

RT-MAT 2007 - 09

**Ruled Weingarten surfaces in
a 3-dimensional space form**

Antonio C. Asperti and
Barbara C. Valério

Abril 2007

123

Esta é uma publicação preliminar (“preprint”).

Ruled Weingarten surfaces in a 3-dimensional space form

Antonio C. Asperti and Barbara C. Valério

Abstract. Let M^2 be a ruled surface in $S^3(1)$ or in $H^3(-1)$, given locally by its natural parametrization $X(s, t)$. We show that such a ruled surface with non-constant curvature, is a Weingarten surface if and only if K and H are constant along s . This is a well known fact for ruled surfaces in R^3 .

Mathematics Subject Classification (2000). 53A35, 53B25.

Keywords. Ruled surfaces, Weingarten surfaces.

1. Introduction

Let $Q^3(c)$ be a complete, simply connected Riemannian manifold of constant sectional curvature $c = \pm 1$. We can assume that $Q^3(c)$ is the unity sphere $S^3(1) = \{(x_1, x_2, x_3, x_4) \in R^4 : x_1^2 + x_2^2 + x_3^2 + x_4^2 = 1\}$, if $c = 1$, or the hyperbolic space $H^3(-1) = \{(x_1, x_2, x_3, x_4) \in L^4 : -x_1^2 + x_2^2 + x_3^2 + x_4^2 = -1, x_1 > 0\}$, if $c = -1$, where L^4 denotes the 4-dimensional Lorentz-Minkowski space, that is, the Euclidean space R^4 endowed with the pseudo-metric $\langle \cdot, \cdot \rangle := -dx_1^2 + dx_2^2 + dx_3^2 + dx_4^2$.

We say that a 2-dimensional submanifold M^2 of $Q^3(c)$ is a ruled surface if there exists a foliation of M^2 by geodesics of $Q^3(c)$. It is known that any ruled surface in $Q^3(c)$ can be locally parametrized by

$$X(s, t) = \exp_{e_0(s)}(t e_1(s))$$

where \exp is the exponential map of the space forms $Q^3(c)$ and $e_0(s)$, $e_1(s)$ are suitably chosen curves in R^4 or L^4 , see the next section.

A surface is called a Weingarten surface or a W -surface, if the Gaussian curvature K and the mean curvature H satisfy a nontrivial relation $\phi(H, K) = 0$. For any local parameters (s, t) on M^2 , this is equivalent to $H_s K_t - H_t K_s = 0$. Surfaces with H or K constant are clearly Weingarten surfaces. Also a helicoidal

surface (that is, a surface $S \subset \mathbb{Q}^3(c)$ which is invariant by a helicoidal motions of $\mathbb{Q}^3(c)$), is also a Weingarten surface.

The ruled surfaces in \mathbb{R}^3 that are also W -surfaces are well understood and were classified independently by Beltrami [1] and Dini [2] around 1865. A short proof of their result is given in [3]. In the course of the proof it is shown that a non-developable ($K \neq 0$) ruled surface in \mathbb{R}^3 , given locally by $X(s, t) = e_0(s) + te_1(s)$ where $e_0(s)$ is the striction line, is a Weingarten surface if and only if H and K are constant along the translational parameter s . In this work, we show that this is also true for ruled surfaces in $\mathbb{Q}^3(c)$, $c = \pm 1$.

2. Preliminaries

From now on we consider a ruled surface M^2 in $\mathbb{Q}^3(c)$. Then M^2 can be locally parametrized by

$$\begin{aligned} X(s, t) &= \exp_{e_0(s)}(t e_1(s)) \\ &= e_0(s)\varphi_0(t) + e_1(s)\varphi_1(t), \end{aligned} \quad (1)$$

where

$$\begin{cases} \varphi_0(t) = \cos ht, & \varphi_1(t) = \sin ht, & \text{if } c = -1, \\ \varphi_0(t) = \cos t, & \varphi_1(t) = \sin t, & \text{if } c = 1, \end{cases}$$

$e_0(s)$ is a curve in M^2 and $e_1(s)$ is a unit vector tangent to M^2 along $e_0(s)$ such that $\langle e_0, e_1 \rangle = 0$, $\langle e_1, e_1 \rangle = 1$ and $\langle \dot{e}_0, \dot{e}_1 \rangle = 0$. Moreover, we may choose the parameter s such that $\langle \dot{e}_1, \dot{e}_1 \rangle = 1$, where the dot means the derivative with respect to s .

It is convenient to introduce the following notation

$$\begin{cases} A(s) &= |\dot{e}_0|^2 - \langle \dot{e}_0, e_1 \rangle^2 \\ B(s) &= 1 - c \langle \dot{e}_0, e_1 \rangle^2 \\ P(s, t) &= A(s)\varphi_0^2(t) + B(s)\varphi_1^2(t) \\ Q(s, t) &= \langle \dot{e}_0, e_1 \wedge \dot{e}_1 \wedge e_0 \rangle \\ h(s, t) &= F(s)\varphi_0^2(t) + D(s)\varphi_1^2(t) + (L(s) + G(s))\varphi_0(t)\varphi_1(t), \end{cases} \quad (2)$$

where $F(s)$, $D(s)$, $L(s)$ and $G(s)$ are given by

$$\begin{cases} F(s) &= \langle \ddot{e}_0, e_1 \wedge \dot{e}_0 \wedge e_0 \rangle, \\ D(s) &= \langle \ddot{e}_1, e_1 \wedge \dot{e}_1 \wedge e_0 \rangle, \\ G(s) &= \langle \ddot{e}_1, e_1 \wedge \dot{e}_0 \wedge e_0 \rangle, \\ L(s) &= \langle \ddot{e}_0, e_1 \wedge \dot{e}_1 \wedge e_0 \rangle, \end{cases} \quad (3)$$

and $u \wedge v \wedge w$ denotes the vector in \mathbb{R}^4 or \mathbb{L}^4 characterized by $\langle z, u \wedge v \wedge w \rangle = c \det(z, u, v, w)$. We observe that $Q^2 = cAB$.

Let us take \tilde{X}_s and X_t tangents to M^2 and $\xi = X_t \wedge \frac{\tilde{X}_t}{|\tilde{X}_t|} \wedge X$ normal to M^2 , where $\tilde{X}_s = X_s - \langle X_t, X_s \rangle X_t$. The coefficients of the second fundamental form of the immersion are given by

$$e = 0, \quad f = -\frac{Q}{|\tilde{X}_s|}, \quad g = \frac{h + 2\langle \dot{e}_0, e_1 \rangle Q}{|\tilde{X}_s|}, \quad (4)$$

and it follows that the Gaussian and mean curvature of the surface are

$$K = c - \frac{Q^2}{P^2}, \quad H = \frac{(h+2Q\langle e_0, e_1 \rangle)}{2P}. \quad (5)$$

From the Codazzi's equation we get that

$$\tilde{\nabla}_{X_t} \left(fX_t + g \frac{\tilde{X}_s}{|\tilde{X}_s|^2} \right) = \tilde{\nabla}_{X_s} \left(f \frac{\tilde{X}_s}{|\tilde{X}_s|^2} \right) - \langle X_s, X_t \rangle \tilde{\nabla}_{X_t} \left(f \frac{\tilde{X}_s}{|\tilde{X}_s|^2} \right).$$

Then

$$\begin{aligned} f_t X_t + f \tilde{\nabla}_{X_t} X_t + g_t \frac{\tilde{X}_s}{|\tilde{X}_s|^2} + g \tilde{\nabla}_{X_t} \frac{\tilde{X}_s}{|\tilde{X}_s|^2} &= f_s \frac{\tilde{X}_s}{|\tilde{X}_s|^2} + \\ &+ f \tilde{\nabla}_{X_s} \frac{\tilde{X}_s}{|\tilde{X}_s|^2} - \langle X_s, X_t \rangle \left(f_t \frac{\tilde{X}_s}{|\tilde{X}_s|^2} + f \tilde{\nabla}_{X_t} \frac{\tilde{X}_s}{|\tilde{X}_s|^2} \right) \end{aligned}$$

or equivalently

$$\begin{aligned} f_t &= -f \frac{\langle X_s, X_{st} \rangle}{|\tilde{X}_s|^2}, \\ g_t - f_s &= f \frac{1}{|\tilde{X}_s|^2} (-\langle X_{ss}, X_s \rangle + \langle X_s, X_t \rangle \\ &\quad (\langle X_{ss}, X_t \rangle + 3 \langle X_s, X_{st} \rangle)) + g \frac{\langle X_s, X_{st} \rangle}{|\tilde{X}_s|^2}. \end{aligned} \quad (6)$$

Since $P(s, t) = |\tilde{X}_s|^2$, by (4) it follows that

$$\begin{aligned} f_t &= \frac{QP_t}{2P^{3/2}}, \\ f_s &= - \left(\frac{2\dot{Q}P - P_s Q}{2P^{3/2}} \right), \\ g_t &= \frac{2h_t P - P_t(h + 2 \langle e_0, e_1 \rangle Q)}{2P^{3/2}}. \end{aligned}$$

Using that

$$P_t = 2 \langle X_{st}, X_s \rangle$$

and

$$P_s = 2(\langle X_{ss}, X_s \rangle - \langle X_s, X_t \rangle (\langle X_{ss}, X_t \rangle + \langle X_s, X_{st} \rangle))$$

we have that (6) can be written as

$$h_t P - P_t(h + \langle e_0, e_1 \rangle Q) + \dot{Q}P - P_s Q = 0. \quad (7)$$

It follows by (3) and (7) that M^2 verifies

$$\begin{cases} -Q\dot{A} + A(G + L + \dot{Q}) = 0 \\ -Q\dot{B} - B(G + L - \dot{Q}) = 0 \\ -B(F + \langle e_0, e_1 \rangle Q) + A(D + c + \langle e_0, e_1 \rangle Q) = 0 \end{cases} \quad (8)$$

3. Main result

Lemma 3.1. *Let $M^2 \subset \mathbb{Q}^3(c)$, $c = \pm 1$, be a ruled connected surface parametrized as in (1) and such that the Gaussian curvature is not constant. If $K_t H_s - K_s H_t = 0$, then $K_s \equiv 0$ and $H_s \equiv 0$.*

Proof. By hypothesis, there exists a point $p \in M^2$ such that $Q(s_0) \neq 0$, where $p = (s_0, t_0)$. Therefore there exists an interval $\tilde{V} \subset \mathbb{R}$ with $s_0 \in \tilde{V}$, such that $Q(s) \neq 0 \forall s \in \tilde{V}$, that is, there exists a neighbourhood $V \subset M^2$ of p such that the Gaussian curvature of M^2 it is not constant. In this neighbourhood it holds that

$$\begin{aligned} H_s K_t - H_t K_s &= 0 \Leftrightarrow \frac{1}{2} Q P J(s, t) = 0 \\ &\Leftrightarrow J(s, t) = 0, \end{aligned}$$

where

$$J(s, t) = g_1(s)\varphi_1(2t) + g_2(s)\varphi_1(4t) + g_3(s)\varphi_0(2t) + g_4(s)\varphi_0(4t) + g_5(s),$$

with

$$\begin{aligned} g_1(s) &= 2Q((F - cD)(\dot{A} + c\dot{B}) - (A - cB)(4Q < \dot{e}_0, e_1 > + \dot{F} + c\dot{D})) + \\ &+ (-cB(5F + cD + 4 < \dot{e}_0, e_1 > Q) + A(F + 5cD + 4 < \dot{e}_0, e_1 > Q))\dot{Q}, \end{aligned}$$

$$\begin{aligned} g_2(s) &= 2Q(-c(F - cD)(\dot{A} - c\dot{B}) - (A - cB)(\dot{F} - c\dot{D})) + \\ &+ (A - cB)(-cF + D)\dot{Q}, \end{aligned}$$

$$g_3(s) = 4(G + L)(Q(\dot{A} + c\dot{B}) - c(cA + B)\dot{Q}),$$

$$g_4(s) = 2Q((G + L)(\dot{A} - c\dot{B}) - (A - cB)(\dot{G} + \dot{L})) + (A - cB)(G + L)\dot{Q},$$

$$g_5(s) = 2Q(A - cB)(\dot{G} + \dot{L}) + (G + L)(2Q(\dot{A} - c\dot{B}) + 5c(-cA + B)\dot{Q}).$$

Therefore

$$\begin{aligned} H_s K_t - H_t K_s &= 0 \Leftrightarrow J(s, t) = 0 \\ &\Leftrightarrow g_1(s) = g_2(s) = g_3(s) = g_4(s) = g_5(s) = 0. \end{aligned}$$

It is not difficulty to verify that these equations satisfy the matricial relation $[X] \cdot [Y] = [W]$ where

$$[X] = \begin{bmatrix} -2Q(A - cB) & -2cQ(A - cB) & 0 & 2Q(F - cD) & a \\ 2cQ(A - cB) & -2Q(A - cB) & 0 & -2cQ(F - cD) & 0 \\ 0 & 0 & 0 & Q(G + L) & b \\ 0 & 0 & -2Q(A - cB) & 2Q(G + L) & 0 \\ 0 & 0 & 2Q(A - cB) & 2Q(G + L) & 0 \end{bmatrix},$$

$$[Y] = \begin{bmatrix} \dot{F} \\ \dot{D} \\ \dot{G} + \dot{L} \\ |\dot{e}_0|^2 \\ \langle e_1, \dot{e}_0 \rangle \end{bmatrix} \quad [W] = \begin{bmatrix} d \\ -\dot{Q}((A - cB)(D - cF)) \\ c\dot{Q}(G + L)(cA + B) \\ -\dot{Q}(G + L)(A - cB) \\ -5c\dot{Q}(G + L)(-cA + B) \end{bmatrix},$$

with $a = -8Q(\langle \dot{e}_0, e_1 \rangle (F - cD) + Q(A - cB))$, $b = -4Q \langle \dot{e}_0, e_1 \rangle (G + L)$ and $d = -\dot{Q}(4 \langle \dot{e}_0, e_1 \rangle Q(A - cB) + A(F + 5cD) - B(5cF + D))$.

Lets $[X](s_j)$ be the matrix $[X]$ evaluated in the point s_j . Suppose that there exists a point $s_1 \in \tilde{V}$ such that $\det[X](s_1) \neq 0$. Then there exists an interval $\tilde{U} \subset \tilde{V}$ with $s_1 \in \tilde{U}$ such that $\det[X](s) \neq 0$, $\forall s \in \tilde{U}$. In \tilde{U} , $[X]^{-1}$ is well defined, and then from $[Y] = [X]^{-1} \cdot [W]$ it follows that

$$\left\{ \begin{array}{lcl} \dot{F} & = & \frac{cB\dot{Q}}{\langle \dot{e}_0, e_1 \rangle} + \langle \dot{e}_0, e_1 \rangle \dot{Q} + \frac{3F\dot{Q}}{2Q}, \\ \dot{D} & = & \frac{B\dot{Q}}{\langle \dot{e}_0, e_1 \rangle} + c \langle \dot{e}_0, e_1 \rangle \dot{Q} + \frac{3D\dot{Q}}{2Q}, \\ (\dot{G} + \dot{L}) & = & \frac{3(G+L)\dot{Q}}{2Q}, \\ |\dot{e}_0|^2 & = & \frac{(A-cB)\dot{Q}}{Q}, \\ \langle e_1, \dot{e}_0 \rangle & = & \frac{-cB\dot{Q}}{2Q \langle \dot{e}_0, e_1 \rangle}. \end{array} \right. \quad (9)$$

In order to determine which conditions M^2 have to satisfy such that the equation $K_t H_s - K_s H_t = 0$ is verified, we just have to solve the system (9). The last equation of the system is equivalent to $\frac{B}{Q}$ be constant, but in this case, its follows by (8) that $G + L = 0$, which is a contradiction, since $\det[X] = 256 Q^5 \langle \dot{e}_0, e_1 \rangle (A + -cB)^3 (G + L)^2$. Then $\det[X] \equiv 0$ in \tilde{V} .

Using (8) it is not difficult to verify that $\det[X] \equiv 0 \Leftrightarrow G + L = 0$, and consequently, $\frac{A}{Q}$ and $\frac{B}{Q}$ are constant. By (5) and (2) it follows that $K_s \equiv 0$ in V . Now using this and the equation $K_t H_s - K_s H_t = 0$ it follows easily that $H_s \equiv 0$ in V . \square

We have proved the following result

Theorem 3.2. *Let $M^2 \subset \mathbb{Q}^3(c)$ be a ruled connected surface parametrized as in (1) and such that the Gaussian curvature is not constant. Then the following are equivalent:*

- i) M^2 is a Weingarten surface;
- ii) The mean and Gaussian curvatures are constant along the parameter s .

References

- [1] E. Beltrami, *Risoluzione di un Problema relativo alla teoria Delle Superficie Gobbe*, Ann. Mat. Pura Appl. 7, 139-150 (1865).
- [2] U. Dini, *Sulle Superficie Gobbe nelle quali Uno Dei Due Raggi di Curvatura Principale e una funzione dell'altro*. Ann. Mat. Pura Appl. 7, 205-210 (1865).
- [3] W. Kühnel, *Ruled W -surfaces*. Arch. Math.(Basel) 62, 475-480 (1994).

Antonio C. Asperti
Universidade de São Paulo
Instituto de Matemática e Estatística - IME
Departamento de Matemática
Caixa Postal 66281
CEP 05311-970
São Paulo, Brazil
e-mail: aspersi@ime.usp.br

Barbara C. Valério
Universidade de São Paulo
Escola de Artes, Ciências e Humanidades - EACH
Av. Arlindo Bettio, 1000. Ermelino Matarazzo
CEP 03828-000
São Paulo, Brazil
e-mail: barbarav@usp.br

TRABALHOS DO DEPARTAMENTO DE MATEMÁTICA

TÍTULOS PUBLICADOS

- 2006-01 MIRANDA, J. C. S. Adaptive maximum probability estimation of multidimensional Poisson processes intensity function. 9p.
- 2006-02 MIRANDA, J. C. S. Some inequalities and asymptotics for a weighted alternate binomial sum. 7p.
- 2006-03 BELITSKII, G., BERSHADSKY, M., SERGEICHUK, V. and ZHARKO, N. Classification of $2 \times 2 \times 2$ matrices; criterion of positivity. 16p.
- 2006-04 FUTORNY, V. and SERGEICHUK, V. Classification of sesquilinear forms whith the first argument on a subspace or a factor space. 25p.
- 2006-05 DOKUCHAEV, M. A., KIRICHENKO, V. V., NOVIKOV, B.V. and PETRAVCHUK, A. P. On incidence modulo ideal rings. 41p.
- 2006-06 DOKUCHAEV, M., DEL RÍO, Á. and SIMÓN, J. J. Globalizations of partial actions on non unital rings. 10p.
- 2006-07 DOKUCHAEV, M., FERRERO, M. and PAQUES, A. Partial actions and Galois theory. 20p.
- 2006-08 KIRICHENKO, V. V. On semiperfect rings of injective dimension one. 25p.
- 2006-09 SHESTAKOV, I. and ZHUKAVETS. N. The free alternative superalgebra on one old generator. 30p.
- 2006-10 MIRANDA, J. C. S. Probability density functions of the wavelet coefficients of a wavelet multidimensional poisson intensity estimator. 7p.
- 2006-11 ALEXANDRINO, M. M and GORODSKI, C. Singular Riemannian foliations with sections, transnormal maps and basic forms. 17p.
- 2006-12 FERNANDEZ, J. C. G. On right-nilalgebras of index 4. 21p.
- 2006-13 FUTORNY, V. and OVSIENKO, S. Galois Algebras I: Structure Theory. 52p.
- 2006-14 FUTORNY, V. and OVSIENKO, S. Galois Algebras II: Representation Theory. 36p.

- 2006-15 DRUCK, I. O. Frações: uma análise de dificuldades conceituais. 16p.
- 2006-16 FUTORNY, V, HORN, R. A. and SERGEICHUK V. V. Tridiagonal canonical matrices of bilinear or sesquilinear forms and of pairs of symmetric, skew-symmetric, or Hermitian forms. 21p.
- 2006-17 MIRANDA, J. C. S. Infinite horizon non ruin probability for a non homogeneous risk process with time-varying premium and interest rates. 4p.
- 2007-01 GREBENEV, V. N., GRISHKOV, A. N. and OBERLACK, M. Lie algebra methods in Statistical Theory of Turbulence. 29p.
- 2007-02 FUTORNY, V. and SERGEICHUK, V. Change of the congruence canonical form of 2×2 and 3×3 matrices under perturbations. 18p.
- 2007-03 KASHUBA, I. and PATERA, J. Discrete and continuous exponential transforms of simple Lie groups of rank two. 24p.
- 2007-04 FUTORNY, V. and SERGEICHUK, V. Miniversal deformations of matrices of bilinear forms. 34p.
- 2007-05 GONÇALVES, D. L., HAYAT, C., MELLO, M.H.P.L. and ZIESCHANG, H. Spin-structures of bundles on surfaces and the fundamental group. 22p.
- 2007-06 GOODAIRE, E. G. and MILIES, C.P. Polynomial and group identities in alternative loop algebras. 7p.
- 2007-07 GOODAIRE, E. G. and MILIES, C.P. Group identities on symmetric units in alternative loop algebras. 8p.
- 2007-08 ALEXANDRINO, M. M. Singular holonomy of singular Riemannian foliations with sections. 16p.
- 2007-09 ASPERTI, A. C. and VALÉRIO, B. C. Ruled Weingarten surfaces in a 3-dimensional space form. 6p.