

# The structure of the poset of regular topologies on a set

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## ABSTRACT

We study the subposet  $\Sigma_3(X)$  of the lattice  $\mathcal{L}_1(X)$  of all  $T_1$ -topologies on a set  $X$ , being the collections of all  $T_3$  topologies on  $X$ , with a view to deciding which elements of this partially ordered set have and which do not have immediate predecessors. We show that each regular topology which is not  $R$ -closed does have such a predecessor and as a corollary we obtain a result of Costantini that each non-compact Tychonoff space has an immediate predecessor in  $\Sigma_3$ . We also consider the problem of when an  $R$ -closed topology is maximal  $R$ -closed.

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## 1. INTRODUCTION

In a previous paper [3], we studied the problem of when a jump can occur in the order of the lattice  $\mathcal{L}_1(X)$ ; that is to say, when there exist  $T_1$ -topologies  $\tau$  and  $\tau^+$  on a set  $X$  such that whenever  $\mu$  is a topology on  $X$  such that  $\tau \subseteq \mu \subseteq \tau^+$  then  $\mu = \tau$  or  $\mu = \tau^+$ . The existence of jumps in  $\mathcal{L}_1(X)$  and in the subposet of Hausdorff topologies, has been studied in [5], [2], [10] and [16]; in the last two articles an immediate successor  $\tau^+$  was said to be a *cover* of (or simply *to cover*)  $\tau$ . In the above cited paper [3], when a topology  $\tau$  has a cover  $\tau^+$  we have called  $\tau$  a *lower topology* and  $\tau^+$  an *upper topology* and we continue to use this terminology here.

In the present work we study the structure of the subposet  $\Sigma_3(X)$  of all  $T_3$ -topologies of the lattice  $\mathcal{L}_1(X)$ , on a set  $X$  with a view to deciding which elements of this partially ordered sets have and which do not have covers.

In [1] it was shown that a  $T_3$ -topology on  $X$  which is not feebly compact is an upper topology in  $\Sigma_3(X)$  and in [6], Costantini showed that every non-compact Tychonoff topology on  $X$  is upper in  $\Sigma_3(X)$ . In Section 2 of this paper we generalize both these results by showing that every  $T_3$ -topology which is not  $R$ -closed is upper in  $\Sigma_3(X)$ . (A  $T_3$ -space is  $R$ -closed if it is closed in every embedding in a  $T_3$ -space.) In Section 3 we consider the problem of the existence of spaces which are maximal with respect to being  $R$ -closed and in Section 4 we study lower topologies in  $\Sigma_3$ . In the final section we pose a number of open problems.

A set  $X$  with a topology  $\xi$  will be denoted by  $(X, \xi)$  and if  $p \in X$ , then  $\xi(p, X)$  denotes the collection of all open sets in  $X$  which contain  $p$ . The closure (respectively, interior) of a set  $A$  in a topological space  $(X, \tau)$  will be denoted by  $\text{cl}_\tau(A)$  (respectively,  $\text{int}_\tau(A)$ ) or simply by  $\text{cl}(A)$  (respectively  $\text{int}(A)$ ) when no confusion is possible. All undefined terms can be found in [7] or [13] and all spaces in this article are (at least)  $T_3$ . A comprehensive survey of results on  $R$ -closed spaces and many open questions can be found in [8]. We make the following formal definitions.

**Definition 1.1.** Say that two (distinct)  $T_3$ -topologies  $\tau_1$  and  $\tau_2$  on a set  $X$  are *adjacent* in  $\Sigma_3(X)$  if whenever  $\sigma \in \Sigma_3(X)$  and  $\tau_1 \subseteq \sigma \subseteq \tau_2$ , then either  $\sigma = \tau_1$  or  $\sigma = \tau_2$ . We say that  $\tau_1$  is a *lower topology* in  $\Sigma_3(X)$ ,  $\tau_2$  is an *upper topology* in  $\Sigma_3$  and  $\tau_2$  is an *immediate successor* of  $\tau_1$ . For a topology  $\tau$ ,  $\tau^+$  will always denote an immediate successor of  $\tau$ . A  $T_3$ -topology on  $X$  is  *$R$ -minimal* if there is no weaker  $T_3$ -topology on  $X$ ; it is well known that an  $R$ -minimal topology is  $R$ -closed. Clearly an  $R$ -minimal topology is not upper in  $\Sigma_3(X)$ . In the sequel, whenever the space  $X$  is understood, we will write  $\Sigma_3$  instead of  $\Sigma_3(X)$ .

In [11], it was shown that the structure of basic intervals in  $\Sigma_3$  is essentially different from those of the poset  $\Sigma_t$  of Tychonoff spaces in that not every finite interval is isomorphic to the power set of a finite ordinal. The following result is Lemma 22 of [11].

**Lemma 1.2.** *If  $\sigma$  is an immediate successor of  $\tau$  in  $\Sigma_3$ , then  $\tau$  and  $\sigma$  differ at precisely one point.*

An open filter (that is, a filter with a base of open sets)  $\mathcal{F}$  is a *regular filter* if for each  $U \in \mathcal{F}$  there is  $V \in \mathcal{F}$  such that  $\text{cl}(V) \subseteq U$ . A simple application of Zorn's Lemma shows that every regular filter can be embedded in a maximal regular filter and furthermore, in a regular space, if a maximal regular filter has an accumulation point, then it must converge to that point.

By Theorem 4.14 of [4], a  $T_3$ -space is  $R$ -closed if and only if every regular filter has an accumulation point or equivalently, if and only if every maximal regular filter converges.

## 2. UPPER TOPOLOGIES IN $\Sigma_3$

The next result generalizes Theorem 2.14 of [1].

**Theorem 2.1.** *Each  $T_3$ -topology which is not  $R$ -closed is upper in  $\Sigma_3$ .*

*Proof.* Suppose that  $(X, \sigma)$  is a  $T_3$ -space which is not  $R$ -closed. Then there is some maximal regular filter  $\mathcal{F}$  in  $(X, \sigma)$  which is not fixed. Pick  $p \in X$  and define a new topology  $\tau$  on  $X$  as follows:

$$\tau = \{U \in \sigma : p \notin U\} \cup \{U \in \sigma : p \in U \in \mathcal{F}\}.$$

The topologies  $\tau$  and  $\sigma$  differ only at the point  $p$  and hence for each  $A \subseteq X$ ,  $\text{cl}_\tau(A) \subseteq \text{cl}_\sigma(A) \cup \{p\}$ .

We first show that  $(X, \tau)$  is a  $T_3$ -space; suppose that  $C \subseteq X$  is  $\tau$ -closed and  $q \notin C$ . There are three cases to consider.

- 1) If  $p \notin C \cup \{q\}$ , then there are  $\sigma$ -open sets  $U, V$  separating  $C$  and  $q$  in  $X \setminus \{p\}$  and  $U, V$  are  $\tau$ -open.
- 2) If  $p \in C$ , then  $C$  is  $\sigma$ -closed and hence there are disjoint  $\sigma$ -open sets  $U$  and  $V$  such that  $C \subseteq U$  and  $q \in V$ . Furthermore, since  $\mathcal{F}$  is a free regular filter, there is  $W \in \mathcal{F}$  such that  $q \notin \text{cl}_\sigma(W)$  and hence  $q \notin \text{cl}_\tau(W) = \text{cl}_\sigma(W) \cup \{p\}$ . It is now clear that  $U \cup W$  and  $U \setminus \text{cl}_\tau(W)$  are disjoint  $\tau$ -open sets containing  $C$  and  $q$  respectively.
- 3) If  $p = q$ , then since  $C$  is  $\tau$ -closed and  $p \notin C$ , it follows that there is some element  $W \in \mathcal{F}$  such that  $W \cap C = \emptyset$ . Furthermore, since  $C$  is  $\sigma$ -closed, there are disjoint sets  $U, V \in \sigma$  such that  $C \subseteq U$  and  $p \in V$ . Since  $\mathcal{F}$  is a regular filter, there is some  $T \in \mathcal{F}$  such that  $\text{cl}_\sigma(T) \subseteq W$ . Since  $\text{cl}_\tau(T) = \text{cl}_\sigma(T) \cup \{p\}$ , it is now clear that  $U \setminus \text{cl}_\tau(T)$  and  $V \cup T$  are disjoint  $\tau$ -open sets containing  $C$  and  $p$  respectively.

We claim that  $\tau$  is the immediate predecessor of  $\sigma$  in  $\Sigma_3$ . To see this, suppose that  $\mu$  is a  $T_3$ -topology on  $X$  such that  $\tau \subsetneq \mu \subsetneq \sigma$ ; note that  $\mu$  differs from  $\sigma$  and  $\tau$  only at the point  $p$ . If there is some  $\mu$ -neighbourhood  $U$  of  $p$  which misses some element  $F \in \mathcal{F}$ , then if  $W$  is a  $\sigma$ -open neighbourhood of  $p$ , it follows that  $W \cup F$  is a  $\tau$ -open, hence  $\mu$ -open neighbourhood of  $p$ . But then  $(W \cup F) \cap U = W \cap U \subseteq W$  is a  $\mu$ -open neighbourhood of  $p$ , implying that  $\mu = \sigma$ . Hence every  $\mu$ -neighbourhood of  $p$  must meet every element of  $\mathcal{F}$ ; we claim that this implies that  $\mu = \tau$ . To prove our claim, let  $\mathcal{V}_p$  be the filter of  $\mu$ -open neighbourhoods of  $p$  and let  $\mathcal{G}$  be the open filter generated by  $\{F \cap V : F \in \mathcal{F} \text{ and } V \in \mathcal{V}_p\}$ . We will show that  $\mathcal{G}$  is a regular filter in  $(X, \sigma)$ , thus contradicting the maximality of  $\mathcal{F}$ . However, if  $F \in \mathcal{F}$  and  $V \in \mathcal{V}_p$ , then there is  $W \in \mathcal{V}_p$  and  $H \in \mathcal{F}$  such that  $V \supseteq \text{cl}_\mu(W) \supseteq \text{cl}_\sigma(W)$  and  $\text{cl}_\sigma(H) \subseteq F$ . Hence  $W \cap H \in \mathcal{G}$  and  $\text{cl}_\sigma(W \cap H) \subseteq F \cap V$ .  $\square$

In [6], the concept of a *strongly upper topology* was defined. (A topology  $\tau$  is strongly upper if whenever  $\mu \subsetneq \tau$ , there is an immediate predecessor  $\tau^-$  of  $\tau$  such that  $\mu \subseteq \tau^- \subsetneq \tau$ .) A simple modification of the above proof shows that every regular topology which is not  $R$ -closed is in fact strongly upper.

Clearly every  $R$ -closed Tychonoff space is compact and hence the following result of [6] is an immediate corollary.

**Corollary 2.2.** *Every Tychonoff topology which is not compact is (strongly) upper in  $\Sigma_3$ .*

Every completely Hausdorff topology possesses a weaker Tychonoff topology (the weak topology induced by the continuous real-valued functions). Thus every completely Hausdorff  $R$ -minimal topology is compact. The following question then arises:

**Question 2.3.** *Is every completely Hausdorff  $T_3$ -topology which is not compact an upper topology in  $\Sigma_3$ ?*

**Question 2.4.** *Is every regular topology which has a compact Hausdorff subtopology an upper topology in  $\Sigma_3$ ?*

### 3. MAXIMAL $R$ -CLOSED TOPOLOGIES

Recall that a space is *submaximal* if every dense set is open (we do not assume that a submaximal space must be dense-in-itself). It follows from 7M of [13] that each  $H$ -closed topology is contained in a maximal  $H$ -closed topology and that a space is maximal  $H$ -closed if and only if it is  $H$ -closed and submaximal. However, as we show below, the class of submaximal  $R$ -closed spaces is much more restricted. Recall that a space is *feebly compact* if every locally finite family of open sets is finite.

**Theorem 3.1.** *Each submaximal regular, feebly compact topology has an isolated point.*

*Proof.* Suppose that  $(X, \tau)$  is feebly compact, submaximal and has no isolated points. Fix  $p \in X$  and let  $\mathcal{C}$  be a maximal cellular family of open sets in  $X$  so that for each  $C \in \mathcal{C}$ , we have  $p \notin \text{cl}_\tau(C)$ . The subset  $\bigcup \mathcal{C}$  is dense in  $X$  and hence  $F = X \setminus \bigcup \mathcal{C}$  is closed and discrete. Since  $p \in F$ , there are disjoint open sets  $U$  and  $V$  so that  $p \in U$  and  $F \setminus \{p\} \subseteq V$ . Let  $\mathcal{S} = \{U \cap C : C \in \mathcal{C} \text{ and } U \cap C \neq \emptyset\}$ ; since  $p$  is not isolated, it follows that  $\mathcal{S}$  is an infinite cellular family of open sets. Since  $X$  is feebly compact, this family must have an accumulation point in  $F$ , and hence its only accumulation point is  $p$ . For each  $U \cap C \in \mathcal{S}$ , pick  $x_C \in U \cap C$ ; since  $X$  has no isolated points, the set  $\{x_C : U \cap C \in \mathcal{S}\} \cup \{p\}$  is closed and discrete and hence there are disjoint open sets  $U'$  and  $V'$  such that  $p \in U'$  and  $\{x_C : U \cap C \in \mathcal{S}\} \subseteq V'$ . It follows immediately that the infinite family of non-empty open sets  $\{C \cap U \cap V' : U \cap C \in \mathcal{S}\}$  has no accumulation point in  $X$ , contradicting the fact that  $X$  is feebly compact.  $\square$

The following theorem is a result of Scarborough and Stone [14]. For completeness we include the simple proof.

**Theorem 3.2.** *An  $R$ -closed topology is feebly compact.*

*Proof.* Suppose to the contrary that  $\mathcal{U} = \{U_n : n \in \omega\}$  is an infinite discrete family of open subsets of  $(X, \tau)$ . For each  $n \in \omega$ , pick  $x_n \in U_n$ . It is then straightforward to check that the family

$$\mathcal{B} = \{U \in \tau : U \supseteq \{x_n : n \geq k\} \text{ for some } k \in \omega\}$$

is a regular filter base on  $X$  with no accumulation point, contradicting the fact that  $(X, \tau)$  is  $R$ -closed.  $\square$

**Corollary 3.3.** *Each submaximal  $R$ -closed space has an isolated point.*

**Lemma 3.4.** *An  $R$ -closed space which is scattered and of dispersion order 2 is compact.*

*Proof.* Suppose  $X = X_0 \cup X_1$  where  $X_0$  is the set of isolated points and  $X_1$  is the set of accumulation points of  $X$ . For each  $p \in X_1$ , there is a closed neighbourhood  $U$  of  $p$  such that  $U \subseteq X_0 \cup \{p\}$ . It is clear that  $U$  is clopen and so  $X$  is 0-dimensional and hence Tychonoff. Thus  $X$  is compact.  $\square$

Stephenson's examples (see [15] and [9]) show that the previous result is false for  $R$ -closed scattered spaces of dispersion order 3.

Since a subspace of a submaximal space is submaximal, the closure of the set of isolated points of an  $R$ -closed submaximal space is scattered of dispersion order 2. Thus:

**Corollary 3.5.** *Each submaximal  $R$ -closed space is a compact scattered space of dispersion order 2.*

*Proof.* Suppose that  $(X, \tau)$  is an  $R$ -closed submaximal space and let  $X_0$  denote the set of isolated points of  $X$ ; by Corollary 3.3,  $X_0 \neq \emptyset$ . Let  $C = \text{cl}(X \setminus \text{cl}(X_0))$ ; If  $C = \emptyset$  then we are done, so suppose to the contrary. Then  $C$  is a submaximal space without isolated points and so again by Corollary 3.3,  $(C, \tau|_C)$  is not feebly compact. Thus there is an infinite locally finite family  $\mathcal{F}$  of open sets in  $(C, \tau|_C)$ . But then,  $\{F \cap (X \setminus \text{cl}(X_0)) : F \in \mathcal{F}\}$  is an infinite locally finite family of open sets in  $X$ , implying that  $X$  is not feebly compact, which is a contradiction.  $\square$

**Theorem 3.6.** *A submaximal  $R$ -closed space is maximal  $R$ -closed.*

*Proof.* Suppose that  $(X, \tau)$  is a submaximal  $R$ -closed space. By the previous corollary,  $X$  is compact scattered of dispersion order 2; let  $X_0$  denote the set of isolated points of  $X$  and  $X_1 = X \setminus X_0$ . Suppose that  $\sigma \supsetneq \tau$  is a regular topology on  $X$  which differs from  $\tau$  at a point  $p$ . Then there is some  $\sigma$ -open neighbourhood  $U$  of  $p$  which is not  $\tau$ -open and hence does not contain any  $\tau$ -neighbourhood of  $p$ ; there is also a compact  $\tau$ -neighbourhood  $V$  of  $p$  such that  $V \subseteq X_0 \cup \{p\}$ . It is then clear that  $V \setminus U$  is an infinite  $\sigma$ -closed subset of  $X_0$ , implying that  $(X, \sigma)$  is not feebly compact.  $\square$



**Lemma 3.7.** *A feebly compact regular space of countable pseudocharacter is first countable.*

*Proof.* Suppose that  $(X, \tau)$  is feebly compact regular space and  $\psi(X, p) = \omega$ . There is a family  $\mathcal{B} = \{B_n : n \in \omega\}$  of open sets such that  $\bigcap \{B_n : n \in \omega\} = \{p\}$  and for each  $n \in \omega$ ,  $\text{cl}(B_{n+1}) \subseteq B_n$ . If  $\mathcal{B}$  is not a local base at  $p$ , then there is some open neighbourhood  $U$  of  $p$  such that for each  $n \in \omega$ ,  $B_n \not\subseteq U$ . It is straightforward to check that the family of open sets  $\{B_n \setminus (\text{cl}_\tau(B_{n+1} \cup U)) : n \in \omega\}$  is an infinite locally finite family of open sets, contradicting the fact that  $X$  is feebly compact.  $\square$

The next theorem should be compared with Theorem 2.20 of [12].

**Theorem 3.8.** *A regular feebly compact first countable topology is maximal among regular feebly compact topologies.*

*Proof.* Suppose that  $(X, \tau)$  is a regular feebly compact first countable space and  $\sigma \supsetneq \tau$  is a regular topology on  $X$ ; we will show that  $(X, \sigma)$  is not feebly compact.

To this end, suppose that  $U \in \sigma \setminus \tau$ ; then  $X \setminus U$  is  $\sigma$ -closed but not  $\tau$ -closed and so since  $(X, \tau)$  is first countable, there is some sequence  $\{p_n\}$  in  $X \setminus U$  convergent (in  $(X, \tau)$ ) to  $p \in U$ . By Lemma 4.1 of [2], there is a family of disjoint  $\tau$ -open sets  $\{U_n : n \in \omega\}$  whose only accumulation point (in  $(X, \tau)$ ) is  $p$  and such that  $p_n \in U_n$  for each  $n \in \omega$ . Now by regularity of  $(X, \sigma)$  there is  $W \in \sigma$  such that  $p \in W \subseteq \text{cl}_\sigma(W) \subseteq U$ ; then, the collection of sets  $\mathcal{U} = \{U_n \setminus \text{cl}_\sigma(W) : n \in \omega\}$  is a locally finite collection of open subsets of  $(X, \sigma)$  and so if an infinite number of elements of  $\mathcal{U}$  are non-empty, then  $(X, \sigma)$  is not feebly compact. However, if for some  $n_0 \in \omega$ ,  $U_n \setminus \text{cl}_\sigma(W) = \emptyset$  for all  $n \geq n_0$ , then  $p_n \in U_n \subseteq \text{cl}_\sigma(W)$  for all  $n \geq n_0$  contradicting the fact that  $p_n \in X \setminus U \subseteq X \setminus \text{cl}_\sigma(W)$ .  $\square$

The following result is now an immediate consequence of Theorems 3.2 and 3.8 and Lemma 3.7.

**Corollary 3.9.** *An  $R$ -closed space of countable pseudocharacter is maximal  $R$ -closed.*

**Remark 3.10.** Note that we have proved something a little stronger: If  $(X, \tau)$  is  $R$ -closed and  $\sigma \supsetneq \tau$  differs from  $\tau$  at a point of countable pseudocharacter, then  $(X, \sigma)$  is not  $R$ -closed.

**Corollary 3.11.** *A regular space with a strictly weaker  $R$ -closed first countable topology is upper in  $\Sigma_3$ .*

**Corollary 3.12.** *A first countable compact Hausdorff space is maximal  $R$ -closed.*

**Question 3.13.** *Is a Fréchet compact Hausdorff space maximal  $R$ -closed?*

## 4. LOWER TOPOLOGIES

A point  $p$  is a *maximal regular point* of a regular space  $(X, \tau)$  if the trace of the regular filter  $\mathcal{V}_p^\tau$  generated by  $\tau(p, X)$  on  $X \setminus \{p\}$  is a maximal regular filter.

**Lemma 4.1.** *A point  $p$  in a regular topological space  $(X, \tau)$  is a maximal regular point of  $X$  if and only if whenever  $\tau \subsetneq \sigma$  is a regular topology on  $X$  such that  $\sigma|(X \setminus \{p\}) = \tau|(X \setminus \{p\})$  then  $p$  is an isolated point of  $(X, \sigma)$ .*

*Proof.* For the sufficiency suppose that the regular filter  $\mathcal{V}_p^\tau$  generated by  $\tau(p, X)$  when restricted to  $X \setminus \{p\}$  is not maximal. Then there is some regular filter  $\mathcal{F} \supsetneq \mathcal{V}_p^\tau|(X \setminus \{p\})$ . Define  $\sigma$  to be that topology on  $X$  generated by the subbase

$$\tau \cup \{V \cup \{p\} : V \in \mathcal{F}\};$$

it is straightforward to show that  $\sigma$  is a regular topology on  $X$  strictly finer than  $\tau$  in which  $p$  is not an isolated point.

To show the necessity, suppose that  $p$  is a maximal regular point of  $(X, \tau)$ . Then if  $\sigma \supsetneq \tau$  and  $\sigma|(X \setminus \{p\}) = \tau|(X \setminus \{p\})$ , it follows that the trace of the neighbourhood filter  $\mathcal{V}_p^\sigma$  at  $p$  on  $X \setminus \{p\}$  is strictly larger than the trace of the neighbourhood filter  $\mathcal{V}_p^\tau$  at  $p$  on  $X \setminus \{p\}$  and since  $\sigma|(X \setminus \{p\}) = \tau|(X \setminus \{p\})$ ,  $\mathcal{V}_p^\sigma|(X \setminus \{p\})$  is a  $\tau$ -open collection strictly larger than the maximal regular filter  $\mathcal{V}_p^\tau|(X \setminus \{p\})$ . It follows that  $p$  is an isolated point of  $(X, \sigma)$ .  $\square$

It was essentially shown in Theorem 2.13 of [1] that a point of first countability in a space is not a maximal regular point.

**Corollary 4.2.** *If  $(X, \tau)$  has a maximal regular point then  $\tau$  is a lower topology in  $\Sigma_3$ .*

In [3] we characterized lower topologies in the poset of Hausdorff spaces as those having a closed subspace with a maximal point. Example 4.10 below shows that having a closed subspace with a maximal regular point does not guarantee that a topology is lower in  $\Sigma_3$ . However, we have the following result:

**Lemma 4.3.** *If  $\sigma \in \Sigma_3(X)$  is a simple extension of  $\tau \in \Sigma_3(X)$  which differs from  $\tau$  at precisely one point  $p \in X$ , then  $\sigma$  is upper and each lower topology  $\mu$  corresponding to  $\sigma$  has a closed subspace with a maximal regular point.*

*Proof.* It was shown in [6] that if a  $T_3$ -topology  $\sigma$  is a simple extension of a  $T_3$ -topology  $\tau$  that differs from  $\tau$  at precisely one point  $p$ , then  $\sigma$  is upper in  $\Sigma_3(X)$  and is generated by the subbase  $\tau \cup \{U \cup \{p\}\}$  for some  $U \in \tau$ . Clearly  $\mu \cup \{U \cup \{p\}\}$  is also a subbase for  $\sigma$  and hence  $p$  is an isolated point of  $A = (X \setminus U) \cup \{p\}$  in the topology  $\sigma$  but not in  $\mu$ . Thus  $p$  is a maximal regular point of  $(A, \mu|_A)$ .  $\square$

**Remark 4.4.** If  $\tau$  is a lower topology in  $\Sigma_3$  and  $\tau$  and  $\tau^+$  differ at  $p \in X$  then there is some  $U_0 \in \tau$  such that  $U_0 \cup \{p\} \in \tau^+ \setminus \tau$ . Then since  $\tau^+$  is

regular, for each  $n \geq 1$  there is  $U_n \in \tau$  such that  $U_n \cup \{p\} \in \tau^+ \setminus \tau$  and  $U_n \cup \{p\} \subseteq \text{cl}_{\tau^+}(U_n) \cup \{p\} \subseteq U_{n-1} \cup \{p\}$ . It is clear that  $\tau^+$  is generated by the subbase  $\tau \cup \{U_n \cup \{p\} : n \in \omega\}$  and hence the character of  $p$  in  $(X, \tau^+)$  is no greater than its character in  $(X, \tau)$ .

A family  $\mathcal{S} = \{S_n : n \in \omega\}$  is said to be *strongly decreasing at  $p$*  if for each  $n \in \omega$ ,  $\text{cl}(S_{n+1}) \cup \{p\} \subseteq S_n \cup \{p\}$ . We now formulate the above Remark as a lemma:

**Lemma 4.5.** *Let  $(X, \tau)$  be a  $T_3$ -space; if  $\tau$  has an immediate successor  $\tau^+ \in \Sigma_3$ , then there is  $p \in X$  and a family  $\mathcal{U} = \{U_n : n \in \omega\} \subseteq \tau$  which is strongly decreasing at  $p$ , such that for each  $n \in \omega$ ,  $U_n \cup \{p\} \notin \tau$  and  $\tau^+$  is generated by the subbase  $\tau \cup \{U_n \cup \{p\} : n \in \omega\}$ .*

This result allows us to characterize (rather abstractly it must be said) lower topologies in  $\Sigma_3$  in the next theorem. In order to simplify the notation somewhat, when  $\mathcal{W} = \{W_n : n \in \omega\} \subseteq \tau$  and  $\mathcal{V} = \{V_n : n \in \omega\} \in \tau$  are strongly decreasing families at (a fixed)  $p \in X$ ,  $\tau_{\mathcal{W}}$  will denote the topology generated by  $\tau \cup \{W_n \cup \{p\} : n \in \omega\}$  and  $\mathcal{W} \cap \mathcal{V}$  will denote the family  $\{W_n \cap V_n : n \in \omega\}$  which is also strongly decreasing at  $p$ .

**Theorem 4.6.** *A topology  $\tau$  on  $X$  is lower in  $\Sigma_3$  if and only if there is  $p \in X$  and a strongly decreasing family  $\mathcal{U} = \{U_n : n \in \omega\} \subseteq \tau$  at  $p$  such that whenever  $\mathcal{V} = \{V_n : n \in \omega\} \subseteq \tau$  is strongly decreasing at  $p$  and  $\tau_{\mathcal{U}} = \tau_{\mathcal{U} \cap \mathcal{V}}$ , then either  $\tau_{\mathcal{V}} = \tau_{\mathcal{U}}$  or  $\tau_{\mathcal{V}} = \tau$ .*

*Proof.* Suppose that  $\tau$  is not lower and fix  $p \in X$ ; if  $\mathcal{U} = \{U_n : n \in \omega\} \subseteq \tau$  is strongly decreasing at  $p$ , then there is  $\sigma \in \Sigma_3$  such that  $\tau \subsetneq \sigma \subsetneq \tau_{\mathcal{U}}$ . We may then choose a strongly decreasing family (at  $p$ )  $\mathcal{V} = \{V_n : n \in \omega\} \subseteq \sigma$ , such that for each  $n \in \omega$ ,  $V_n \cup \{p\} \in \sigma \setminus \tau$  and so  $\tau \subsetneq \tau_{\mathcal{V}} \subsetneq \tau_{\mathcal{U}}$ . However, since for each  $n \in \omega$ ,  $V_n \cup \{p\} \in \tau_{\mathcal{U}}$ , we have that  $(U_n \cap V_n) \cup \{p\} \in \tau_{\mathcal{U}}$  which implies that  $\tau_{\mathcal{U}} = \tau_{\mathcal{U} \cap \mathcal{V}}$ , giving a contradiction.

Conversely, suppose that  $\tau$  is lower in  $\Sigma_3$ ; by Lemma 4.5, there is  $p \in X$  and a strongly decreasing family  $\mathcal{U}$  at  $p$  such that  $\tau^+ = \tau_{\mathcal{U}}$ . Then, if  $\mathcal{V} = \{V_n : n \in \omega\} \subseteq \tau$  is a strongly decreasing family at  $p$  such that  $\tau_{\mathcal{U}} = \tau_{\mathcal{U} \cap \mathcal{V}}$  it follows that for each  $n \in \omega$ ,  $V_n \cup \{p\} \in \tau_{\mathcal{U}}$  and so  $\tau_{\mathcal{V}} \subseteq \tau_{\mathcal{U} \cap \mathcal{V}} = \tau_{\mathcal{U}}$ .  $\square$

**Theorem 4.7.** *A compact LOTS is maximal  $R$ -closed.*

*Proof.* Suppose that  $(X, \tau, <)$  is a compact LOTS and  $\sigma \supsetneq \tau$ . Then there is some  $U \in \sigma \setminus \tau$  and  $p \in U$  such that  $U$  is not a  $\tau$ -neighbourhood of  $p$ , and hence  $L_p \setminus U$  is cofinal in  $L_p \setminus \{p\}$  or  $R_p \setminus U$  is cofinal in  $R_p \setminus \{p\}$ , where  $L_p = \{x \in X : x \leq p\}$  and  $R_p = \{x \in X : x \geq p\}$ . It is easy to see that  $(X, \tau)$  is maximal  $R$ -closed if and only if both of the compact subspaces  $(L_p, \tau)$  and  $(R_p, \tau)$  are maximal  $R$ -closed. Thus, if  $p$  is a point of first countability of  $(X, \tau)$ , then it is also of first countability in both  $(L_p, \tau)$  and  $(R_p, \tau)$  and so the result is an immediate consequence of Remark 3.10.

Suppose then that  $\chi(p, X) > \omega$ , say  $\chi(p, L_p) = \kappa > \omega$  (where  $\kappa$  is a regular uncountable cardinal); in the sequel we consider only the subspace  $L_p$ . Let



$V \in \sigma$  be such that  $p \in V \subseteq \text{cl}_\sigma(V) \subseteq U$ , then clearly, either,  $V = \{p\}$  or  $V \setminus \{p\}$  is a cofinal  $\sigma$ -closed subset of  $L_p \setminus \{p\}$ . If the former occurs, then clearly  $L_p \setminus \{p\}$  is open and closed in  $(L_p, \sigma)$  which then cannot be  $R$ -closed.

If  $V \setminus \{p\}$  is cofinal in  $L_p \setminus \{p\}$  then, inductively we may construct interpolating sequences  $\{v_n : n \in \omega\} \subseteq V \setminus \{p\}$  and  $\{w_n : n \in \omega\} \subseteq L_p \setminus U$  such that  $w_n < v_n < w_{n+1}$  for all  $n \in \omega$ . Since  $(X, <)$  is complete,  $q = \sup\{v_n : n \in \omega\} = \sup\{w_n : n \in \omega\}$  exists. Now for each  $n \in \omega$ , let  $O_n = V \cap (w_n, w_{n+1})$ . The sets  $\{O_n : n \in \omega\}$  are  $\sigma$ -open and their only possible accumulation point in  $(X, \sigma)$  is  $q$ . There are now two possibilities:

1) If  $q \in \text{cl}_\sigma(\{w_n : n \in \omega\})$ , then  $q \in L_p \setminus U$  and so  $q$  is not an accumulation point in  $(X, \sigma)$  of the family  $\{O_n : n \in \omega\}$ , showing that  $(X, \sigma)$  is not feebly compact and hence not  $R$ -closed.

2) If on the other hand,  $q \notin \text{cl}_\sigma(\{w_n : n \in \omega\})$ , then  $\{w_n : n \in \omega\}$  is closed and discrete in  $(X, \sigma)$ . Since  $\sigma$  is regular, we may construct a discrete family of  $\sigma$ -open sets  $\{W_n : n \in \omega\}$  such that  $w_n \in W_n$ , again showing that  $(X, \sigma)$  is not feebly compact.  $\square$

The same proof essentially shows that:

**Theorem 4.8.** *If  $(X, \tau, <)$  is a LOTS and  $\chi(p, L_p) > \omega$ , then  $p$  is a maximal regular point of  $L_p$ .*

**Corollary 4.9.** *A compact LOTS is lower in  $\Sigma_3$  if and only if it is not first countable.*

*Proof.* The sufficiency follows from Theorem 4.8 and Corollary 4.2. The necessity was proved in Theorem 2.13 of [1].  $\square$

Compactness is essential in the previous theorem. It is straightforward to show that the one-point Lindelofication of a discrete space of cardinality  $\omega_1$  is a LOTS but is neither first countable nor lower in  $\Sigma_3$ .

From Theorem 4.8 we see that if  $\kappa$  is an uncountable regular cardinal, then  $\kappa$  is a maximal regular point of  $\kappa + 1$  (with the order topology).

**Example 4.10.** *Let  $\kappa$  denote the first ordinal of cardinality  $\mathfrak{c}^+$  and let  $X$  denote the set  $(\kappa + 1) \times [0, 1]$ ,  $\tau$  the product topology on  $X$  and  $\sigma$  the topology generated by  $\tau \cup \{(\kappa, 1)\}$ . We will show that  $\sigma = \tau^+$ . To this end, suppose that  $\mu$  is a regular topology such that  $\tau \subsetneq \mu \subseteq \sigma$ ; clearly  $\mu$  differs from  $\tau$  and  $\sigma$  only at the point  $(\kappa, 1)$  and hence there is some open  $\mu$ -neighbourhood  $V$  which is not a  $\tau$ -neighbourhood of  $(\kappa, 1)$  and some  $\mu$ -neighbourhood  $U$  of  $(\kappa, 1)$  such that  $\text{cl}_\mu(U) \subseteq V$ . Since  $\kappa > \mathfrak{c}$ , there are a number of possibilities:*

1) *There is an infinite set  $J = \{r_n : n \in \omega\} \subseteq [0, 1)$  with  $1 \in \text{cl}(J)$  and for each  $n \in \omega$  a set  $S_n \subseteq \kappa$  such that either,*

a)  *$S_n$  is cofinal in  $\kappa$  or*

b)  *$\kappa \in S_n$*

*and  $\bigcup\{S_n \times \{r_n\} : n \in J\} \cap V = \emptyset$ . Or,*

2) *There is a cofinal set  $S_\omega \subset \kappa$  such that  $(S_\omega \times \{1\}) \cap V = \emptyset$ ; furthermore, since  $V \setminus \{(\kappa, 1)\}$  is  $\tau$ -open, we may assume that  $S_\omega$  is  $\tau$ -closed in  $\kappa$ .*

If 1a) occurs, then  $\{\kappa\} \times J \subseteq X \setminus V \subseteq X \setminus \text{cl}_\mu(U)$ ; and if 1b) occurs, then since  $V \setminus \{(\kappa, 1)\}$  is  $\tau$ -open, it follows that  $\{\kappa\} \times J \subseteq X \setminus V \subseteq X \setminus \text{cl}_\mu(U)$ .

Thus in either case 1a) or 1b), there is an infinite subset  $J \subseteq [0, 1]$  with  $1 \in \text{cl}(J)$  such that  $\{\kappa\} \times J \subseteq X \setminus V \subseteq X \setminus \text{cl}_\mu(U)$ . It then follows that for each  $r_n \in J$  there is  $\alpha_n \in \kappa$  such that  $\bigcup\{(\alpha_n, \kappa] \times \{r_n\} : n \in J\} \subseteq X \setminus \text{cl}_\mu(U)$ . Letting  $\alpha = \sup\{\alpha_n : n \in J\} \in \kappa$  we have that  $(\alpha, \kappa] \times J \subseteq X \setminus \text{cl}_\mu(U)$  and so  $(\alpha, \kappa) \times \{1\} \subseteq X \setminus U$ . Again using regularity of  $(X, \mu)$ , there is some  $\mu$ -open neighbourhood  $W$  of  $(\kappa, 1)$  such that  $\text{cl}_\mu(W) \subseteq U$  and hence  $\text{cl}_\mu(W) \cap ((\alpha, \kappa) \times \{1\}) = \emptyset$ .

If on the other hand, 2) occurs, then since  $\text{cl}_\mu(U)$  is also  $\tau$ -closed and it follows that  $\text{cl}_\mu(U) \cap (\kappa \times \{1\})$  is a  $\tau$ -closed subset of  $\kappa \times \{1\}$ . Thus, since  $\kappa$  is a regular cardinal with uncountable cofinality and  $\text{cl}_\mu(U) \cap S_\omega = \emptyset$ , it follows that there is some  $\alpha \in \kappa$  such that  $\text{cl}_\mu(U) \cap ((\alpha, \kappa) \times \{1\}) = \emptyset$ .

Thus in both cases 1) and 2) we have shown that there is a  $\mu$ -open neighbourhood  $O$  of  $(\kappa, 1)$  and  $\alpha \in \kappa$  such that  $\text{cl}_\mu(O) \cap ((\alpha, \kappa) \times \{1\}) = \emptyset$ .

Now, since  $1 \in \text{cl}(J)$ , it follows that  $\{(r_n, 1) : n \in J\}$  is a local base at 1 and so for each  $\alpha < \gamma \in \kappa$ , there is  $r_{n_\gamma} \in J$  and  $O_\gamma$  open in  $\kappa$  such that  $O_\gamma \times (r_{n_\gamma}, 1] \subseteq X \setminus \text{cl}_\mu(O)$ . Now denoting by  $L_n$  the set  $\{\gamma : n_\gamma = n \in J\}$  and by  $M_n$  the set  $\bigcup\{O_\gamma : \gamma \in L_n\}$  we have that for each  $n \in J$ ,  $M_n \times (r_n, 1] \subseteq X \setminus \text{cl}_\mu(O)$ . However,  $\bigcup\{M_n : n \in J\} \supseteq (\alpha, \kappa)$  and hence there is a finite subset  $\{M_{n_1}, \dots, M_{n_k}\}$  which covers  $(\alpha, \kappa)$ . Letting  $r = \max\{r_{n_1}, \dots, r_{n_k}\}$ , we have that  $(\alpha, \kappa) \times (r, 1] \subseteq X \setminus \text{cl}_\mu(O)$  and hence  $O \cap ((\alpha, \kappa + 1) \times (r, 1]) \subseteq \{\kappa\} \times [0, 1]$ . Since  $O \cap (X \setminus \{(\kappa, 1)\})$  is  $\tau$ -open this shows that  $O \cap ((\alpha, \kappa + 1) \times (r, 1]) = \{(\kappa, 1)\}$ , that is to say,  $(\kappa, 1)$  is an isolated point of  $(X, \mu)$ .

Of course, for each  $r \in [0, 1]$ , the same argument applies to the point  $(\kappa, r) \in X$ . Thus each point of  $X$  is either a maximal regular point or a point of first countability; it follows that  $(\kappa + 1) \times [0, 1]$  is maximal  $R$ -closed and is lower in  $\Sigma_3$ .

Now let  $L$  denote the ordered set  $(\kappa + 1) \oplus \omega^{-1}$  (that is to say,  $\kappa + 1$  with its usual ordering followed by  $\omega$  with its reverse ordering, with the order topology) and  $Y = L \times [0, 1]$  with the product topology  $\tau$ . The space  $Y$  is the product of two LOTS, is not first countable and contains  $X$  as a closed subspace. Nonetheless, we claim that  $Y$  is not lower in  $\Sigma_3$ . To see this suppose that  $\tau \subsetneq \sigma$  and that  $\tau$  and  $\sigma$  differ at precisely one point  $p \in Y$ . By Theorem 2.13 of [1],  $p$  is not a point of first countability, hence  $p = (\kappa, r) \in \{\kappa\} \times [0, 1]$ . Clearly the neighbourhood filter  $\mathcal{V}_p^\sigma$  of  $p$  in  $(Y, \sigma)$  must differ from that in  $(Y, \tau)$ ,  $\mathcal{V}_p^\tau$ , either on the subset  $(\kappa + 1) \times [0, 1]$  or on  $Y \setminus (\kappa \times [0, 1])$ . Suppose then that the traces of  $\mathcal{V}_p^\sigma$  and  $\mathcal{V}_p^\tau$  on  $(\kappa + 1) \times [0, 1]$  are the same; then  $\mathcal{V}_p^\sigma$  and  $\mathcal{V}_p^\tau$  differ on  $Z = Y \setminus (\kappa \times [0, 1])$ , however,  $(Z, \tau)$  is first countable and hence again by Theorem 2.13 of [1] there are  $T_3$ -topologies on it lying strictly between  $\tau$  and  $\sigma$ . Thus  $\tau$  and  $\sigma$  differ on  $(\kappa + 1) \times [0, 1]$  and so by what we showed above,  $p$  must be an isolated point of  $((\kappa + 1) \times [0, 1], \sigma)$  and hence also of  $(\{\kappa\} \times [0, 1], \sigma)$ . However, the topology on  $Y \setminus (\kappa \times [0, 1])$  obtained by declaring  $\{\kappa\} \times ([0, 1] \setminus \{r\})$  to be closed is not regular, and an argument similar to that

employed in Theorem 2.13 of [1] shows that there is no topology, minimal in the class of regular topologies larger than it.

With a little more work, using the fact that  $[0, 1]$  is second countable, it is possible to substitute  $\omega_1$  instead of  $\kappa$  in the previous example.

However the following questions remain open.

**Question 4.11.** *If a regular topology is lower does some closed subspace have a maximal regular point?*

**Question 4.12.** *Is there an internal concrete characterization of lower topologies in  $\Sigma_3$ ?*

## 5. FIRST COUNTABLE REGULAR TOPOLOGIES

Denote by  $\Sigma'_3(X)$  the partially ordered set of first countable  $T_3$ -topologies on a set  $X$ .

**Theorem 5.1.** *There are no jumps in  $\Sigma'_3(X)$ ; between any two first countable  $T_3$ -topologies on  $X$  there are at least  $\mathfrak{c}$  incomparable first countable  $T_3$ -topologies.*

*Proof.* Suppose that  $\xi$  and  $\tau$  are two first countable  $T_3$ -topologies on  $X$  which differ precisely at the point  $x \in X$ . Let  $\{V_n : n \in \omega\}$  and  $\{W_n : n \in \omega\}$  be nested local bases at  $x$  in the topologies  $\xi$  and  $\tau$  respectively. We may now choose a sequence  $\{x_m\}_{m \in \omega}$  which converges to  $x$  in  $(X, \xi)$  but not in  $(X, \tau)$  and by passing to a subsequence if necessary, we may assume that  $x_m \in V_m$  and  $\{x_m : m \in \omega\}$  is a closed, discrete subset of  $(X, \tau)$ . For each  $m \in \omega$ , let  $\{U_m^n : n \in \omega\}$  be a local base of  $\tau$ -open sets at  $x_m$  such that  $x \notin \text{cl}_\tau(U_m^{n+1}) \subseteq U_m^n \subseteq V_m$  for each  $m, n \in \omega$ ; since  $(X, \tau)$  is regular, we may assume that  $\{U_m^1 : m \in \omega\}$  is a discrete family of  $\tau$ -open sets. Note that each set  $U_m^n$  is  $\xi$ -open and for each  $n \in \omega$ , the family  $\{U_m^n : m \in \omega\}$  has  $x$  as its unique accumulation point in  $(X, \xi)$ . Now let  $\mathcal{A}$  be an almost disjoint family of subsets of  $\omega$  of size  $\mathfrak{c}$  and for each  $A \in \mathcal{A}$  we define

$$\mathcal{F}_A = \{U \in \tau : \text{if } x \in U \text{ then there is } n \in \omega \text{ and some finite } F \subseteq \omega \text{ such that } U \supseteq \bigcup \{U_m^n : m \in A \setminus F\}\}.$$

It is clear that this is a sub-base for a first countable topology  $\mu_A \subseteq \tau$  on  $X$  and since  $\{x_m\}_{m \in A}$  converges to  $x$  in  $(X, \mu_A)$  it follows that  $\mu_A \neq \tau$ . Furthermore, since  $U_m^n \subseteq V_m$  for each  $m, n \in \omega$ , it follows that  $\xi \subseteq \mu_A$  and since  $\{x_m\}_{m \in \omega \setminus A}$  does not converge to  $x$  in  $(X, \mu_A)$  it follows that  $\mu_A \neq \xi$ . Finally, note that if  $A, B \in \mathcal{A}$  are distinct, then  $\mu_A$  and  $\mu_B$  are incomparable topologies. Finally, we need to show that each topology  $\mu_A$  is regular. To this end, suppose that  $x \in U \in \mu_A$ ; then there is some finite set  $F \subseteq \omega$  such that  $U \supseteq \bigcup \{U_m^n : m \in A \setminus F\}$ . It follows that  $\bigcup \{\text{cl}_\tau(U_m^{n+1}) : m \in A \setminus F\}$  is a  $\mu_A$ -closed neighbourhood of  $x$  which is contained in  $U$ . If  $x \neq z \in U \in \tau$ , then there is some  $\tau$ -closed neighbourhood  $W \subseteq U$  of  $z$  and some  $n \in \omega$  such that  $W \cap \bigcup \{U_m^n : m \in \omega\} = \emptyset$  and hence  $W$  is a  $\mu_A$ -closed neighbourhood of  $z$  contained in  $U$ . Thus  $(X, \mu_A)$  is regular.  $\square$

In Theorem 2.13 of [1] it was shown that a sequential  $T_3$ -topology of countable pseudocharacter is not a lower topology in  $\Sigma_3$ . However, we do not know the answer to the following question:

**Question 5.2.** *Is every first countable  $T_3$ -topology which is not  $R$ -minimal, upper in  $\Sigma_3$ ?*

## 6. SOME MORE OPEN PROBLEMS

The supremum of a chain of regular topologies is regular. Thus a positive answer to the first question would imply a positive answer to the second.

**Question 6.1.** *Is the supremum of a chain of  $R$ -closed topologies  $R$ -closed?*

**Question 6.2.** *Is every  $R$ -closed topology contained in a maximal  $R$ -closed topology?*

**Note:** There are maximal  $R$ -closed topologies which are not compact. In [15], Stephenson gave an example under CH of a first countable non-compact  $R$ -closed topology - by Corollary 3.9, this must be maximal  $R$ -closed. In [9] it was shown that the same construction can be done in ZFC. This space is scattered and has dispersion order 3. The topology contains a weaker compact Hausdorff topology of dispersion order 3 (which is clearly not maximal  $R$ -closed).

**Question 6.3.** *Is a maximal  $R$ -closed topology which is not  $R$ -minimal, upper in  $\Sigma_3$ ?*

Stephenson's examples show that maximal  $R$ -closed topologies need not be lower. Finally, the most general question of all:

**Question 6.4.** *Is every regular topology which is not  $R$ -minimal an upper topology in  $\Sigma_3$ ?*

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