariant (ergodic) states with respect to space translations. If, for some  $A \in \mathcal{A}$ ,

$$\eta_{\omega_1}(A) = a_1 \text{ and } \eta_{\omega_2}(A) = a_2 \text{ with } a_1 \neq a_2 \quad (22)$$

then  $\omega_1$  and  $\omega_2$  are disjoint.

The space averages  $\eta$  defined above correspond to macroscopic “pointer positions”, e.g., the mean magnetization in the Heisenberg model (11) in the 3- direction  $\sum_{x \in \Lambda} \frac{S_x^3}{|\Lambda|}$ , with  $A = S^3$ . If  $\eta_{\omega_+}(S^3) = a_+ = 1$ , and  $\eta_{\omega_-}(S^3) = -1$ , the states  $\omega_{\pm}$  are macroscopically different, i.e., differ from one another by flipping an infinite number of spins. For a comprehensive discussion, see [28], Sect. 2.3.

Given a state  $\omega_1$ , the set of states  $\omega_2$  “not disjoint from”  $\omega_1$  forms a *folium*: a norm-closed subset  $\mathcal{F}$  of  $E_{\mathcal{A}}$  such that (i) if  $\omega_1, \omega_2 \in \mathcal{F}$ , and  $\lambda_1, \lambda_2 \in \mathbf{R}_+$  with  $\lambda_1 + \lambda_2 = 1$ , then  $\lambda_1\omega_1 + \lambda_2\omega_2 \in \mathcal{F}$ ; ii.) if  $\omega \in \mathcal{F}$  and  $A \in \mathcal{A}$ , the state  $\omega_A$ , defined by

$$\omega_A(B) = \frac{\omega(A^*BA)}{\omega(A^*A)} \text{ with } \omega(A^*A) \neq 0 \quad (23)$$

also belongs to  $\mathcal{F}$  and is interpreted as a “local perturbation of  $\omega$ ”.

We shall denote the folium associated to a state  $\omega$  by  $[\omega]$ . If two states  $\omega_1$  and  $\omega_2$  are disjoint, their folia  $[\omega_1]$  and  $[\omega_2]$  are also disjoint. This follows from Hepp’s Lemma 1 [2]:

**Lemma 2.2**  $\omega_1 \in E_{\mathcal{A}}$  and  $\omega_2 \in E_{\mathcal{A}}$  are disjoint if and only if for every representation  $\pi$  of  $\mathcal{A}$  with  $\omega_i = \omega(\Psi_i) \circ \pi$  for some  $\Psi_i \in \mathcal{H}_{\pi}$ ,  $i = 1, 2$ , one has

$$(\Psi_1, \pi(A)\Psi_2) = 0 \forall A \in \mathcal{A}$$

Above,  $\omega_i = \omega(\Psi_i) \circ \pi$  means

$$\omega_i(A) = (\Psi_i, \pi(A)\Psi_i) \text{ with } \Psi_i \in \mathcal{H}_{\pi}$$

where  $\mathcal{H}_{\pi}$  is the Hilbert space associated to the representation  $\pi$ . The lemma is easy to understand from the definition 2.1 of disjointness:  $\Psi_2$  and  $\Psi_1$  lie in non-unitarily equivalent (“orthogonal”) Hilbert spaces, which generally differ by different values of a macroscopic observable of type, e.g., (4), (5) or (6), which means an operation affecting an *infinite* number of points or sites, and therefore cannot be connected by a quasilocal observable, which is, by definition, arbitrarily close (in norm) to one localized in a finite region. The lemma also shows explicitly that when two states  $\omega_1$  and  $\omega_2$  are disjoint, so are their folia, by definition (23).

One important example, which will be our main concern in Sects. 4 and 5, is that of an infinite direct product space. For each vector  $\vec{m}_i$ , with  $\vec{m}_i^2 = 1$ , there exists a vector  $|\vec{m}_i\rangle$  in the Hilbert space  $\mathbf{C}_i^2$  such that  $(\vec{\sigma}_i \cdot \vec{m}_i)|\vec{m}_i\rangle = |\vec{m}_i\rangle$ . Let  $\mathcal{A}$  act on a reference vector [11]  $|\Psi_{\vec{m}}\rangle = \otimes_{i=-\infty}^{\infty} |\vec{m}_i\rangle$  with  $\vec{\sigma}_i|\vec{m}_i\rangle = \vec{m}_i|\vec{m}_i\rangle$ . For  $\vec{m} \neq \vec{n}$ , this yields two representations

$\pi_{\vec{m}}, \pi_{\vec{n}}$  of  $\mathcal{A}$  on separable Hilbert spaces  $\mathcal{H}_{\vec{m}}, \mathcal{H}_{\vec{n}}$ . The following weak limits exist in these representations:

$$\vec{m}\mathbf{1} = \text{wlim}_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{i=-N}^N \pi_{\vec{m}}(\vec{\sigma}_i) \quad (24)$$

$$\vec{n}\mathbf{1} = \text{wlim}_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{i=-N}^N \pi_{\vec{n}}(\vec{\sigma}_i) \quad (25)$$

These two representations cannot be unitarily equivalent because

$$U^{-1} \pi_{\vec{m}}(\vec{\sigma}_i) U = \pi_{\vec{n}}(\vec{\sigma}_i) \quad (26)$$

would imply  $U^{-1} \vec{m}\mathbf{1} U = \vec{n}\mathbf{1}$ , which is impossible because  $U$  cannot change the unity  $\mathbf{1}$ . The same argument shows disjointness. The  $\Psi_{\vec{m}}$  define states  $\omega_{\vec{m}}(\cdot) = (\Psi_{\vec{m}}, \cdot \Psi_{\vec{m}})$ . The *mixed* state is defined as (18) (with  $\vec{m} \neq \vec{n}$ )

$$\omega_{\alpha} \equiv \alpha \omega_{\vec{m}} + (1 - \alpha) \omega_{\vec{n}} \text{ with } 0 \leq \alpha \leq 1 \quad (27)$$

which is a convex combination of distinct pure states  $\omega_{\vec{m}}$  and  $\omega_{\vec{n}}$ .

Consider, now, the framework described in Sect. 1, consisting of the system  $S$ , for simplicity a spin one-half system, whose general observable is

$$A = \lambda_+ P_+ + \lambda_- P_- \quad (28)$$

Consideration of a general, finite spectrum of  $A$  poses, however, no problem. The Hilbert space of state vectors of the composite system will consist of  $S$  and the measurement apparatus  $A_N$ , and is given by the tensor product

$$\mathcal{H}_S \otimes \mathcal{H}_{A_N} \quad (29)$$

of the corresponding Hilbert spaces. The total Hamiltonian is

$$H_N = H_S \otimes \mathbf{1} + \mathbf{1} \otimes H_{A_N} + V_N \quad (30)$$

We assume that later the limit  $N \rightarrow \infty$  is taken in an appropriate sense. Take as initial state vector

$$\Psi_N(t=0) = (\alpha|+) + \beta|-) \otimes \Psi_0^N \quad (31)$$

We assume that

$$\exp(-iT H_N) \Psi_N(t=0) = \alpha|+) \otimes \Psi^{N,+T} + \beta|-) \otimes \Psi^{N,-T} \quad (32)$$

with

$$|\alpha|^2 + |\beta|^2 = 1 \quad (33)$$

## 2.2 The Framework: Some Specific Assumptions

We shall assume that the case of particle systems (6) is also included, replacing  $\mathbf{Z}^v$  by  $\mathbf{Z}$  and finite regions  $\lambda$  by  $\Lambda_N = [-N, N]$ ,  $N \in \mathbf{N}_+$ , with  $|\Lambda| = |\Lambda_N| = 2N+1$  (see (16)). The isotony property b.) enables the algebra  $\mathcal{A}$  associated to the apparatus to be defined as



inductive limit (14) (for the infinite product case, see [37]). The algebra of the (system + apparatus) is thus assumed to be the  $C^*$ -inductive limit of the  $\mathcal{A}_s \otimes \mathcal{A}_\Lambda$ , denoted by

$$\mathcal{A}_s \otimes \mathcal{A} \quad (34)$$

where  $\mathcal{A}_s$  is the spin algebra, generated by the Pauli operators  $\{\vec{\sigma}, \mathbf{1}\}$ . Under assumption (32), we may, for each  $T$  satisfying (3), consider the states on  $\mathcal{A}$

$$\omega_\Lambda^{+,T} = (\Psi^{N,+,T}, A \Psi^{N,+,T}) \quad (35)$$

and

$$\omega_\Lambda^{-,T} = (\Psi^{N,-,T}, A \Psi^{N,-,T}) \quad (36)$$

where

$$A \in \mathcal{A}_\Lambda$$

It is now convenient to distinguish explicitly the two cases we shall consider:

#### 1) Quantum spin systems

The natural topology (from the point of view of physical applications) in the space of states is the *weak\* topology*. A sequence of states  $\omega_n$ ,  $n = 1, 2, \dots$  on a  $C^*$  algebra  $\mathcal{A}$  is said to tend to a state  $\omega$  in the weak\* topology if

$$\lim_{n \rightarrow \infty} \omega_n(A) = \omega(A) \text{ for all } A \in \mathcal{A} \quad (37)$$

The above definition requires that we extend  $\omega_\Lambda^{\pm,T}$  to  $\mathcal{A}$  in one of the various possible ways, for instance, assigning to the extension  $\tilde{\omega}_\Lambda^{\pm,T}$  the value 1 in the complement  $\mathcal{A} - \mathcal{A}_\Lambda$ . Considering  $\mathcal{A}$  as a Banach space, since the set of states on  $\mathcal{A}$  is sequentially compact in the weak\*-topology (see [38], Prop. 13, p.141 and Cor. 14, p. 142), because  $\mathcal{A}$  is separable, there exists a subsequence  $\{\Lambda_{n_k}\}_{k=1}^\infty$  of  $\Lambda_n \nearrow \infty$  and states  $\tilde{\omega}^{\pm,T}$  on  $\mathcal{A}$  such that

$$\tilde{\omega}_k^{\pm,T}(A) \equiv \tilde{\omega}_{\Lambda_{n_k}}^{\pm,T}(A) \rightarrow \tilde{\omega}^{\pm,T}(A) \text{ as } k \rightarrow \infty \quad (38)$$

#### 2) Particle systems.

In this case, we confine our attention to infinite product states on the infinite tensor product of  $C^*$  algebras  $\otimes_{i \in \mathbf{Z}} \mathcal{A}_i$ . Good references are [39], [40]. In the sequel, take the index set  $I = \mathbf{Z}$ , and each  $\mathcal{A}_i$ , with  $i \in I$  to be the von Neumann algebra generated by the Weyl operators (for simplicity in one dimension, which will be the case in the application in Sect. 4)

$$W(\beta, \gamma) = \exp[i(\beta z_i + \gamma p_{z_i})]$$

where  $p_z = -i \frac{d}{dz}$ , on  $\mathcal{H}_i$  a copy of  $L^2(\mathbf{R})$ , with  $\beta$  and  $\gamma$  real numbers.

**Definition 2.3** Let  $(\mathcal{H}_i)_{i \in I}$  be a family of Hilbert spaces. A family of vectors  $(x_i)_{i \in I}$ , with  $x_i \in \mathcal{H}_i$  is called a  $C$  family if  $\prod_{i \in I} \|x_i\|$  converges.  $(x_i)_{i \in I}$  is called a  $C_0$  family if  $\sum_{i \in I} |||x_i|| - 1|$  converges.

It may be proved (see, e.g., [39], lemma 2.2) that every  $C_0$  family is a  $C$  family, and that every  $C$  family fulfilling  $\prod_{i \in I} \|x_i\| \neq 0$  is a  $C_0$  family.

**Definition 2.4** Two  $C_0$  families  $(x_i)_{i \in I}$ ,  $(y_i)_{i \in I}$  are *equivalent*,  $(x_i)_{i \in I} \equiv (y_i)_{i \in I}$ , if

$$\sum_{i \in I} |(x_i | y_i) - 1| < \infty \quad (39)$$

It may be proved (see, e.g., [39], p. 60) that  $\equiv$  is indeed an equivalence relation. The complete tensor product (CTP) of the  $\mathcal{H}_i$ , denoted by  $\otimes_{i \in I} \mathcal{H}_i$ , defined in [39], p. 65, is a direct sum of *incomplete tensor product spaces* (IDPS)  $\otimes_{i \in I}^{\zeta} \mathcal{H}_i$ : they are the closed linear subspaces of the CTP spanned by the nonzero  $C_0$  vectors in the  $C_0$  family  $\zeta$ . If  $0 \neq \otimes_{i \in I} x_i \in \zeta$ , we write  $\otimes_{i \in I}^{(\otimes x_i)_{i \in I}} \mathcal{H}_i$  for the IDPS. The important result for us in this connection will be

**Proposition 2.5** *Let  $\otimes_{i \in I} x_i$  be a  $C_0$  vector not equal to zero. The set of all  $\otimes_{i \in I} y_i$  such that  $x_i = y_i$  for all but at most finitely many indices is total in  $\otimes_{i \in I}^{\otimes_{i \in I} x_i} \mathcal{H}_i$ .*

(For a proof, see [39], p. 67, Prop. II.4).

In the application in Sect. 4 we shall have states on an infinite tensor product of  $C^*$  algebras  $\mathcal{A}_i$ ,  $i \in I$  (see [40], p. 17, 2.2), which may also be defined as an inductive limit ([40], p. 18; [37]) and will be denoted by  $\mathcal{A}$ . For each  $i \in I$ , let  $\omega_i$  be a state on  $\mathcal{A}_i$ ,  $\pi_i$  the associated GNS representation ([35], 2.3.3), with cyclic vector  $\xi_i$ .

**Definition 2.6** The (infinite) product state  $\otimes_{i \in I} \omega_i$  is the unique state on  $\mathcal{A}$  verifying

$$(\otimes \omega_i)(\otimes x_i) = \prod \omega_i(x_i) \text{ for } x_i \in \mathcal{A}_i \quad (40)$$

and  $x_i = e_i$  for almost all  $i$ , where  $e_i$  is the identity on  $\mathcal{A}_i$ .

The representation of  $\mathcal{A}$  canonically associated to  $\otimes_{i \in I} \omega_i$  is equivalent to the representation  $\pi = \otimes_{i \in I}^{\otimes \xi_i} \pi_i$  of  $\mathcal{A}$  on  $\otimes_{i \in I}^{\otimes \xi_i} \mathcal{H}_i$  such that  $\pi(\otimes x_i) = \otimes \pi_i(x_i)$  for  $x_i \in \mathcal{A}_i$ , and  $x_i = e_i$  for almost all  $i$ , where  $e_i$  is the identity on  $\mathcal{A}_i$ .

(See Proposition 2.5, p. 20 and Proposition 2.9, p. 23, of [40]).

We are now in the position of formulating our assumption - Assumption A - which will be the hypothesis of our main theorem (Theorem 3.4):

*Assumption A*

Assume the framework consisting of the system S, for simplicity a spin one-half system with general observable (28), and Hamiltonian and initial state vector given by (32), under condition (33).

In this connection, we also assume condition (3).

The states  $\tilde{\omega}^{\pm, T}$  of quantum spin systems are defined by (38) with the algebra  $\mathcal{A}$  (of the apparatus alone, appearing in (34)). For particle systems the initial state vector (31) and those  $\Psi^{M, \pm, T}$  at time  $T$  in (32) are vectors  $\otimes_{i=-M}^M \xi_i^{\pm, T}$  with  $M$  finite, and corresponding states  $\omega_M^{\pm, T}$ , while the states of the infinite system are the infinite product factor states  $\tilde{\omega}^{\pm, T} \equiv \otimes_{i \in \mathbb{Z}} \omega_i^{\pm, T}$  of 2.6, with corresponding factorial representation  $\otimes_{i \in \mathbb{Z}}^{\xi_i^{\pm, T}} \pi_i$ . The algebra is  $\mathcal{A}$ , with  $\mathcal{A}$  the infinite tensor product of  $C^*$  algebras. In each case, for all  $A \in \mathcal{A}$  and given  $\epsilon > 0$ , there exists a finite positive integer  $k$  and a strictly local  $A(\Lambda_k) = \pi_k(A)$ , or an element  $A_k = \pi_k(A)$  of  $\otimes_{i=-k}^k \mathcal{A}_i$  such that

$$\|A - A(\Lambda_k)\| < \epsilon \quad (41)$$

or

$$\|A - A_k\| < \epsilon \quad (42)$$

**Remark 2.1** In Assumption A,  $\pi_k(A)$ , for  $A \in \mathcal{A}$  denotes a representation of  $\mathcal{A}$  on a Hilbert space  $\mathcal{H}_{\Lambda_k}$  (or  $\mathcal{H}_k$  associated to the restriction of  $A$  either to a local region or to a system with a finite number of particles, viz. satisfying (41)). This follows by construction, using the inductive limit structure of  $\mathcal{A}$ .

As a last remark, Assumption A is not so special as it might look: the way states of infinite systems are naturally obtained is precisely as limits of finite systems, which actually describe the physical situation(s), in the natural weak\* topology (37).

### 3 General Framework and Main Theorem

Roberts and Roepstorff [29] have described a natural general framework for quantum mechanics, which includes systems with an infinite number of degrees of freedom. Since their building blocks are, just as in the previous subsection, the algebra of observables  $\mathcal{A}$  and the states  $\omega$ , we are able to adapt it to the present context in a very simple way, which we now describe.

We assume that  $k = 1, 2, \dots$  is a finite natural number and come back to Assumption A. The states  $\tilde{\omega}_k^{\pm, T}$  are (pure) states on the algebra  $\mathcal{A}(\Lambda_k)$  or  $\mathcal{A}_k$ , identified as algebras of bounded operators  $\mathcal{B}(\mathcal{H}_k)$  (on  $\mathcal{H}(\Lambda_k)$  or  $\mathcal{H}_k$ ) corresponding to the vectors  $\Psi^{k, \pm, T}$ . For simplicity of notation, let  $x_k^T \equiv \Psi^{k, +, T}$ ,  $y_k^T \equiv \Psi^{k, -, T}$ ,  $\mathcal{A}_k$  stands for  $\mathcal{A}(\Lambda_k)$  or  $\mathcal{A}_k$ ,  $\mathcal{H}_k$  for both  $\mathcal{H}(\Lambda_k)$  or  $\mathcal{H}_k$ ,  $\tilde{\omega}_k^{+, T} = \omega_{x_k^T}$ ,  $\tilde{\omega}_k^{-, T} = \omega_{y_k^T}$ . As usual,

$$\|\omega_{x_k^T} - \omega_{y_k^T}\| = \sup_{A \in \mathcal{A}_k, \|A\| \leq 1} |\omega_{x_k^T}(A) - \omega_{y_k^T}(A)| \quad (43)$$

but

$$\omega_{x_k^T}(A) - \omega_{y_k^T}(A) = (x_k^T, Ax_k^T) - (y_k^T, Ay_k^T) = \text{tr}_{\mathcal{H}_k}(T_k A) \quad (44)$$

where

$$T_k \equiv x_k^T \otimes \overline{x_k^T} - y_k^T \otimes \overline{y_k^T} \quad (45)$$

with the definition

$$(x_k \otimes \overline{x_k})f \equiv (x_k, f)x_k \text{ for } f \in \mathcal{H}_k \quad (46)$$

Clearly,  $T_k$  is an operator of rank 2, and therefore in the trace class, denoted  $\tau c$  as in [41], and we have ([41], Theorem 2, p.47).

**Lemma 3.1** *The expression (44) represents a bounded linear functional on  $\tau c$  of norm  $\|A\|$ . Moreover,  $(\tau c)^*$  and  $\mathcal{B}(\mathcal{H})$  are equivalent, in the sense of Banach identical.*

By the second assertion of Lemma 3.1,

$$\|\omega_{x_k^T} - \omega_{y_k^T}\| = \text{tr}_{\mathcal{H}_k}(|T_k|) \quad (47)$$

where  $|T_k| \equiv (T_k^\dagger T_k)^{1/2}$ . the eigenvalues of  $|T_k|$  equal the absolute values of those of  $T_k$ ; by (45), (46) the latter may be obtained directly from the trace and determinant of the anti-Hermitian matrix

$$\begin{pmatrix} 1 & (x_k, y_k) \\ -(y_k, x_k) & -1 \end{pmatrix}$$

and equal

$$\lambda_{1,k} = \sqrt{1 - |(x_k^T, y_k^T)|^2} \quad (48)$$

$$\lambda_{2,k} = -\sqrt{1 - |(x_k^T, y_k^T)|^2} \quad (49)$$

Putting together (47) and (48), (49), we obtain the

**Corollary 3.2**

$$|(x_k^T, y_k^T)|^2 = 1 - \frac{1}{4} \|\omega_{x_k^T} - \omega_{y_k^T}\|^2 \quad (50)$$

Equation (50) suggests the natural definition, adapted from ([29], Def. 4.7) to the present context:

**Definition 3.3** Let, in the weak\* topology,

$$\omega_{x_k^T} \rightarrow \omega_1^T \quad (51)$$

and

$$\omega_{y_k^T} \rightarrow \omega_2^T \quad (52)$$

The *transition probability* between the states  $\omega_1^T$  and  $\omega_2^T$  on the C\*-algebra  $\mathcal{A}$ , denoted  $\omega_1^T \cdot \omega_2^T$ , is defined as

$$\omega_1^T \cdot \omega_2^T \equiv \lim_{k \rightarrow \infty} (1 - \frac{1}{4} \|\omega_{x_k^T} - \omega_{y_k^T}\|^2) \quad (53)$$

whenever the limit on the r.h.s. of (53) exists.

We have the

**Theorem 3.4** *If the states  $\omega_1^T$  and  $\omega_2^T$  in Definition 3.3 are disjoint (Definition 2.1), the transition probability between them is zero.*

**Proof** Considering the C\* algebra  $\mathcal{A}$  as a Banach space relatively to the weak topology on the dual space of states (the weak\* topology), the norm is lower semi-continuous (see, e.g., [42], Ex. 60, p.287), and thus (51) and (52) imply that

$$\liminf_{k \rightarrow \infty} \|\omega_{x_k^T} - \omega_{y_k^T}\| \geq \|\omega_1^T - \omega_2^T\| \quad (54)$$

Since  $\omega_1^T$  and  $\omega_2^T$  are disjoint, by the theorem of Glimm and Kadison [43]

$$\|\omega_1^T - \omega_2^T\| = 2 \quad (55)$$

We thus have

$$\begin{aligned} 0 &\leq \liminf_{k \rightarrow \infty} (1 - \frac{1}{4} \|\omega_{x_k^T} - \omega_{y_k^T}\|^2) \leq \\ &\limsup_{k \rightarrow \infty} (1 - \frac{1}{4} \|\omega_{x_k^T} - \omega_{y_k^T}\|^2) \leq 0 \end{aligned}$$

The first inequality above follows from the uniform bound  $\|\omega_{x_k^T} - \omega_{y_k^T}\| \leq 2$  and the third inequality above is a consequence of (54). The assertion follows.  $\square$

**Remark 3.1** In ([2], Lemma3, p.24) it was wrongly asserted that the norm is weakly continuous; the rest of his Lemma 3 contains, however, an important idea, which we now use. Let  $A \in \mathcal{A}$ . If  $\omega_{y_k^T}(A^\dagger A) = 0$ ,

$$|(\Psi^{k,-,T}, \pi_k(A) \Psi^{k,+,T})|^2 \leq \omega_{y_k^T}(A^\dagger A) \rightarrow 0 \text{ as } k \rightarrow \infty$$

Otherwise,  $\omega_{y_k^T}(A^\dagger A) \neq 0$  for  $k$  sufficiently large, and we may define the state

$$\omega_{y_k^T}^A \equiv \frac{(\Psi^{k,-,T}, \pi_k(A)^\dagger \cdot \pi_k(A) \Psi^{k,-,T})}{(\Psi^{k,-,T}, \pi_k(A)^\dagger \pi_k(A) \Psi^{k,-,T})}$$

By (52),

$$\omega_{y_k^T}^A \rightarrow \omega_2^{T,A}$$

in the weak\* topology, where, by (23),  $\omega_2^{T,A} \in [\omega_2^T]$ , the *folium* of  $\omega_2^T$  (defined by (23)).

From the above, and the remarks following Lemma 2.2,  $\omega_1^T$  and  $\omega_2^{T,A}$  are likewise disjoint, by the assumption of Theorem 3.4, implying the following

**Corollary 3.5** *Under the same assumptions of Theorem 3.4, the transition probability between  $\omega_1^T$  and  $\omega_2^{T,A}$  is zero for any  $A \in \mathcal{A}$ . In particular, by (50),*

$$\lim_{k \rightarrow \infty} (\Psi^{k,+,T}, \pi_k(A) \Psi^{k,-,T}) = 0 \quad (56)$$

**Remark 3.2** Corollary 3.5 makes precise the replacement of (8) and (9) by (10) “in the limit  $N \rightarrow \infty$ ”, which corresponds to the fact that the transition probability between the states  $\omega_1^T$  and  $\omega_2^{T,A}$  of the infinite system is zero, for any  $A \in \mathcal{A}$ , according to Definition 3.3.

In general, the disjointness of the two states in the assumption of Theorem 3.4 is not easy to prove. In the next section, we describe a model of Stern-Gerlach type in which two different proofs of this property may be given, as long as the time-of-measurement parameter  $T$  satisfies (3). The second proof will relate disjointness to the values taken by the limiting states on classical or macroscopic observables of type (6), i.e., the “pointer positions” in measurement theory.

## 4 Application to a Model of the Stern–Gerlach Experiment

### 4.1 The Model

We describe in this section a model of the Stern-Gerlach experiment [4]. A jet of silver atoms cross a strongly inhomogeneous magnetic field directed along the  $z$ -axis. We use the setting of Gondran and Gondran [21], in which silver atoms of spin one-half contained in an oven are heated to high temperature and escape through a narrow opening. A collimating fence  $F$  selects those atoms whose velocities are parallel to the  $y$  axis: it is assumed to be much larger along  $Ox$ , in such a way that both variables  $x$  and  $y$  may be treated classically. The atomic jet arrives then at an electromagnet at the initial time  $t = 0$ , each atom being then described by the wave function

$$\Psi_T(z) = \Psi_C(z)(\alpha|+) + \beta|-) \quad (57)$$

with  $|\alpha|^2 + |\beta|^2 = 1$ ,  $\sigma_z|\pm\rangle = \pm|\pm\rangle$ , and the configurational part  $\Psi_C$  is given by

$$\Psi_C(z) \equiv (2\pi\sigma_0^2)^{-1/2} \exp\left(\frac{-z^2}{4\sigma_0^2}\right) \quad (58)$$

After leaving the magnetic field, there is free motion until the particle reaches a screen placed beyond the magnet, at a certain time  $T$ , when the measurement is performed.

We shall assume that each spin eigenstate is attached not only to one atom, but to all those atoms in a tiny neighborhood of a point in space (e.g., of diameter of a micron), but still containing a macroscopic number  $N$  of atoms. The Hamiltonian (30) is thus assumed to be

$$H_N = H_S \otimes \mathbf{1} + \mathbf{1} \otimes H_{A_k} + V_k \quad (59)$$

with

$$H_S = \mu \sigma_z B \quad (60)$$

$$H_{A_k} = \frac{(P_z^{(k)})^2}{2M_k} \quad (61)$$

$$V_k = \lambda P_z^{(k)} \sigma_z \quad (62)$$

with  $M_k = (2k + 1)m$ ,  $m$  being the mass of a single atom, and

$$P_z^{(k)} = p_z^{-k} + \cdots + p_z^k \quad (63)$$

Note that we have replaced  $N$  by  $2k + 1$ , the integer variable runs from  $-k$  to  $k$ , in order to have a model on  $\mathbf{Z}$ . The corresponding effective quantum spin model of the next subsection will be thereby a translation invariant model on the lattice  $\mathbf{Z}$ . The operator  $p_z^k$  corresponding to each atom is the usual self-adjoint  $z$ -component of the momentum operator acting on the Hilbert space  $L^2(\mathbf{R})$ , and the algebra, the one-dimensional Weyl algebra corresponding to the sole variable  $z$ . Since, by (62), each spin couples only to the  $z$ -component of the center of mass momentum, the corresponding macroscopic operator will be the  $z$ -component of the center of mass coordinate  $\frac{z_{-k} + \cdots + z_k}{2k+1}$  or, as we shall see, the limit, for  $\rho$  real

$$\lim_{k \rightarrow \infty} \exp(i\rho \frac{z_{-k} + \cdots + z_k}{2k+1}) \quad (64)$$

which will be seen to exist in the appropriate representation. The model (59)-(63) is an adaptation (to a version of infinite number of degrees of freedom) of the model in the book by Gottfried and Yan ([20], pp. 559 et seq.). Equation (60) represents the interaction with the constant part of the magnetic field, (61) the kinetic energy and (62) the interaction with the field gradient, assumed to be along the  $z$ -direction

Since  $H_S$  and  $H_{A_k}$  commute with  $V_k$ , there is no problem in taking them into account, but that will only be an unnecessary burden, which only changes some constants in the forthcoming account; consequently, we ignore them both (alternatively, take  $m \rightarrow \infty$  and  $B = 0$ ). Thus our Hamiltonian will be

$$H_k = V_k = \lambda P_z^{(k)} \otimes \sigma_z \quad (65)$$

Before going on, we should like to explain the relation of the present model to the standard SG model-experiment in greater detail.

The Hamiltonian of the flying atoms should be

$$H_S = \frac{p^2}{2m} + \mu \sigma_z B_z(z) = \frac{p^2}{2m} + \mu \sigma_z (B_z(0) + z \frac{\partial B_z}{\partial z})$$

However, from  $\nabla \cdot \vec{B} = 0$ , it follows that other components of the magnetic moment interact with the field, “a fact that is often ignored in text-book descriptions”, as remarked by Gottfried and Yan ([20], p. 558, bottom). They also remark that, as this issue is irrelevant to their

purpose, they avoid it completely by constructing a soluble model that produces the same results as a good SG experiment. This is the model we use in this chapter, but with the following additions and modifications.

First, we do not need to ignore the condition  $\nabla \cdot \vec{B} = 0$ , and assume that the particle first enters an electromagnetic field  $\vec{B}$  directed along the  $z$  axis given by

$$B_x = B'_0 x \text{ with } B_y = 0 \text{ and } B_z = B_0 - B'_0 z$$

We employ the approximation

$$B'_0 = \left| \frac{\partial B_z}{\partial z} \right| = \text{constant}$$

Such a vector  $\vec{B}$  does satisfy the Maxwell equation  $\nabla \cdot \vec{B} = 0$ .

Reference ([21]) is one of the very few in which the *spatial extension* of the spinor is taken into account. This is, however, precisely the crucial element allowing to take into account the initial position  $(x_0, z_0)$  of the particle and render the evolution of the quantum system deterministic: if it is eliminated, one loses the possibility of individualizing the particle and, finally, to perform the measurement of the coordinate  $z$  of the spots on the screen. Assuming that the initial state of the silver atom is a bound state, a corresponding natural simplified Ansatz for it is a Gaussian

$$\Psi_0(x, z) = (2\pi\sigma_0^2)^{-1/2} \exp\left(-\frac{z^2 + x^2}{4\sigma_0^2}\right) S$$

where

$$S = \begin{pmatrix} \cos(\frac{\theta_0}{2}) \exp(i\phi_0/2) \\ i \sin(\frac{\theta_0}{2}) \exp(-i\phi_0/2) \end{pmatrix}$$

The solution of the time-dependent Schrödinger equation for the spinor  $\Psi$

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi + \mu_B \vec{B} \cdot \vec{\sigma} \Psi$$

with the above initial condition, the magnetic field  $\vec{B}$  as given above, is the same as the solution obtained with the Hamiltonian (65), see (3) of [21] and Appendix A of [21]. This is not unexpected because the multiplication operator  $z$  acting on a Gaussian is equivalent to a derivation. This shows that our model is indeed the SG model “in disguise”.

The silver atoms form a jet with a certain, nonzero finite density  $\rho$ . Their number  $N$ , in a macroscopic volume  $V$ , may be supposed to be well described by the thermodynamic limit  $N \rightarrow \infty$ ,  $V \rightarrow \infty$ ,  $\frac{N}{V} = \rho$ . Since the  $z$  coordinates of the two spots on the screen, in the SG experiment, are macroscopic numbers, it is reasonable to assume, correspondingly, that they are obtained as mean values of (microscopic) averages of  $z$  coordinates  $z_1, \dots, z_N$ , i.e.,  $\lim_{N \rightarrow \infty} \frac{z_1 + \dots + z_N}{N}$ . The external magnetic field gradient (supposed to be a constant equal to  $\lambda$ ) is also macroscopic and, accordingly, it seems reasonable to assume that

$$\lambda(\sigma_z^1 \otimes (z_1 + \dots + \sigma_z^N \otimes z_N) \approx \lambda \sigma_z \otimes (z_1 + \dots + z_N)$$

in a tiny (e.g. of the diameter of a micron) but still macroscopic vicinity of a point in configuration space. As explained, we may replace  $z_1 + \dots + z_N$  by  $p_1 + \dots + p_N$ , where  $p_i$  denote momentum operators of the  $i$ -th particle.

Thus, the measurement, here “performed” by the coordinate wave-function, is “arbitrarily close” to one in a finite volume  $V_0$ , and the elements of the quasi-local algebra  $\mathcal{A}$ , which are

arbitrarily close (in norm) to an element localized in a finite volume  $V_0$ , will not be able to distinguish between two disjoint states, because they are “macroscopically different”, i.e., differ from one another by an infinite number of operations - e.g., by flipping an infinite number of spins in states of different mean magnetizations, or changing the coordinates of the particles in jets of different values of the mean (C.M.) coordinate.

The fact that the coupling is assumed to occur only with the center of mass momentum explains why only the free motion is relevant in the final formulas (see Remark 4.1), and justifies restriction to product states, because the eventual (e.g. van der Waals) interactions between the silver atoms is entirely negligible.

We now proceed with the treatment of the model (65).

In correspondence to (58), the initial ( $t = 0$ ) configurational state is

$$\Psi_{C,k,0}(z_{-k}, \dots, z_k) = (2\pi\sigma_0^2)^{-1/2} \exp\left(\frac{-z_{-k}^2 + \dots - z_k^2}{4\sigma_0^2}\right) \quad (66)$$

and the full  $t = 0$  wave-vector associated to (57) becomes

$$\Psi_{T,k,0} = (\alpha|+) + \beta|-) \otimes \Psi_{C,k,0} \quad (67)$$

in the Hilbert space  $\mathcal{H} = \mathbf{C}^2 \otimes \bigotimes_{i=-k}^k L_i^2(\mathbf{R})$ , where  $L_i^2(\mathbf{R})$  denotes the  $i$ -th copy of  $L^2(\mathbf{R})$  associated to the  $k$ -th particle. Equation (65) then yields

$$\exp(-itH_k)\Psi_{T,k,0} = \alpha|+) \otimes \Psi^{k,-,t} + \beta|-) \otimes \Psi^{k,+,t} \quad (68)$$

with

$$\Psi^{k,+,t}(z_{-k}, \dots, z_k) = \Psi_{C,k,0}(z_{-k} - \lambda t, \dots, z_k - \lambda t) \quad (69)$$

together with

$$\Psi^{k,-,t}(z_{-k}, \dots, z_k) = \Psi_{C,k,0}(z_{-k} + \lambda t, \dots, z_k + \lambda t) \quad (70)$$

In correspondence with (68), the states  $\omega_{x_k^T}, \omega_{y_k^T}$  defined before (43) become

$$\omega_{x_k^T}(A) = (\Psi^{k,+,t}, \pi_k(A)\Psi^{k,+,t}) \quad (71)$$

and

$$\omega_{y_k^T}(A) = (\Psi^{k,-,t}, \pi_k(A)\Psi^{k,-,t}) \quad (72)$$

where  $A \in \mathcal{A}$ , the infinite product of Weyl algebras defined in Assumption A. For this model the  $t_D$  in (3) may be explicitly computed: after  $t=0$ , the density splits into a sum of two Gaussians, which become separated as long as the distance between their centers is larger than the widths of the two Gaussians, viz.  $3\sigma_0$ :  $t_D = \frac{3\sigma_0}{\lambda}$  where  $\lambda$  stands for the average velocity in the  $z$  direction: see (6) and (9) of [21] and the forthcoming (84).

**Proposition 4.1** *Let  $T$  satisfy (3). Then, the weak\* limits of the states (71), (72), denoted by  $\omega_1^T$  and  $\omega_2^T$  as in Definition 3.3, are disjoint.*



**Proof** We are in the setting of Proposition 2.5, with  $x_i = \Psi^{i,+T}$ , on the one hand, and  $y_i = \Psi^{i,-,T}$  on the other. We have, by (69), (70),

$$\begin{aligned}(x_i, y_i) &= (y_i, x_i) \\ &= (2\pi\sigma_0^2)^{-1} \int_{-\infty}^{\infty} dz_i \exp\left(-\frac{(z_i - \lambda T)^2}{4\sigma_0^2}\right) \\ &\quad \times \exp\left(-\frac{(z_i + \lambda T)^2}{4\sigma_0^2}\right) \\ &= (2\pi\sigma_0^2)^{-1} \int_{-\infty}^{\infty} dz_i \exp\left(-\frac{z_i^2}{2\sigma_0^2}\right) \exp\left(-\frac{\lambda^2 T^2}{2\sigma_0^2}\right) \\ &= \exp\left(-\frac{\lambda^2 T^2}{2\sigma_0^2}\right)\end{aligned}$$

By (3)

$$\exp\left(-\frac{\lambda^2 T^2}{2\sigma_0^2}\right) \geq \exp\left(-\frac{\lambda^2 t_D^2}{2\sigma_0^2}\right)$$

and hence

$$|(x_i, y_i) - 1| = 1 - \exp\left(-\frac{\lambda^2 t_D^2}{2\sigma_0^2}\right) \geq \frac{1}{4} \frac{\lambda^2 t_D^2}{2\sigma_0^2} \quad (73)$$

By Definition 2.6, the representations of  $\mathcal{A}$  canonically associated to the infinite product states  $\omega_1$  and  $\omega_2$  are  $\otimes_{i \in \mathbb{Z}}^{\otimes \xi_i} \pi_i$ , with  $\xi_i = x_i$  or  $y_i$ , and the corresponding  $C_0$ -families are not equivalent by (73) and Definition 2.4, hence they are disjoint.  $\square$

The fact used above that “not not-equivalent” means disjointness as defined by definition 2.1 may not be immediately clear but it, too, follows from Lemma 2.2. First, we dispose of  $A$  because of quasi-locality, and, due to the product structure, we arrive as a necessary and sufficient condition for disjointness of states, that the scalar product  $\prod_{i \in I; |i| \text{ sufficiently large}} (x_i, y_i) = 0$ , or, taking the logarithm

$$|\log\left(\prod_{i \in I; |i| \text{ sufficiently large}} (x_i, y_i)\right)| = \infty$$

In rigorous terms, this is replaced by the condition

$$\sum_{i \in I; |i| \text{ sufficiently large}} |(x_i, y_i) - 1| = \infty$$

This replacement is due to the necessity of avoiding the problems related to zero factors in the infinite product, or to “infinite phases”, see [39]. The above condition may be intuitively motivated by the fact that *convergence* of the infinite product implies that each term must tend to one: considering the logarithm of the product, each  $\log(x_i, y_i)$  is close to  $1 - (x_i, y_i)$  and, thus, convergence means

$$\sum_{i \in I; |i| \text{ sufficiently large}} |(x_i, y_i) - 1| < \infty$$

of which the previous formula is the negation.

We come now to a second proof of disjointness, which both illuminates its physical content and defines precisely the results and parameter values associated to the measurement. The (z-component of) the center of mass of the atoms (6) is

$$z_{C.M.} = \lim_{k \rightarrow \infty} \frac{1}{2k+1} \sum_{i=-k}^k z_k \quad (74)$$

The above limit may be seen to exist in each IDPS  $\otimes_{i \in \mathbf{Z}}^{\xi_i}$ , with  $\xi_i = x_i$  or  $y_i$ , assuming different values in each representation:

**Proposition 4.2**  $z_{C.M.}$  exists in the sense that, for any  $\rho \in \mathbf{R}$ ,

$$\lim_{k \rightarrow \infty} \exp(i\rho \frac{\sum_{i=-k}^k z_k}{2k+1}) = \exp(i\rho\lambda T) \quad (75)$$

in the IDPS  $\otimes_{i \in \mathbf{Z}}^{x_i}$ , and

$$\lim_{k \rightarrow \infty} \exp(i\rho \frac{\sum_{i=-k}^k z_k}{2k+1}) = \exp(-i\rho\lambda T) \quad (76)$$

in the IDPS  $\otimes_{i \in \mathbf{Z}}^{y_i}$ . As a consequence, the two IDPS are disjoint.

**Proof** We have

$$\begin{aligned} & (\Psi^{k,+,T}, \exp(i\rho \frac{\sum_{i=-k}^k z_k}{2k+1}) \Psi^{k,+,T}) \\ &= (2\pi\sigma_0^2)^{-\frac{2k+1}{2}} \int_{-\infty}^{\infty} dz_{-k} \cdots \int_{-\infty}^{\infty} dz_k \\ & \quad \exp(-2 \frac{(z_{-k} - \lambda T)^2}{4\sigma_0^2}) \cdots \exp(-2 \frac{(z_k - \lambda T)^2}{4\sigma_0^2}) \\ & \quad \exp(i\rho \frac{z_{-k}}{2k+1}) \cdots \exp(i\rho \frac{z_k}{2k+1}) \\ &= \exp(-\frac{\rho^2\sigma_0^2}{2(2k+1)}) \exp(i\rho\lambda T) \end{aligned}$$

from which

$$\lim_{k \rightarrow \infty} (\Psi^{k,+,T}, \exp(i\rho \frac{\sum_{i=-k}^k z_k}{2k+1}) \Psi^{k,+,T}) = \exp(i\rho\lambda T) \quad (77)$$

and, analogously,

$$\lim_{k \rightarrow \infty} (\Psi^{k,-,T}, \exp(i\rho \frac{\sum_{i=-k}^k z_k}{2k+1}) \Psi^{k,-,T}) = \exp(-i\rho\lambda T) \quad (78)$$

By Proposition 2.5 and the fact that the limits on the left hand sides of (77), (78) are not altered by changing the variables  $z_i$  with  $i$  in a finite set we may replace  $\Psi^{k,\pm,T}$  in equations (77)(resp. (78)) by vectors in a total set in  $\otimes_{i \in \mathbf{Z}}^{x_i}$  (resp.  $\otimes_{i \in \mathbf{Z}}^{y_i}$ ). This shows (75) and (76). Disjointness of the IDPS is a consequence of an argument identical to the one used in connection with (26).  $\square$

## 4.2 An Effective Quantum Spin Model

An effective quantum spin model for the previously studied Stern-Gerlach model is obtained by replacing  $\otimes_{-k}^k L_i^2(\mathbf{R})$  by

$$\mathcal{H}_k = \otimes_{i=-k}^k \mathbf{C}_k^2$$

Given a fixed  $T$  satisfying (3), perform in the states  $\omega_{x_k}^T, \omega_{y_k}^T$  in (71) and (72) the substitution

$$\Psi^{k,\pm,T} \rightarrow \otimes_{i=-k}^k |\pm\rangle_k \quad (79)$$

where  $|\pm\rangle_k$  are, as before, the spin eigenstates of  $\sigma_k^z : \sigma_k^z |\pm\rangle_k = \pm |\pm\rangle_k$ , together with the substitution

$$\lim_{k \rightarrow \infty} \exp(i\rho \frac{\sum_{i=-k}^k z_k}{2k+1}) \rightarrow \lim_{k \rightarrow \infty} \exp(2i\rho T \frac{\sum_{i=-k}^k \sigma_i^z}{2k+1}) \quad (80)$$

Then: the weak\* limit of the sequence of states

$$\omega_k \equiv |\alpha|^2 \omega_k^+ + |\beta|^2 \omega_k^- \quad (81)$$

with  $|\alpha|^2 + |\beta|^2 = 1$ , on the quasi-local algebra  $\mathcal{A}$  associated to the spin algebra on  $\mathbf{Z}$  and  $\omega_k^\pm$  denoting the product states which define the familiar disjoint representations  $\pi_{\vec{m}}, \pi_{\vec{n}}$  (with  $\vec{m} = \pm(0, 0, 1)$ ) described in Sect. 2, after (23), is an *effective* quantum spin model for the SG model described in the previous subsection, in the sense that it reproduces the “quantities to be measured” (75), (76), as long as the substitution (80) is performed.

The present model serves as illustration of the remarks on irreversibility in the next Sect. 5.

**Remark 4.1** It is of course critical that  $t_D \neq 0$  in (3); the case of “instantaneous measurement” is excluded by the Basdevant-Dalibard assumption a). The same requirement is independently imposed by the theory of irreversibility, see the next section.

As a further concrete illustration of this requirement in the present model, note that by the equations preceding (73),

$$(\Psi^{k,+T}, \Psi^{k,-T}) = \exp\left(-\frac{\lambda^2 T^2 k}{2\sigma_0^2}\right) \quad (82)$$

so that, if

$$T = T(k) = O\left(\frac{1}{\sqrt{k}}\right) \quad (83)$$

the cross terms in (32) do not tend to zero. The fact that the possibility  $T(k) \rightarrow 0$  as  $k \rightarrow \infty$  is to be *excluded*, contrarily to the remarks in [1], has a simple explanation, to be given next.

Finally, it should be remarked that equation (82) shows explicitly that the condition  $k \rightarrow \infty$  is not always *necessary* to achieve a very high degree of decoherence. Indeed, let  $T = 1 \text{ sec}$  and  $\frac{\sigma_0}{\lambda} = 10^{-4} \text{ sec}$  (the latter reasonable experimental values, see (9) in [21]), and  $k = 1$  (i.e., just one particle), we obtain for the r.h.s. of equation (82) the value  $\exp(-10^8)$ , a forbiddingly small value!

**Remark 4.2** If we differentiate equations (75) and (76) with respect to  $\rho$ , setting  $\rho = 0$  afterwards, we obtain, denoting  $\langle z_{C.M.} \rangle_T$  the expectation of the C.M. variable (74) in the product state at time  $T$ :

$$\langle z_{C.M.} \rangle_T = \pm \lambda T = 2s_z \lambda T \quad (84)$$

where

$$s_z = \pm \frac{1}{2} \quad (85)$$

are the two values of the  $z$  component of the spin operator, which comprise, in this experiment, the “measured values”. Equation (84) is essentially equation (65) of p. 559 of [20] (with  $P_z = 0$ , which we assumed) - not surprisingly the solution of the classical equation of motion, because the Gaussians are coherent states.

By (84),  $T$  is proportional to the value of a “macroscopic observable”  $< z_{C.M.} >_T$ , independent of  $k$ . This explains why a behavior such as (83) is excluded, or, more generally, that the possibility  $T(k) \rightarrow \text{zero}$  as  $k \rightarrow \infty$  mentioned in [1] is excluded.

The two values (85) are obtained from (84) through the measured values of  $< z_{C.M.} >_T$  and  $T$  (with a known constant  $\lambda$ ) and remain constant when the “observer” ( $< z_{C.M.} >_T$ ,  $T$ ) changes; the “intrinsic property postulate” of Bell and Gottfried is therefore verified in the present model.

Finally, the mathematical limit  $T \rightarrow \infty$  is unphysical in this model, since it corresponds to place the screen at infinite distance from the electromagnet.

## 5 Irreversibility, The Time-Arrow and the Conservation of Entropy Under Measurements

In his conclusion, Hepp [2] remarks: “The solution of the problem of measurement is closely connected with the yet unknown correct description of irreversibility in quantum mechanics”.

One such description of closed systems, without changing the Schrödinger equation and the Copenhagen interpretation was proposed in [7], see also [8] for a comprehensive review, which includes the stability of the second law in the form proposed in [7] under interactions with the environment.

For a finite quantum spin system the Gibbs-von Neumann entropy is ( $k_B = 1$ )

$$S_\Lambda = -Tr(\rho_\Lambda \log \rho_\Lambda) \quad (86)$$

As remarked in Sect. 2, we may view  $\rho_\Lambda$  as a state  $\omega_\Lambda$  on  $\mathcal{A}(\Lambda)$  which generalizes to systems with infinite number of degrees of freedom  $\omega(A) = \lim_{\Lambda \nearrow \infty} \omega_\Lambda(A)$ , at first for  $A \in \mathcal{A}_L$  and then to  $\mathcal{A}$ . For a large system the *mean entropy* is the natural quantity from the physical standpoint:

$$s(\omega) \equiv \lim_{\Lambda \nearrow \infty} \left( \frac{S_\Lambda}{|\Lambda|} \right)(\omega) \quad (87)$$

The mean entropy has the property [25]:

$$0 \leq s(\omega) \leq \log D \text{ where } D = 2S + 1 \quad (88)$$

where  $S$  denotes the value of the spin, in the present paper and in the effective model of Sect. 4.2,  $S = \frac{1}{2}$ .

In his paper “Against measurement”, John Bell, in a statement which is qualitatively similar to Hepp’s, insisted on the necessity of physical precision regarding such words as reversible, irreversible, information (whose information? information about what?).

The theory developed in [7], [8] starts defining an adiabatic transformation, in which there is a first step, a finite preparation time  $t_p$ , during which external forces act, at the end of which the Hamiltonian associated to the initial equilibrium state is restored, and remains so

“forever” during the second step. In measurement theory, Lamb [47] also emphasizes the dual role of preparation and measurement. If the time of measurement  $T$  is such that  $T > t_p$ , and the wave-vector describing the system is not identically zero in the whole interval  $[0, T]$ , the dynamics of the system in the time interval  $[-t_r, T]$ , of preparation followed by measurement, is \*not\* time-reversal invariant, leading to a *time arrow*. If  $t_p = T = 0$ , i.e., both preparation and measurement are instantaneous, no guarantee of the existence of a time-arrow can be given.

According to our theory, given a time arrow, the process  $\omega_1(0) \rightarrow \omega_2(\infty)$  is defined to be reversible (irreversible) iff the inverse process  $\omega_2(0) \rightarrow \omega_1(\infty)$  is possible (impossible). The first alternative takes place iff  $s(\omega_1) = s(\omega_2)$ , the second one iff  $s(\omega_1) < s(\omega_2)$ . Infinite time  $t = \infty$  means, physically, that  $T$  is much larger than a quantity  $t_D$ , the decoherence time, as explained in Sect. 1.

Of course, irreversibility is incompatible with time-reversal invariance, because the mean entropy cannot both strictly increase and strictly decrease with time. This is a precise wording in our framework of the Schrödinger paradox [12], cited in Lebowitz’s inspiring review of the issue of time-assymetry [45].

We know that the space of states is convex and the entropy of a finite system satisfies the inequality ( $0 \leq \alpha \leq 1$ )

$$S_\Lambda(\alpha\rho_\Lambda^1 + (1-\alpha)\rho_\Lambda^2) > \alpha S_\Lambda(\rho_\Lambda^1) + (1-\alpha)S_\Lambda(\rho_\Lambda^2) \quad (89)$$

i.e.,  $S_\Lambda$  is *strictly concave*: entropy is gained by mixing, but the gain is \*not\* extensive and disappears upon division by  $|\Lambda|$  and taking the infinite volume limit (inequalities of Lanford and Robinson [25]), so that the mean entropy becomes *affine*:

$$s(\alpha\omega_1 + (1-\alpha)\omega_2) = \alpha s(\omega_1) + (1-\alpha)s(\omega_2) \quad (90)$$

The state (32), (33) tends, in the weak\* topology, to a state  $\omega_T$  (now on the algebra of system and apparatus (34), whose Gibbs-von Neumann entropy is identical to that of the initial state  $\omega_0$ , and equals zero since the state is pure. The associated mean entropy therefore also satisfies

$$s(\omega_T) = s(\omega_0) = 0 \quad (91)$$

By Theorem 3.4, the state  $\omega_T$  is equivalent, “for all observables found in Nature” to the “collapsed state”  $\omega_C$  given by

$$\omega_C \equiv |\alpha|^2 \omega_1^T + |\beta|^2 \omega_2^T \quad (92)$$

where, by (32), (33), (35), (36)

$$\omega_1^T = \omega_T(P_+ \cdot)(|\alpha|^2)^{-1} \quad (93)$$

and

$$\omega_2^T = \omega_T(P_- \cdot)(1 - |\alpha|^2)^{-1} \quad (94)$$

with the notation  $P_+ = |+\rangle\langle +|$ ,  $P_- = |-\rangle\langle -|$ , the familiar projectors on the two eigenstates of  $\sigma_z$ .

**Theorem 5.1** *On the average the mean entropy is conserved by measurements, and remains equal to zero.*

**Proof** On the average, the mean entropy equals

$$\begin{aligned} & |\alpha|^2 s(\omega_1^T) + (1 - |\alpha|^2) s(\omega_2^T) \\ &= s(|\alpha|^2 \omega_1^T + (1 - |\alpha|^2) \omega_2^T) \\ &= s(\omega_T(P_+ \cdot) + \omega_T(P_- \cdot)) = s(\omega_T) \\ &= s(\omega_0) = 0 \end{aligned}$$

The first equation above is due to the property of affinity (90), the second one follows from (93), (94), the third one by the linearity of the states, and the fact that  $P_+ + P_- = \mathbf{1}$ , the fourth from (91).  $\square$

The above theorem relies on the property of affinity of the mean entropy, which has only been proved for quantum lattice systems [25]. The sole example we are able to give is the effective quantum spin model of the Stern Gerlach experiment of Sect. 4.1 which was given in Sect. 4.2.

In contrast to the behavior found in Theorem 5.1, the Boltzmann and Gibbs-von Neumann entropy of a finite system is *reduced* under collapse, by Lemma 3 of [17]. This may be understood as follows. Entropy  $S_\Lambda = |\Lambda| \log D - I_\Lambda$ , with  $I_\Lambda$  denoting the (quantum) information. For quantum spin systems  $0 \leq S_\Lambda/|\Lambda| \leq \log D$ , and therefore  $0 \leq I_\Lambda/|\Lambda| \leq \log D$ . It attains its maximum value for pure states, which are characterized by  $S_\Lambda = 0$ . Under “collapse”, each collapsed state is pure and therefore information is gained: this explains that the (Boltzmann and von Neumann) entropies are reduced, on the average, violating the second law (on the average). If one chooses to define irreversibility in terms of the growth of the quantum Boltzmann entropy, we arrive at the necessity, commented in the last paragraph of [17], that interactions with the environment (as well as measurements) must be rare phenomena on the thermodynamic scale in order to account for the validity of the version of the second law which was proved in [17]. Our approach through the mean entropy seems therefore particularly natural in this context, and has the following physical interpretation. Equivalently to the previously discussed informational content (for quantum spin systems), entropy is, in Boltzmann’s sense, a measure of a macrostate’s wealth of “microstates”, and therefore grows by mixing, but it turns out that this growth is *not* extensive and disappears upon division by  $|\Lambda|$ , i.e., taking the infinite volume limit (inequalities of Lanford and Robinson [25]), so that the affinity property (90) results and, with it, Theorem 5.1, confirming, *in the sense of mean entropy*, Nicolas van Kampen’s conjecture [26] that *the entropy of the Universe is not affected by measurements*. It is not affected either by more general interactions with the environment [11], resulting in the *stability of the second law* proved in [7], see [8].

## 6 Conclusion and Open Problems

One central and dominating feature of the analysis over finite vs infinite dimensional spaces is that in the infinite dimensional case the solution may depend *discontinuously* on the parameters of the problem. Indeed, infinite systems may exhibit *singularities*, not present in finite macroscopic systems, well-known in the theory of *phase transitions*: they are parametrized by *critical exponents*, which, moreover, display *universal* properties, in excellent agreement with experiment! The crucial example of “discontinuity”, as  $N \rightarrow \infty$ , in the context of measurement theory, is the basic structural change of the states: a sequence of pure states may tend to a mixed state, by Theorem 3.4, as a consequence of the property of disjointness, which has no analogue for finite system: in measurement theory, the mean entropy is con-

served and equals zero by Theorem 5.1. It may also happen that a sequence of pure states of infinite systems, parametrized by the time variable, tends to a mixed state of strictly higher mean entropy ([7], [8]).

In greater generality, the physical “ $N$  large but finite” differs qualitatively from “ $N$  infinite” because the latter exhibits *universal* properties not found in finite systems. One example of these universal properties, crucial in our approach, is the affinity of the mean entropy, whose finite-volume counterpart strict concavity of  $\frac{S_\Lambda}{|\Lambda|}$  is not universal because, not being uniform in  $|\Lambda|$ , it depends on the volume  $|\Lambda|$  of the system. The fact that (only) “ $N$  infinite” is in good agreement with experiment is explained by the fact that, with  $N \approx 10^{24}$ , macroscopic systems are extremely close to infinite systems (the success of the thermodynamic limit!). This explains why we are able to complement, and sometimes improve on, Sewell’s approach to the measurement problem in ([18], [19]).

The above-mentioned universality in the framework introduced here and in ([7], [8]) suggests that other physical theories besides quantum spin systems might exhibit similar properties, e.g., relativistic quantum field theory (rqft), and, from there, hopefully, nonrelativistic quantum continuous systems by the non-relativistic limit of rqft. Since, however, rqft deals with fields and thus continuous quantum systems, the structure of the space of states is quite different from that of quantum spin systems, and, in particular, the states must be required to be locally normal [48] or locally finite ([28], p.26) - of which the only existing proof in an interacting field theory is due to Glimm and Jaffe, for the vacuum state [49]. Moreover, Theorem 3.4 and its corollary show that in measurement theory the relevant state is (equivalent to) a weak\* limit of a sequence of convex linear combinations of product states, that is, a non-entangled state, according to the Bertlmann-Narnhofer-Thirring geometrical picture of entanglement ([50], [51]). The latter [50], however, also suggests that in rqft “almost every state is entangled” in a precise sense, and, indeed, Summers and Werner [52] and Landau [53] show that the vacuum state in rqft maximally violates Bell’s inequality (see also Wightman’s review [54] of their work). It is thus expected that entanglement will play a role in a future theory of measurement in rqft.

The formulation of a theory of measurement in rqft is a difficult, very fundamental open problem: it is formulated as Problem 4 in Wightman’s list [54]: “to examine the effects of relativistic invariance on measurement theory”, see also [47]. In particular, Doplicher suggests [1] that the apparent “nonlocalizability” of the type observed in the EPR thought experiment, due to the superposition principle, would certainly disappear if truly *local* measurements were performed - and spin or angular momentum measurements are not such. In fact, instead of (62), we must have a true interaction between fields. Incidentally, for interacting fields, the singularity hypothesis of [55] implies that fields are not defined for sharp times, and “instantaneous measurements” are excluded.

Since the measurement problem in quantum mechanics is a very complex and controversial problem, the complexity being partly due to the variety of the existent physical situations, it cannot be hoped that this paper contains a “final solution” to the measurement problem. In particular, almost perfect decoherence may occur even if the limit  $N \rightarrow \infty$  is not performed at all, when the time  $T$  of observation is sufficiently large: an explicit example of this situation is given in Remark 4.1.

The above-mentioned example relates to the work of Machida and Namiki [56], commented by Araki [57], who formulated the Machida-Namiki theory in terms of continuous superselection rules. The reduction of the wave-packet proceeds, then, as a consequence of the (mathematical) limit  $T \rightarrow \infty$  (in our notation: see equations (3.4), (3.5) in [57]). Although, as we have argued, this limit need not, in general, be of physical relevance (and, indeed, it is not in the SG model, see the last sentence in Remark 4.2), we have just seen that

almost perfect decoherence may occur, nevertheless, if  $T$  is sufficiently large with respect to the decoherence time  $t_D$ . These theories, therefore, do remain of considerable interest as a complement to ours.

Another example is provided by the question of whether it is possible to devise any experiment (of the Bell-EPR type) which simultaneously measures precise values of incompatible observables (the SG experiment of Sect. 4 being not of this type). This may indicate a different route to the previous discussion based on microcausality. See, in this connection, the specific analysis in [58], as well as the more general [59]; both are based on Griffiths' (probabilistic) theory of consistent histories ([60], see also [61]). The latter theory was used by Omnès [62], who proposed to consider measurements specified by special kinds of history in which decoherence results in the classical behavior of the macroscopic variables of the apparatus, to a sufficient approximation. This (not necessarily perfect) decoherence is yet another alternative, complementary approach to ours. Concerning the classical, macroscopic observables, it is also of special interest that they are shown in [63] to be special cases of a subalgebra of the class of microscopic quantum observables of a generic many-body system (see also [64]).

In spite of the above-mentioned limitations, we believe that the universal properties of perfect decoherence, as described in the first two paragraphs, suggest that it is relevant, in the sense of an idealized limit, to a significant number of physical measurements, in which both the "Heisenberg paradox" and the "irreversibility paradox" have been eliminated, and, therefore, quantum mechanics, in the original Copenhagen interpretation, is totally free of internal inconsistencies. This occurs, however, as Hepp [2] predicted, only if one takes into account the extension of quantum mechanics to systems with an infinite number of degrees of freedom, as formulated in [29] and [2], and developed in Sect. 3.

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**Data Availability** The author confirms that all the data supporting the findings of this study are available within the article.

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