

RT-MAT 93-21

Spherical CR-manifolds  
of dimension 3

Elisha Falbel  
and  
Nikolay Gusevskii

Novembro 1993

# Spherical CR-manifolds of dimension 3

Elisha Falbel and Nikolay Gusevskii  
Instituto de Matemática e Estatística  
Universidade de São Paulo  
CP20570-São Paulo-Brasil

## Abstract

A spherical CR-structure on a smooth  $(2n-1)$ -manifold  $M$  is a maximal collection of distinguished charts modeled on the boundary  $\partial H_{\mathbb{C}}^n$  of the complex hyperbolic space where coordinate changes are restrictions of transformations from  $PU(n,1)$ . There exists a development map  $d : \tilde{M} \rightarrow \partial H_{\mathbb{C}}^n$ , where  $\tilde{M}$  is the universal covering of  $M$ , which is local diffeomorphism. We study properties of the development maps and holonomy groups of spherical CR-structures on compact 3-dimensional manifolds. Also we give constructions of fundamental domains for some discrete subgroups of  $PU(2,1)$ .

## 1 Introduction

A geometrical structure on a real analytic  $n$ -manifold  $M$  is a maximal collection of charts modeled on an  $n$ -dimensional homogeneous space  $X$  of a Lie group  $G$  whose coordinate changes are restrictions of transformations from  $G$ . We call such a structure an  $(X, G)$ -structure. We say in this case the manifold  $M$  is an  $(X, G)$ -manifold or is modeled on  $(X, G)$ . Important examples of these structures include all locally homogeneous Riemannian structures as well as conformally flat, affinely flat, projectively flat and spherical CR-structures. Recall that a geometrical structure on a  $n$ -manifold  $M$  is called

conformally flat if  $X = S^n$  and  $G = SO(n+1, 1)$  is the group of conformal transformations of  $X$  where  $\dim X \geq 2$ . A geometrical structure is called a spherical CR-structure if  $X = S^{2n-1}$  is the boundary of the unit ball in  $\mathbb{C}^n$  and  $G = PU(n, 1)$  is the group of biholomorphisms of the unit ball acting on its boundary by CR-automorphisms. The analogy between both structures is clear as conformally flat structures are modeled on the boundary of real hyperbolic space and spherical CR-structures are modeled on the boundary of complex hyperbolic space.

Besides the many parallels between spherical CR-geometry and conformally flat geometry, they have important differences. For instance, it is known that the 3-dimensional torus has a conformally flat structure, but it has no spherical CR-structure. Another interesting example is a 2-torus bundle over the circle. This manifold admits a conformally flat structure if and only if the attaching map of the bundle is periodic and it admits a spherical CR-structure if and only if its attaching map  $A \in SL(2, \mathbb{Z})$  has infinite order but all its eigenvalues are  $\pm 1$  [G1]. There are also very interesting open questions. One knows that hyperbolic 3-manifolds are conformally flat, but we do not know any example of spherical CR-structure on any member of this class of manifolds. Similarly, trivial and some non-trivial circle bundles over a surface  $S$  of genus  $g \geq 2$  have conformally flat structures [GLT, Ka] and some Seifert fiber spaces have spherical CR-structures [BS], but we know nothing about the existence of spherical CR-structures on the trivial circle bundle over  $S$ . One of the main tools in the study of a geometrical structure is the development map and its holonomy homomorphism. The purpose of this paper is to study the development map of 3-dimensional closed spherical CR-manifolds.

Recall now the notions of development map and holonomy of a  $(X, G)$ -manifold. For details and proofs, see [KP, Ku, T].

**Development theorem.** Let  $M$  be an  $(X, G)$ -manifold, and let  $p : \tilde{M} \rightarrow M$  denote the universal covering of  $M$  with covering group  $\pi_1(M)$ . Then there exists a pair  $(d, d^*)$ , where  $d : \tilde{M} \rightarrow X$  is an  $(X, G)$ -local diffeomorphism and

$d^* : \pi_1(M) \rightarrow G$  is a homomorphism satisfying the equivariance condition

$$d \circ \gamma = d^*(\gamma) \circ d$$

for all  $\gamma \in \pi_1(M)$ .

The map  $d$  is called a development map for the  $(X, G)$ -structure. The homomorphism  $d^*$  is called the holonomy homomorphism and the group  $\Gamma^* = d^*(\pi_1(M))$  is called the holonomy group for the  $(X, G)$ -structure.

A pair  $(d, d^*)$  is called a development pair and is a useful globalization of an  $(X, G)$ -structure defined by local coordinates. The development map pulls back the  $(X, G)$ -structure from  $X$  to  $\tilde{M}$  and thus defines an  $(X, G)$ -structure on  $\tilde{M}$ . The holonomy homomorphism  $d^*$  determines the action of  $\pi_1(M)$  on  $\tilde{M}$  by  $(X, G)$ -automorphisms. Thus a development pair completely determines the  $(X, G)$ -structure on  $M$ . Moreover, if  $(\tilde{d}, \tilde{d}^*)$  is another pair for the same  $(X, G)$ -structure, then there exists  $h \in G$  such that  $d = h \circ \tilde{d}$  and  $\tilde{d}^*(\gamma) = h \circ d^*(\gamma) \circ h^{-1}$  for all  $\gamma \in \pi_1(M)$ .

There are some results describing development pairs for general  $(X, G)$ -structures, but the most complete picture has been obtained only for conformally flat structures.

As for spherical CR-structures we know only few results in this direction. First, we notice that the spherical homogeneous CR-manifolds have been classified by Burns and Shnider [BS]. Recently Miner [M] has classified spherical CR-structures on closed manifolds with amenable holonomy group. Finally, Kamishima and Tsuboi have obtained a classification of closed spherical CR-manifolds admitting nontrivial CR-vector fields [KT].

The main results of this paper are the following.

**Theorem 3.1.** Let  $M$  be a closed three-dimensional spherical CR-manifold with infinite fundamental group. Then the following conditions are equivalent:

- a)  $d(\tilde{M}) = D \neq S^3$ ,
- b)  $d : \tilde{M} \rightarrow D$  is a covering map,
- c) The holonomy group  $\Gamma^* = d^*(\pi_1(M))$  acts discontinuously on  $D$ .

We will call geometric circles on the boundary of the complex hyperbolic space  $H_{\mathbb{C}}^2$  the intersections of  $S^3$  with the boundaries of totally geodesic submanifolds of real dimension 2 in  $H_{\mathbb{C}}^2$ .

A spherical CR-structure on a 3-manifold  $M$  will be called special if the holonomy group  $\Gamma^*$  leaves invariant a geometric circle in  $S^3$ .

**Theorem 3.2.** Let  $M$  be a closed 3-manifold with a special spherical CR-structure. Suppose that  $\pi(M)$  is infinite. Then  $d$  is not surjective and the holonomy group  $\Gamma^*$  is discrete.

These results show the difference between conformally flat and spherical CR-structures on closed 3-dimensional manifolds, see, for instance [Ka], [K], [GKam1], [GKam2].

As noted by Goldman [G2], in general, the development maps of conformally flat structures on closed 3-dimensional manifolds fail to be covering maps onto their images. Using the operation of connected sums on spherical CR-structures [BS], [F], we construct spherical CR-structures on closed 3-dimensional manifolds whose development maps are surjective but not covering onto their images.

Finally, we construct explicit fundamental domains for some discrete subgroups of  $PU(2, 1)$ .

The first author had partial support by CNPq. The second author was supported by FAPESP.

## 2 Preliminaries.

### 2.1 Complex hyperbolic space and its boundary.

2.1.1 Let  $\mathbb{C}^{n+2}$  denote the complex vector space, equipped with the Hermitian form

$$b(z, w) = -\bar{z}_1 w_1 + \bar{z}_2 w_2 + \cdots + \bar{z}_{n+2} w_{n+2}$$

Consider the following subspaces in  $\mathbb{C}^{n+2}$ ,

$$V_0 = \{z \in \mathbb{C}^{n+2} : b(z, z) = 0\}$$

$$V = \{z \in \mathbb{C}^{n+2} : b(z, z) < 0\}$$

Let  $P : \mathbb{C}^{n+2} \setminus \{0\} \rightarrow \mathbb{C}P^{n+1}$  be the canonical projection onto the complex projective space. Then  $H_{\mathbb{C}}^{n+1} = P(V)$  equipped with the Bergman metric is the complex hyperbolic space. The orientation preserving isometry group of  $H_{\mathbb{C}}^{n+1}$  is  $PU(n+1, 1)$  acting by linear projective transformations. Also  $PU(n+1, 1)$  is the group of biholomorphic transformations of  $H_{\mathbb{C}}^{n+1}$ .

Put  $S^{2n+1} = P(V_0)$ . Then  $S^{2n+1}$  is the boundary of  $H_{\mathbb{C}}^{n+1}$ . We may consider  $H_{\mathbb{C}}^{n+1}$  and  $S^{2n+1}$  as the unit ball and the unit sphere in  $\mathbb{C}^{n+1}$ . The group of CR-automorphisms of  $S^{2n+1}$  is  $Aut_{CR}(S^{2n+1}) = PU(n+1, 1)$ .

**2.1.2** We notice that a maximal amenable subgroup of  $PU(n+1, 1)$  is isomorphic to the semidirect product  $H \rtimes (U(n) \times \mathbb{C}^*)$  where  $H$  is the Heisenberg group.  $Aut_{CR}(H)$  may be identified with the stabilizer in  $PU(n+1, 1)$  of a point in  $S^{2n+1}$ . Then  $Aut_{CR}(H)$  is a maximal amenable subgroup of  $PU(n+1, 1)$  [BS].

**2.1.3** The nontrivial elements of  $PU(n+1, 1)$  fall into three general conjugacy types, depending on the number and location of their fixed points. *Elliptic* elements have a fixed point in  $H_{\mathbb{C}}^{n+1}$ . *Parabolic* elements have a single fixed point on  $S^{2n+1}$ . *Loxodromic* elements have exactly two fixed points on  $S^{2n+1}$ . This exhausts all possibilities, see [CG] for details.

#### 2.1.4 Totally geodesic submanifolds in $H_{\mathbb{C}}^2$ .

There are two kinds of totally geodesic submanifolds of real dimension 2 in  $H_{\mathbb{C}}^2$ : *complex geodesics* (represented by  $H_{\mathbb{C}}^1 \subset H_{\mathbb{C}}^2$ ) and *totally real geodesic 2-planes* (represented by  $H_{\mathbb{R}}^2 \subset H_{\mathbb{C}}^2$ ). Each of these totally geodesic submanifold is a model of the hyperbolic plane.

**Theorem 2.1** ([CG]) *Let  $M$  be a totally geodesic submanifold in  $H_{\mathbb{C}}^2$  and let  $I(M)$  be the stabilizer of  $M$  in  $PU(2, 1)$ . Then we have the following.*

- i) *If  $M = H_{\mathbb{C}}^1$  then  $I(M)$  is isomorphic to  $U(1) \times PU(1, 1)$ ,*
- ii) *If  $M = H_{\mathbb{R}}^2$  then  $I(M)$  is isomorphic to  $PSO(2, 1)$ .*

We will need also the following

**Theorem 2.2 ([CG])** *Let  $G$  be a subgroup of  $SU_0(n, 1)$ , such that there is no point in  $\overline{H_{\mathbb{C}}^{n+1}} = H_{\mathbb{C}}^{n+1} \cup S^{2n+1}$  or proper, totally geodesic submanifold in  $H_{\mathbb{C}}^{n+1}$  which is invariant under  $G$ . Then  $G$  is either discrete or dense in  $SU_0(n, 1)$ .*

## 2.2 Uniformization Theorems.

We recall that a manifold  $M$  of dimension  $2n+1$  has a spherical CR-structure if  $M$  is modeled by the pair  $(S^{2n+1}, PU(n+1, 1))$ . Therefore we have the development pair

$$(d^*, d) : (Aut_{CR} \tilde{M}, \tilde{M}) \rightarrow (PU(n+1, 1), S^{2n+1})$$

**2.2.1** Consider an arbitrary subgroup  $G$  of  $PU(n+1, 1)$ . Let  $a \in H_{\mathbb{C}}^{n+1}$ . The limit set of  $G$  is defined to be the set  $L(G) = \overline{G(a)} \cap S^{2n+1}$ . It is easy to see that  $L(G)$  does not depend on  $a$ .

**2.2.2** Let  $M$  be a spherical CR-manifold,  $p : \tilde{M} \rightarrow M$  the universal covering with deck transformation group  $\Gamma = \pi_1(M)$ ,  $d : \tilde{M} \rightarrow S^{2n+1}$  a developing map and  $d^* : \Gamma \rightarrow PU(n+1, 1)$  a corresponding holonomy homomorphism. Set  $D = d(\tilde{M})$ ,  $\Gamma^* = d^*(\Gamma)$  and let  $N(\Gamma^*) = S^{2n+1} \setminus L(\Gamma^*)$ .

**Theorem 2.3 (cutting lemma)** *Suppose  $M$  is a closed manifold with a spherical CR-structure such that  $L(\Gamma^*)$  contains more than one point. Let  $N_0$  be the union of the components of  $N(\Gamma^*)$  which have a non-empty intersection with  $D$ . Let  $\tilde{N}_0 = d^{-1}(N_0)$ . Then  $d|_{\tilde{N}_0} : \tilde{N}_0 \rightarrow N_0$  is a covering map.*

**Remark.** For closed conformally flat manifolds this theorem was proved by Kulkarni-Pinkall [KP]. A slight modification of their arguments gives the proof for spherical CR-structures.

**Theorem 2.4 ([M])** *Let  $M$  be a compact spherical CR-manifold with amenable holonomy group. Then  $M$  is finitely covered by the sphere  $S^{2n+1}$  or a Hopf manifold  $S^1 \times S^{2n}$ , or a compact infranilmanifold.*

**Corollary 2.1** *Suppose  $M$  is a closed manifold with a spherical CR-structure such that  $S^{2n+1} \setminus D$  consists of one or two points. Then  $d : \tilde{M} \rightarrow D$  is a homeomorphism and  $M$  is finitely covered by a Hopf manifold or an infranilmanifold.*

**Corollary 2.2** *Let  $M$  be a compact spherical CR manifold such that the limit set  $L(\Gamma^*)$  is finite. Then  $d : \tilde{M} \rightarrow D$  is a homeomorphism and  $M$  is finitely covered by  $S^{2n+1}$  or a Hopf manifold, or an infranilmanifold.*

### 3 Spherical CR-manifolds whose development maps are not surjective

**Theorem 3.1** *Let  $M$  be a closed three-dimensional spherical CR-manifold with infinite fundamental group. Then the following conditions are equivalent:*

- a)  $d(\tilde{M}) = D \neq S^3$ ,
- b)  $d : \tilde{M} \rightarrow D$  is a covering map,
- c) The holonomy group  $\Gamma^* = d^*(\pi_1(M))$  acts discontinuously on  $D$ .

**Proof : Step 1.** We will show that a) implies b). Suppose that  $S^3 \setminus D$  consists of only one point  $x_0$ . Then the holonomy group  $\Gamma^*$  fixes  $x_0$ . Applying corollary 2.1 ( see section 2.2), we obtain that in this case  $M$  is finitely covered by either a Hopf manifold or an infranilmanifold and  $d$  is a homeomorphism.

Now suppose that  $S^3 \setminus D$  contains at least two points. Since  $S^3 \setminus D$  is closed and invariant under  $\Gamma^*$  it contains the limit set  $L(\Gamma^*)$  of the group  $\Gamma^*$  [CG]. It follows from the cutting lemma that in this case  $d : \tilde{M} \rightarrow D$  is a covering map.

**Step 2.** We will show that a) implies c). For the reasons explained above, we may assume that  $S^3 \setminus D$  contains at least two points. Therefore, if the

group  $\Gamma^*$  is discrete, it acts discontinuously on  $D$  [CG]. Hence, if c) is not satisfied, then  $\Gamma^*$  is not discrete. It follows from theorem 2.2 that we only have the following cases :

- i)  $\Gamma^*$  has a fixed point in  $H_{\mathbb{C}}^2$ ,
- ii)  $\Gamma^*$  is dense in  $PU(2,1)$ ,
- iii)  $\Gamma^*$  has a fixed point  $x_0 \in S^3$ ,
- iv)  $\Gamma^*$  leaves invariant a two point set  $\{x_1, x_2\} \subset S^3$ ,
- v)  $\Gamma^*$  leaves invariant some totally geodesic submanifold in  $H_{\mathbb{C}}^2$  of real dimension 2.

Note that case i) is impossible, because  $M$  would then be modeled by the pair  $(S^3, U(2))$ . It would then be CR-equivalent to a spherical space form  $S^3/F$ , where  $F$  is a finite subgroup of  $U(2)$ , which contradicts our assumption on the fundamental group.

Suppose that case ii) holds. Since  $PU(2,1)$  acts transitively on  $S^3$  and  $\Gamma^*$  is dense in  $PU(2,1)$ , it follows that for any two points  $a, b \in S^3$ , there exists a sequence  $\{h_n\} \subset \Gamma^*$  such that  $\lim_{n \rightarrow \infty} h_n(a) = b$ . By taking  $a \in S^3 \setminus D$  and  $b \in D$ , we obtain a contradiction to the openness and invariance of  $D$  under  $\Gamma^*$ .

Consider now case iii). Using an appropriate stereographic projection (see section 5.1) we may identify  $S^3 \setminus \{x_0\}$  with the Heisenberg group  $H$ , where  $x_0$  corresponds to  $\infty$ . We may suppose that  $\Gamma^*$  contains non-elliptic elements since case i) has already been considered. Thus there exists an element  $h \in \Gamma^*$ ,  $h$  is either loxodromic or parabolic, such that  $h(\infty) = \infty$ . Suppose that  $\infty \in D$ . Take a point  $a \in S^3 \setminus D$ . Then  $\lim_{n \rightarrow \infty} h^{\pm n}(a) = \infty$ . It contradicts the openness and invariance of  $D$ . Therefore  $\infty \in S^3 \setminus D$ . By applying the arguments in the proof a)  $\Rightarrow$  b), we deduce that  $d : M \rightarrow D$  is a homeomorphism. This implies that  $\Gamma^*$  is discrete and hence, we have arrived to a contradiction.

Suppose that case iv) holds. Then, passing if necessary to a subgroup of index 2 and choosing again a suitable stereographic projection, we may assume that  $\Gamma^*(0) = 0$  and  $\Gamma^*(\infty) = \infty$ . Also we may assume that  $\Gamma^*$  contains loxodromic elements since cases i) and iii) have been considered. Thus there exists a loxodromic element  $h \in \Gamma^*$  such that  $h(0) = 0$  and  $h(\infty) = \infty$ . When  $\{0, \infty\} \subset D$  we take a point  $a \in S^3 \setminus D$ . Then  $\lim_{n \rightarrow \infty} h^n(a) \in \{0, \infty\}$  and we

have again a contradiction to the openness and invariance of  $D$ . Therefore we may assume that  $\infty \in S^3 \setminus D$  and achieve a contradiction by applying the arguments in step 1.

The proof of the theorem will be finished in the next section.

### 3.1 Spherical CR-structures on 3-manifolds with special holonomy.

**3.1.1** Consider the complex hyperbolic space  $H_{\mathbb{C}}^2$  and its boundary  $\partial H_{\mathbb{C}}^2 = S^3$ . We will call **C-circles** the intersections of  $S^3$  with the boundaries of totally geodesic complex submanifolds  $H_{\mathbb{C}}^1$  in  $H_{\mathbb{C}}^2$ . Analogously, we call **R-circles** the intersections of  $S^3$  with the boundaries of totally geodesic real submanifolds  $H_{\mathbb{R}}^2$  in  $H_{\mathbb{C}}^2$ . A subset  $K \subset S^3$  will be called a *geometric circle* if  $K$  is either a C-circle or a R-circle.

**3.2** We will say that a spherical CR-structure on a 3-manifold  $M$  is *special* if the holonomy group  $\Gamma^*$  leaves invariant a geometric circle  $K$  in  $S^3$ .

**Theorem 3.2** *Let  $M$  be a closed 3-manifold with a special spherical CR-structure. Suppose that  $\pi_1(M)$  is infinite. Then  $d$  is not surjective and the holonomy group  $\Gamma^*$  is discrete.*

*Proof:* Let  $K$  be a geometrical circle invariant under  $\Gamma^*$  and  $D = S^3 \setminus K$ . We know that  $L(\Gamma^*) \subset K$ . If  $L(\Gamma^*)$  is finite, then by applying corollary 2 in section 2.2 we obtain that  $d : \tilde{M} \rightarrow S^3 \setminus L(\Gamma^*)$  is a homeomorphism and therefore  $\Gamma^*$  is discrete. Since  $\pi_1(M)$  is infinite,  $L(\Gamma^*) \neq \emptyset$ .

Suppose now that  $L(\Gamma^*)$  is infinite. We have two cases to consider.

If  $L(\Gamma^*)$  is a proper subset of  $K$ , then  $S^3 \setminus L(\Gamma^*)$  is simply connected. By applying the cutting lemma, we obtain that  $d : \tilde{M} \rightarrow S^3 \setminus L(\Gamma^*)$  is a homeomorphism and  $\Gamma^*$  is discrete.

If  $L(\Gamma^*) = K$ , then it follows from the cutting lemma that  $d : \tilde{M} \setminus d^{-1}(K) \rightarrow S^3 \setminus K$  is a covering map and thus,  $d$  induces a monomorphism  $d_* : \pi_1(\tilde{M} \setminus d^{-1}(K)) \rightarrow \pi_1(S^3 \setminus K) \cong \mathbb{Z}$ . Suppose that  $d(\tilde{M}) \cap K \neq \emptyset$ . Then, using remark 5.5 in [KP], we have that  $d(\tilde{M}) = S^3$ . A generator of  $\pi_1(S^3 \setminus K)$

can be presented by a circle lying in a small neighbourhood of  $p \in K$ . Since  $d$  is a local homeomorphism it implies that  $d_*$  is surjective. It follows that  $d_*$  is an isomorphism and therefore  $d$  must be one to one. A contradiction.

Thus, we have obtained that  $d(\tilde{M}) \cap K = \emptyset$ . It is easy to see that in this case  $d(\tilde{M}) = S^3 \setminus K$  and  $d : \tilde{M} \rightarrow S^3 \setminus K$  is a covering map.

**3.2.1** In what follows we suppose that  $d(\tilde{M}) = D$ .

**3.2.2 Case 1.** Suppose that  $K$  is a  $C$ -circle. Then it follows that  $Aut_{CR}D \cong U(1) \times PU(1,1)$  (see section 2.1.4). Let  $\tilde{G}$  be the restriction of  $Aut_{CR}D$  onto  $K$  or, equivalently, to the totally geodesic submanifold in  $H_C^2$  with boundary  $K$ .

We have the following exact sequence

$$1 \rightarrow U(1) \rightarrow Aut_{CR}D \xrightarrow{p} G \rightarrow 1$$

The  $U(1)$ -orbit of any point  $a \in D$  is a generator of  $\pi_1(D) \cong \mathbb{Z}$ . Hence we get an exact sequence

$$0 \rightarrow \mathbb{R} \rightarrow Aut_{CR}\tilde{D} \xrightarrow{\tilde{p}} G \rightarrow 1$$

where  $\tilde{D}$  is the universal covering of  $D$ . The exact sequences are related in the following way :

$$(1) \quad \begin{array}{ccccccc} 0 & \rightarrow & \mathbb{R} & \rightarrow & Aut_{CR}\tilde{D} & \xrightarrow{\tilde{p}} & G \rightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \rightarrow & U(1) & \rightarrow & Aut_{CR}D & \xrightarrow{p} & G \rightarrow 1 \end{array}$$

Since  $d(\tilde{M}) = D$  and  $d$  is a covering map, we may identify the universal covering  $\tilde{M}$  of the manifold  $M$  with  $\tilde{D}$  and  $Aut_{CR}\tilde{M}$  with  $Aut_{CR}\tilde{D}$ . Therefore we have the development pair

$$(dev^*, dev) : (Aut_{CR}\tilde{D}, \tilde{D}) \rightarrow (Aut_{CR}D, D)$$

Hence, we may think of  $\Gamma = \pi_1(M)$  as a subgroup of  $Aut_{CR}\tilde{D}$  and  $d^* = dev|_{\Gamma}$ .

$\Gamma$  is a discrete cocompact subgroup of  $Aut_{CR\tilde{D}}$ . Hence, in particular, the intersection  $R \cap \Gamma$  is cyclic and we have the following diagram

$$(2) \quad \begin{array}{ccccccccc} 0 & \rightarrow & H & \rightarrow & \Gamma & \xrightarrow{\tilde{p}} & \tilde{p}(\Gamma^*) & \rightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \rightarrow & H^* & \rightarrow & \Gamma^* & \xrightarrow{p} & p(\Gamma^*) & \rightarrow & 1 \end{array}$$

where  $H = R \cap \Gamma$  and  $H^* = U(1) \cap \Gamma^*$ .

Sometimes  $H^*$  will be called the *rotation component* of  $\Gamma^*$ .

Since  $U(1)$  acts trivially in  $H_{\mathbb{C}}^1$ ,  $g \in \Gamma^*$  and  $p(g)$  have the same action in  $H_{\mathbb{C}}^1$ . In particular,  $L(\Gamma^*) = L(p(\Gamma^*))$ .

We will show next that  $p(\Gamma^*)$  is discrete.

Assume that  $p(\Gamma^*)$  is not discrete. Then, by applying theorem 2.2 in section 2.1.4 to  $p(\Gamma^*)$  we obtain the following cases:

- (i)  $p(\Gamma^*)$  has a fixed point  $x \in H_{\mathbb{C}}^1$ ,
- (ii)  $p(\Gamma^*)$  has a fixed point  $x \in K$ ,
- (iii)  $p(\Gamma^*)$  leaves invariant some two-point set  $\{x_1, x_2\} \subset K$ ,
- (iv)  $p(\Gamma^*)$  is dense in  $G$ .

As in step 2 of the proof of Theorem 3.1, we obtain that cases (i)-(iii) are impossible.

Consider case (iv). Since  $p(\Gamma^*)$  is finitely generated it follows from corollary 4.5.5 in [CG] that  $p(\Gamma^*)$  contains elliptic elements of infinite order. Let  $h_*$  be an elliptic element of infinite order in  $p(\Gamma^*)$  and let  $\gamma_* = u_* h_*$  be an element in  $\Gamma^*$  such that  $p(\gamma_*) = h_*$ , where  $u_* \in U(1)$ . It is clear that  $\gamma_*$  is elliptic of infinite order and therefore  $\lim_{n \rightarrow \infty} \gamma_*^n = 1$ .

Take an element  $\gamma \in \Gamma$  such that  $d^*(\gamma) = \gamma^*$ . Then it follows from diagram (1) that we can compose  $\gamma$  with an element  $h \in R$  to obtain the element  $\gamma_1 = h\gamma$  such that  $\lim_{n \rightarrow \infty} \gamma_1^n = 1$ .

Since every element of  $R$  commutes with  $\Gamma$ , we have  $[\gamma, \eta] = [\gamma_1, \eta]$  for all  $\eta \in \Gamma$ . Therefore, in particular,  $[\gamma_1, \eta] \in \Gamma$  for all  $\eta \in \Gamma$ . As  $\lim_{n \rightarrow \infty} [\gamma_1^n, \eta] = 1$  and  $\Gamma$  is discrete we obtain that  $[\gamma_1, \eta] = 1$  for all  $\eta \in \Gamma$ . It follows that  $\gamma_*$  commutes with every element of  $\Gamma_*$ . Since  $h_* \neq 1$ , it follows that  $p(\Gamma^*)$  must be abelian and hence we have again cases (i)-(iii) above, which, as shown, are impossible.

Thus, we have shown that  $p(\Gamma^*)$  is discrete.

Suppose now that  $\Gamma^*$  is not discrete. Since  $p(\Gamma^*)$  is discrete, it follows from diagram (2) that  $\Gamma^*$  is not discrete if and only if  $Ker(d^* : \Gamma \rightarrow \Gamma^*)$  is trivial. In this case, the rotation component  $H^* \cong \mathbb{Z}$ .

As  $\Gamma$  and  $\Gamma^*$  are finitely generated, by passing to subgroups of finite index, we may assume that  $\Gamma^*$  is torsion-free.

Thus, we have that  $p(\Gamma^*)$  is discrete, torsion-free, non-solvable, finitely generated subgroup of  $G$ . Then we know that  $p(\Gamma^*)$  is either a finitely generated non-abelian free group or isomorphic to the fundamental group of a closed surface of genus  $\geq 2$ .

Suppose that  $p(\Gamma^*)$  is a free group. Then  $L(p(\Gamma^*)) = L(\Gamma^*)$  is a Cantor set lying in  $K$ . This contradicts the fact that  $L(\Gamma^*) = K$ .

The final claim is that  $p(\Gamma^*)$  cannot be isomorphic to the fundamental group of a closed surface of genus  $\geq 2$ .

Assume that  $p(\Gamma^*)$  is isomorphic to the fundamental group of a closed surface of genus  $\geq 2$ . Then it follows from diagram (2) that the manifold  $M$  is homeomorphic to a circle bundle over a closed hyperbolic surface  $[S]$ . On the other hand, under our hypothesis,  $M$  is modeled on the pair  $(Aut_{CRD}, D)$  and therefore, as a circle bundle, it has nonzero Euler number  $[BS, G3]$ . It is well known that in this case  $\Gamma$  has no subgroups isomorphic to the fundamental group of a closed surface of genus  $\geq 2$   $[S]$ . Since  $d^* : \Gamma \rightarrow \Gamma^*$  is an isomorphism, diagram (2) shows again that we have arrived to a contradiction.

Thus we have proved that in case 1 the holonomy group is discrete.

**3.2.3 Case 2.** Suppose now that  $K$  is a  $\mathbb{R}$ -circle. Then it follows from theorem 2.1 in section 2.1.4 that  $Aut_{CRD}$  is the image of the imbedding  $SO(2,1) \rightarrow PU(2,1)$  obtained by composing the imbedding  $SO(2,1) \rightarrow U(2,1)$  with projectivization.

Let  $\tilde{D}$  be a universal covering of  $D$ . As in Case 1, since  $d(\tilde{M}) = D$ , we may identify a universal covering  $\tilde{M}$  of the manifold  $M$  with  $\tilde{D}$  and  $Aut_{CR\tilde{M}}$  with  $Aut_{CR\tilde{D}}$ .

The following has been obtained in  $[BS]$ .

**Proposition 3.1**  $D$  is isomorphic to the unit tangent circle bundle of the two dimensional real hyperbolic space  $H_{\mathbb{R}}^2$ .

Therefore we have that

$$(Aut_{CR}D, D) = (PSO(2, 1), T_1H_{\mathbb{R}}^2)$$

and the result we need follows from theorem 7.2 in [KR].

### 3.3 End of proof of theorem 3.1

**3.3.1** Suppose now that case v) in step 2 occurs. Note, first of all, that  $L(\Gamma^*) \subset K$ . For, if  $L(\Gamma^*) = K$  we obtain that  $d(\tilde{M}) = S^3 \setminus K$  and we can finish the proof applying Theorem 3.2. If  $L(\Gamma^*)$  is a proper subset of  $K$  then  $S^3 \setminus L(\Gamma^*)$  is simply-connected and we are in the situations of step 1. The proof there shows that  $\Gamma^*$  is discrete.

**3.3.2 Step 3.** b) implies a) and c) implies a). We note first that the implication b)  $\implies$  a) is trivial, since  $\tilde{M}$  is noncompact, while  $S^3$  is compact and simply-connected.

Let us show that c)  $\implies$  a). If  $d(\tilde{M}) = S^3$ , then since  $\Gamma^*$  acts discontinuously and  $S^3$  is compact,  $\Gamma^*$  is a finite group. In this case  $\Gamma^*$  is purely elliptic and consequently is a subgroup of the unitary group  $U(2)$ . Thus  $M$  is modeled by the pair  $(S^3, U(2))$ . Since  $M$  is close, it implies that its fundamental group is finite, which contradicts the hypothesis of the theorem.

**3.3.3** One sees that we proved that  $a \iff b$  and  $a \iff c$ . Thus the theorem is proved.

## 4 Spherical CR-structures on $S^1$ - bundles over surfaces.

### 4.1 Standard spherical CR-structures on $S^1$ -bundles over surfaces.

Let  $H_g$  denote a group isomorphic to the fundamental group of a closed orientable surface  $S_g$  of genus  $g \geq 2$ . Suppose that  $\rho : H_g \rightarrow P(U(2,1))$  is a homomorphism. We say that  $\rho$  is a *discrete embedding* if and only if  $\rho$  is injective and its image  $\rho(H_g)$  is a discrete subgroup of  $PU(2,1)$ .

There are two special kinds of discrete imbeddings of  $H_g$  into  $PU(2,1)$ .

4.1.1 Let  $H_{\mathbb{C}}^1$  be a totally geodesic complex submanifold in  $H_{\mathbb{C}}^2$ . We will consider  $H_{\mathbb{C}}^1$  as the set  $\{(z_1, z_2) \in B^2 : z_1 = 0\}$ .

Assume now that  $H_g$  is a discrete subgroup of  $SL(2, \mathbb{R}) \cong SU(1, 1)$  and suppose that  $H_g$  is generated by  $\gamma_1, \dots, \gamma_{2g}$ , with

$$\gamma_i = \begin{pmatrix} a_i & b_i \\ c_i & d_i \end{pmatrix}$$

Let  $H_g$  act on  $H_{\mathbb{C}}^2$  by

$$\gamma_i(z_1, z_2) = \left( \frac{z_1}{c_i z_2 + d_i}, \frac{a_i z_2 + b_i}{c_i z_2 + d_i} \right)$$

This action corresponds to the standard imbedding of  $SU(1, 1)$  into  $PU(2, 1)$  given by composing the embedding  $U(1,1) \rightarrow U(2, 1)$

$$A \rightarrow \begin{bmatrix} 1 & 0 \\ 0 & A \end{bmatrix}$$

with projectivization  $U(2, 1) \rightarrow PU(2, 1)$ . We denote  $H_{\mathbb{C}}$  the image of  $H_g$  corresponding to this embedding.

Let  $D_{\mathbb{C}} = S^3 \setminus K_{\mathbb{C}}$ , where  $K_{\mathbb{C}} = \partial H_{\mathbb{C}}^1$ . Then  $H_{\mathbb{C}}$  acts discontinuously on  $D_{\mathbb{C}}$  and the limit set of  $H_{\mathbb{C}}$  equals  $K_{\mathbb{C}}$ . It is well known [BS, G3], that

the manifold  $M(H_C) = D_C/H_C$  is homeomorphic to the circle bundle over  $S_g$  whose Euler number is  $1-g$ .

*Thus we have that any  $S^1$ -bundle over  $S_g$  with Euler number  $e = 1-g$  admits a uniformizable spherical CR-structure.*

**4.1.2** Let  $H_{\mathbb{R}}^2$  be a totally geodesic real submanifold in  $H_{\mathbb{C}}^2$ . As the identity component  $SO(2,1)^0 \cong PSL(2, R)$ , there exists a discrete embedding  $H_g$  into  $PU(2, 1)$  given by

$$H_g \rightarrow SO(2,1) \subset U(2,1) \rightarrow PU(2,1)$$

We denote  $H_{\mathbb{R}}$  the image of  $H_g$  corresponding to this embedding.

Let  $D_{\mathbb{R}} = S^3 \setminus K_{\mathbb{R}}$ , where  $K_{\mathbb{R}} = \partial H_{\mathbb{R}}^2$ . Then  $H_{\mathbb{R}}$  acts discontinuously on  $D_{\mathbb{R}}$  and the limit set of  $H_{\mathbb{R}}$  equals  $K_{\mathbb{R}}$ . The manifold  $M(H_{\mathbb{R}}) = D_{\mathbb{R}}/H_{\mathbb{R}}$  is homeomorphic to a circle bundle over  $S_g$  whose Euler number is  $2g-2$  [BS], [KR].

*Thus we have that any circle bundle over  $S_g$  with Euler number  $e = 2g - 2$  admits a uniformizable spherical CR-structure.*

**4.1.3** The spherical CR-structures on  $S^1$ -bundles constructed above will be called *standard*.

**4.1.4** Note that in both cases above the spherical CR-structures on  $S^1$ -bundles over  $S_g$  are special and their holonomy groups coincide with discrete embeddings  $H_g$  constructed in 4.1.1 and 4.1.2.

## **4.2 Non-standard spherical CR-structures on $S^1$ -bundles over surfaces.**

In this section we will construct special spherical CR-structures on  $S^1$ -bundles over closed orientable surfaces of genus  $g \geq 2$  with arbitrary Euler numbers  $e \neq 0$ .

**4.2.1** Before describing the constructions, we establish the following notations.  $E(g, e)$  will denote a circle bundle over a closed orientable surface of genus  $g \geq 2$  with Euler number  $e$ ,  $\Gamma$  will denote the group of deck transformations of the universal covering space of  $E(g, e)$ . Recall that  $\Gamma$  has a presentation :

$$\Gamma = \langle a_1, b_1, \dots, a_g, b_g, h : \prod_{i=1}^{i=g} [a_i, b_i] = h^e, h \text{ central} \rangle$$

**4.2.2** Consider the standard spherical CR-structure on  $E(g, e)$  constructed in 4.1.1. Let  $d^* : \Gamma \rightarrow \Gamma^* \subset PU(2, 1)$  be the corresponding holonomy homomorphism,  $\Gamma^*$  be the holonomy group. Then  $d^*$  has a cyclic kernel, generated by  $h$ , and

$$\Gamma^* = d(\Gamma^*) \cong \Gamma / \langle h \rangle = \langle a_1, b_1, \dots, a_g, b_g : \prod_{i=1}^{i=g} [a_i, b_i] = 1 \rangle = H_{\mathbb{C}}.$$

Diagram (2) in this case becomes

$$\begin{array}{ccccccccc} 0 & \rightarrow & H & \rightarrow & \Gamma & \xrightarrow{\tilde{p}} & \tilde{p}(\Gamma) & \rightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \rightarrow & 1 & \rightarrow & \Gamma^* & \xrightarrow{p} & \Gamma^* & \rightarrow & 1 \end{array}$$

Let  $U_k$  be a cyclic subgroup of  $U(1)$  of order  $k \geq 1$ . Consider the group  $\Gamma_k^* = \langle \Gamma^*, U_k \rangle$  generated by  $\Gamma^*$  and  $U_k$ . It is clear that  $\Gamma_k^*$  is a discrete subgroup of  $PU(2, 1)$ ,  $\Gamma_k^*$  acts discontinuously on  $D_{\mathbb{C}}$ , the limit set  $L(\Gamma_k^*) = K_{\mathbb{C}}$ ,  $\Gamma_k^*$  is the direct product of  $U_k$  and  $\Gamma^*$ ,  $\Gamma^*$  is a subgroup of  $\Gamma_k^*$  of index  $k$ ,  $\Gamma_k^*$  acts without fixed points on  $D_{\mathbb{C}}$ .

Next note that  $\Gamma_k^*$  is the holonomy group of the spherical CR-manifold  $M_k = D_{\mathbb{C}}/\Gamma_k^*$  which is uniformizable by  $\Gamma_k^*$  (see section 4.3).

Diagram (2) in this case becomes

$$\begin{array}{ccccccccc} 0 & \rightarrow & H & \rightarrow & \Gamma_k & \xrightarrow{\tilde{p}} & \tilde{p}(\Gamma_k) & \rightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \rightarrow & U_k & \rightarrow & \Gamma_k^* & \xrightarrow{p} & \Gamma^* & \rightarrow & 1 \end{array}$$

Let  $M_1 = D_C/\Gamma^*$ . As was shown above  $M_1 \cong E(g, 1 - g)$ .

Consider the covering  $M_1 \rightarrow M_k$  induced by the inclusion  $\Gamma^* \subset \Gamma_k^*$ . Then it is easy to see that the manifold  $M_1$  is a  $k$ -fold cyclic covering of  $M_k$  obtained by dividing  $M_1$  by the action of the cyclic subgroup of order  $k$  in  $S^1$ . Therefore,  $M_k$  is a  $S^1$ -bundle over  $S_g$ . It follows from lemma 3.5 in [S] that the Euler number of  $M_k$  equals  $k(1-g)$ . Thus  $M_k \cong E(g, k(1-g))$ . Since  $1-g \neq 0$  for any  $k \geq 1$ ,  $E(g, k)$  is a  $(g-1)$ -fold covering of  $M_k$ .

Lifting the spherical CR-structure on  $M_k$  to  $E(g, k)$  and noting that  $E(g, k)$  is homeomorphic to  $E(g, -k)$ , we obtain the following theorem.

**Theorem 4.1** *Let  $E(g, e)$  be a circle bundle over a closed orientable surface of genus  $g \geq 2$  with non zero Euler number  $e$ . Then  $E(g, e)$  admits a spherical CR-structure.*

**Remark.** In general, the spherical CR-structure on  $E(g, e)$  constructed above is not uniformizable. We will discuss this in the next section.

### 4.3 Kleinian and non-Kleinian structures.

The most natural class of  $(X, G)$ -structures arises as follows. Let  $D$  be an open connected subset of  $X$  and  $\Gamma$  be a subgroup of  $G$  which leaves  $D$  invariant and where it acts freely and discontinuously. Then the manifold  $M = D/\Gamma$  clearly admits a natural  $(X, G)$ -structure. We will call such a structure on  $M$  *Kleinian or uniformizable*. More generally, an  $(X, G)$ -structure on a manifold  $M$  will be called uniformizable or Kleinian if it is  $(X, G)$ -equivalent to a Kleinian structure defined as above. Two  $(X, G)$ -structures on  $M$  are called *commensurable* if they have  $(X, G)$ -equivalent finite coverings. We will call an  $(X, G)$ -structure on  $M$  *virtually uniformizable or virtually Kleinian* if it is commensurable to a Kleinian structure. Finally, we will call an  $(X, G)$ -structure on  $M$  *almost Kleinian* if  $d: \tilde{M} \rightarrow d(\tilde{M})$  is a covering map.

A problem of basic geometric interest is to find criteria for an  $(X, G)$ -structure to be Kleinian, virtually Kleinian or almost Kleinian. For the case of conformally flat structures this problem was considered in [G1], [K], [GKam1], [GKam2], [KP], [GK]. Theorems 3.1 and 3.2 are the first step in this direction for spherical CR-structures.

**4.3.1** The standard spherical CR-structures on  $S^1$ -bundles over closed orientable surfaces  $S_g$  of genus  $g \geq 2$  constructed in 4.1 provide examples of Kleinian structures.

**4.3.2** Now we present examples of virtually Kleinian but non-Kleinian spherical CR-structures on  $S^1$ -bundles over  $S_g$ .

**Example 1.** Let  $M^1 = E(g, 1 - g)$  be the  $S^1$ -bundle over  $S_g$  equipped with the standard spherical CR-structure constructed in 4.1.1. Then the holonomy group of this structure is  $\Gamma^* = d^*(\Gamma) \cong \pi_1(S_g)$ .

Take  $g-1 = kn$ , where  $k$  and  $n$  are positive integers greater than 1. Consider the  $k$ -fold covering  $p_k : M^k \rightarrow M^1$  with the defining subgroup  $\Gamma^k \subset \Gamma$ ,

$$\Gamma^k = \langle a_1, b_1, \dots, a_g, b_g, h^k \rangle$$

Then  $M^k \cong E(g, -n)$ .

Define the spherical CR-structure on  $M^k$  by lifting the spherical CR-structure on  $M^1$ . Let  $d_k : \tilde{M} \rightarrow D_C$  be the corresponding development map. It follows from the construction that  $\text{Ker} d_k^* = \text{Ker} d^* \cap \Gamma^k = \langle h^k \rangle$ . Hence the holonomy group  $\Gamma_k^* = d_k^*(\Gamma^*)$  of this spherical CR-structure on  $M^1$  coincides with  $\Gamma^*$ . We see that the spherical CR-manifold  $M^1$  is uniformized by its holonomy group  $\Gamma^*$ , while  $M^k$  is not. Thus  $M^k$  provides an example of virtually Kleinian structure which is not Kleinian.

**Remark.** It is easy to see that the same arguments work for the spherical CR-manifolds constructed in 4.1.2.

**Example 2.** Here we consider in more detail the spherical CR-manifolds constructed in the proof of theorem 4.2.

Let  $\Gamma_k^* = \langle \Gamma^*, U_k \rangle$ , where  $\Gamma^*$  is the group constructed in 4.1.1 and  $U_k \subset U(1)$  is a finite cyclic group of order  $k \geq 1$ . Then as was shown in section 4.2.2 the quotient  $M_k = D_C / \Gamma_k^*$  is a spherical CR-manifold homeomorphic to  $E(g, k(1-g))$  and  $\Gamma_k^*$  is its holonomy group, that is,  $M_k$  is uniformizable.

Suppose that  $k$  and  $g-1$  are both primitive integers. Then there are only three non-trivial finite covers of  $M_k$  which are  $S^1$ -bundles over  $S_g$ :

i)  $p_k : E(g, 1 - g) \rightarrow M_k,$

- ii)  $p_{g-1} : E(g, -k) \rightarrow M_k$ ,
- iii)  $p_{k(g-1)} : E(g, -1) \rightarrow M_k$ .

Define the spherical CR-structure on these manifolds by lifting the spherical CR-structure on  $M_k$ . Then in cases i) and ii) the structures are Kleinian and their holonomy groups are subgroups of  $\Gamma_k^*$  of index  $k$  and  $g-1$  respectively. In case iii) the structure is not Kleinian and its holonomy group coincides with  $\Gamma_k^*$ .

**Example 3.** Let  $M \cong E(g, 2g - 2)$  be the  $S^1$ -bundle over  $S_g$  equipped with the standard spherical CR-structure constructed in 4.1.2. We know that

$$\Gamma = \pi_1(M) = \langle a_1, b_1, \dots, a_g, b_g, h : \prod_{i=1}^g [a_i, b_i] = h^{2g-2}, h \text{ central} \rangle .$$

Consider the 2-fold covering  $p_2 : M_2 \rightarrow M$  corresponding to the subgroup  $\Gamma_2 \subset \Gamma$ ,

$$\Gamma_2 = \langle a_1, b_1, \dots, a_g, b_g, h^2 \rangle .$$

Then  $M_2 \cong E(g, g - 1)$ . Define a spherical CR-structure on  $M_2$  using  $p_2$ .

We have that  $E(g, g - 1)$  is homeomorphic to  $E(g, 1 - g)$ , so  $M_2$  can be equipped with a standard spherical CR-structure as in 4.1.1.

Thus, we see that the manifold  $M_2$  admits two spherical CR-structures: one of them is Kleinian, while the other is not. Of course, these structures are not CR-equivalent.

**4.3.3** In this section we present an example of a spherical CR-manifold  $N$  with infinite fundamental group which has a surjective development map, that is, a spherical CR-structure on the manifold  $N$  which is not almost Kleinian.

Let  $M^k$  be the spherical CR-manifold constructed in example 1 in 4.3.2, where  $k > 1$ .

Let  $d_k : \tilde{M} \rightarrow D_{\mathbb{C}}$  be the development map and  $p : \tilde{M} \rightarrow M^k$  be the universal cover of  $M$ .

Let  $B \subset M^k$  be a small open ball in  $M^k$ . It is easy to see that  $d_k(p^{-1}(M^k \setminus B)) = d_k(\tilde{M}) = D_{\mathbb{C}}$ .

Take any closed 3-dimensional spherical CR-manifold  $M$  with infinite fundamental group. Let  $B'$  be a small open ball in  $M$ . We may define a spherical CR-structure on the connected sum  $N = M^k \# M$  along the boundaries  $B$  and  $B'$  using the construction in [BS], [F].

Let  $d : \tilde{N} \rightarrow S^3$  be the development map of this spherical CR-structure. Then it follows from the above that  $d(\tilde{N}) \cap L(M) \neq \emptyset$ , where  $L(M)$  is the limit set of the holonomy group of the spherical CR-structure on  $M$ . Since the limit set  $L(N)$  of the holonomy group of  $N$  is obviously infinite it follows from Remark 5.5 in [KP] that  $d(\tilde{N}) = S^3$ .

## 5 Fundamental domains.

In this section we give explicit constructions of fundamental domains for some discrete subgroups of the group of conformal transformations of the one-point compactification  $\bar{H}$  of the Heisenberg group. For a notion of conformality on  $\bar{H}$  the reader is referred to Koranyi and Reimann [KoR].

### 5.1 The stereographic projection and the Heisenberg group.

The mapping

$$C : \begin{cases} z_1 = \frac{iw_1}{1+w_2} \\ z_2 = \frac{1-w_2}{1+w_2} \end{cases}$$

is usually referred to as the *Cayley transform*. The Cayley transform maps the unit ball

$$B = \{ w \in \mathbb{C}^2 : |w_1|^2 + |w_2|^2 < 1 \}$$

biholomorphically onto

$$V = \{ z \in \mathbb{C}^2 : \operatorname{Im} z_2 > |z_1|^2 \}$$

The Cayley transform leads to a generalized form of the *stereographic projection*. This mapping  $\pi : S^3 \setminus \{-e_2\} \rightarrow \mathbb{R}^3$ , where  $S^3 = \partial B$  and  $e_2 =$

$(1, 0) \in \mathbb{C}^2$ , is defined as the composition of the Cayley transform restricted to  $S^3 \setminus \{-e_2\}$  followed by the projection

$$\begin{cases} z_1 \rightarrow z_1 \\ z_2 \rightarrow Rez_2 \end{cases}$$

The stereographic projection  $\pi$  can be extended to a mapping from  $S^3$  onto the one-point compactification  $\overline{\mathbb{R}^3}$  of  $\mathbb{R}^3$ .

The *Heisenberg group*  $H$  is the set of pairs  $[t, z] \in \mathbb{R} \times \mathbb{C}$  with the product

$$[t, z] \cdot [t', z'] = [t + t' + 2Im(z\bar{z}'), z + z']$$

Using the stereographic projection we can identify  $S^3 \setminus \{-e_2\}$  with  $H$  and  $S^3$  with the one-point compactification  $\overline{H}$  of  $H$ .

**5.2** The Heisenberg group acts on itself by left translations. Heisenberg translations by  $[0, v]$  for  $v \in \mathbb{R}$  are called *vertical translations*.

Positive scalars  $\lambda \in \mathbb{R}_+$  act on  $H$  by *Heisenberg dilations*:

$$d_\lambda : [t, z] \rightarrow [\lambda^2 t, \lambda z]$$

If  $m \in U(1)$ , then  $m$  acts on  $H$  by

$$m : [t, z] \rightarrow [t, mz]$$

$m$  is called a *Heisenberg rotation*.

The *Heisenberg inversion* of  $H$  is defined on  $H \setminus \{\text{origin}\}$  by

$$h : [t, z] \rightarrow \left[ -\frac{t}{t^2 + |z|^4}, \frac{z}{it - |z|^2} \right]$$

Note that  $h = \pi \circ j \circ \pi^{-1}$  where  $j$  is the involution

$$j : \begin{cases} w'_1 = -w_1 \\ w'_2 = -w_2 \end{cases} \quad (w_1, w_2) \in \mathbb{C}^2.$$

The map  $\hat{m}$  defined by

$$\hat{m} : [t, z] \rightarrow [-t, \bar{z}]$$

All these actions extend trivially to  $\bar{H}$ . It is well known that the group  $G$  of transformations of  $\bar{H}$  generated by all Heisenberg translations, dilations, rotations and  $h$  coincides with  $\pi^{-1} \circ PU(2, 1) \circ \pi$  and the group  $\hat{G} = \langle G, \hat{m} \rangle$  is the group of all conformal transformations of  $\bar{H}$  [KoR].

**5.3** The Heisenberg norm assigns to  $g = [t, z]$  in  $H$  the nonnegative real number

$$|g| = (|z|^4 + t^2)^{1/4}$$

The function  $d(g, g') = |g^{-1}g'|$  defines a distance on  $H$ . Heisenberg translations and rotations are isometries with respect to this distance. Furthermore,  $|d_\lambda g| = \lambda|g|$  and  $|\hat{m}g| = |g|$ .

**5.4** We will call the Heisenberg sphere (H-sphere) with center  $a$  and radius  $\rho$  the set

$$S(a, \rho) = \{g \in H : d(a, g) = \rho\}$$

**5.5** Let  $S$  be the H-sphere with center at the origin and radius 1. It is easy to see that  $h(S) = S$ ,  $h(\text{ext } S) = \text{int } S$ , where  $\text{int } S = \{g \in H : d(0, g) < 1\}$ ,  $\text{ext } S = \{g \in H : d(0, g) > 1\}$ . Thus, we see that  $h$  has some features of the usual euclidean inversions in spheres, and it is natural to call  $h$  the inversion in the H-sphere  $S$ .

**Example 1.** Let  $\Gamma = \langle h \rangle$  be the group generated by  $h$ . Then it follows from above that  $F = \text{int } S$  is a fundamental domain for  $\Gamma$ .

**5.6** It is useful to consider the following transformation

$$I = \hat{m} \circ h : [t, z] \rightarrow \left[ \frac{t}{t^2 + |z|^4}, \frac{-\bar{z}}{it + |z|^2} \right].$$

Observe that  $I$  leaves invariant  $S$  as well as the circles  $|z|^2 = \sqrt{1-t}$ ,  $|t| < 1$ , and  $I(\text{int}S) = \text{ext}S$ .

Define  $I_g = g \circ I \circ g^{-1}$ , where  $g$  is either a Heisenberg translation or a Heisenberg dilation. It is easy to see that the H-sphere  $S_g = g(S)$  is invariant under  $I_g$  and  $I_g(\text{int}S_g) = \text{ext}S_g$ . We will also call  $I_g$  the inversion in  $S_g$ .

**5.7 Example 2.** Let  $S_1$  and  $S_2$  be the H-spheres of radius 1 centered at the points  $o_1 = [-\frac{\sqrt{2}}{2}, 0]$  and  $o_2 = [\frac{\sqrt{2}}{2}, 0]$  respectively. Consider the inversions  $\gamma_1 = I_{g_1}$  and  $\gamma_2 = I_{g_2}$  in  $S_1$  and  $S_2$ , where  $g_1 = [\frac{\sqrt{2}}{2}, 0]$  and  $g_2 = [-\frac{\sqrt{2}}{2}, 0]$  are the vertical translations. A simple calculation shows that  $\gamma_i$  leaves invariant  $S_j$ ,  $i \neq j$ , furthermore,  $\gamma_i$  leaves invariant the circle  $c = S_1 \cap S_2$ .

Let  $\Gamma = \langle \gamma_1, \gamma_2 \rangle$ . A direct verification gives that  $F = \text{ext}(S_1) \cap \text{ext}(S_2)$  is a fundamental domain for  $\Gamma$ .

We also note the presentation of  $\Gamma$ :

$$\Gamma = \langle \gamma_1, \gamma_2 : \gamma_1^2 = \gamma_2^2 = (\gamma_1 \circ \gamma_2)^2 = 1 \rangle.$$

**5.8 Example 3.** Let  $S(0, \lambda)$  be the H-sphere with radius  $\lambda$  centered at the origin, that is,  $S(0, \lambda) = d_\lambda(S)$ , where  $d_\lambda$  is a Heisenberg dilation; and let  $S(h, 1)$  be the H-sphere with radius 1 centered at the point  $[h, 0]$ , that is,  $S(h, 1) = t_h(S)$ , where  $t_h = [h, 0]$  is a vertical translation.

Consider now the corresponding inversions

$$I_\lambda = d_\lambda \circ I \circ d_\lambda^{-1} \quad \text{and} \quad I_h = t_h \circ I \circ t_h^{-1}$$

in  $S(0, \lambda)$  and  $S(h, 1)$  respectively.

Calculations show that  $S(0, \lambda)$  is invariant under  $I_h$  if and only if  $\lambda^4 = h^2 - 1$ . On the other hand, it is also seen that under this condition on  $\lambda$  and  $h$ ,  $S(h, 1)$  is invariant under  $I_\lambda$ . Furthermore, the circle  $S(0, \lambda) \cap S(h, 1)$  is invariant under both  $I_h$  and  $I_\lambda$ .

Also it is easy to see that  $S(j\sqrt{2}, 1)$  is invariant under both  $I_{(j-1)\sqrt{2}}$  and  $I_{(j+1)\sqrt{2}}$ ,  $j \in \mathbb{Z}$ . The circle  $S((j-1)\sqrt{2}, 1) \cap S(j\sqrt{2}, 1)$  is invariant under both  $I_{(j-1)\sqrt{2}}$  and  $I_{j\sqrt{2}}$ .

For each integer  $n \geq 2$ , consider the following family  $L$  of spheres:

$$S_0 = S(0, 1), S_j = S(h_j, 1),$$

$$S'_0 = S(0, \lambda), S'_j = S(-h_j, 1),$$

where  $1 \leq j \leq n$ ,  $h_j = \sqrt{2}j$  and  $\lambda = (2n^2 - 1)^{1/4}$ .

Next define the transformations  $\gamma_0, \gamma'_0, \gamma_1, \gamma'_1, \dots, \gamma_n, \gamma'_n$  as follows

$$\gamma_0 = I, \gamma'_0 = I_\lambda, \gamma_j = I_{h_j}, \gamma'_j = I_{-h_j}$$

for  $1 \leq j \leq n$ .

Let  $\Gamma(n) = \langle \gamma_0, \gamma'_0, \gamma_1, \gamma'_1, \dots, \gamma_n, \gamma'_n \rangle$  be the group generated by the transformations defined above.

It is clear that  $\Gamma$  leaves invariant  $D = H \setminus \{t\text{-axis}\}$ .

Now let  $P$  be the "spherical polyhedron" bounded by the  $H$ -spheres  $S_j, S'_j$  for  $0 \leq j \leq n$ , that is,  $P = \bar{F}$ , where

$$F = \text{ext}S_0 \cap \text{int}S'_0 \cap (\cap_{j=1}^n \text{ext}S_j) \cap (\cap_{j=1}^n \text{ext}S'_j).$$

We call an edge of  $P$  the circle  $c$  which is the intersection of two spheres in  $L$ . A part of the boundary of  $P$  lying on  $S_j \in L$  between two edges will be called the side of  $P$ .

It follows from the construction that we have the following:

i)  $P$  is compact,

ii) For each side  $A$  of  $P$  there exists a transformation  $\gamma_A \in \{\gamma_j, \gamma'_j\}$  such that  $P \cap \gamma_A(P) = A$ .

iii) For each side  $A$  of  $P$  there exists a side  $A'$  such that  $\gamma_A \circ \gamma_{A'} = 1$  (of course,  $A = A'$  and  $\gamma_A = \gamma_{A'}$ ),

iv) For each edge  $c$  of  $P$  there exists a sequence  $A_1, \dots, A_k$  of sides of  $P$  such that  $\gamma_{A_1} \circ \dots \circ \gamma_{A_k} = 1$  and

$$P \cap \gamma_{A_1}(P) \cap \gamma_{A_1} \circ \gamma_{A_2}(P) \cap \dots \cap \gamma_{A_1} \circ \dots \circ \gamma_{A_{k-1}}(P) = c,$$

v) The polyhedra  $P, \gamma_{A_1}(P), \dots, \gamma_{A_1} \circ \dots \circ \gamma_{A_{k-1}}(P)$  do not have pairwise common interior points.

We know that the t-axis completed by  $\infty$  is the image of a C-circle in  $S^3$ , it corresponds under the stereographic projection  $\pi$  to the set  $\{(w_1, w_2) \in S^3, w_2 = 0\}$ . Therefore, one can introduce a complete Riemannian metric on  $D = H \setminus \{t\text{-axis}\}$  invariant under the group  $\Gamma$  (see, for instance, [KT]).

Applying similar arguments to those in the proof of the Poincaré's Polyhedron theorem [Ma], we conclude that the construction above yields a fundamental domain  $F$  for  $\Gamma$ . Furthermore, the limit set  $L(\Gamma)$  of  $\Gamma$  equals the t-axis completed by  $\infty$ .

Since  $\Gamma$  is finitely generated, there exists a torsion-free subgroup  $\Gamma_0$  of finite index in  $\Gamma$ . Then  $M(\Gamma_0) = D/\Gamma_0$  is a circle bundle over a closed hyperbolic surface with non-zero Euler number ( see section 4 ).

## 5.9 Klein's combination theorem.

**Theorem 5.1** *Let  $\Gamma_1$  and  $\Gamma_2$  be discrete subgroups of  $PU(2,1)$  with fundamental domains  $F_1$  and  $F_2$ . Suppose that  $F_1 \cup F_2 = S^3$  and  $F = F_1 \cap F_2$  is connected and non-empty. Then  $\Gamma = \langle \Gamma_1, \Gamma_2 \rangle$  is discrete with fundamental domain  $F$ .*

**5.10 Example 4.** Consider the following conformal transformation of  $B$ :

$$(z_1, z_2) \rightarrow (z_2, z_1)$$

The action of this transformation on  $H$  under stereographic projection  $\pi$  corresponds to

$$\hat{h} : [t, z] \rightarrow \left[ \operatorname{Re} i \frac{1 + |z|^2 - it + 2iz}{1 + |z|^2 - it - 2iz}, i \frac{1 - |z|^2 + it}{1 + |z|^2 - it - 2iz} \right]$$

Let  $\Gamma_1 = \Gamma(n_0)$  be the group constructed in example 3 for any fixed  $n_0 > 1$  and  $F_1$  be its fundamental domain. Let  $\Gamma_2 = \hat{h} \circ \Gamma_1 \circ \hat{h}^{-1}$  and  $F_2 = \hat{h}(F_1)$ . We see that the limit set  $L(\Gamma_2)$  of the group  $\Gamma_2$  is the unit circle centered at the origin

$$L(\Gamma_2) = \{ g = [t, z] : \|g\| = 1, t = 0 \}$$

The boundary of  $F_2$  is the boundary of the solid torus having  $L(\Gamma_2)$  as its core. It is clear that there exists a Heisenberg dilation  $d_s$  such that  $d_s(\partial F_2)$  lies in the complement of all the balls bounded by  $S_j$ ,  $j = 0, \dots, n$ , and  $S'_k$ ,  $k = 1, \dots, n$ . Having defined such  $s$ , choose  $n_1$  such that  $d_s(\partial F_2) \subset \text{int}S(0, \lambda_1)$ , where  $\lambda_1 = (2n_1^2 - 1)^{1/4}$ . Let  $\Gamma'_1 = \Gamma(n_1)$  be the group constructed in example 3 corresponding to  $n = n_1$  and  $F'_1$  be its fundamental domain. Let  $\Gamma'_2 = d_s \Gamma_2 d_s^{-1}$ . Then  $F'_2 = d_s(F_2)$  is a fundamental domain for  $\Gamma'_2$ . One sees that the complement  $(F'_i) \subset F'_j$ ,  $i, j = 1, 2$ ,  $i \neq j$ . It follows that the conditions of Klein's combination theorem are satisfied and therefore  $\Gamma = \langle \Gamma'_1, \Gamma'_2 \rangle$  is discrete with the fundamental domain  $F = F'_1 \cap F'_2$ .

The limit set  $L(\Gamma)$  is quite complicated. In particular, it contains the  $\Gamma$ -orbit of  $\{T \cup d_s \hat{h}(T)\}$ , where  $T$  is the  $t$ -axis completed with  $\infty$ .

If  $\Gamma_0$  is a subgroup of finite index in  $\Gamma$  without torsion, then  $M(\Gamma_0) = R(\Gamma)/\Gamma_0$  is an aspheric manifold. Here,  $R(\Gamma) = \bar{H} \setminus L(\Gamma)$  is the regular set of  $\Gamma$ .

One can show that  $M(\Gamma_0)$  is a torus sum of two  $S^1$ -bundles over a compact hyperbolic surface.

$M(\Gamma_0)$  provides the first example of an aspheric manifold with a spherical CR-structure which is not a Seifert manifold.

## References

- [BS] D. Burns, S. Shnider ; *Spherical Hypersurfaces in Complex Manifolds* . Invent. Math. 33 (1976), 223-246.
- [CG] S. Chen, L. Greenberg ; *Hyperbolic spaces*. Contribution to Analysis, . Academic Press. New York, . (1974), 49-87.
- [F] E. Falbel ; *Non-embeddable CR-manifolds and Surface Singularities*. Inv. Math. (1992), 49-65.
- [G1] W. Goldman ; *Conformally flat manifolds with nilpotent holonomy and the uniformization problem for 3-manifolds* . Trans. Amer. Math. Soc. 278 (1983), 573-583.

- [G2] W. Goldman ; *Projective structures with Fuchsian holonomy*. J. Diff. Geom. 25 (1987), 297-326
- [G3] W. Goldman ; *Complex Hyperbolic Geometry*. (in preparation)
- [GKam1] W. Goldman, Y. Kamishima ; *Topological rigidity of developing maps with applications to conformally flat structures*. Contemp. Math. 74, Amer. Math. Soc., Providence, R. I. 1988, 199-203.
- [GKam2] W. Goldman, Y. Kamishima ; *Conformal automorphisms and conformally flat manifolds*. Trans. Amer. Math. Soc. 323 (1991), 797-810.
- [GLT] M. Gromov, H.B. Lawson, W. Thurston ; *Hyperbolic 4-manifolds and conformally flat 3-manifolds*. Publ. IHES 68 27-45.
- [GK] N. Gusevskii, M. Kapovich ; *Conformal structures on three-dimensional manifolds*. Soviet Math. Dokl. 34 (1987), 314-318.
- [K] Y. Kamishima ; *Conformally flat manifolds whose development maps are not surjective*. Trans. Amer. Math. Soc. 294 (1986), 607-621.
- [Ka] M. Kapovich; *Flat conformal structures on 3-manifolds, I : Uniformization of closed Seifert manifolds*. J. Differential Geometry 38 (1993), 191-216.
- [KT] Y. Kamishima, T. Tsuboi ; *CR-structures on Seifert manifolds*. 104 Invent. Math (1991), 149-163.
- [KoR] A. Korányi, H. M. Reimann ; *Quasiconformal mappings on the Heisenberg group*. Invent. Math. 80(1985), 309-338 .
- [Ku] R. Kulkarni; *On the principal of uniformization*. J. Differential Geometry 13 (1978), 109-138.
- [KP] R. Kulkarni, U. Pinkall ; *Uniformization of Geometric Structures with Applications to Conformal Geometry*. Lecture Notes in Math., 1209, Springer, Berlin, (1986) 190-209.

- [KR] R. Kulkarni , F. Raymond ; *Three dimensional Lorentz space forms and Seifert fiber spaces*. J. Differential Geometry 21 (1985) 231-268.
- [Ma] B. Maskit ; *Kleinian Groups*. 287, Springer Verlag (1988).
- [M] R. R. Miner ; *Spherical CR-manifolds with amenable holonomy*. Int. J. Math. 1 (1990), 479-510.
- [S] P. Scott ; *The Geometries of 3-Manifolds*. Bull. London Math. Soc., 15 (1983), 401-487.
- [T] W. Thurston ; *The Geometry and Topology of 3-manifolds*. Princeton lecture notes. 1979-81.

TRABALHOS DO DEPARTAMENTO DE MATEMÁTICA

TÍTULOS PUBLICADOS

- 92-01 COELHO, S.P. The automorphism group of a structural matrix algebra. 33p.
- 92-02 COELHO, S.P. & POLCINO MILIES, C. Group rings whose torsion units form a subgroup. 7p.
- 92-03 ARAGONA, J. Some results for the operator on generalized differential forms. 9p.
- 92-04 JESPER, E. & POLCINO MILIES, F.C. Group rings of some  $p$ -groups. 17p.
- 92-05 JESPER, E., LEAL G. & POLCINO MILIES, C. Units of Integral Group Rings of Some Metacyclic Groups. 11p.
- 92-06 COELHO, S.P., Automorphism Groups of Certain Algebras of Triangular Matrices. 9p.
- 92-07 SCHUCHMAN, V., Abnormal solutions of the Evolution Equations, I. 16p.
- 92-08 SCHUCHMAN, V., Abnormal solutions of the Evolution Equations, II. 13p.
- 92-09 COELHO, S.P., Automorphism Groups of Certain Structural Matrix Rings. 23p.
- 92-10 BAUTISTA, R. & COELHO, F.U. On the existence of modules which are neither preprojective nor preinjectives. 14p.
- 92-11 MERKLEN, H.A., Equivalence modulo preprojectives for algebras which are a quotient of a hereditary. 11p.
- 92-12 BARROS, L.G.X. de, Isomorphisms of Rational Loop Algebras. 18p.
- 92-13 BARROS, L.G.X. de, On semisimple Alternative Loop Algebras. 21p.
- 92-14 MERKLEN, H.A., Equivalências Estáveis e Aplicações 17 p.
- 92-15 LINTZ, R.B., The theory of  $\pi$ -generators and some questions in analysis. 26p.
- 92-16 CARRARA ZANETIC, V.L. Submersions Maps of Constant Rank Submersions with Folds and Immersions. 6p.
- 92-17 BRITO, F.G.B. & EARP, R.S. On the Structure of certain Weingarten Surfaces with Boundary a Circle. 8p.
- 92-18 COSTA, R. & GUZZO JR., H. Indecomposable baric algebras, II. 10p.
- 92-19 GUZZO JR., H. A generalization of Abraham's example 7p.
- 92-20 JURIAANS, O.S. Torsion Units in Integral Group Rings of Metabelian Groups. 6p.

- 92-21 COSTA, R. Shape Identities in genetic algebras. 12p.  
 92-22 COSTA, R. & VEGA R.B. Shape Identities in genetic algebras II. 11p.  
 92-23 FALBEL, E. A Note on Conformal Geometry. 6p.  
 93-01 COELHO, F.U. A note on preinjective partial tilting modules. 7p.  
 93-02 ASSEM, I. & COELHO, F.U. Complete slices and homological properties of tilted algebras. 11p.  
 93-03 ASSEM, I. & COELHO, F.U. Glueings of tilted algebras 20p.  
 93-04 COELHO, F.U. Postprojective partitions and Auslander-Reiten quivers. 26p.  
 93-05 MERKLEN, H.A. Web modules and applications. 14p.  
 93-06 GUZZO JR., H. The Peirce decomposition for some commutative train algebras of rank  $n$ . 12p.  
 93-07 PERESI, L.A. Minimal Polynomial Identities of Baric Algebras. 11p.  
 93-08 FALBEL E., VERDERESI J.A. & VELOSO J.M. The Equivalence Problem in Sub-Riemannian Geometry. 14p.  
 93-09 BARROS, L.G.X. & POLCINO MILIES, C. Modular Loop Algebras of R.A. Loops. 15p.  
 93-10 COELHO, F.U., MARCOS E.N., MERKLEN H.A. & SKOWRONSKI Module Categories with Infinite Radical Square Zero are of Finite Type. 7p.  
 93-11 COELHO S.P. & POLCINO MILIES, C. Automorphisms of Group Algebras of Dihedral Groups. 8p.  
 93-12 JURIAANS. O.S. Torsion units in integral group rings. 11 p.  
 93-13 FERRERO, M., GIAMBRUNO, A. & POLCINO MILIES, C. A Note on Derivations of Group Rings. 9p.  
 93-14 FERNANDES, J.C. & FRANCHI, B. Existence of the Green function for a class of degenerate parabolic equations, 29p.  
 93-15 ENCONTRO DE ALGEBRA - IME-USP/IMECC - UNICAMP. 41p.  
 93-16 FALBEL, E. & VELOSO, J.M. A Parallelism for Conformal Sub-Riemannian Geometry, 20p.  
 93-17 'TEORIA DOS ANEIS' - Encontro IME.USP. - IMECC-UNICAMP - Realizado no IME-USP em 18 de Junho de 1993 - 50p.  
 93-18 ARAGONA, J. Some Properties of Holomorphic Generalized Functions on - Strictly Pseudoconvex Domains. 8p.  
 93-19 CORREA I., HENTZEL I.R. & PERESI L.A. Minimal Identities of Bernstein Algebras. 14p.

- 93-20 JURIAANS S.O. Torsion Units in Integral Group Rings II. 15p.  
93-21 FALBEL E. & GUSEVSKII N. Spherical CR-manifolds of dimension 3. 28p.

NOTA: Os títulos publicados dos Relatórios Técnicos dos anos de 1980 a 1991 estão à disposição no Departamento de Matemática do INE-USP. Cidade Universitária "Armando de Salles Oliveira" Rua do Matão, 1010 - Butantã Caixa Postal - 20.570 (Ag. Iguatemi)  
CEP: 01498 - São Paulo - Brasil