

ON COMPLETE SPACELIKE SUBMANIFOLDS IN THE DE  
SITTER SPACE WITH PARALLEL MEAN CURVATURE  
VECTOR

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ABSTRACT. The text surveys some results concerning submanifolds with parallel mean curvature vector immersed in the De Sitter space. We also propose a semi-Riemannian version of an important inequality obtained by Simons in the Riemannian case and apply it in order to obtain some results characterizing umbilical submanifolds and a product of submanifolds in the  $(n+p)$ -dimensional De Sitter space  $\mathbb{S}_p^{n+p}$ .

1. INTRODUCTION

Let  $\mathbb{R}_p^{n+p+1}$  be an  $(n+p+1)$ -dimensional real vector space endowed with an inner product of index  $p$  given by

$$\langle x, y \rangle = - \sum_{i=1}^p x_i y_i + \sum_{j=p+1}^{n+p+1} x_j y_j,$$

where  $x = (x_1, x_2, \dots, x_{n+p+1})$  is the natural coordinate of  $\mathbb{R}_p^{n+p+1}$ .

We also define the semi-Riemannian manifold  $\mathbb{S}_p^{n+p}$ , by

$$\mathbb{S}_p^{n+p} = \{(x_1, x_2, \dots, x_{n+p+1}) \in \mathbb{R}_p^{n+p+1} / - \sum_{i=1}^p x_i^2 + \sum_{j=p+1}^{n+p+1} x_j^2 = 1\} .$$

$\mathbb{S}_p^{n+p}$  is called  $(n+p)$ -dimensional De Sitter space of index  $p$ .

Let  $M^n$  be an  $n$ -dimensional semi-Riemannian manifold immersed in  $\mathbb{S}_p^{n+p}$ .  $M^n$  is said to be *spacelike* if the induced metric on  $M^n$  from the metric of  $\mathbb{S}_p^{n+p}$  is positive definite.

From now on, we will consider spacelike submanifolds  $M^n$  of  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector  $h$ . Let  $H = |h|$  be the mean curvature of  $M^n$ . If  $h$  is parallel it is easy to verify that  $H$  is constant and, when  $p = 1$ , these two conditions are equivalent. We say that  $M^n$  is a maximal submanifold if  $h$  vanishes identically.

It was proved by E. Calabi [6] (for  $n \leq 4$ ) and by S.Y. Cheng and S.T. Yau [8] (for all  $n$ ) that a complete maximal spacelike hypersurface in  $\mathbb{R}_1^{n+1}$  is totally

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geodesic. In [17], S. Nishikawa obtained similar results for others Lorentzian manifolds. In particular, he proved that a complete maximal spacelike hypersurface in  $\mathbb{S}_1^{n+1}$  is totally geodesic. We recall that a submanifold  $M^n$  is said totally geodesic if its second fundamental form  $B$  vanishes identically.

A. Goddard [11] conjectured that the complete spacelike hypersurfaces of  $\mathbb{S}_1^{n+1}$  with  $H$  constant must be totally umbilical. The totally umbilical hypersurfaces of  $\mathbb{S}_1^{n+1}$  are obtained by intersecting  $\mathbb{S}_1^{n+1}$  with linear hyperplanes through the origin of  $\mathbb{R}_1^{n+2}$ , where  $\mathbb{S}_1^{n+1}$  can be viewed as hypersphere of  $\mathbb{R}_1^{n+2}$ .

J. Ramanathan [19] proved Goddard's conjecture for  $\mathbb{S}_1^3$  and  $0 \leq H \leq 1$ . Moreover, if  $H > 1$  he showed that the conjecture is false as can be seen from an example due to Dajczer-Nomizu [10]. In his proof, Ramanathan used the complex structure of  $\mathbb{S}_1^3$ . K. Akutagawa [2] proved that Goddard's conjecture is true when  $n = 2$  and  $H^2 \leq 1$  or when  $n \geq 3$  and  $H^2 < \frac{4(n-1)}{n^2}$ . He also constructed complete spacelike rotation surfaces in  $\mathbb{S}_1^3$  with constant  $H$  satisfying  $H > 1$  and which are not totally umbilical.

In [15], S. Montiel proved that Goddard's conjecture is true provided that  $M^n$  is compact. Furthermore, he exhibited examples of complete spacelike hypersurfaces with constant  $H$  satisfying  $H^2 \geq \frac{4(n-1)}{n^2}$  and being not totally umbilical - the so called hyperbolic cylinders (cf. [2] and [13]), which are isometric to the Riemannian product  $\mathbb{H}^1(\sinh r) \times \mathbb{S}^{n-1}(\cosh r)$  of a hyperbolic line and an  $(n-1)$ -dimensional sphere of constant sectional curvatures  $1 - \coth^2 r$  and  $1 - \tanh^2 r$ , respectively. Later, Montiel [16] studied complete spacelike hypersurfaces with constant mean curvature  $H^2 = \frac{4(n-1)}{n^2}$  and proved the following result.

**Theorem 1.1.** *Let  $M^n$  be a complete spacelike hypersurfaces in  $\mathbb{S}_1^{n+1}$  with constant mean curvature  $H^2 = \frac{4(n-1)}{n^2}$ . If  $M^n$  is not connected at infinity, that is, if  $M^n$  has at least two ends, then  $M^n$  is, up to isometry, a hyperbolic cylinder.*

Concerning to submanifolds  $M^n$  of  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector we may cite the following remarkable results. In [12], T. Ishihara proved the following theorem that generalizes for higher codimension the result of Cheng-Yau [8]

**Theorem 1.2.** *Let  $M^n$  be an  $n$ -dimensional complete Riemannian manifold isometrically immersed in  $\mathbb{R}_p^{n+p}$  or  $\mathbb{S}_p^{n+p}$ . If  $M^n$  is maximal, then the immersion is totally geodesic and  $M^n$  is a Riemannian space of constant curvature.*

In [7], Q.M. Cheng showed that Akutagawa's result [2] is valid for higher codimensional complete spacelike submanifolds in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector. More precisely, he proved the following result.

**Theorem 1.3.** *Let  $M^n$  be an  $n$ -dimensional complete spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector. If  $H^2 \leq 1$ , when  $n=2$  or  $n^2H^2 < 4(n-1)$ , when  $n \geq 3$ , then  $M^n$  is totally umbilical.*

In [14], H. Li obtained the following extension of Theorem 1.1.

**Theorem 1.4.** *Let  $M^n$  be an  $n$ -dimensional complete spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector. If  $H^2 = \frac{4(n-1)}{n^2}$  and  $M^n$  is not connected*

at infinity, that is, if  $M^n$  has at least two ends, then  $M^n$  is, up to isometry, a hyperbolic cylinder in  $\mathbb{S}_1^{n+1}$ .

R. Aiyama [1] studied compact spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector and proved the following results:

**Theorem 1.5.** *Let  $M^n$  be an  $n$ -dimensional compact spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector. If the normal connection of  $M^n$  is flat, then  $M^n$  is totally umbilical.*

**Theorem 1.6.** *Let  $M^n$  be an  $n$ -dimensional compact spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector. If the sectional curvature of  $M^n$  is non-negative, then  $M^n$  is totally umbilical.*

We point out that L. Alias and A. Romero [3] also obtained results related to complete spacelike submanifolds in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector.

Let  $\mathbb{S}^n(r)$  be an  $n$ -dimensional sphere in  $\mathbb{R}^{n+1}$  with radius  $r$  and let  $M^n$  be an  $n$ -dimensional submanifold minimally immersed in  $\mathbb{S}^{n+p}(1)$ . Denote by  $B$  the second fundamental form of this immersion and by  $S$  the square of the length of  $B$ . In his pioneering work, J. Simons [20] proved the following inequality for  $\Delta S$

$$\frac{1}{2}\Delta S \geq S \left( n - \left( 2 - \frac{1}{p} \right) S \right). \quad (1.1)$$

As an application of formula (1.1), Simons [20] obtained the following result.

**Theorem 1.7.** *Let  $M^n$  be a closed minimal submanifold of  $\mathbb{S}^{n+p}(1)$ . Then either  $M^n$  is totally geodesic, or  $S = \frac{n}{2-\frac{1}{p}}$ , or  $\sup S > \frac{n}{2-\frac{1}{p}}$ .*

Two years later, S.S. Chern, M. do Carmo and S. Kobayashi [9], determined all the minimal submanifolds of  $\mathbb{S}^{n+p}(1)$  satisfying  $S = \frac{n}{2-\frac{1}{p}}$ . More precisely, they proved:

**Theorem 1.8.** *Let  $M^n$  be a closed minimal submanifold of  $\mathbb{S}^{n+p}(1)$ . Assume that  $S \leq \frac{n}{2-\frac{1}{p}}$ . Then:*

(i) *Either  $S = 0$  (and  $M^n$  is totally geodesic) or  $S = \frac{n}{2-\frac{1}{p}}$ .*

(ii)  *$S = \frac{n}{2-\frac{1}{p}}$  if and only if:*

a)  *$p = 1$  and  $M^n$  is locally a Clifford torus  $\mathbb{S}^k \left( \sqrt{\frac{k}{n}} \right) \times \mathbb{S}^{n-k} \left( \sqrt{\frac{n-k}{n}} \right)$ .*

b)  *$p = n = 2$  and  $M^2$  is locally a Veronese surface in  $\mathbb{S}^4(1)$ .*

In the case of a submanifold  $M^n$  of  $\mathbb{S}^{n+p}(1)$  with non-zero parallel mean curvature vector  $h$ , it is convenient to modify slightly the second fundamental form  $B$  and to introduce the traceless tensor  $\Phi = B - Hg$ , where  $H = |h|$  is the mean curvature and  $g$  stands for the induced metric on  $M^n$ . W. Santos [21] established the following inequality for the Laplacian of  $|\Phi|^2$

$$\frac{1}{2}\Delta |\Phi|^2 \geq |\Phi|^2 \left( n(1 + H^2) - \frac{n(n-2)}{\sqrt{n(n-1)}} |g(\Phi, h)| - \left( \frac{2p-3}{p-1} \right) |\Phi|^2 \right).$$

Let  $M^n$  be a complete spacelike maximal submanifold of  $\mathbb{S}_p^{n+p}$ . In [12], T. Ishihara derived the following inequality for  $\Delta S$

$$\frac{1}{2}\Delta S \geq S \left( n + \frac{S}{p} \right). \quad (1.2)$$

As an important application of (1.2), Ishihara proved Theorem 1.2.

If  $M^n$  is a spacelike hypersurface of  $\mathbb{S}_1^{n+1}$  with constant mean curvature  $H$ , as in the Riemannian case, it is convenient to consider the tensor  $\Phi$ . U.H. Ki, H.J. Kim and H. Nakagawa [13], established the following inequality for  $\Delta |\Phi|^2$

$$\frac{1}{2}\Delta |\Phi|^2 \geq |\Phi|^2 \left( |\Phi|^2 - \frac{n(n-2)}{\sqrt{n(n-1)}} H |\Phi| + n(1-H^2) \right). \quad (1.3)$$

By applying (1.3) they obtained a constant  $S_+$  that depends on  $n$  and  $H$  and such that  $S \leq S_+$ . They also characterized the hyperbolic cylinders as the only complete spacelike hypersurfaces of  $\mathbb{S}_1^{n+1}$  with non-zero constant  $H$  and  $S = S_+$ . Moreover, they proved that a complete spacelike hypersurface of  $\mathbb{S}_1^{n+1}$  with non-zero constant  $H$  and non-negative sectional curvature is totally umbilical, provided that  $S < S_+$ .

A. Brasil, G. Colares and O. Palmas [5] obtained the following gap theorem.

**Theorem 1.9.** *Let  $M^n$ ,  $n \geq 3$ , be a complete spacelike hypersurface in  $\mathbb{S}_1^{n+1}$  with constant mean curvature  $H > 0$ . Then  $\sup |\Phi|^2 < \infty$  and*

- a) either  $\sup |\Phi| = 0$  and  $M^n$  is totally umbilical or
- b)  $B_H^- \leq \sqrt{\sup |\Phi|^2} \leq B_H^+$ , where  $B_H^- \leq B_H^+$  are the roots of the polynomial

$$P_H(x) = x^2 - \frac{n(n-2)}{\sqrt{n(n-1)}} H x + n(1-H^2).$$

Recently, A. Brasil, R.M.B. Chaves and G. Colares [4] extended the above result for complete spacelike submanifolds in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector.

Let  $M^n$  be a spacelike submanifold of  $Q_p^{n+p}(c)$  with non-zero parallel mean curvature vector  $h$  and let  $H = |h|$ . Define the second fundamental form with respect to the normal direction  $\xi = \frac{h}{H}$  by  $h^\xi$ . If  $|h^\xi|^2$  denotes the squared norm of  $h^\xi$ , set  $|\mu|^2 = |h^\xi|^2 - nH^2$ . In [7], Q. M. Cheng proved that

$$\frac{1}{2}\Delta |\mu|^2 \geq |\mu|^2 \left( |\mu|^2 - \frac{n(n-2)}{\sqrt{n(n-1)}} H |\mu| + n(1-H^2) \right). \quad (1.4)$$

Now we are going to state our main results. Theorem 1.10 is a Simons' type inequality for submanifolds in De Sitter space  $\mathbb{S}_p^{n+p}$ .

**Theorem 1.10.** *Let  $M^n$  be a spacelike submanifold immersed in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature. Then the following inequality holds*

$$\frac{1}{2}\Delta |\Phi|^2 \geq |\Phi|^2 \left( \frac{|\Phi|^2}{p} - \frac{n(n-2)}{\sqrt{n(n-1)}} H |\Phi| + n(1-H^2) \right). \quad (1.5)$$

Next Theorem is a Lorentzian version of results obtained by K. Yano and S. Ishihara [22] and also by S.T. Yau [23] for Riemannian submanifolds.

**Theorem 1.11.** *Let  $M^n$  be a complete spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector and non-negative sectional curvature. If  $M^n$  has constant scalar curvature  $R$ , then  $M^n$  is totally umbilical or a product  $M_1 \times M_2 \times \cdots \times M_k$ , where each  $M_i$  is a totally umbilical submanifold of  $\mathbb{S}_p^{n+p}$  and the  $M'_i$ 's are mutually perpendicular along their intersections.*

As we saw in the Theorem 1.6, compact spacelike submanifolds in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector and non-negative sectional curvature are totally umbilic.

The following result is an application of formula (1.5).

**Theorem 1.12.** *Let  $M^n$  be a complete spacelike submanifold in  $\mathbb{S}_p^{n+p}$  with parallel mean curvature vector. If  $\sup K$  denotes the function that assigns to each point of  $M^n$  the supremum of the sectional curvatures at that point, there exists a constant  $\beta(n, p, H)$  such that if  $\sup K \leq \beta(n, p, H)$ , then either:*

- (i)  $n = 2$  and  $M^2$  is totally umbilical or
- (ii)  $n \geq 3$  and  $M^n$  is totally geodesic.

## 2. PRELIMINARIES

In this section we will introduce some basic facts and notations that will appear on the paper. Let  $M^n$  be an  $n$ -dimensional Riemannian manifold immersed in  $\mathbb{S}_p^{n+p}$ . As the indefinite Riemannian metric of  $\mathbb{S}_p^{n+p}$  induces the Riemannian metric of  $M^n$ , the immersion is called spacelike. We choose a local field of semi-Riemannian orthonormal frames  $e_1, \dots, e_{n+p}$  in  $\mathbb{S}_p^{n+p}$  such that, at each point of  $M^n$ ,  $e_1, \dots, e_n$  span the tangent space of  $M^n$ . We make the following standard convention of indices

$$1 \leq A, B, C, \dots \leq n+p, \quad 1 \leq i, j, k, \dots \leq n, \quad n+1 \leq \alpha, \beta, \gamma, \dots \leq n+p.$$

Take the correspondent dual coframe  $\{\omega_1, \dots, \omega_{n+p}\}$  such that the semi-Riemannian metric of  $\mathbb{S}_p^{n+p}$  is given by  $d\bar{s}^2 = \sum_i \omega_i^2 - \sum_\alpha \omega_\alpha^2 = \sum_A \varepsilon_A \omega_A^2$ ,  $\varepsilon_i = 1$ ,  $\varepsilon_\alpha = -1$ ,  $1 \leq i \leq n$ ,  $n+1 \leq \alpha \leq n+p$ . Then the structure equations of  $\mathbb{S}_p^{n+p}$  are given by

$$d\omega_A = \sum_B \varepsilon_B \omega_{AB} \wedge \omega_B, \quad \omega_{AB} + \omega_{BA} = 0. \quad (2.1)$$

$$d\omega_{AB} = \sum_C \varepsilon_C \omega_{AC} \wedge \omega_{CB} - \frac{1}{2} \sum_{C,D} \varepsilon_C \varepsilon_D K_{ABCD} \omega_C \wedge \omega_D. \quad (2.2)$$

$$K_{ABCD} = \varepsilon_A \varepsilon_B (\delta_{AC} \delta_{BD} - \delta_{AD} \delta_{BC}). \quad (2.3)$$

Next, we restrict those forms to  $M^n$ . First of all we get

$$\omega_\alpha = 0, \quad n+1 \leq \alpha \leq n+p. \quad (2.4)$$

So the Riemannian metric of  $M^n$  is written as  $ds^2 = \sum_i \omega_i^2$ .

Since  $0 = d\omega_\alpha = \sum_i \omega_{\alpha i} \wedge \omega_i$ , from *Cartan's lemma*, we can write

$$\omega_{\alpha i} = \sum_j h_{ij}^\alpha \omega_j, \quad h_{ij}^\alpha = h_{ji}^\alpha. \quad (2.5)$$

Set  $B = \sum_{\alpha, i, j} h_{ij}^\alpha \omega_i \omega_j e_\alpha$ ,  $h = \frac{1}{n} \sum_\alpha \left( \sum_i h_{ii}^\alpha \right) e_\alpha$  and  $H = |h| = \frac{1}{n} \sqrt{\sum_\alpha (\sum_i h_{ii}^\alpha)^2}$

the *second fundamental form*, the *mean curvature vector* and the *mean curvature* of  $M^n$ , respectively.

Using the structure equations we obtain the *Gauss equation*

$$R_{ijkl} = (\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}) - \sum_\alpha (h_{ik}^\alpha h_{jl}^\alpha - h_{il}^\alpha h_{jk}^\alpha). \quad (2.6)$$

The *scalar curvature*  $R$  is given by

$$R = n(n-1) - n^2 H^2 + S, \quad (2.7)$$

where  $S = \sum_{\alpha, i, j} (h_{ij}^\alpha)^2$  is the squared norm of the second fundamental form of  $M^n$ .

We also have the structure equations of the normal bundle of  $M^n$

$$d\omega_\alpha = \sum_\beta \omega_{\alpha\beta} \wedge \omega_\beta, \quad \omega_{\alpha\beta} + \omega_{\beta\alpha} = 0. \quad (2.8)$$

$$d\omega_{\alpha\beta} = \sum_\gamma \omega_{\alpha\gamma} \wedge \omega_{\gamma\beta} - \frac{1}{2} \sum_{i,j} R_{\alpha\beta ij} \omega_i \wedge \omega_j, \quad (2.9)$$

where

$$R_{\alpha\beta ij} = \sum_l \left( h_{il}^\alpha h_{lj}^\beta - h_{jl}^\alpha h_{li}^\beta \right). \quad (2.10)$$

The covariant derivatives  $h_{ijk}^\alpha$  of  $h_{ij}^\alpha$  satisfy

$$\sum_k h_{ijk}^\alpha \omega_k = dh_{ij}^\alpha + \sum_k h_{ik}^\alpha \omega_{kj} + \sum_k h_{jk}^\alpha \omega_{ki} - \sum_\beta h_{ij}^\beta \omega_{\beta\alpha}. \quad (2.11)$$

Then, by exterior differentiation of (2.5), we obtain the *Codazzi equation*

$$h_{ijk}^\alpha = h_{jik}^\alpha = h_{ikj}^\alpha. \quad (2.12)$$

Similarly, we have the second covariant derivatives  $h_{ijkl}^\alpha$  of  $h_{ij}^\alpha$  so that

$$\begin{aligned} \sum_l h_{ijkl}^\alpha \omega_l &= dh_{ijk}^\alpha + \sum_l h_{ljk}^\alpha \omega_{li} + \sum_l h_{ilk}^\alpha \omega_{lj} + \\ &\quad \sum_l h_{ijl}^\alpha \omega_{lk} - \sum_\beta h_{ijk}^\beta \omega_{\beta\alpha}. \end{aligned} \quad (2.13)$$

By exterior differentiation of (2.11), we can get the following *Ricci formula*

$$h_{ijkl}^\alpha - h_{ijlk}^\alpha = \sum_m h_{im}^\alpha R_{mjkl} + \sum_m h_{jm}^\alpha R_{mikl} + \sum_\beta h_{ij}^\beta R_{\alpha\beta kl}. \quad (2.14)$$

The Laplacian  $\Delta h_{ij}^\alpha$  of  $h_{ij}^\alpha$  is defined by  $\Delta h_{ij}^\alpha = \sum_k h_{ijk}^\alpha$ . From (2.12) and (2.14), we have

$$\Delta h_{ij}^\alpha = \sum_k h_{kkij}^\alpha + \sum_{m,k} h_{km}^\alpha R_{mijk} + \sum_{m,k} h_{mi}^\alpha R_{mkjk} + \sum_{k,\beta} h_{ik}^\beta R_{\alpha\beta jk}. \quad (2.15)$$

If  $H \neq 0$ , we choose  $e_{n+1} = \frac{h}{H}$ . Thus

$$H^{n+1} = \frac{1}{n} \operatorname{tr} h^{n+1} = H \text{ and } H^\alpha = \frac{1}{n} \operatorname{tr} h^\alpha = 0, \alpha \geq n+2, \quad (2.16)$$

where  $h^\alpha$  denotes the matrix  $[h_{ij}^\alpha]$ .

From (2.6), (2.10), (2.15) and (2.16) it is straightforward to verify that

$$\begin{aligned} \frac{1}{2} \Delta S &= \sum_{\alpha, i, j, k} (h_{ijk}^\alpha)^2 + n \sum_{\alpha, i, j} h_{ij}^\alpha H_{ij}^\alpha + \\ &(nS - n^2 H^2) - nH \sum_{\alpha} \operatorname{tr}(h^{n+1}(h^\alpha)^2) + \\ &\sum_{\alpha, \beta} [\operatorname{tr}(h^\alpha h^\beta)]^2 + \sum_{\alpha, \beta} N(h^\alpha h^\beta - h^\beta h^\alpha), \end{aligned} \quad (2.17)$$

where  $N(A) = \operatorname{tr}(AA^t)$ , for all matrix  $A = [a_{ij}]$ .

Recall that  $M^n$  is a submanifold with parallel mean curvature vector  $h$  if  $\nabla^\perp h \equiv 0$ , where  $\nabla^\perp$  is the normal connection of  $M^n$  in  $\mathbb{S}_p^{n+p}$ . Note that this condition implies that  $H = |h|$  is constant and

$$\sum_k h_{kki}^\alpha = 0, \quad \forall i, \alpha. \quad (2.18)$$

We will need the following generalized *Maximum Principle* due to Omori and Yau (cf. [18] and [23]).

**Lemma 2.1.** *Let  $M^n$  be a complete Riemannian manifold with Ricci curvature bounded from below and let  $F : M^n \rightarrow \mathbb{R}$  be a  $C^2$ -function which is bounded from below on  $M^n$ . Then there is a sequence of points  $\{p_k\}$  in  $M^n$  such that*

$$\lim_{k \rightarrow \infty} F(p_k) = \inf(F), \quad \lim_{k \rightarrow \infty} |\nabla F(p_k)| = 0 \text{ and } \liminf_{k \rightarrow \infty} \Delta F(p_k) \geq 0.$$

We also will need the following algebraic Lemma (for a proof see [21]).

**Lemma 2.2.** *Let  $A, B : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be symmetric linear maps such that  $AB - BA = 0$  and  $\operatorname{tr} A = \operatorname{tr} B = 0$ . Then*

$$|\operatorname{tr} A^2 B| \leq \frac{n-2}{\sqrt{n(n-1)}} N(A) \sqrt{N(B)} \quad (2.19)$$

and the equality holds if and only if  $n - 1$  of the eigenvalues  $x_i$  of  $A$  and the corresponding eigenvalues  $y_i$  of  $B$  satisfy

$$\begin{aligned} |x_i| &= \sqrt{\frac{N(A)}{n(n-1)}}, \quad x_i x_j \geq 0, \\ y_i &= \sqrt{\frac{N(B)}{n(n-1)}} \left( \text{resp. } y_i = -\sqrt{\frac{N(B)}{n(n-1)}} \right). \end{aligned} \quad (2.20)$$

### 3. PROOF OF SIMONS' TYPE INEQUALITY

**Proof of Theorem 1.10.** If  $H \neq 0$ , set  $\Phi_{ij}^\alpha = h_{ij}^\alpha - H^\alpha \delta_{ij}$  and consider the following symmetric tensor

$$\Phi = \sum_{\alpha, i, j} \Phi_{ij}^\alpha \omega_i \omega_j e_\alpha. \quad (3.1)$$

It is easy to check that  $\Phi$  is traceless and

$$\begin{aligned} N(\Phi^\alpha) &= N(h^\alpha) - n(H^\alpha)^2; \\ |\Phi|^2 &= \sum_\alpha N(\Phi^\alpha) = S - nH^2, \end{aligned} \quad (3.2)$$

where  $\Phi^\alpha$  denotes the matrix  $[\Phi_{ij}^\alpha]$ .

Because  $h$  is parallel, we have  $H$  constant. Moreover, as  $H \neq 0$ , we can choose a local field of orthonormal frames  $\{e_1, e_2, \dots, e_{n+p}\}$  such that  $e_{n+1} = \frac{h}{H}$ . With this choice (2.16) implies that

$$\begin{aligned} h^{n+1} h^\alpha &= h^\alpha h^{n+1}, \\ \Phi_{ij}^{n+1} &= h_{ij}^{n+1} - H \delta_{ij}, \\ N(\Phi^{n+1}) &= \text{tr}(h^{n+1})^2 - nH^2 = N(h^{n+1}) - nH^2, \\ \text{tr}(h^{n+1})^3 &= \text{tr}(\Phi^{n+1})^3 + 3H N(\Phi^{n+1}) + nH^3. \end{aligned} \quad (3.3)$$

$$\Phi_{ij}^\alpha = h_{ij}^\alpha, \quad N(\Phi^\alpha) = N(h^\alpha), \quad \alpha \geq n+2. \quad (3.4)$$

Since  $h$  is parallel, from (2.17), (3.2), (3.3) and (3.4) we have

$$\frac{1}{2} \Delta |\Phi|^2 = \frac{1}{2} \Delta S \geq n(1 - H^2) |\Phi|^2 - nH \sum_\alpha \text{tr}(\Phi^{n+1}(\Phi^\alpha)^2) + \sum_{\alpha, \beta} (\text{tr} \Phi^\alpha \Phi^\beta)^2. \quad (3.5)$$

As the matrices  $\Phi^\alpha$  and  $\Phi^{n+1}$  are traceless and the matrix  $\Phi^{n+1}$  commutes with all the matrices  $\Phi^\alpha$ , we can apply Lemma 2.2 in order to obtain

$$\begin{aligned} \sum_\alpha \text{tr}(\Phi^{n+1}(\Phi^\alpha)^2) &\leq \frac{n-2}{\sqrt{n(n-1)}} \sqrt{N(\Phi^{n+1})} |\Phi|^2 \\ &\leq \frac{n-2}{\sqrt{n(n-1)}} |\Phi|^3. \end{aligned} \quad (3.6)$$

Due to *Cauchy-Schwarz inequality* we can write

$$|\Phi|^4 \leq p \sum_{\alpha} N^2(\Phi^{\alpha}) \leq p \sum_{\alpha, \beta} (\text{tr} \Phi^{\alpha} \Phi^{\beta})^2. \quad (3.7)$$

It follows from (3.5), (3.6) and (3.7) that formula (1.5) holds.

If  $H \equiv 0$ ,  $M^n$  is said to be maximal. In this case, from (1.2) we have

$$\frac{1}{2} \Delta S \geq S \left( \frac{S}{p} + n \right). \quad (3.8)$$

□

#### 4. PROOFS OF THEOREMS 1.11 AND 1.12

**Proof of Theorem 1.11.** Since the mean curvature vector  $h$  is parallel and  $\sum_{\alpha, \beta, i, j, k} h_{ij}^{\alpha} h_{ki}^{\beta} R_{\alpha \beta j k} = \frac{1}{2} \sum_{\alpha, \beta} N(h^{\alpha} h^{\beta} - h^{\beta} h^{\alpha})$ , from (2.15) we have

$$\begin{aligned} \frac{1}{2} \Delta S &= \frac{1}{2} \sum_{\alpha, i, j} \Delta(h_{ij}^{\alpha})^2 = \sum_{\alpha, i, j, k} (h_{ijk}^{\alpha})^2 + \sum_{\alpha, i, j} h_{ij}^{\alpha} \Delta h_{ij}^{\alpha} \\ &= \sum_{\alpha, i, j, k} (h_{ijk}^{\alpha})^2 + \frac{1}{2} \sum_{\alpha, \beta} N(h^{\alpha} h^{\beta} - h^{\beta} h^{\alpha}) \\ &\quad + \sum_{\alpha, i, j, k, m} h_{ij}^{\alpha} h_{km}^{\alpha} R_{mijk} + \sum_{\alpha, i, j, k, m} h_{ij}^{\alpha} h_{mi}^{\alpha} R_{mkjk}. \end{aligned} \quad (4.1)$$

Next, we will obtain a pointwise estimate for the last two terms. For each fixed  $\alpha$ , let  $\lambda_i^{\alpha}$  be an eigenvalue of  $h^{\alpha}$ , i.e.  $h_{ij}^{\alpha} = \lambda_i^{\alpha} \delta_{ij}$ , and denote by  $\inf K$  the infimum of the sectional curvatures at a point  $p$  of  $M^n$ . Then

$$\begin{aligned} 2 \left( \sum_{i, j, k, m} h_{ij}^{\alpha} h_{km}^{\alpha} R_{mijk} + \sum_{i, j, k, m} h_{ij}^{\alpha} h_{mi}^{\alpha} R_{mkjk} \right) &= \\ \sum_{i, k} (-2\lambda_i^{\alpha} \lambda_k^{\alpha}) R_{ikik} + \sum_{i, k} ((\lambda_i^{\alpha})^2 + (\lambda_k^{\alpha})^2) R_{ikik} &= \\ \sum_{i, k} (\lambda_i^{\alpha} - \lambda_k^{\alpha})^2 R_{ikik} &\geq (\inf K) \sum_{i, k} (\lambda_i^{\alpha} - \lambda_k^{\alpha})^2 = \\ (\inf K) (2nN(h^{\alpha}) - 2n^2(H^{\alpha})^2) &= 2n(\inf K) N(\Phi^{\alpha}). \end{aligned} \quad (4.2)$$

It implies that

$$\begin{aligned} \sum_{\alpha, i, j, k, m} h_{ij}^{\alpha} h_{km}^{\alpha} R_{mijk} + \sum_{\alpha, i, j, k, m} h_{ij}^{\alpha} h_{mi}^{\alpha} R_{mkjk} &\geq \\ n(\inf K) \sum_{\alpha} N(\Phi^{\alpha}) &= n(\inf K) |\Phi|^2. \end{aligned} \quad (4.3)$$

As  $h$  parallel implies  $H$  constant, by (2.7) we see that  $S = R + n^2 H^2 - n(n-1)$  is also constant, thus  $\Delta S = 0$ .

Since  $R_{ijij} \geq 0$ , from (4.1) and (4.3), we get

$$\begin{aligned} 0 &= \frac{1}{2}\Delta S \geq \sum_{\alpha,i,j,k} (h_{ijk}^\alpha)^2 + n(\inf K) |\Phi|^2 \\ &+ \frac{1}{2} \sum_{\alpha,\beta} N(h^\alpha h^\beta - h^\beta h^\alpha) \geq 0. \end{aligned} \quad (4.4)$$

It turns out that:

- i)  $h^\alpha h^\beta = h^\beta h^\alpha$ , for all  $\alpha$  and  $\beta$  and so the normal bundle of  $M^n$  is flat. Hence, all the matrices  $h^\alpha$  can be diagonalized simultaneously;
- ii)  $h_{ijk}^\alpha = 0$ ,  $\forall i, j, k, \alpha$  and so the second fundamental form  $B$  is parallel. In particular, it implies that  $\lambda_i^\alpha$  is constant for all  $i, \alpha$ .

From i), ii), (4.1) and (4.2) we can write  $0 = \sum_{\alpha,i,j} (\lambda_i^\alpha - \lambda_j^\alpha)^2 R_{ijij}$  and, since  $R_{ijij} \geq 0$ , we obtain  $(\lambda_i^\alpha - \lambda_j^\alpha) R_{ijij} = 0$ .

Consequently, we may apply the same methods used by Ishihara (see [12], Lemmas 5.1, 5.2 and Theorem 1.3) to conclude that  $M^n$  is totally umbilical or a product  $M_1 \times M_2 \times \dots \times M_k$ , where  $M_i$  is a totally umbilical submanifold in  $\mathbb{S}_p^{n+p}$  and the  $M_i$ 's are mutually perpendicular along their intersections.  $\square$

**Remark:** Let  $M^n$  be a complete spacelike submanifold in  $\mathbb{S}_p^{n+p}(c)$  with parallel mean curvature vector and non-negative sectional curvature. In (4.4), we got the inequality  $\Delta S \geq 0$ , which shows that  $S$  is a subharmonic smooth function. Therefore, if the supremum of  $S$  is attained on  $M^n$ , it follows from the *Maximum Principle* that  $S$  is constant and we have the same conclusions as in Theorem 1.11.

**Proof of Theorem 1.12.** In the proof of Theorem 1.10 we used the following inequality

$$\begin{aligned} &\sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{km}^\alpha R_{mijk} + \sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{mi}^\alpha R_{mkjk} = \\ &n |\Phi|^2 - nH \sum_{\alpha} \text{tr}(h^{n+1}(h^\alpha)^2) + \sum_{\alpha,\beta} (\text{tr}(h^\alpha h^\beta))^2 + \\ &\frac{1}{2} \sum_{\alpha,\beta} N(h^\alpha h^\beta - h^\beta h^\alpha) \geq \\ &|\Phi|^2 \left( \frac{|\Phi|^2}{p} - \frac{n(n-2)}{\sqrt{n(n-1)}} H |\Phi| + n(1 - H^2) \right). \end{aligned} \quad (4.5)$$

Applying the same arguments as in the proof of the inequality (4.3), we obtain

$$\begin{aligned} &\sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{km}^\alpha R_{mijk} + \sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{mi}^\alpha R_{mkjk} \leq \\ &n \sup K \sum_{\alpha} N(\Phi^\alpha) = n \sup K |\Phi|^2. \end{aligned} \quad (4.6)$$

For technical reasons, we will write the expression (4.1) for the Laplacian of  $S$  as

$$\begin{aligned} \frac{1}{2}\Delta |\Phi|^2 &\geq (1-a) \left( \sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{km}^\alpha R_{mijk} + \sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{mi}^\alpha R_{mkjk} \right) \\ &\quad + a \left( \sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{km}^\alpha R_{mijk} + \sum_{\alpha,i,j,k,m} h_{ij}^\alpha h_{mi}^\alpha R_{mkjk} \right). \end{aligned} \quad (4.7)$$

Thus, from (4.5), (4.6) and (4.7), if  $a \geq 1$ , we have

$$\begin{aligned} \frac{1}{2}\Delta |\Phi|^2 &\geq a |\Phi|^2 \left( \frac{|\Phi|^2}{p} - \frac{n(n-2)}{\sqrt{n(n-1)}} H |\Phi| \right. \\ &\quad \left. + n[1 - H^2 + \left( \frac{1-a}{a} \right) \sup K] \right). \end{aligned} \quad (4.8)$$

Using similar arguments as in [14], it is possible to show that  $|\Phi|^2 < \infty$ . Therefore, we can apply Lemma 2.1 to the function  $|\Phi|^2$  and obtain a sequence of points  $\{p_k\}$  in  $M^n$  such that

$$\begin{aligned} \lim_{k \rightarrow \infty} |\Phi|^2(p_k) &= \sup |\Phi|^2 = (\sup |\Phi|)^2, \\ \lim_{k \rightarrow \infty} |\nabla |\Phi|^2(p_k)| &= 0 \text{ and } \limsup_{k \rightarrow \infty} \Delta |\Phi|^2(p_k) \leq 0. \end{aligned} \quad (4.9)$$

By applying inequality (4.8) at  $p_k$ , taking the limit, and using (4.9) we get

$$\begin{aligned} 0 &\geq \frac{1}{2a} \limsup_{k \rightarrow \infty} \Delta |\Phi|^2 \geq (\sup |\Phi|)^2 \left( \frac{\sup |\Phi|^2}{p} \right. \\ &\quad \left. - \frac{n(n-2)}{\sqrt{n(n-1)}} H \sup |\Phi| + n[1 - H^2 + \left( \frac{1-a}{a} \right) \sup K] \right). \end{aligned} \quad (4.10)$$

If  $\sup K \leq \beta(n, p, H) = \frac{a}{4(a-1)(n-1)} (4(n-1) - [p(n-2)^2 + 4(n-1)]H^2)$ , it can be easily checked that

$$\left( \frac{(\sup |\Phi|)^2}{p} - \frac{n(n-2)}{\sqrt{n(n-1)}} H \sup |\Phi| + n[1 - H^2 + \left( \frac{1-a}{a} \right) \sup K] \right) \geq 0,$$

and the equality holds if and only if  $\sup K = \beta(n, p, H)$  and  $\sup |\Phi| = \frac{pn(n-2)}{2\sqrt{n(n-1)}}$ .

Thus, if  $\sup K < \beta(n, p, H)$ , from (4.10) and the last inequality we conclude that  $\sup |\Phi| = 0$  and  $M^n$  is totally umbilical.

If  $\sup K = \beta(n, p, H)$ , we will suppose that  $M^n$  is not totally umbilical and derive a contradiction. First, let us prove that  $p = 1$ . Notice that

$$(\sup |\Phi|)^2 \left( \frac{(\sup |\Phi|)^2}{p} - \frac{n(n-2)}{\sqrt{n(n-1)}} H \sup |\Phi| + n[1 - H^2 + \left( \frac{1-a}{a} \right) \sup K] \right) = 0.$$

It shows that all the estimates used to obtain inequality (4.10) turn into equalities. More precisely, (3.6) and (3.7) can now be written as

$$\sqrt{N(\Phi^{n+1})} |\Phi|^2 = |\Phi|^3. \quad (4.11)$$

$$|\Phi|^4 = p \sum_{\alpha} N^2(\Phi^{\alpha}). \quad (4.12)$$

As mentioned before, taking subsequences if necessary, we can arrive to a sequence  $\{p_k\}$  in  $M^n$ , which satisfies (4.9) and such that

$$\lim_{k \rightarrow \infty} N(\Phi^{\alpha})(p_k) = C^{\alpha}, \quad \alpha \geq n+1. \quad (4.13)$$

By evaluating (4.11) at  $p_k$ , taking the limit for  $k \rightarrow \infty$  and using (4.13) it gives

$$\sqrt{C^{n+1}} (\sup |\Phi|)^2 = \sup |\Phi|^3 = (\sup |\Phi|)^3, \quad (4.14)$$

Since  $\sup |\Phi| > 0$ , we have

$$C^{n+1} = (\sup |\Phi|)^2 = \sup(|\Phi|^2) = \sum_{\alpha} C^{\alpha}. \quad (4.15)$$

Hence,  $C^{\alpha} = 0, \forall \alpha \geq n+2$ . By evaluating (4.12) at  $p_k$  and taking the limit for  $k \rightarrow \infty$ , from (4.13) and (4.15), we get

$$(\sup |\Phi|)^4 = p \sum_{\alpha} (C^{\alpha})^2 = p(C^{n+1})^2 = p(\sup |\Phi|)^4,$$

which implies  $p = 1$ .

Next, let us prove that  $\sup K = 0$ . Since  $h$  is parallel and the equality holds in (4.6) and (4.7), we arrive to

$$0 = \limsup_{k \rightarrow \infty} \frac{1}{2} \Delta |\Phi|^2(p_k) = \limsup_{k \rightarrow \infty} \frac{1}{2} \Delta S(p_k) = n(\sup K) \sup |\Phi|^2 = n(\sup K)(\sup |\Phi|)^2.$$

Therefore,  $\sup K = 0$ .

Now we are in position to prove that  $M^n$  is totally umbilical. Observe that  $\sup K = 0$  and  $p = 1$  yield

$$0 = \sup K = \beta(n, 1, H) = \frac{a}{4(a-1)(n-1)} (4(n-1) - n^2 H^2).$$

Hence  $H^2 = \frac{4(n-1)}{n^2}$ . In this case, according to Montiel (cf. [16], Proposition 2), either  $M^n$  is a totally umbilical hypersurface or  $n > 2$  and the supremum of the scalar curvature of  $M^n$  is equal to  $(n-2)^2$ .

As  $M^n$  is not totally umbilical, we conclude that the supremum of the scalar curvature of  $M^n$  is equal to  $(n-2)^2$ , which contradicts the fact that  $\sup K = 0$ . Therefore,  $M^n$  is totally umbilical.

Because  $a$  is arbitrary, taking the limit for  $a \rightarrow \infty$  in  $\sup K \leq \beta(n, p, H) = \frac{a}{4(a-1)(n-1)} (4(n-1) - [p(n-2)^2 + 4(n-1)]H^2)$ , we get  $\sup K \leq \beta(n, p, H) = \frac{1}{4(n-1)} (4(n-1) - [p(n-2)^2 + 4(n-1)]H^2)$ .

Moreover, since  $M^n$  is totally umbilical, if  $n \geq 3$  we obtain

$$1 - H^2 = \sup K \leq \frac{1}{4(n-1)} (4(n-1) - [p(n-2)^2 + 4(n-1)]H^2), \text{ thus}$$

$p(n-2)H^2 \leq 0$ , which implies  $H = 0$  and shows that  $M^n$  is totally geodesic.  $\square$

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