

Nº 63

A note on affine quotients

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Março 1984

A NOTE ON AFFINE QUOTIENTS.

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Abstract. The purpose of this note is to present an elementary and self-contained proof of the following result (see [2]): If G is an affine algebraic group and K a closed subgroup, then if K is exact in G , the quotient variety G/K is affine.

Introduction: Let G be an affine algebraic group and K a closed subgroup. In [2] the induction representation functor from K -modules to G -modules was defined, as well as the concept of exact subgroup. The subgroup K is said to be exact in G , if the induction representation functor from K to G , is exact. In the mentioned paper the authors prove that K is exact in G if and only if the quotient variety G/K is affine. The proof that G/K affine implies that K is exact in G is based on the fact that if G/K is affine, the algebra of polynomial functions on G is faithfully flat when considered as a module over the algebra of the K -invariant polynomials on G . Here we present a proof of the other implication, (Theorem 2.1), that goes as follows. First we use a criterion developed in [1] in order to prove that if K is exact in G , then the quotient variety G/K is quasi-affine. Second we observe that if G/K is quasi-affine it can be covered by a finite number of principal affine open sets $(G/K)_f$, with f in ${}^K P(G)$. Here $P(G)$ represents the algebra of polynomial functions on G and ${}^K P(G)$ the algebra of K -fixed elements of $P(G)$. Then, we use the exactness again to guarantee that the ideal generated by the f 's is all of ${}^K P(G)$. The rest is an easy exercise in algebraic geometry.

SECTION 1. We start by fixing some notations. All algebraic groups will be affine and defined over an algebraically closed field F . If G is such a group we indicate by $\underline{M}(G)$ the category of all rational G -modules. If M is a G -module we denote by ${}^G_M = \{m \in M \mid x.m = m \ \forall \ x \in G\}$. If G is an affine group and K a closed subgroup we think of K acting on G on the right by multiplication. The orbit space of this action will be denoted by G/K . It is well known that G/K has a natural structure of quasi-projective variety. The action of K on G , induces an action of K on $P(G)$ on the left as follows: if $x \in K$ and $f \in P(G)$, $x.f$ is the element of $P(G)$ that takes at an element y of G the value $(x.f)(y) = f(yx)$. The algebra of regular functions on G/K can be identified with ${}^K P(G)$ where the K -fixed part is taken with respect to the natural action defined above. The restriction map from $P(G)$ to $P(K)$ will be denoted by Π .

If M is an arbitrary object of $\underline{M}(K)$ we endow $P(G) \otimes M$ with the diagonal K -action and define $M|_G$ as $M|_G = {}^K(P(G) \otimes M)$. Note that $P(G) \otimes M$ has a natural structure of G -module as follows: if $x \in G, f \in P(G)$ and $m \in M$, $x.(f \otimes m) = f.x^{-1} \otimes m$, where $f.x^{-1}$ is the element of $P(G)$ that at the point y of G takes the value $f(x^{-1}y)$. With the G -module structure defined above $M|_G$ is a G -submodule of $P(G) \otimes M$. Moreover if: $\alpha: M \rightarrow N$ is a K -module homomorphism, $\text{id} \otimes \alpha$ from $P(G) \otimes M$ into $P(G) \otimes N$, is a G -map and sends $M|_G$ into $N|_G$.

Defn. 1.1. The induction representation functor, that we denote as $\text{Ind}_{K,G}$, or simply as Ind , from $\underline{M}(K)$ to $\underline{M}(G)$, is the functor that sends M into $M|_G$, and α into the restriction of $\text{id} \otimes \alpha$ to $M|_G$, for any K -module M and for any K -homomorphism α .

Defn. 1.2. In the situation above, we say that K is exact in G if the functor $\text{Ind}_{K,G}$ is exact.

Note that Ind , is automatically left exact. Thus, K is exact

in G if and only if for every morphism of K -modules $\alpha: N \rightarrow M$ such that $\alpha(N) = M$, we have that $(\text{id} \otimes \alpha)(N|_G^G) = M|_G^G$.

Defn. 1.3. If G is an affine algebraic group and K a closed subgroup, a rational multiplicative character γ of K is said to be extendible to G , if there is a non zero polynomial $f \in P(G)$ such that for every $x \in K$, $x.f = \gamma(x)f$. Such an f is called an extension of γ .

It is easy to show that if γ is extendible to G , there is an extension f of γ such that $\Pi(f) = \gamma$, or equivalently such that $f(1) = 1$.

If γ is a rational character of K we denote by γ^* the character $\gamma^*(x) = \gamma(x)^{-1}$.

It was proved in [1] that if for every character γ that is extendible to G , the character γ^* is also extendible to G , then the quotient G/K is quasi-affine.

Theorem 1.4. Let G be an affine algebraic group and K a closed subgroup. If K is exact in G then G/K is quasi-affine.

Proof. It is easy to reduce the theorem to the case where G is irreducible. In that case we prove that if γ is an extendible character, then γ^* is also extendible. Take g an extension of γ such that $g(1) = 1$, and define \bar{g} in $P(G)$ as $\bar{g}(x) = g(x^{-1})$. Note that $\Pi(\bar{g}) = \gamma^*$. Call $\langle K\bar{g} \rangle$ the K -submodule of $P(G)$ generated by \bar{g} . Consider the map α from $Fg \otimes \langle K\bar{g} \rangle$ into F , defined as the composition $\alpha = j(\Pi \otimes \Pi)$, where j is the multiplication map from $F\gamma \otimes F\gamma^*$ into F that sends $\gamma \otimes \gamma^*$ into 1. It is clear that if we endow $Fg \otimes \langle K\bar{g} \rangle$ with the diagonal K -module structure, and if we endow F with the trivial K -module structure, α is a surjective K -module homomorphism. By exactness we deduce that

$\text{id} \otimes \alpha: (Fg \otimes \langle K\bar{g} \rangle)|_G^G \rightarrow F|_G^G = {}^K P(G)$ is also surjective.

Consequently there is a $t \in {}^K(P(G) \otimes Fg \otimes \langle K\tilde{g} \rangle)$ such that $(\text{id} \otimes \alpha)(t) = 1$.

Write $t = \sum f_i \otimes g \otimes h_i$, and define f as $f = \sum f_i h_i$. From the fact that t is K -fixed, we deduce that gf is also K -fixed. Thus, for any $x \in K$ we have, $x \cdot (gf) = gf$, and then, as $x \cdot g = \gamma(x)g$, we deduce that $x \cdot f = \gamma^*(x)f$.

Now, if we define $\lambda_i \in F$ by the equality $\Pi(h_i) = \lambda_i \gamma^*$, the equality $(\text{id} \otimes \alpha)(t) = 1$ gives us that $\sum \lambda_i f_i = 1$. But $\lambda_i = h_i(1)$, thus, $f(1) = \sum f_i(1)h_i(1) = \sum \lambda_i f_i(1) = 1$. Consequently, f is an extension of γ^* to G .

Q.E.D.

SECTION 2. In this section we complete the proof of the main result by proving that if G/K is quasi-affine and K is exact in G , then the quotient variety G/K is affine.

Theorem 2.1. (Cline, Parshall and Scott). If K is an exact closed subgroup of the affine algebraic group G , then the quotient variety G/K is affine.

Proof. A standard argument reduces the proof to the case where G is irreducible. From Theorem 1.4, we deduce that G/K is quasi-affine. Then, there is a non zero element f in ${}^K P(G)$ such that the principal open set $(G/K)_f = \{xK \in G/K \mid f(x) \neq 0\}$, is affine. Consider the ideal I of ${}^K P(G)$ generated by the set $\{f \cdot y \mid y \in G\}$. It is clear that the ideal $IP(G)$ cannot have any zero in G , so that $IP(G) = P(G)$. Then, we can find a finite number of elements f_1, f_2, \dots, f_n in ${}^K P(G)$, and g_1, \dots, g_n in $P(G)$ such that $\sum_{i=1}^n f_i g_i = 1$. Consider the K -module homomorphism α , from $\bigoplus_{i=1}^n P(G)$ into $P(G)$, defined as $\alpha(a_1, \dots, a_n) = \sum_{i=1}^n f_i a_i$. As α sends (g_1, \dots, g_n) into 1, we deduce that α is surjective. Using the exactness we deduce that the map $\text{id} \otimes \alpha: {}^K(P(G) \otimes \bigoplus_{i=1}^n P(G)) \rightarrow {}^K(P(G) \otimes P(G))$ is also surjective. The multi-

as a G -map. Thus, the map $\hat{\mu}$ defined as the restriction of μ to $K(P(G) \otimes P(G))$, is also surjective. Consider now the map $\hat{\alpha}: \bigoplus_1^n K P(G) \rightarrow K P(G)$ defined as $\hat{\alpha}(b_1, \dots, b_n) = \sum f_i b_i$. It is easy to see from the definitions above that: $\hat{\mu}(\text{id} \otimes \alpha) = \hat{\alpha}(\oplus \hat{\mu})$ and consequently that $\hat{\alpha}$ is surjective. That implies that there exist functions h_1, \dots, h_n in $K P(G)$ such that $\sum f_i h_i = 1$. Thus, $I = K P(G)$. Using [3], exercise 2.17, page 81, we deduce that G/K is affine.

Q.E.D.

The result used at the very end of the proof above, reads as follows. Let X be an algebraic variety defined over F with structure sheaf $\underline{0}$. Suppose there are elements $f_1, \dots, f_n \in \underline{0}(X)$ such that: a) For every i the principal open set X_{f_i} is affine. b) The ideal generated in $\underline{0}(X)$ by the set $\{f_i : i=1, \dots, n\}$ is the unit ideal. Then the variety X is affine.

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