

# Twisted conjugacy in PL-homeomorphism groups of the circle

Daciberg Lima Gonçalves<sup>1</sup> · Parameswaran Sankaran<sup>2</sup>

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## Abstract

Given an automorphism  $\phi : \Gamma \rightarrow \Gamma$  of a group, one has a left action of  $\Gamma$  on itself defined as  $g.x = gx\phi(g^{-1})$ . The orbits of this action are called the Reidemeister classes or  $\phi$ -twisted conjugacy classes. We denote by  $R(\phi) \in \mathbb{N} \cup \{\infty\}$  the Reidemeister number of  $\phi$ , namely, the cardinality of the orbit space  $\mathcal{R}(\phi)$  if it is finite and  $R(\phi) = \infty$  if  $\mathcal{R}(\phi)$  is infinite. The group  $\Gamma$  is said to have the  $R_\infty$ -property if  $R(\phi) = \infty$  for all automorphisms  $\phi \in \text{Aut}(\Gamma)$ . We show that the generalized Thompson group  $T(r, A, P)$  has the  $R_\infty$ -property when the slope group  $P \subset \mathbb{R}_{>0}^\times$  is not cyclic.

**Keywords** R. Thompson's groups · PL-homeomorphisms of the circle · Twisted conjugacy · Reidemeister number ·  $R_\infty$ -property

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## 1 Introduction

Let  $P \subset \mathbb{R}_{>0}^\times$  be a nontrivial subgroup of the multiplicative group of positive reals and let  $A \subset \mathbb{R}$  be a nontrivial subgroup of the additive group of reals which is also a  $P$ -module, i.e.,  $t.A = A \ \forall t \in P$ . Note that  $A \subset \mathbb{R}$  is dense since  $P$  is nontrivial. Let  $r \in A$  be positive. We shall denote by  $S_r$  the circle  $\mathbb{R}/r\mathbb{Z}$ . Let  $T(r, A, P)$  denote the group of all PL-homeomorphisms of  $S_r$  which have slopes in  $P$  and break points (i.e., points of non-differentiability) in  $A/r\mathbb{Z}$ . Also let  $G(r, A, P)$  denote the group of all PL-homeomorphisms of the interval  $[0, r]$  with slopes in  $P$  and break points in  $A$ . We regard  $G(r, A, P)$  as the subgroup of  $T(r, A, P)$  consisting of elements which fix the trivial coset  $r\mathbb{Z} =: \bar{0} \in S_r$ . The family of groups  $G(r, A, P)$ ,  $T(r, A, P)$  were introduced by Bieri and Strebel [1], as a generalization of the

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✉ Parameswaran Sankaran  
sankaran@imsc.res.in

Daciberg Lima Gonçalves  
dlgoncal@ime.usp.br

<sup>1</sup> Department of Mathematics - IME, Universidade de São Paulo, Rua do Matão 1010 05508-090, São Paulo, SP, Brazil

<sup>2</sup> The Institute of Mathematical Sciences, (HBNI), CIT Campus, Taramani, Chennai 600113, India

Richard Thompson groups  $\mathbf{G} = G(1, \mathbb{Z}[1/2], (2))$  and  $\mathbf{T} = T(1, \mathbb{Z}[1/2], 2)$ . The group  $G(r, A, P)$  was denoted  $G(I, A, P)$ , where  $I = [0, r]$ , by Bieri and Strebel, who also considered similarly defined groups  $G(I, A, P)$  where  $I \subset \mathbb{R}$  is any interval, not necessarily compact.

When  $P = \langle n_1, \dots, n_k \rangle$  where  $n_1, \dots, n_k$  are multiplicatively independent integers (i.e., the subgroup generated by  $n_1, \dots, n_k$  is free abelian of rank  $k$ ) and  $A = \mathbb{Z}[1/n]$  where  $n = \text{lcm}\{n_j \mid 1 \leq j \leq k\}$ , the group  $T(r, A, P)$  is denoted by  $T(r; n_1, \dots, n_k)$ . Stein [14] showed that  $T(r; n_1, \dots, n_k)$  are finitely presented groups, extending the same result for the groups  $T(r; n)$  by Brown [4].

The  $R_\infty$ -property for the Thompson's group  $\mathbf{G}$  was established by Bleak et al. [2]. See also [6]. The same property for the groups  $G(I, A, P)$ , among others, was established in [9]. The case of the Thompson group  $\mathbf{T}$  was settled by Burillo et al. [5] and also independently by Gonçalves and Sankaran [7]; see also [8, Sect. 4]. The following is the main result of this paper. The analogous result for  $G(r, A, P)$  (among others) was established in [9].

**Theorem 1.1** *Suppose that  $P$  is not cyclic,  $A$  is a non-zero  $P$ -module,  $r \in A$  positive. Then  $T(r, A, P)$  has the  $R_\infty$ -property.*

We now outline the major steps in the proof. It is easy to see that  $T(r, A, P)$  has infinitely many (untwisted) conjugacy classes and so the Reidemeister number of every inner automorphism is infinite. Hence it suffices to show that the Reidemeister number is infinite for a complete set of representatives of the outer automorphism group of  $T(r, A, P)$ . A basic result is that the automorphism group of  $T(r, A, P)$  equals the normalizer of  $T(r, A, P)$  in the group of all homeomorphisms of the circle  $S_r$ . Using this result, we show that the representative automorphisms  $\{\alpha\}$  can always be chosen so that they restrict to automorphisms of  $G(r, A, P)$ . Denote by  $\alpha_0$  the restriction of the automorphism  $\alpha$  to  $G(r, A, P)$ . The rest of the proof depends on two cases, depending on whether the homeomorphism of  $S_r$  that induces a given automorphism  $\alpha$  is orientation preserving or reversing. In each case we show that, by appropriate construction of elements  $\{f_n\}_{n \geq 1}$  of  $G(r, A, P)$  belonging to distinct  $\alpha_0$ -twisted conjugacy classes, the  $f_n$  belong to pairwise distinct Reidemeister classes of  $\alpha$ . An important ingredient of the proof is the description of the automorphism group of  $T(r, A, P)$ , when  $P$  is not cyclic, as the normalizer of  $T(r, A, P)$  in the group of all *piecewise linear* homeomorphisms of  $S_r$ . When  $P$  is cyclic, in general, there are *exotic automorphisms*—those which cannot be represented by PL homeomorphisms and our proof fails for such automorphisms.

## 2 The automorphism group of $T(r, A, P)$

McClarey and Rubin [12, Theorem 3] obtained a very general result concerning the automorphism group of a group  $G$  of orientation preserving homeomorphisms of a dense subset  $X$  of  $\mathbb{S}^1$ . Their result, applied to the case  $X = \mathbb{S}^1$ , states that if  $G$  (i) contains a nontrivial element whose support is not dense, and (ii) satisfies a certain interval-transitivity property—the  $\mathcal{O}$ —3-transitivity on a dense subset—then the automorphism of the group  $G$  is equal to the normalizer of  $G$  in the group  $\text{Homeo}(\mathbb{S}^1)$  of all homeomorphisms of  $\mathbb{S}^1$ . Bieri and Strebel [1, Sect. 16–17] had shown earlier an analogous result when  $G$  is a subgroup of  $G(r, A, P)$  satisfying certain axioms. (See also McClarey [11].) Applying these two results, Bieri and Strebel obtained the following.

**Theorem 2.1** (Bieri-Strebel [1, Theorem N3.6]) *Suppose that  $A \subset \mathbb{R}$  and  $P \subset \mathbb{R}_{>0}^\times$  are non-trivial groups. Assume that  $r \in A$  is positive. Let  $\alpha$  be any automorphism of  $T(r, A, P)$ .*

Then there exists a unique homeomorphism  $\phi : S_r \rightarrow S_r$  such that  $\alpha(f) = \phi \circ f \circ \phi^{-1}$ ,  $\forall f \in T(r, A, P)$ . Also  $\phi(A/r\mathbb{Z}) = A/r\mathbb{Z}$ .

**Definition 2.2** An automorphism  $\alpha$  of  $T(r, A, P)$  is said to be *orientation preserving* (resp. *orientation reversing*) if  $\phi$  is orientation preserving (resp. orientation reversing).

When  $P$  is not a cyclic group, it is dense in  $\mathbb{R}_{>0}$ . We have the following theorem which guarantees that the homeomorphism  $\phi$  in Theorem 2.1 is piecewise linear. Denote by  $\text{Aut}_0(A) \subset \mathbb{R}_{>0}^\times$  the subgroup  $\{s > 0 \mid sA = A\}$ . Since  $P \subset \text{Aut}_0(A)$ , we have  $T(r, A, P) \subset T(r, A, \text{Aut}_0(A))$ . In fact,  $T(r, A, \text{Aut}_0(A))$  normalises  $T(r, A, P)$  (by the chain rule); that is,  $g x g^{-1} \in T(r, A, P)$ ,  $\forall g \in T(r, A, \text{Aut}_0(A))$ ,  $\forall x \in T(r, A, P)$ . It is easily seen that the only element of  $T(r, A, \text{Aut}_0(A))$  that commutes with every element of  $T(r, A, P)$  is the identity element. Thus, the homomorphism  $T(r, A, \text{Aut}_0(A)) \rightarrow \text{Aut}(T(r, A, P))$  defined as  $g \mapsto \iota_g$ , the (restriction of) conjugation by  $g$ , is a monomorphism. Denote by  $\rho_r : [0, r] \rightarrow [0, r]$  the reflection  $t \mapsto r - t$  and by the same symbol the induced reflection of the circle  $S_r$ .

We have the following result, which had been obtained in the special case of  $T(r; n_1, \dots, n_k)$ ,  $k \geq 3$ , by Lioussse [10].

**Theorem 2.3** (Bieri-Strebel [1, Theorem N3.10]) *Suppose that  $P$  is not cyclic. Then the group  $\text{Aut}(T(r, A, P))$  is isomorphic, via conjugation, to the subgroup of all homeomorphisms of  $S_r$  generated by  $T(r, A, \text{Aut}_0(A))$  and the reflection  $\rho_r$ .*

In particular, under the hypotheses of the theorem, there are no *exotic* automorphisms of  $T(r, A, P)$ , that is, every automorphism is realised as conjugation by a PL-homeomorphism of  $S_r$ . This is not the case in general when  $P$  is cyclic.

The group  $T(n-1, \mathbb{Z}[1/n], \langle n \rangle) = T(n-1; n)$  is known to contain exotic automorphisms by the work of Brin and Guzmán [3] when  $n > 2$  is an integer. The case  $n = 2$  is the classical Richard Thompson group  $T$  and it is known that every automorphism of  $T$  is represented by a PL-homeomorphism—in fact  $\text{Out}(T)$  is cyclic of order 2, generated by the class of the reflection  $\rho_1$ .

We give a brief outline of the proof of Theorem 2.3, referring the reader to [1, Sect. N] for further details. Suppose that  $\alpha \in \text{Aut}(T(r, A, P))$  is represented by a homeomorphism  $\phi : S_r \rightarrow S_r$  as in Theorem 2.1. Let  $u = \phi(\bar{0}) \in A/r\mathbb{Z}$ . Then the rotation map  $\tau = \tau_{-u}$  defined as  $t \mapsto t - u$  of  $S_r$  is piecewise linear and belongs to  $T(r, A, P)$  as  $u \in A/r\mathbb{Z}$  and has constant slope 1  $\in P$ . Note that  $\tau$  maps  $u$  to  $\bar{0}$  and so  $\psi := \tau \circ \phi$  fixes  $\bar{0}$ . It follows that the automorphism  $\iota_\tau \circ \alpha =: \beta$ , represented by  $\psi$ , stabilizes the subgroup  $G(r, A, P) \hookrightarrow T(r, A, P)$ . (This assertion holds even if  $P$  is infinite cyclic.) Note that  $\beta$  is orientation preserving if and only if  $\alpha$  is. It suffices to show that either  $\psi$  or  $\psi \circ \rho_r$  is a PL-homeomorphism in  $T(r, A, \text{Aut}_0(P))$ . Let  $\tilde{\beta} \in \text{Aut}(G(r, A, P))$  be the restriction of  $\beta$  to  $G(r, A, P)$ . Then  $\tilde{\psi} : [0, r] \rightarrow [0, r]$ , the ‘lift’ of  $\psi$ , represents the automorphism  $\tilde{\beta}$  (i.e.,  $\tilde{\beta} = \iota_{\tilde{\psi}}$ ). We observe that  $\tilde{\psi}(0) = 0$  or  $\tilde{\psi}(0) = r$  according as  $\psi$  is orientation preserving or not. Under the hypothesis that  $P$  is dense, Bieri and Strebel [1, Corollary E17.8] showed that  $\tilde{\psi}$  is piecewise linear and in fact either  $\tilde{\psi}$  or  $\tilde{\psi} \circ \rho_r$  is in  $G(r, A, \text{Aut}_0(A))$  according as whether  $\tilde{\psi}$  is orientation preserving or not. It follows that  $\psi$  or  $\psi \circ \rho_r$  belongs to  $T(r, A, \text{Aut}_0(A))$  as was to be shown.

We record below an observation made in the course of the above discussion.

**Theorem 2.4** *Let  $P \subset \mathbb{R}_{>0}^\times$  be any nontrivial subgroup and  $A \subset \mathbb{R}$  be any non-zero  $P$ -submodule. Let  $r \in A_{>0}$ . Any outer automorphism of  $T(r, A, P)$  is represented by an automorphism  $\beta : T(r, A, P) \rightarrow T(r, A, P)$  which restricts to an automorphism of  $G(r, A, P)$ .  $\square$*

### 3 Twisted conjugacy classes in $G(r, A, P)$ and $T(r, A, P)$

We shall continue to assume that  $P \subset \mathbb{R}_{>0}^\times$  is a nontrivial subgroup, possibly infinite cyclic, and that  $A \subset \mathbb{R}$  is a nontrivial  $P$ -submodule. Also we assume that  $r \in A$  is positive. We regard  $S_r$  as the quotient space  $[0, r]/\{0, r\}$ . Observe that  $T(r, A, P)$  contains infinitely many (untwisted) conjugacy classes. For example, this may be seen by noting that, for each  $n \geq 2$ , there exist elements of  $T(r, A, P)$  whose support is a union of  $n$  pairwise disjoint open arcs in  $S_r$ . (Recall that the support of a homeomorphism  $f : X \rightarrow X$  is defined as  $\text{supp}(f) := \{x \in X \mid f(x) \neq x\}$ .)

Suppose that  $\alpha \in \text{Aut}(T(r, A, P))$  is induced by a homeomorphism  $\phi : S_r \rightarrow S_r$  and that  $f, g \in T(r, A, P)$  are  $\phi$ -twisted conjugates. Let  $z \in T(r, A, P)$  be such that

$$f = z \cdot g \cdot \alpha(z^{-1}) = z \cdot g \cdot \phi z^{-1} \phi^{-1}. \quad (1)$$

This implies that

$$f\phi = z(g\phi)z^{-1}. \quad (2)$$

Therefore  $f\phi$  and  $g\phi$  are conjugates in  $\text{Homeo}(S_r)$ .

Suppose that  $f_n, n \geq 1$ , is a sequence of elements in  $T(r, A, P)$  such that  $f_n\phi$  are in pairwise distinct conjugacy classes of  $\text{Homeo}(S_r)$ . Then it follows from (2) that  $R(\alpha) = \infty$ .

For example, it is easy to see that there is an element  $f_n \in T(r, A, P)$  whose support is a disjoint union of  $n$  arcs. Evidently the  $f_n, n \geq 1$ , are in pairwise distinct conjugacy classes of  $\text{Homeo}(S_r)$ . Taking  $\alpha$  to be identity, we have  $\phi = id$  and so we conclude that  $R(id) = \infty$ . It follows that  $R(\beta) = \infty$  for any inner automorphism  $\beta$  of  $T(r, A, P)$ .

More generally, let  $\alpha$  be any automorphism of a group  $\Gamma$  and let  $\beta = \iota_g \circ \alpha$  where  $g \in \Gamma$  and  $\iota_g$  is the inner automorphism  $h \mapsto ghg^{-1}$ . One has a well-defined bijection  $\mathcal{R}(\beta) \rightarrow \mathcal{R}(\alpha)$  defined as  $[x]_\beta \mapsto [xg]_\alpha$  where  $[x]_\alpha$  denotes the  $\alpha$ -twisted conjugacy class of  $x \in \Gamma$ . Hence  $R(\alpha) = \infty$  if and only if  $R(\beta) = \infty$ . (See [7, Sect. 3].)

It follows that, in order to show the  $R_\infty$ -property for  $T(r, A, P)$ , it suffices to show that that  $R(\alpha) = \infty$  for a set of coset representatives for  $\text{Out}(T(r, A, P))$ .

In view of Theorem 2.4, we may choose a representative automorphism  $\alpha$  that restricts to an automorphism  $\alpha_0$  of  $G(r, A, P)$ . This is equivalent to the requirement that  $\phi(\bar{0}) = \bar{0}$ .

#### 3.1 Strategy of proof

Since  $G(r, A, P)$  has the  $R_\infty$ -property by [9], we are guaranteed of a sequence of elements  $f_n, n \geq 1$ , in  $G(r, A, P) \subset T(r, A, P)$  which are in pairwise distinct  $\alpha_0$ -twisted conjugacy classes. Suppose that  $\alpha$  is induced by  $\phi \in \text{Homeo}(S_r)$  (via conjugation). Then  $f_n\phi, n \geq 1$ , are in pairwise distinct  $G(r, A, P)$ -conjugacy classes, that is, the  $f_n\phi$  are in pairwise distinct orbits for the conjugacy action of  $G(r, A, P)$  on  $\text{Homeo}(S_r)$ . We shall choose  $f_n$  so that when  $P$  is not cyclic, the elements  $f_n\phi, n \geq 1$ , remain in pairwise distinct  $T(r, A, P)$ -conjugacy classes. Indeed, we shall choose our  $f_n \in G(r, A, P)$  so that  $f_n\phi, n \geq 1$ , are in pairwise distinct  $\text{Homeo}(S_r)$ -conjugacy classes. Our choice of the sequence  $\{f_n\}$  will depend on whether  $\alpha$  is orientation preserving or orientation reversing.

Assume that  $\phi(\bar{0}) = \bar{0}$  and let  $\tilde{\phi} : [0, r] \rightarrow [0, r]$ . Suppose that  $\alpha$  is orientable. Then  $\tilde{\phi}(0) = 0$ . Evaluating both sides of (2) at  $z(\bar{0})$  we obtain  $f \cdot \phi(z(\bar{0})) = z(\bar{0})$ . Thus  $z(\bar{0})$  is a fixed point of  $f \circ \phi$ . By appropriate choices for  $f, g$ , if one can arrange so that  $\bar{0}$  is the only fixed point of  $f \circ \phi$ , then we can conclude that  $z(\bar{0}) = \bar{0}$ . This would force  $z$  to be in  $G(r, A, P)$  since  $z$  is orientation preserving. Hence  $f, g$  must be in the same  $\alpha_0$ -twisted

conjugacy class. This will be our strategy of proof when  $\phi$  is orientation preserving. We will achieve this assuming that  $\phi$  has non-vanishing one-sided derivative at  $\bar{0}$ . This assumption is always valid when  $P$  is not cyclic in view of Theorem 2.3.

In the case when  $\phi$  is orientation reversing, we consider the squares  $f\phi f\phi = f\alpha(f).\phi^2$  and  $g\phi g\phi = g\alpha(g).\phi^2$ . It is immediate from (2) that  $f\alpha(f)\phi^2$  and  $g\alpha(g)\phi^2$  are conjugates. Note that  $\phi^2$  is orientation preserving. Again we will choose  $f_n \in G(r, A, P)$  so that  $f_n\alpha(f_n)\phi^2$  are in pairwise distinct conjugacy classes in  $\text{Homeo}(S_r)$ . There will be two cases to consider, depending on whether  $\phi^2$  fixes point-wise a non-degenerate interval or not. It will be assumed that  $\phi$  is piecewise linear. This is not a restriction when  $P$  is non-cyclic in view of Theorem 2.3.

### 3.2 Orientation preserving automorphisms

Let  $\alpha$  be an orientation preserving automorphism of  $T(r, A, P)$  represented by a homeomorphism  $\phi : S_r \rightarrow S_r$ . We assume that  $\phi$  has non-vanishing one-sided derivatives at a point  $a \in A/r\mathbb{Z}$ . Since  $A$  is dense in  $\mathbb{R}$ , this is automatically valid when  $\phi$  is piecewise linear; this is so when  $P$  is non-cyclic by Theorem 2.3.

Denote by  $\tau_c : S_r \rightarrow S_r$  the rotation  $t \mapsto t + c \in S_r$ . If  $c \in A$ , then  $\tau_c \in T(r, A, P)$ . Also, by Theorem 2.1,  $\phi$  maps  $A/r\mathbb{Z}$  to itself. Therefore conjugation by  $\psi := \tau_{-b} \circ \phi \circ \tau_a$  where  $b = \phi(a)$  defines an automorphism of  $T(r, A, P)$ . Moreover we have  $\psi(\bar{0}) = \bar{0}$  and  $\psi$  has non-vanishing one-sided derivative at  $\bar{0}$ . Note that since  $\tau_a, \tau_{-b} \in T(r, A, P)$ , both  $\phi$  and  $\psi$  determine the same outer automorphism of  $T(r, A, P)$ . Thus we may (and do) assume, without loss of generality, that  $\phi(\bar{0}) = \bar{0}$  and that  $\phi$  has non-vanishing one-sided derivatives at  $\bar{0}$ . We shall denote by the same symbol  $\phi$  its lift  $\phi : [0, r] \rightarrow [0, r]$ . The (one-sided) derivatives at the end points will be denoted  $\phi'(0), \phi'(r)$ .

Let  $f_\lambda : [0, r] \rightarrow [0, r]$  be the unique PL-homeomorphism with exactly one break-point  $\xi_\lambda$  in  $(0, r)$  and having slopes  $\lambda$  and  $\lambda^{-1}$  at the end points 0 and  $r$  respectively. Explicitly,  $\xi_\lambda = r/(\lambda + 1)$ , and,

$$f_\lambda(t) = \begin{cases} \lambda t, & 0 \leq t \leq r/(\lambda + 1), \\ \lambda^{-1}t + r(1 - \lambda^{-1}), & r/(\lambda + 1) \leq t \leq r. \end{cases}$$

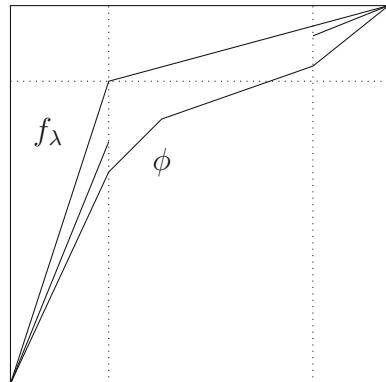
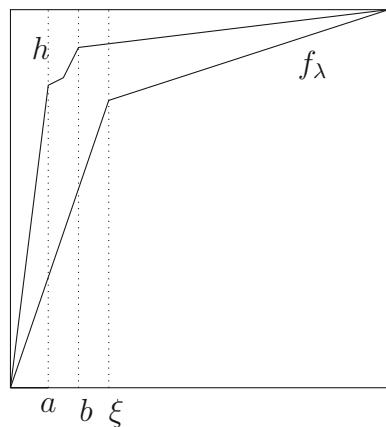
If  $x, y : [0, r] \rightarrow [0, r]$  we declare that  $x < y$  if  $x(t) < y(t)$  for all  $0 < t < r$ . Thus  $f_\lambda > f_\mu$  if  $\lambda > \mu$ .

**Lemma 3.1** *Suppose that  $\phi : [0, r] \rightarrow [0, r]$  is an orientation preserving homeomorphism with non-vanishing derivatives at end points. Then, for any  $p \in P$  sufficiently large, there exists an  $h \in G(r, A, \langle p \rangle)$  such that  $\phi < h$  with  $h'(0) = p$ .*

**Proof** First we show the existence of a  $\lambda > 1$  such that  $\phi < f_\lambda$ . Then we shall show that, for  $p > \lambda$  in  $P$ , there exists an  $h = h_p \in G(r, A, \langle p \rangle)$  such that  $f_\lambda < h$  and  $h'(0) = p$ .

Since  $\phi$  is orientation preserving, one-sided derivatives, when they exist, are positive if non-zero. Choose  $\mu > \max\{1, \phi'(0), 1/\phi'(1)\}$ . Then, for some  $0 < \epsilon < \min\{r/\mu, r/2\}$ , we have  $\phi(t) < \mu t$ , for  $0 < t \leq \epsilon$  and  $\phi(t) < \mu^{-1}t + (1 - \mu^{-1})r$  for  $\eta := r - \epsilon \leq t < r$ . We choose  $\lambda \geq \mu$  sufficiently large so that  $\lambda r/(\lambda + 1) > \mu^{-1}\eta + r(1 - \mu^{-1}) > \phi(\eta)$ . Then  $\phi < f_\lambda$  for all  $0 < t < r$  (Fig. 1).

Let  $\xi = \xi_\lambda = r/(\lambda + 1)$  be the break point of  $f_\lambda$ . Choose  $p \in P, p > \lambda + 1$  so that  $p\xi > r$ . We pick an  $a \in A$  such that  $\lambda\xi/p < a < r/p < \xi$ . Let  $k$  be sufficiently large so that, writing  $q := p^{-k}$ , we have  $(r - ap)/(r - a) > q$  so that  $ap < aq + r(1 - q)$ . Finally, choose  $b \in A$  such that  $a < b < \xi$ . Then the slope of the straight line joining the

**Fig. 1** Choice of  $f_\lambda$ **Fig. 2** Choice of  $h$ 

points  $(b, ap)$  and  $(r, r)$  is less than that of the line joining  $(\xi, \lambda\xi)$  and  $(r, r)$ . Moreover,  $ap < aq + r(1 - q) < bq + r(1 - q) < r$ . So the slope of the straight line joining the points  $(b, bq + r(1 - q))$  and  $(r, r)$  is less than that of the line joining  $(b, ap)$  and  $(r, r)$ , which in turn implies that the slope of the straight line joining the points  $(b, bq + r(1 - q))$  and  $(r, r)$  is less than that of the line joining  $(\xi, \lambda\xi)$  and  $(r, r)$  (Fig. 2).

We claim that there is a PL-homeomorphism  $h_1 : [a, b] \rightarrow [ap, bq + r(1 - q)]$  with slopes in the cyclic group  $P_0 := \langle p \rangle \subset P$  and break-points in  $A$ . In view of [1, Theorem A4.1], such a homeomorphism exists if  $a - b \equiv ap - bq + r(1 - q) \pmod{IP_0.A}$ . Indeed,  $ap - a, bq - b, r(1 - q) \in IP_0.A$ , and so such a  $h_1$  exists. Pasting this with the linear isomorphisms  $[0, a] \rightarrow [0, ap]$  and  $[b, r] \rightarrow [bq + r(1 - q), r]$  fixing end points, yields a PL-homeomorphism  $h \in G(r, A, P_0)$ . By the very construction, it is clear that  $\phi < h$  and that  $h'(0) = p$ .  $\square$

### 3.3 Orientation reversing automorphisms

Let  $\alpha \in T(r, A, P)$  be orientation reversing, represented by a homeomorphism  $\phi : S_r \rightarrow S_r$ . Again, as already observed in Sect. 2, we may (and do) assume without loss of generality that  $\phi(\bar{0}) = \bar{0}$ . Then its lift to  $[0, r]$ , also denoted  $\phi$ , satisfies  $\phi(0) = r, \phi(r) = 0$ . It is clear

that  $\phi \circ \rho_r$  and  $\phi^2$  are orientation *preserving*. (Recall that  $\rho_r(t) = r - t$  is the reflection of  $[0, r]$  about the midpoint  $r/2$ .)

We assume that  $\phi : [0, r] \rightarrow [0, r]$  is piecewise linear.

Since  $\phi$  is orientation reversing, there is a *unique*  $t_0 \in (0, r)$  such that  $\phi(t_0) = t_0$ . Suppose that  $f \in G(r, A, P) \subset T(r, A, P)$  has support  $\text{supp}(f) \subset (0, t_0)$ . Then  $\phi f \phi^{-1}$  has support in  $(t_0, r)$ . It follows that each of the homeomorphisms  $\phi^2, f, \phi f \phi^{-1}, u := f \phi f \phi^{-1}$  maps  $[0, t_0] =: J_0$  (resp.  $[t_0, r] =: J_1$ ) to itself, fixing the end points. Also  $\phi(J_i) = J_{1-i}$  and so, if  $z \in T(r, A, P)$ , then  $z u \phi^2 z^{-1}(z(J_i)) = z(J_i)$ .

Let  $b_0(X)$  denote the 0-th Betti number of  $X$ . Note that if  $\psi : [0, r] \rightarrow [0, r]$  is a PL-homeomorphism then  $b_0(\text{supp}(\psi)), b_0(\text{Fix}(\psi))$  are finite. We will construct a sequence of elements  $f_m \in G(r, A, P)$  such that  $\{b_0(\text{supp}(f_m \alpha(f_m) \phi^2))\}_{m \geq 1}$  (resp.  $\{b_0(\text{Fix}(f_m \alpha(f_m) \phi^2))\}_{m \geq 1}$ ) is an unbounded sequence, when  $\text{supp}(\phi^2)$  is not dense (resp. when  $\text{supp}(\phi^2)$  is dense).

**Case 1.** Suppose that  $\phi^2$  is identity in an interval  $J$ . If  $t \in \phi(J)$ , write  $t = \phi(s)$ ,  $s \in J$ . Now  $\phi^2(t) = \phi^3(s) = \phi(\phi^2(s)) = \phi(s) = t$ . So  $\phi^2 \upharpoonright \phi(J)$  is also identity. Let  $J = (a, b)$ , where  $0 < a < b \leq t_0$ . Thus  $\phi^2$  is identity in  $J \cup \phi(J) = (a, b) \cup (\phi(b), \phi(a))$ . We choose  $f_m \in G(r, A, P)$  to have support a union of  $m$  pairwise disjoint intervals  $I_1, \dots, I_m$  contained in  $J$ . Then  $u_m = f_m \cdot \phi f_m \phi^{-1}$  has support  $U_m := \text{supp}(f_m) \cup \phi(\text{supp}(f_m))$  and moreover,  $\text{supp}(u_m \phi^2)$  equals  $U_m \cup \text{supp}(\phi^2)$ . Note that  $\text{supp}(\phi^2)$  is a disjoint union of *finitely many*—say  $k$ —intervals, in view of our assumption that  $\phi$  is a PL-homeomorphism. Since  $J \cup \phi(J)$  is disjoint from the support of  $\phi^2$ , the support of  $u_m \phi^2$  is a disjoint union of exactly  $2m + k$  intervals.

**Case 2.** Suppose that  $\text{supp}(\phi^2)$  is a dense open subset of  $(0, r)$ . Since  $\phi^2$  is piecewise linear it follows that  $\text{Fix}(\phi^2)$  is a finite set.

First we make a preliminary observation.

**Lemma 3.2** Suppose that  $\psi : [a, b] \rightarrow [a, c]$  is the affine isomorphism fixing  $a$ , namely,  $\psi(t) = \lambda(t - a) + a$  where  $\lambda = (c - a)/(b - a) \neq 1$ . Let  $m \geq 1$ . Then there exists a PL-homeomorphism  $f : [a, c] \rightarrow [a, c]$  such that (i)  $f$  is identity near the end points and  $2m \leq \#\text{Fix}(f \circ \psi) < \infty$ ,  
(ii) slopes of  $f$  are in  $P$  and break points of  $f$  are in  $A$ .

**Proof** We will assume that  $\lambda > 1$ ; the case when  $\lambda < 1$  being similar. Thus we have  $t < \psi(t)$  for  $a < t < b$ .

**Step 1** First we prove the lemma for  $m = 1$ . The required  $f$  will have support equal to an interval  $(a_0, c_0) \subset (a, b)$  and will map a sub interval  $(a_0, b_0)$  into an interval  $(a_0, b_1)$  by an affine map with sufficiently small slope so that  $b_1 < \psi^{-1}(b_0)$ . Then  $f \circ \psi([\psi^{-1}(a_0), \psi^{-1}(b_0)]) = f([a_0, b_0]) = [a_0, b_1] \subset [\psi^{-1}(a_0), \psi^{-1}(b_0)]$  and so  $f \circ \psi$  fixes a point in  $(a, \psi^{-1}(b_0))$ .

Choose  $a_0 \in A \cap (a, b)$  so that  $a < a_0 < \psi(a_0) < c$ . Choose  $b_0, c_0 \in A$  such that  $a_0 < b_0 < c_0 < \psi(a_0)$ . Choose  $p \in P$ ,  $p > \lambda$ ; we shall presently refine our choice of  $p$ . Set  $b_1 := p^{-1}(b_0 - a_0) + a_0 \in A$ . Then  $t \mapsto a_0 + p^{-1}(t - a_0)$  defines a PL-homeomorphism  $h_0 : [a_0, b_0] \rightarrow [a_0, b_1]$ . We choose  $p$  so large that  $a + (b_0 - a)/\lambda = \psi^{-1}(b_0) > b_1$ —in fact any  $p \in P$  such that  $p > \frac{b_0 - a_0}{\psi^{-1}(b_0) - a_0}$  will do. Now we choose a PL-homeomorphism  $h_1 : [b_0, c_0] \rightarrow [b_1, c_0]$  with slopes in  $P_0 := \langle p \rangle \subset P$  and break-points in  $A$ . The existence of such a homeomorphism follows from [1, Theorem A4.1] in view of the fact that  $c_0 - b_1 = c_0 - b_0 + (1 - p^{-1})(b_0 - a_0) \in I P_0 A$ .

We piece together the two homeomorphisms  $h_0, h_1$  to obtain  $f : [a, c] \rightarrow [a, c]$  with support in  $(a_0, c_0)$  slopes in  $P_0 = \langle p \rangle$ , break points in  $A$ . Explicitly, we define as follows:  $f \upharpoonright [a_0, b_0] = h_0$ ,  $f \upharpoonright [b_0, c_0] = h_1$  and is identity on  $[a, a_0] \cup [c_0, c]$ . We claim that  $f \circ \psi$  has at least two fixed points: one in  $I_0 := (\psi^{-1}(a_0), \psi^{-1}(b_0))$  and one in  $I_1 := (\psi^{-1}(b_0), a_0)$ . This is because  $f \circ \psi(I_0) \subset [f(a_0), f(b_0)] \subset [a_0, b_1] \subset [\psi^{-1}(a_0), \psi^{-1}(b_0)] = I_0$ . Similarly,  $f \circ \psi(I_1) \subset f([b_0, \psi(a_0)]) = [b_1, \psi(a_0)] \subset [\psi^{-1}(b_0), a_0] = I_1$ . Thus  $f \circ \psi$  has at least 2 fixed points in  $[a, b]$ . The slopes of  $f \circ \psi$  are all in  $\lambda P_0$ . Since  $1 < \lambda < p$  we have  $1 \notin \lambda P_0$ , (as  $\lambda \notin P_0$ ) and we see that  $f \psi$  has only *finitely* many fixed points in  $[a, b]$ .

*Step 2* Let  $m$  be any positive integer. Consider the points  $a_j$ ,  $1 \leq j \leq m$  in  $A \cap (a, b)$  such that  $a_{j+1} < \psi(a_{j+1}) < a_j$  for all  $j$ . Choose  $b_j, c_j \in A$  such that  $a_j < b_j < c_j < \psi(a_j)$ . Proceeding as in step 1, we obtain a PL-homeomorphism  $f_j : [a, b] \rightarrow [a, c]$  with support in  $(a_j, c_j)$  such that  $f_j \circ \psi$  has (at least) two fixed points. Since the  $f_j$  have disjoint support we see that  $f := f_1 \circ \dots \circ f_m : [a, c] \rightarrow [a, c]$  is identity near the end points and  $f \circ \psi$  has finitely many fixed points, the number of fixed points being at least  $2m$ .  $\square$

We are now ready to construct, in the lemma below, a sequence  $\{f_m\}_{m \geq 1}$  in  $G(r, A, P)$  with the asserted property.

**Lemma 3.3** *Let  $\phi : S_r \rightarrow S_r$  be an orientation reversing PL-homeomorphism that fixes  $\bar{0}$  and induces an automorphism  $\alpha \in T(r, A, P)$ . Suppose that support of  $\phi^2 : [0, r] \rightarrow [0, r]$  is dense. Then there exist a sequence of elements  $\{f_m\}_{m \geq 1}$  in  $G(r, A, P)$  such that  $2m \leq b_0(\text{Fix}(u_m \circ \phi^2)) < \infty$  where  $u_m = f_m \alpha(f_m) = f_m \phi f_m \phi^{-1}$ .*

**Proof** Clearly  $\phi$  has a unique fixed point, denoted  $t_0$ , in  $(0, r)$ . Our assumption on  $\phi^2$  implies that  $t_0$  is an isolated fixed point of  $\phi^2$ . Let  $t_1 > t_0$  be sufficiently close to  $t_0$  so that  $\phi^2(t) = \lambda(t - t_0) + t_0$  for  $t_0 \leq t \leq t_1$ . Taking  $\psi := \phi^2 \upharpoonright [t_0, t_1]$  we are in the situation of Lemma 3.2 and we obtain PL-homeomorphisms  $g_m : [t_0, \lambda t_1] \rightarrow [t_0, \lambda t_1]$  which is supported in  $(t_0, t_1)$  has break points in  $A$ , slopes in  $P$ , such that  $g_m \circ \psi$  has at least  $2m$  fixed points in  $[t_0, t_1]$ . We extend  $g_m$  to an element  $f_m \in G(r, A, P)$  with support the same as that of  $g_m$ . Then the support of  $\phi f_m \phi^{-1}$  equals  $\phi(\text{supp}(f_m)) \subset (0, t_0)$  and hence disjoint from  $\text{supp}(f_m)$ . Now let  $u_m = f_m \cdot \phi f_m \phi^{-1} = \phi f_m \phi^{-1} f_m$ . Then  $u_m \phi^2$  has at least  $2m$  isolated fixed points in  $(t_0, t_1)$ . It follows that  $b_0(\text{Fix}(u_m \phi^2)) \geq 2m$ .  $\square$

## 4 Proof of Theorem 1.1

Let  $\alpha$  be an automorphism of  $T(r, A, P)$ . It is represented by a homeomorphism  $\phi : S_r \rightarrow S_r$ . As already observed in Sect. 1, it suffices to show that  $R(\alpha) = \infty$  when  $\alpha$  restricts to an automorphism  $\alpha_0$  of  $G([0, r], A, P)$ . So we assume that  $\phi(\bar{0}) = \bar{0}$ . Our hypothesis  $P$  is non-cyclic implies, by Theorem 2.3, that  $\phi$  is piecewise linear.

Suppose that  $\phi$  is orientation preserving. For each  $p \in P$  sufficiently large, we constructed in Lemma 3.1 an element  $h_p \in G(r, A, P)$  with slope  $p \in P$  near 0 and such that  $\phi < h_p$  (that is,  $\phi(t) < h_p(t)$ ,  $0 < t < r$ ). Let  $f_p = h_p^{-1}$ . Then  $f_p \phi < id$  for all  $p$  sufficiently large. This implies that  $\bar{0}$  is the *only* fixed point of  $f_p \phi : S_r \rightarrow S_r$ .

Suppose that  $R(\alpha) < \infty$ . Choose  $p, q \in P$  sufficiently large and distinct such that  $f_p$  and  $f_q$  must be  $\alpha$ -twisted conjugates. From Equation (2), we have that  $f_q \phi = z f_p \phi z^{-1}$  for some  $z = z_{p,q} \in T(r, A, P)$ . Evaluating at  $z(\bar{0})$  we obtain  $f_q \phi(z(\bar{0})) = z(\bar{0})$ . This forces that  $z(\bar{0}) = 0$  since  $f_q \phi$  fixes no other point of  $S_r$ . Hence  $z \in G(r, A, P)$  and  $f_p, f_q$  are  $\alpha_0$  conjugates where  $\alpha_0 : G(r, A, P) \rightarrow G(r, A, P)$  is the restriction of  $\alpha$ .

On the other hand, by [9, Sect. 3], the homomorphism  $\sigma_\ell : G(r, A, P) \rightarrow P$  defined as  $f \mapsto f'(0)$  is invariant under  $\alpha_0$ , that is,  $\sigma_\ell = \sigma_\ell \circ \alpha_0$  (since  $\phi$  is orientation preserving). So  $\sigma_\ell(h_p) = p$  and we have  $q^{-1} = \sigma_\ell(f_q) = \sigma_\ell(zf_p\alpha_0(z^{-1})) = \sigma_\ell(z)\sigma_\ell(f_p)\sigma_\ell(\alpha_0(z^{-1})) = \sigma_\ell(z)\sigma_\ell(f_p)\sigma_\ell(z^{-1}) = \sigma_\ell(f_p) = p^{-1}$ . Therefore  $p = q$  which contradicts our choice.

Next assume that  $\phi$  is orientation reversing. There are two cases to consider depending on whether  $\text{supp}(\phi^2)$  is dense or not.

Suppose that  $\text{supp}(\phi^2)$  is not dense. In Sect. 3.3 we constructed a sequence of elements  $f_m, m \geq 1$ , in  $G(r, A, P)$  such that, denoting by  $u_M$  the element  $f_m\alpha(f_m) = f_m \cdot \phi f_m \phi^{-1}$ , the sequence  $\{b_0(\text{supp}(u_m\phi^2))\}, m \geq 1$ , is an unbounded sequence of natural numbers. (Recall that  $b_0(X)$  is the number of path components of  $X$ .) By passing to a subsequence we may assume that the sequence  $b_m := b_0(u_m\phi^2), m \geq 1$ , consists of pairwise *distinct* positive integers.

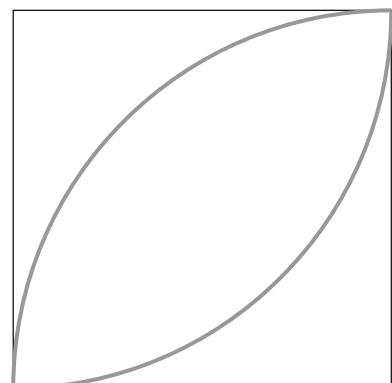
If  $R(\alpha) < \infty$ , then, by (2), there would be some pairs of distinct integers  $m, n \geq 1$ , and elements  $z = z_{m,n} \in T(r, A, P)$  such that  $u_m\phi^2 = z \cdot u_n\phi^2 \cdot z^{-1}$ . This means that  $\text{supp}(u_m\phi^2)$  and  $\text{supp}(u_n\phi^2)$  are homeomorphic. Therefore  $b_m = b_0(\text{supp}(u_m\phi^2)) = b_0(\text{supp}(u_n\phi^2)) = b_n$ , a contradiction since  $b_n$  are pairwise distinct and  $m \neq n$  by our choice.

Finally suppose that  $\text{supp}(\phi^2)$  is dense. Consider the sequence of elements  $f_m, m \geq 1$  in  $G(r, A, P)$  constructed in Lemma 3.3 with the property that  $b_0(\text{Fix}(u_m\phi^2))$  is an unbounded sequence of natural numbers. We proceed exactly as in the previous case, replacing  $b_0(\text{supp}(u_m\phi^2))$  by  $b_0(\text{Fix}(u_m\phi^2))$  throughout, we arrive at a contradiction in case  $R(\alpha) < \infty$ .

Thus we conclude that  $R(\alpha) = \infty$  and so  $T(r, A, P)$  has the  $R_\infty$ -property.

**Remark 4.1** Suppose that  $P$  is cyclic and that  $\phi \in \text{Homeo}(S_r)$  represents a given automorphism  $\alpha$  of  $T(r, A, P)$ . A basic fact is that the set of singular points of  $\phi$  (where the derivative does not exist) has Lebesgue measure 0. However if  $A$  is countable (eg.  $A = \mathbb{Z}[1/p]$  where  $P = \langle p \rangle$ ) it could so happen that every point of  $A$  is singular and it is not possible to replace  $\phi$  by  $x\phi y$  for any  $x, y \in T(r, A, P)$  so as to make the resulting homeomorphism to fix  $\bar{0}$  and to have (non-vanishing) one-sided derivative there. In the case when  $\phi$  is orientable, Lemma 3.1 can be extended to the case when  $\phi : [0, r] \rightarrow [0, r]$  has finite non-vanishing (one-sided) Dini numbers  $D^+(\phi; 0), D_+(\phi; 0), D^-(\phi; r), D_-(\phi; r)$  at the end points. (See [13, Sect. 3, Chapter 3].) But it is possible that  $D^+(\phi; 0) = \infty, D_+(\phi, 0) = 0$  and in such a case it is impossible to find a  $\lambda > 0$  such that  $\phi < f_\lambda$  or  $f_\lambda < \phi$ . For example, if the graph of  $\phi$  meets both arcs as in Fig. 3 below arbitrarily close to 0. The arcs are tangential to horizontal (resp. vertical) axis at 0.

**Fig. 3** Non-existence of  $f_\lambda$



When  $\phi : [0, r] \rightarrow [0, r]$  is orientation reversing, we used the fact that  $\text{supp}(\phi^2)$  has only finitely many components for PL-homeomorphisms. This is evidently false even if the restriction of  $\phi$  to  $(0, r)$  is piecewise linear. Also the topology of  $\text{Fix}(\phi)$  is possibly very complicated, containing infinitely many disjoint intervals and infinitely many discrete points. There is some room for improvement in our results of Sect. 3.3 since any  $\text{Fix}(u\phi^2)$  and  $\text{Fix}(zu\phi^2z^{-1})$  are *order isomorphic* as subspaces of  $[0, r]$ . This is a much stronger statement than the equality of their 0-th Betti numbers. But the general situation is too complex that we have not been able to exploit this.

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